



Legislative, Judicial, and Executive

Governmental bodies classified under the Legislative, Judicial, and Executive section of the Governor's Budget are either established as independent entities under the California Constitution, or are departments with a recognized need to operate outside of the administrative oversight and control of an agency secretary. Constitutionally-established bodies include the Legislature, Judicial Branch, Governor's Office, and constitutional officers. This section also includes such independent entities as the Inspector General and the California State Lottery.

0100 Legislature

The Legislature is comprised of two houses, the Senate and the Assembly, and is responsible for enacting laws and establishing public policy for California. The State Senate is comprised of 40 members who are elected for 4-year terms. Each Senator serves no more than two terms. The State Assembly is comprised of 80 members who are elected for 2-year terms. Each Assembly member serves no more than three terms.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | | Personnel Years | | | Expenditures | | |
|--|--------------|-----------------|--------------|--------------|------------------|------------------|------------------|
| | | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 | Senate | 40.0 | 40.0 | 40.0 | \$109,350 | \$109,350 | \$109,350 |
| 20 | Assembly | 80.0 | 80.0 | 80.0 | 146,716 | 146,716 | 146,716 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | | 120.0 | 120.0 | 120.0 | \$256,066 | \$256,066 | \$256,066 |
| FUNDING | | | | | 2010-11* | 2011-12* | 2012-13* |
| 0001 | General Fund | | | | \$256,066 | \$256,066 | \$256,066 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | | \$256,066 | \$256,066 | \$256,066 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article IV, Sections 2 and 7.5.

DETAILED BUDGET ADJUSTMENTS

| | | 2011-12* | | | 2012-13* | | |
|--|--|--------------|-------------|-----------------|-----------------|-------------|-----------------|
| | | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | | |
| Other Workload Budget Adjustments | | | | | | | |
| • | Augmentation for State Appropriations Limit | \$- | \$- | - | \$7,681 | \$- | - |
| Totals, Other Workload Budget Adjustments | | \$- | \$- | - | \$7,681 | \$- | - |
| Totals, Workload Budget Adjustments | | \$- | \$- | - | \$7,681 | \$- | - |
| Policy Adjustments | | | | | | | |
| • | Remove Augmentation for State Appropriations Limit | \$- | \$- | - | -\$7,681 | \$- | - |
| Totals, Policy Adjustments | | \$- | \$- | - | -\$7,681 | \$- | - |
| Totals, Budget Adjustments | | \$- | \$- | - | \$- | \$- | - |

* Dollars in thousands, except in Salary Range.

0100 Legislature - Continued

Senate Expenditures by Category

| | 2010-11* | 2011-12* | 2012-13* |
|---------------------------------------|------------------|------------------|------------------|
| General Fund Expenses: | | | |
| Salaries of Senators | \$4,146 | \$4,386 | \$4,775 |
| Mileage of Senators | 11 | 11 | 11 |
| Session Per Diem | 983 | 1,273 | 1,273 |
| Totals, General Fund Expenses | \$5,140 | \$5,670 | \$6,059 |
| Operating Fund Expenses: | | | |
| Salaries and Employee Benefits | \$93,600 | \$92,441 | \$91,494 |
| Travel and Per Diem | 1,294 | 975 | 1,800 |
| Automotive Expenses | 442 | 485 | 100 |
| Automotive Repairs | 114 | 150 | 50 |
| Telephone | 133 | 200 | 200 |
| Postage | 659 | 750 | 750 |
| Freight | 57 | 95 | 85 |
| Office Supplies | 139 | 180 | 180 |
| Printing | 301 | 400 | 690 |
| Publications | 71 | 151 | 151 |
| Building Expense | 2,222 | 2,292 | 2,292 |
| Furniture and Equipment Expense | 288 | 300 | 300 |
| Contracts | 364 | 361 | 375 |
| Meals | 134 | 118 | 45 |
| Ceremonies and Events | 19 | 23 | 20 |
| All Other Expenses | 604 | 990 | 990 |
| Total, Operating Fund Expenses | \$100,441 | \$99,911 | \$99,522 |
| Operating Fund Transfers: | | | |
| Legislative Analyst | \$3,769 | \$3,769 | \$3,769 |
| Total, Fund Transfers | \$3,769 | \$3,769 | \$3,769 |
| TOTAL, Senate Expenses | \$109,350 | \$109,350 | \$109,350 |

* Dollars in thousands, except in Salary Range.

0100 Legislature - Continued

Assembly Expenditures By Category

| | 2010-11* | 2011-12* | 2012-13* |
|---|------------------|------------------|------------------|
| General Fund Expenses: | | | |
| Salaries of Assembly Members | \$8,230 | \$8,288 | \$8,351 |
| Mileage of Assembly Members | 0 | 8 | 8 |
| Session Per Diem | 2,021 | 2,212 | 2,278 |
| Totals, General Fund Expenses | \$10,251 | \$10,508 | \$10,637 |
| Operating Fund Expenses: | | | |
| Salaries and Employee Benefits | \$103,346 | \$100,886 | \$97,989 |
| Travel and Per Diem | 1,153 | 1,253 | 1,703 |
| Automotive Expenses | 346 | 144 | 65 |
| Automotive Repairs | 74 | 37 | 18 |
| Equipment and Furniture | 240 | 242 | 247 |
| Building Utilities, Maintenance, and Rent | 3,376 | 3,376 | 3,477 |
| Office Alterations | 0 | 30 | 30 |
| Telephone | 596 | 596 | 596 |
| Postage | 200 | 196 | 196 |
| Freight | 122 | 122 | 125 |
| Communications | 935 | 982 | 1,011 |
| Office Supplies | 482 | 482 | 497 |
| Printing | 1,038 | 1,045 | 1,098 |
| Publications | 132 | 132 | 132 |
| Study Contracts | 0 | 40 | 40 |
| Meals | 19 | 18 | 18 |
| Ceremonies and Events | 4 | 4 | 4 |
| All Other Expenses | 864 | 846 | 859 |
| Total, Operating Fund Expenses | \$112,927 | \$110,431 | \$108,105 |
| Operating Fund Transfers: | | | |
| Office of the Legislative Analyst | \$3,769 | \$3,769 | \$3,769 |
| State Agencies | 19,769 | 22,008 | 24,205 |
| Total, Fund Transfers | \$23,538 | \$25,777 | \$27,974 |
| TOTAL, Assembly Expenses | \$146,716 | \$146,716 | \$146,716 |

* Dollars in thousands, except in Salary Range.

0100 Legislature - Continued

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|------------------|------------------|------------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation (Senate) | \$109,350 | \$109,350 | \$109,350 |
| 011 Budget Act appropriation (Assembly) | 146,716 | 146,716 | 146,716 |
| 021 Budget Act appropriation | <u>0</u> | <u>0</u> | <u>0</u> |
| TOTALS, EXPENDITURES | \$256,066 | \$256,066 | \$256,066 |
| 0125 Assembly Operating Fund | | | |
| APPROPRIATIONS | | | |
| Government Code Section 9129 | <u>\$146,716</u> | <u>\$146,716</u> | <u>\$146,716</u> |
| TOTALS, EXPENDITURES | \$146,716 | \$146,716 | \$146,716 |
| Less funding provided by the General Fund | <u>-146,716</u> | <u>-146,716</u> | <u>-146,716</u> |
| NET TOTALS, EXPENDITURES | \$- | \$- | \$- |
| 0348 Senate Operating Fund | | | |
| APPROPRIATIONS | | | |
| Government Code Section 9129 | <u>\$109,350</u> | <u>\$109,350</u> | <u>\$109,350</u> |
| TOTALS, EXPENDITURES | \$109,350 | \$109,350 | \$109,350 |
| Less funding provided by the General Fund | <u>-109,350</u> | <u>-109,350</u> | <u>-109,350</u> |
| NET TOTALS, EXPENDITURES | \$- | \$- | \$- |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$256,066 | \$256,066 | \$256,066 |

FUND CONDITION STATEMENTS

| | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| 0125 Assembly Operating Fund ^s | | | |
| BEGINNING BALANCE | - | - | - |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0120 Assembly (State Operations) | \$146,716 | \$146,716 | \$146,716 |
| Expenditure Adjustments: | | | |
| 0120 Assembly | | | |
| Less funding provided by the General Fund (State Operations) | <u>-146,716</u> | <u>-146,716</u> | <u>-146,716</u> |
| Total Expenditures and Expenditure Adjustments | <u>-</u> | <u>-</u> | <u>-</u> |
| FUND BALANCE | - | - | - |
| 0348 Senate Operating Fund ^s | | | |
| BEGINNING BALANCE | \$308 | \$308 | \$308 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0110 Senate (State Operations) | 109,350 | 109,350 | 109,350 |
| Expenditure Adjustments: | | | |
| 0110 Senate | | | |
| Less funding provided by the General Fund (State Operations) | <u>-109,350</u> | <u>-109,350</u> | <u>-109,350</u> |
| Total Expenditures and Expenditure Adjustments | <u>-</u> | <u>-</u> | <u>-</u> |
| FUND BALANCE | \$308 | \$308 | \$308 |
| Reserve for economic uncertainties | 308 | 308 | 308 |

* Dollars in thousands, except in Salary Range.

0150 Contributions to the Legislators' Retirement System

Established in 1947, the Legislators' Retirement System provides retirement and death benefits for Legislators, Constitutional Officers, and Legislative Statutory Officers.

The Legislators' Retirement System is funded through contributions from the state, members of the system, and investment earnings. The members' contributions are dependent upon the member's first enrollment date in the Legislators' Retirement System. Members enrolled prior to March 4, 1972, contribute 4 percent of salary while members enrolled after that date contribute 8 percent of salary. The state's contribution to the Legislators' Retirement System varies each year and is based upon the amount needed to pay future benefits. In years when members' contributions and investment earnings exceed the amount needed to pay benefits, the state does not make any contributions. Since 2000-01 the Legislators' Retirement System has been super-funded, eliminating the need for the state or members to contribute.

The California Public Employees' Retirement System administers the Legislators' Retirement System on behalf of its members. Retirement benefits paid are based upon age, years of service, and compensation. Benefits for death, disability and surviving dependents of deceased members are also provided under specific conditions.

Proposition 140, approved by the voters in 1990, prohibits new legislators from participation in the Legislators' Retirement System after November 6, 1990.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|---------|---------|-----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 Legislators' Retirement System | - | - | - | \$7,351 | \$7,960 | \$8,181 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | - | - | - | \$7,351 | \$7,960 | \$8,181 |
| FUNDING | | | | 2010-11* | 2011-12* | 2012-13* |
| 0820 Legislators' Retirement Fund | | | | \$7,351 | \$7,960 | \$8,181 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$7,351 | \$7,960 | \$8,181 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 2, Division 2, Part 1.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|--|--------------|--------------|-----------------|--------------|--------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Legislators' Retirement System | \$- | -\$57 | - | \$- | \$164 | - |
| Totals, Other Workload Budget Adjustments | \$- | -\$57 | - | \$- | \$164 | - |
| Totals, Workload Budget Adjustments | \$- | -\$57 | - | \$- | \$164 | - |
| Totals, Budget Adjustments | \$- | -\$57 | - | \$- | \$164 | - |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 4 UNCLASSIFIED | 2010-11* | 2011-12* | 2012-13* |
|---|----------------|----------------|----------------|
| 0820 Legislators' Retirement Fund | | | |
| APPROPRIATIONS | | | |
| Government Code Section 9359.1 | \$7,351 | \$7,960 | \$8,181 |
| TOTALS, EXPENDITURES | \$7,351 | \$7,960 | \$8,181 |
| TOTALS, EXPENDITURES, ALL FUNDS (Unclassified) | \$7,351 | \$7,960 | \$8,181 |

FUND CONDITION STATEMENTS

* Dollars in thousands, except in Salary Range.

0150 Contributions to the Legislators' Retirement System - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|----------------|----------------|
| 0820 Legislators' Retirement Fund ⁿ | | | |
| BEGINNING BALANCE | \$114,105 | \$123,570 | \$124,467 |
| Prior year adjustments | <u>-36</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$114,069 | \$123,570 | \$124,467 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 215000 Income From Investments: | | | |
| Net Profit | 17,665 | 9,577 | 9,646 |
| 221000 Contributions From Fiduciary Funds: | | | |
| Contributions From Members | - | 27 | 32 |
| Refund of Contributions | <u>-436</u> | <u>-346</u> | <u>-359</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$17,229</u> | <u>\$9,258</u> | <u>\$9,319</u> |
| Total Resources | \$131,298 | \$132,828 | \$133,786 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0150 Contributions to the Legislators' Retirement System (Unclassified) | 7,351 | 7,960 | 8,181 |
| 1900 Public Employees' Retirement System (State Operations) | <u>377</u> | <u>401</u> | <u>401</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$7,728</u> | <u>\$8,361</u> | <u>\$8,582</u> |
| FUND BALANCE | \$123,570 | \$124,467 | \$125,204 |

0160 Legislative Counsel Bureau

The Legislative Counsel Bureau provides legal assistance to the two houses of the Legislature, their members and its committees by resolving a large volume of complex legal problems arising in connection with the legislative process. The legal services furnished include rendering opinions, drafting bills, counseling, attendance as counsel at meetings of legislative committees, and representing the Legislature in litigation. The attorney-client relationship is maintained and all work is confidential.

In addition, the Bureau prepares and provides necessary indices and appropriate tables necessary to identify legislative measures and compiles and indexes statutes and codes.

The Bureau operates the Legislative Data Center, which provides information technology services in support of the legislative information system and the processing of legislative measures.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 Support | <u>570.6</u> | <u>630.0</u> | <u>630.0</u> | <u>\$80,720</u> | <u>\$89,051</u> | <u>\$89,941</u> |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 570.6 | 630.0 | 630.0 | \$80,720 | \$89,051 | \$89,941 |
| FUNDING | | | | 2010-11* | 2011-12* | 2012-13* |
| 0001 General Fund | | | | \$66,203 | \$74,616 | \$74,064 |
| 0995 Reimbursements | | | | 185 | 131 | 131 |
| 9740 Central Service Cost Recovery Fund | | | | <u>14,332</u> | <u>14,304</u> | <u>15,746</u> |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$80,720 | \$89,051 | \$89,941 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 10200-10248.

* Dollars in thousands, except in Salary Range.

0160 Legislative Counsel Bureau - Continued

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|--|---------------|--------------|-----------------|---------------|----------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation Adjustments | -\$545 | -\$119 | - | \$186 | \$41 | - |
| • Retirement Rate Adjustment | 305 | 67 | - | 305 | 67 | - |
| • Miscellaneous Adjustments | - | - | - | -1,282 | 1,282 | - |
| Totals, Other Workload Budget Adjustments | -\$240 | -\$52 | - | -\$791 | \$1,390 | - |
| Totals, Workload Budget Adjustments | -\$240 | -\$52 | - | -\$791 | \$1,390 | - |
| Totals, Budget Adjustments | -\$240 | -\$52 | - | -\$791 | \$1,390 | - |

DETAILED EXPENDITURES BY PROGRAM

| | | 2010-11* | 2011-12* | 2012-13* |
|---|--|-----------------|-----------------|-----------------|
| PROGRAM REQUIREMENTS | | | | |
| 10 SUPPORT | | | | |
| State Operations: | | | | |
| 0001 General Fund | | \$66,203 | \$74,616 | \$74,064 |
| 0995 Reimbursements | | 185 | 131 | 131 |
| 9740 Central Service Cost Recovery Fund | | 14,332 | 14,304 | 15,746 |
| Totals, State Operations | | \$80,720 | \$89,051 | \$89,941 |
| TOTALS, EXPENDITURES | | | | |
| State Operations | | 80,720 | 89,051 | 89,941 |
| Totals, Expenditures | | \$80,720 | \$89,051 | \$89,941 |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 570.6 | 639.0 | 639.0 | \$41,425 | \$44,491 | \$45,696 |
| Estimated Salary Savings | - | -9.0 | -9.0 | - | -610 | -626 |
| Net Totals, Salaries and Wages | 570.6 | 630.0 | 630.0 | \$41,425 | \$43,881 | \$45,070 |
| Staff Benefits | - | - | - | 16,059 | 17,013 | 17,474 |
| Totals, Personal Services | 570.6 | 630.0 | 630.0 | \$57,484 | \$60,894 | \$62,544 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$23,236 | \$28,157 | \$27,397 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$80,720 | \$89,051 | \$89,941 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--------------------------------------|----------|----------|----------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$66,608 | \$74,855 | \$74,064 |
| Allocation for employee compensation | 232 | 108 | - |
| Adjustment per Section 3.60 | 1,184 | 305 | - |
| Adjustment per Section 3.90 | - | -652 | - |
| Adjustment per Section 3.91 | -1,821 | - | - |

* Dollars in thousands, except in Salary Range.

0160 Legislative Counsel Bureau - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| TOTALS, EXPENDITURES | \$66,203 | \$74,616 | \$74,064 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$185 | \$131 | \$131 |
| 9740 Central Service Cost Recovery Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$14,421 | \$14,356 | \$15,746 |
| Allocation for employee compensation | 51 | 24 | - |
| Adjustment per Section 3.60 | 260 | 67 | - |
| Adjustment per Section 3.90 | - | -143 | - |
| Adjustment per Section 3.91 | -400 | - | - |
| TOTALS, EXPENDITURES | \$14,332 | \$14,304 | \$15,746 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$80,720 | \$89,051 | \$89,941 |

0250 Judicial Branch

Article VI of the Constitution creates the Supreme Court of California and the Courts of Appeal to exercise the judicial power of the state at the appellate level. Article VI also creates the Judicial Council of California to administer the state's judicial system. Chapter 869, Statutes of 1997, created the California Habeas Corpus Resource Center to represent any person financially unable to employ appellate counsel in capital cases.

Chapter 850, Statutes of 1997, enacted the Lockyer-Isenberg Trial Court Funding Act of 1997 to provide a stable and consistent funding source for the trial courts. Beginning with the 1997-98 fiscal year, consolidation of the costs of operation of the trial courts was implemented at the state level, with the exception of facility, revenue collection, and local judicial benefit costs. This implementation capped the counties' general purpose revenue contributions to trial court costs at a revised 1994-95 level. The county contributions become part of the Trial Court Trust Fund, which supports all trial court operations. Fine and penalty revenue collected by each county is retained or distributed in accordance with statute. Each county makes quarterly payments to the Trial Court Trust Fund equal to the fine and penalty revenue received by the state General Fund in 1994-95, as adjusted by amounts equivalent to specified fine and fee revenues that counties benefited from in 2003-04. Chapter 1082, Statutes of 2002, enacted the Trial Court Facilities Act of 2002, which provided a process for the responsibility for court facilities to be transferred from the counties to the state by July 1, 2007. This Chapter also established several new revenue sources, which went into effect on January 1, 2003. These revenues are deposited into the State Court Facilities Construction Fund for the purpose of funding the construction and maintenance of court facilities throughout the state. As facilities transfer to the state, counties will also contribute revenues for operation and maintenance of court facilities based upon historical expenditures.

The mission of the Judicial Branch is to resolve disputes arising under the law and to interpret and apply the law consistently, impartially, and independently to protect the rights and liberties guaranteed by the Constitutions of California and the United States, in a fair, accessible, effective, and efficient manner.

In order to consolidate operational costs of the Judicial Branch, the Governor's Budget combined the previously separate budgets of Judicial and State Trial Court Funding as the Judicial Branch beginning with the 2005-06 fiscal year.

Since department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on the Judicial Branch's Capital Outlay Program see "Infrastructure Overview."

The 2011 Budget Act included a \$350 million permanent General Fund reduction to the Judicial Branch. The fiscal year 2012-2013 budget display for the Judicial Branch reflects this reduction as previous reductions not yet allocated because it has not been allocated by the Judicial Council.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | | Personnel Years | | | Expenditures | | |
|----|----------------------------------|-----------------|---------|---------|--------------|-----------|-----------|
| | | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 | Supreme Court | 141.1 | 153.4 | 153.4 | \$43,953 | \$43,406 | \$46,216 |
| 20 | Courts of Appeal | 797.2 | 843.7 | 843.7 | 206,760 | 197,536 | 208,386 |
| 30 | Judicial Council | 727.8 | 807.9 | 807.5 | 137,456 | 125,872 | 139,488 |
| 35 | Judicial Branch Facility Program | 107.9 | 153.9 | 153.9 | 200,949 | 208,527 | 233,203 |
| 45 | State Trial Court Funding | - | - | - | 3,218,101 | 2,667,480 | 2,819,342 |

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

| | | Personnel Years | | | Expenditures | | |
|--|--|-----------------|----------------|----------------|--------------------|--------------------|--------------------|
| | | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 50 | Habeas Corpus Resource Center | 82.3 | 83.6 | 83.6 | 13,570 | 13,629 | 14,696 |
| 95 | Reimbursement from Local Entities | - | - | - | -350,000 | - | - |
| 96 | Offset From Local Property Tax Revenue | - | - | - | - | -41,000 | - |
| 99 | Previous Reductions Not Yet Allocated | - | - | - | - | - | -350,000 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | | 1,856.3 | 2,042.5 | 2,042.1 | \$3,470,789 | \$3,215,450 | \$3,111,331 |
| FUNDING | | | | | 2010-11* | 2011-12* | 2012-13* |
| 0001 | General Fund | | | | \$1,652,278 | \$1,228,382 | \$1,269,407 |
| 0044 | Motor Vehicle Account, State Transportation Fund | | | | 185 | 191 | 193 |
| 0159 | Trial Court Improvement Fund | | | | 43,057 | 66,757 | 59,050 |
| 0327 | Court Interpreters' Fund | | | | 149 | 163 | 164 |
| 0556 | Judicial Administration Efficiency and Modernization Fund | | | | -1,907 | -20,000 | - |
| 0587 | Family Law Trust Fund | | | | 1,970 | 2,676 | 2,628 |
| 0890 | Federal Trust Fund | | | | 4,809 | 6,741 | 6,778 |
| 0932 | Trial Court Trust Fund | | | | 1,508,344 | 1,649,386 | 1,467,416 |
| 0995 | Reimbursements | | | | 79,085 | 97,778 | 97,777 |
| 3037 | State Court Facilities Construction Fund | | | | 58,380 | 59,800 | 72,839 |
| 3060 | Appellate Court Trust Fund | | | | 3,167 | 4,313 | 4,265 |
| 3066 | Court Facilities Trust Fund | | | | 97,442 | 101,756 | 101,756 |
| 3085 | Mental Health Services Fund | | | | 1,003 | 1,054 | 1,048 |
| 3138 | Immediate and Critical Needs Account, State Court Facilities Construction Fund | | | | 24,363 | 15,836 | 27,393 |
| 8059 | State Community Corrections Performance Incentive Fund | | | | - | 615 | 615 |
| 9728 | Judicial Branch Workers' Compensation Fund | | | | -1,536 | 2 | 2 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | | \$3,470,789 | \$3,215,450 | \$3,111,331 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article VI.

PROGRAM AUTHORITY

45-State Trial Court Funding:

California Constitution, Article VI, Section 4.

45.45 Court Interpreters:

Trial Court Interpreter Employment and Labor Relations Act, Government Code Sections 71800-71829.

50-Habeas Corpus Resource Center:

Government Code Sections 68660-68666.

MAJOR PROGRAM CHANGES

- Court Fees-The Budget includes an increase of \$50 million for the Trial Court Trust Fund. The Judicial Council is working with Judicial Branch stakeholders to reach consensus on increasing court user fees to generate revenue supporting court operations.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|--|--------------|-------------|-----------------|--------------|-------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |

Workload Budget Adjustments

Workload Budget Change Proposals

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

| | 2011-12* | | | 2012-13* | | |
|--|-------------------|-----------------|-----------------|-------------------|-------------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| • Trial Court Benefit Costs | \$12,001 | \$- | - | \$18,969 | \$- | - |
| • Correct Court Security Allocation for Court-funded Costs | - | - | - | 2,787 | - | - |
| Totals, Workload Budget Change Proposals | \$12,001 | \$- | - | \$21,756 | \$- | - |
| Other Workload Budget Adjustments | | | | | | |
| • Realignment 2011 Savings | -\$496,429 | \$- | - | -\$496,429 | \$- | - |
| • Retirement Rate Adjustment | -2,505 | -375 | - | -2,505 | -375 | - |
| • One Time Cost Reductions | - | 63,000 | - | -14,820 | -150,000 | - |
| • Full Year Cost of New/Expanded Programs | - | - | - | 5,093 | - | - |
| • Lease Revenue Debt Service Adjustment | -2 | -7 | - | -5 | 2,989 | - |
| • Miscellaneous Adjustments | - | 17,741 | - | 41,000 | 32,602 | - |
| Totals, Other Workload Budget Adjustments | -\$498,936 | \$80,359 | - | -\$467,666 | -\$114,784 | - |
| Totals, Workload Budget Adjustments | -\$486,935 | \$80,359 | - | -\$445,910 | -\$114,784 | - |
| Policy Adjustments | | | | | | |
| • Increase Court Fees | \$- | \$- | - | \$- | \$50,000 | - |
| Totals, Policy Adjustments | \$- | \$- | - | \$- | \$50,000 | - |
| Totals, Budget Adjustments | -\$486,935 | \$80,359 | - | -\$445,910 | -\$64,784 | - |

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued**Trial Court Related Appropriations or Allocations****Table 1: Trial Court Related Appropriations or Allocations¹**

| Program Element or Program Component | Actual and Estimated FY 2011-12 | Estimated FY 2012-13 |
|---|--|-----------------------------|
| Assigned judges program | 26,047,000 | 26,047,000 |
| California Court Case Management System (CCMS) program ² | 34,894,774 | TBD |
| Court appointed special advocate program | 2,059,000 | 2,213,000 |
| Civil case coordination | 803,000 | 832,000 |
| Compensation of superior court judges | 306,829,000 | 306,829,000 |
| Court-appointed counsel in juvenile dependency cases | 107,262,945 | 103,725,445 |
| Court employee health benefits | 193,605,383 | 193,605,383 |
| Court employee retirement | 279,610,552 | 279,610,552 |
| Court employee workers' compensation | 27,673,124 | 27,673,124 |
| Court interpreters | 92,794,000 | 92,794,000 |
| Court security | 122,540,282 | 39,994,294 |
| Drug court projects | 481,000 | 1,160,000 |
| Equal access projects | 14,791,000 | 15,874,000 |
| Extraordinary homicide trial | 253,000 | 272,000 |
| Family law information centers | 320,000 | 345,000 |
| Jury services | 34,404,778 | 34,404,778 |
| Model self-help | 891,000 | 957,000 |
| Prisoner hearing | 2,542,000 | 2,728,000 |
| Processing of elder abuse protective orders | 332,465 | 332,465 |
| Retired court employee health benefits | 26,426,907 | 26,426,907 |
| Self-help | 6,200,000 | 6,200,000 |
| Service of process for protective orders | 2,983,000 | 3,201,000 |
| Statewide administrative and technology infrastructure (non-CCMS) | 17,878,422 | 17,828,422 |

1 Appropriated either from the Trial Court Trust Fund or General Fund. For FY 2012-2013, individual program funding amounts were reinstated at their FY 2010-2011 levels. The Judicial Council will determine the allocation of the \$350 million reduction among the related appropriations following enactment of the State Budget.

2 The CCMS deployment strategy is currently being reevaluated. As such, updated expenditure estimates have not been completed at this time.

0250 Judicial Branch - Continued**Expenditures of Superior Courts****Table 2: Expenditures of Superior Courts**

| Superior Court | FY 2010-2011 Actual | FY 2011-2012 Estimated* | Superior Court | FY 2010-2011 Actual | FY 2011-2012 Estimated* |
|-----------------------|----------------------------|--------------------------------|-----------------------|----------------------------|--------------------------------|
| Alameda | 119,838,261 | 105,274,224 | Placer | 20,771,637 | 19,031,876 |
| Alpine | 652,274 | pending | Plumas | 2,794,772 | pending |
| Amador | 3,537,612 | 3,108,106 | Riverside | 147,210,037 | 143,292,346 |
| Butte | 14,025,234 | 12,459,405 | Sacramento | 115,149,862 | 99,174,981 |
| Calaveras | 3,546,027 | 3,194,937 | San Benito | 3,752,659 | 3,720,419 |
| Colusa | 2,340,292 | 2,512,529 | San Bernardino | 135,330,737 | 114,529,710 |
| Contra Costa | 69,031,589 | pending | San Diego | 216,755,117 | 188,432,589 |
| Del Norte | 3,824,916 | 3,624,538 | San Francisco | 91,680,305 | 82,858,504 |
| El Dorado | 11,232,373 | 11,253,238 | San Joaquin | 46,482,460 | 36,774,383 |
| Fresno | 79,722,486 | 66,542,967 | San Luis Obispo | 22,130,331 | 18,126,352 |
| Glenn | 2,764,412 | 3,050,645 | San Mateo | 52,650,471 | 43,456,778 |
| Humboldt | 9,012,914 | 8,124,277 | Santa Barbara | 35,697,719 | pending |
| Imperial | 14,118,768 | 13,697,222 | Santa Clara | 146,616,353 | 123,108,007 |
| Inyo | 3,070,671 | 4,593,519 | Santa Cruz | 15,770,281 | 14,451,565 |
| Kern | 70,297,553 | 61,277,045 | Shasta | 15,844,139 | 16,577,552 |
| Kings | 10,496,549 | 9,526,230 | Sierra | 771,267 | 670,039 |
| Lake | 4,773,306 | 4,617,045 | Siskiyou | 6,161,095 | 5,733,833 |
| Lassen | 3,824,131 | 4,070,677 | Solano | 35,230,007 | 26,883,671 |
| Los Angeles | 850,754,705 | 735,668,001 | Sonoma | 36,125,911 | 29,537,174 |
| Madera | 10,234,866 | 10,048,352 | Stanislaus | 27,211,027 | pending |
| Marin | 20,977,297 | 18,353,123 | Sutter | 6,815,166 | 6,581,404 |
| Mariposa | 1,632,228 | pending | Tehama | 5,135,029 | 4,354,956 |
| Mendocino | 7,548,234 | 6,517,938 | Trinity | 1,661,563 | pending |
| Merced | 17,406,869 | 15,232,644 | Tulare | 29,355,065 | 24,871,534 |
| Modoc | 1,625,897 | 1,381,946 | Tuolumne | 5,153,882 | 4,398,023 |
| Mono | 2,475,280 | 2,719,768 | Ventura | 56,565,861 | 48,593,576 |
| Monterey | 27,595,853 | 23,045,119 | Yolo | 14,698,118 | 12,696,283 |
| Napa | 11,880,302 | 10,330,663 | Yuba | 6,315,635 | 5,978,080 |
| Nevada | 8,321,305 | 8,186,347 | Total | 2,932,804,417 | 2,432,330,593 |
| Orange | 246,405,707 | 210,086,453 | | | |

*Trial courts' FY 2011-12 Schedule 1 expenditure estimates submitted as of December 8, 2011.

0250 Judicial Branch - Continued

PROGRAM DESCRIPTIONS

10 - SUPREME COURT

The Supreme Court is the highest court in the California judicial system. Its decisions are binding on all other California state courts. The Chief Justice of California and the six Associate Justices entertain petitions seeking review of decisions from the Courts of Appeal, original petitions for extraordinary relief (such as writs of mandate or habeas corpus), and recommendations for discipline of judicial officers and attorneys. The Court grants review and issues opinions in order to settle legal questions of statewide importance. In addition, under the California Constitution, all death penalty judgments are appealed directly to the Supreme Court.

20 - COURTS OF APPEAL

Established by a constitutional amendment in 1904, the Courts of Appeal are California's intermediate courts of review. The six District Courts of Appeal hear appeals and original proceedings at nine different locations around the state. Cases before the Courts of Appeal involve every area of civil and criminal law.

30 - JUDICIAL COUNCIL

The Judicial Council of California is the constitutional policy-making body for the state judiciary. The Council consists of 21 voting members and 6 advisory members; the Chief Justice of California serves as chair. The Administrative Office of the Courts is the administrative arm of the Council. This office provides policy support to the Council, administrative accountability in the operation of the courts as specified by law, and administrative support for courts in areas such as budget, fiscal services, coordination of the assignment of retired judges, technology, education, legal advice and services, human resources, legislative advocacy, and research.

Consistent with the judiciary's mission, the Judicial Council is guided by the following principles:

- To make decisions in the best interests of the public and the court system as a whole.
- To conduct the Council's business based on an underlying commitment to equal and timely justice and public access to an independent forum for the resolution of disputes.
- To provide leadership in the administration of justice by planning and advocating for policies and resources that are necessary for courts to fulfill their mission.
- To ensure the continued development of an accessible, independent court system through planning, research, and evaluation programs, and through the use of modern management approaches and technological developments.
- To provide leadership in the administration of justice by establishing broad and consistent policies for the operation of the courts and appropriate uniform statewide rules and forms.
- To promote a competent, responsive, and ethical judiciary and staff through a comprehensive program of judicial education and training for court employees.
- To contribute to the public's understanding of the judicial process through a continuing program of public education.
- To provide assistance to the courts in developing action plans that are consistent with the Council's Strategic Plan and that address local needs and priorities.

35 - JUDICIAL BRANCH FACILITY PROGRAM

The Judicial Branch Facility Program administers the acquisition, planning, construction, operations, and maintenance of judicial branch facilities. This program is responsible for the development of long-term facilities master plans, facility and real estate management, and new courthouse planning, design, and construction.

45 - STATE TRIAL COURT FUNDING

45.10 - SUPPORT FOR THE OPERATION OF THE TRIAL COURTS

This program's objective is to provide the resources necessary for the adjudication of civil and criminal cases in the state's countywide trial court systems. This program includes all allowable trial court administrative costs under Chapter 850, Statutes of 1997, except salaries and benefits of Superior Court judges, compensation for assigned judges, and support for language interpreters.

45.25 - COMPENSATION OF SUPERIOR COURT JUDGES

This program provides funding for the salaries and state benefits for Superior Court judges.

45.35 - ASSIGNED JUDGES

This program provides support for the salaries and related costs of retired as well as active judges who are assigned by the Chief Justice to positions in courts which require assistance due to caseload backlogs or other factors impacting the ability of a court to avoid case delay.

45.45 - COURT INTERPRETERS

This program supports the provision of qualified language interpreters in criminal or juvenile proceedings as required by statute.

0250 Judicial Branch - Continued**50 - HABEAS CORPUS RESOURCE CENTER**

The Habeas Corpus Resource Center provides legal representation for indigent petitioners in death penalty habeas corpus proceedings before the Supreme Court of California and the federal courts. The Center also recruits and trains attorneys to expand the pool of private counsel qualified to accept appointments in death penalty habeas corpus proceedings, serves as a resource to them, and thereby helps to reduce the number of unrepresented indigents on California's death row.

DETAILED EXPENDITURES BY PROGRAM

| | | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
|-----------|--|------------------|------------------|------------------|
| | PROGRAM REQUIREMENTS | | | |
| 10 | SUPREME COURT | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$43,008 | \$42,513 | \$45,333 |
| 3060 | Appellate Court Trust Fund | 900 | 893 | 883 |
| 9728 | Judicial Branch Workers' Compensation Fund | <u>45</u> | <u>-</u> | <u>-</u> |
| | Totals, State Operations | \$43,953 | \$43,406 | \$46,216 |
| | PROGRAM REQUIREMENTS | | | |
| 20 | COURTS OF APPEAL | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$204,574 | \$194,115 | \$205,003 |
| 0995 | Reimbursements | - | 1 | 1 |
| 3060 | Appellate Court Trust Fund | 2,267 | 3,420 | 3,382 |
| 9728 | Judicial Branch Workers' Compensation Fund | <u>-81</u> | <u>-</u> | <u>-</u> |
| | Totals, State Operations | \$206,760 | \$197,536 | \$208,386 |
| | PROGRAM REQUIREMENTS | | | |
| 30 | JUDICIAL COUNCIL | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$97,721 | \$85,011 | \$98,566 |
| 0044 | Motor Vehicle Account, State Transportation Fund | 185 | 191 | 193 |
| 0159 | Trial Court Improvement Fund | 7,835 | 9,601 | 9,601 |
| 0327 | Court Interpreters' Fund | 149 | 163 | 164 |
| 0587 | Family Law Trust Fund | 1,970 | 2,676 | 2,628 |
| 0890 | Federal Trust Fund | 3,568 | 3,440 | 3,477 |
| 0932 | Trial Court Trust Fund | 14,383 | 7,100 | 7,076 |
| 0995 | Reimbursements | 4,744 | 7,628 | 7,628 |
| 3037 | State Court Facilities Construction Fund | 6,365 | 8,391 | 8,490 |
| 3085 | Mental Health Services Fund | 1,003 | 1,054 | 1,048 |
| 8059 | State Community Corrections Performance Incentive Fund | - | 615 | 615 |
| 9728 | Judicial Branch Workers' Compensation Fund | <u>-467</u> | <u>2</u> | <u>2</u> |
| | Totals, State Operations | \$137,456 | \$125,872 | \$139,488 |
| | PROGRAM REQUIREMENTS | | | |
| 35 | JUDICIAL BRANCH FACILITY PROGRAM | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$9,184 | \$9,043 | \$9,223 |
| 3037 | State Court Facilities Construction Fund | 52,015 | 51,409 | 64,349 |
| 3066 | Court Facilities Trust Fund | 97,442 | 101,756 | 101,756 |
| 3138 | Immediate and Critical Needs Account, State Court Facilities Construction Fund | 24,363 | 15,836 | 27,393 |
| 0995 | Reimbursements | <u>17,945</u> | <u>30,483</u> | <u>30,482</u> |
| | Totals, State Operations | \$200,949 | \$208,527 | \$233,203 |
| | PROGRAM REQUIREMENTS | | | |

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

| | | 2010-11* | 2011-12* | 2012-13* |
|--------------|---|--------------------|--------------------|--------------------|
| 45 | STATE TRIAL COURT FUNDING | | | |
| | Local Assistance: | | | |
| 0001 | General Fund | \$1,634,390 | \$926,097 | \$897,612 |
| 0159 | Trial Court Improvement Fund | 35,222 | 57,156 | 49,449 |
| 0556 | Judicial Administration Efficiency and Modernization Fund | -1,907 | -20,000 | - |
| 0890 | Federal Trust Fund | 1,075 | 2,275 | 2,275 |
| 0932 | Trial Court Trust Fund | 1,493,961 | 1,642,286 | 1,810,340 |
| 0995 | Reimbursements | 56,396 | 59,666 | 59,666 |
| 9728 | Judicial Branch Workers' Compensation Fund | -1,036 | - | - |
| | Totals, Local Assistance | \$3,218,101 | \$2,667,480 | \$2,819,342 |
| | ELEMENT REQUIREMENTS | | | |
| 45.10 | Support for Operation of Trial Courts | \$2,721,957 | \$2,159,920 | \$2,310,351 |
| | Local Assistance: | | | |
| 0001 | General Fund | \$1,195,087 | \$480,477 | \$450,561 |
| 0159 | Trial Court Improvement Fund | 35,222 | 57,156 | 49,449 |
| 0556 | Judicial Administration Efficiency and Modernization Fund | -1,907 | -20,000 | - |
| 0932 | Trial Court Trust Fund | 1,493,961 | 1,642,286 | 1,810,340 |
| 0995 | Reimbursements | 446 | 1 | 1 |
| 9728 | Judicial Branch Workers' Compensation Fund | -852 | - | - |
| 45.25 | Compensation of Superior Court Judges | \$302,097 | \$306,829 | \$306,829 |
| | Local Assistance: | | | |
| 0001 | General Fund | \$302,281 | \$306,829 | \$306,829 |
| 9728 | Judicial Branch Workers' Compensation Fund | -184 | - | - |
| 45.35 | Assigned Judges | \$25,665 | \$26,047 | \$26,047 |
| | Local Assistance: | | | |
| 0001 | General Fund | \$25,665 | \$26,047 | \$26,047 |
| 45.45 | Court Interpreters | \$89,963 | \$92,794 | \$92,794 |
| | Local Assistance: | | | |
| 0001 | General Fund | \$89,963 | \$92,794 | \$92,794 |
| 45.55 | Grants | \$78,419 | \$81,890 | \$83,321 |
| | Local Assistance: | | | |
| 0001 | General Fund | \$21,394 | \$19,950 | \$21,381 |
| 0890 | Federal Trust Fund | 1,075 | 2,275 | 2,275 |
| 0995 | Reimbursements | 55,950 | 59,665 | 59,665 |
| | PROGRAM REQUIREMENTS | | | |
| 50 | HABEAS CORPUS RESOURCE CENTER | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$13,401 | \$12,603 | \$13,670 |
| 0890 | Federal Trust Fund | 166 | 1,026 | 1,026 |
| 9728 | Judicial Branch Workers' Compensation Fund | 3 | - | - |
| | Totals, State Operations | \$13,570 | \$13,629 | \$14,696 |
| | PROGRAM REQUIREMENTS | | | |
| 95 | Reimbursement from Local Entities | | | |
| | Local Assistance: | | | |
| 0001 | General Fund | -\$350,000 | \$- | \$- |
| | Totals, Local Assistance | -\$350,000 | \$- | \$- |
| | PROGRAM REQUIREMENTS | | | |

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

| | | 2010-11* | 2011-12* | 2012-13* |
|-----------|---|--------------------|--------------------|--------------------|
| 96 | Offset From Local Property Tax Revenue | | | |
| | Local Assistance: | | | |
| 0001 | General Fund | \$- | -\$41,000 | \$- |
| | Totals, Local Assistance | \$- | -\$41,000 | \$- |
| | PROGRAM REQUIREMENTS | | | |
| 99 | PREVIOUS REDUCTIONS NOT YET ALLOCATED | | | |
| | Local Assistance: | | | |
| 0932 | Trial Court Trust Fund | \$- | \$- | -\$350,000 |
| | Totals, Local Assistance | \$- | \$- | -\$350,000 |
| | TOTALS, EXPENDITURES | | | |
| | State Operations | 602,688 | 588,970 | 641,989 |
| | Local Assistance | 2,868,101 | 2,626,480 | 2,469,342 |
| | Totals, Expenditures | \$3,470,789 | \$3,215,450 | \$3,111,331 |

EXPENDITURES BY CATEGORY

| 1 State Operations | | | | Expenditures | | |
|---|--------------|--------------|--------------|------------------|------------------|------------------|
| Positions/Personnel Years | | | | | | |
| 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* | |
| 10 Supreme Court | | | | | | |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 141.1 | 156.4 | 156.4 | \$17,501 | \$18,634 | \$18,753 |
| Estimated Salary Savings | - | -3.0 | -3.0 | - | -326 | -328 |
| Net Totals, Salaries and Wages | 141.1 | 153.4 | 153.4 | \$17,501 | \$18,308 | \$18,425 |
| Staff Benefits | - | - | - | 5,246 | 6,250 | 5,879 |
| Totals, Personal Services | 141.1 | 153.4 | 153.4 | \$22,747 | \$24,558 | \$24,304 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$7,165 | \$3,025 | \$6,089 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Court Appointed Counsel | | | | \$14,041 | \$15,823 | \$15,823 |
| Totals, Special Items of Expense | | | | \$14,041 | \$15,823 | \$15,823 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$43,953 | \$43,406 | \$46,216 |
| 20 Courts of Appeal | | | | | | |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 797.2 | 866.5 | 866.5 | \$89,531 | \$92,731 | \$93,646 |
| Estimated Salary Savings | - | -22.8 | -22.8 | - | -2,669 | -2,703 |
| Net Totals, Salaries and Wages | 797.2 | 843.7 | 843.7 | \$89,531 | \$90,062 | \$90,943 |
| Staff Benefits | - | - | - | 25,781 | 27,721 | 28,078 |
| Totals, Personal Services | 797.2 | 843.7 | 843.7 | \$115,312 | \$117,783 | \$119,021 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$27,468 | \$20,933 | \$30,545 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Court Appointed Counsel | | | | \$63,980 | \$58,820 | \$58,820 |
| Totals, Special Items of Expense | | | | \$63,980 | \$58,820 | \$58,820 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$206,760 | \$197,536 | \$208,386 |
| 30 Judicial Council | | | | | | |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 727.8 | 850.4 | 850.0 | \$66,428 | \$75,593 | \$77,133 |
| Estimated Salary Savings | - | -42.5 | -42.5 | - | -3,724 | -3,801 |
| Net Totals, Salaries and Wages | 727.8 | 807.9 | 807.5 | \$66,428 | \$71,869 | \$73,332 |

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|--------------|--------------|------------------|------------------|------------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Staff Benefits | - | - | - | 25,567 | 22,839 | 23,311 |
| Totals, Personal Services | 727.8 | 807.9 | 807.5 | \$91,995 | \$94,708 | \$96,643 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$45,461 | \$31,164 | \$42,845 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$137,456 | \$125,872 | \$139,488 |
| 35 Judicial Branch Facility Program | | | | | | |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 107.9 | 162.0 | 162.0 | \$9,661 | \$14,258 | \$14,681 |
| Estimated Salary Savings | - | -8.1 | -8.1 | - | -710 | -732 |
| Net Totals, Salaries and Wages | 107.9 | 153.9 | 153.9 | \$9,661 | \$13,548 | \$13,949 |
| Staff Benefits | - | - | - | 3,618 | 4,584 | 4,721 |
| Totals, Personal Services | 107.9 | 153.9 | 153.9 | \$13,279 | \$18,132 | \$18,670 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$187,670 | \$190,395 | \$214,533 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$200,949 | \$208,527 | \$233,203 |
| 50 Habeas Corpus Resource Center | | | | | | |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 82.3 | 88.0 | 88.0 | \$6,708 | \$7,101 | \$7,267 |
| Estimated Salary Savings | - | -4.4 | -4.4 | - | -331 | -340 |
| Net Totals, Salaries and Wages | 82.3 | 83.6 | 83.6 | \$6,708 | \$6,770 | \$6,927 |
| Staff Benefits | - | - | - | 2,430 | 2,594 | 2,660 |
| Totals, Personal Services | 82.3 | 83.6 | 83.6 | \$9,138 | \$9,364 | \$9,587 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$4,432 | \$4,265 | \$5,109 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$13,570 | \$13,629 | \$14,696 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$602,688 | \$588,970 | \$641,989 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|------------------|------------------|------------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$355,145 | \$341,292 | \$358,684 |
| Allocation for employee compensation | 678 | - | - |
| Allocation for contingencies or emergencies | 2,560 | - | - |
| Adjustment per Section 3.60 | 2,671 | -2,505 | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -1,364 | - | - |
| Transfer to Item 0250-011-0001 per Provision 4 | -1,008 | - | - |
| Revised expenditure authority per Provision 19 of Item 0250-101-0932 | - | -8,616 | - |
| 003 Budget Act appropriation | 5,156 | 5,062 | 5,057 |
| Adjustment per Section 4.30 | -130 | -2 | - |
| 011 Budget Act appropriation (transfer to the Judicial Branch Workers' Compensation Fund) | 1 | 1 | 1 |
| Transfer from Item 0250-001-0001 per Provision 4 | 1,008 | - | - |
| 012 Budget Act appropriation (transfer to Court Facilities Trust Fund) | 8,053 | 8,053 | 8,053 |
| Totals Available | \$372,770 | \$343,285 | \$371,795 |
| Unexpended balance, estimated savings | -4,882 | - | - |
| TOTALS, EXPENDITURES | \$367,888 | \$343,285 | \$371,795 |

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| 0044 Motor Vehicle Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$184 | \$194 | \$193 |
| Allocation for employee compensation | 1 | - | - |
| Adjustment per Section 3.60 | 3 | -3 | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | <u>-1</u> | <u>-</u> | <u>-</u> |
| Totals Available | \$187 | \$191 | \$193 |
| Unexpended balance, estimated savings | <u>-2</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$185 | \$191 | \$193 |
| 0159 Trial Court Improvement Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$9,601 | \$9,601 | \$9,601 |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | <u>-14</u> | <u>-</u> | <u>-</u> |
| Totals Available | \$9,587 | \$9,601 | \$9,601 |
| Unexpended balance, estimated savings | <u>-1,752</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$7,835 | \$9,601 | \$9,601 |
| 0327 Court Interpreters' Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | <u>\$160</u> | <u>\$163</u> | <u>\$164</u> |
| Totals Available | \$160 | \$163 | \$164 |
| Unexpended balance, estimated savings | <u>-11</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$149 | \$163 | \$164 |
| 0587 Family Law Trust Fund | | | |
| APPROPRIATIONS | | | |
| Family Code Section 1852 | <u>\$1,970</u> | <u>\$2,676</u> | <u>\$2,628</u> |
| TOTALS, EXPENDITURES | \$1,970 | \$2,676 | \$2,628 |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$4,494 | \$4,501 | \$4,503 |
| Allocation for employee compensation | 8 | - | - |
| Adjustment per Section 3.60 | 32 | -35 | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -6 | - | - |
| Budget Adjustment | <u>-794</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$3,734 | \$4,466 | \$4,503 |
| 0932 Trial Court Trust Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$7,076 | \$7,076 | \$7,076 |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -8 | - | - |
| Revised expenditure authority per Provision 7 of Item 0250-101-0932 | - | 24 | - |
| Revised expenditure authority per Item 0250-101-0932, Provision 9 (Transfer from Item 0250-101-0932) | 315 | - | - |
| Revised expenditure authority per Item 0250-101-0932, Provision 8 (Transfer from Item 0250-101-0932) | 7,042 | - | - |
| Revised expenditure authority per Item 0250-101-0932, Provision 13 (Transfer from Item 0250-101-0932) | 75 | - | - |
| Totals Available | \$14,500 | \$7,100 | \$7,076 |
| Unexpended balance, estimated savings | <u>-117</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$14,383 | \$7,100 | \$7,076 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|------------------|------------------|------------------|
| Reimbursements | \$22,689 | \$38,112 | \$38,111 |
| 3037 State Court Facilities Construction Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$67,860 | \$60,068 | \$69,821 |
| Allocation for employee compensation | 68 | - | - |
| Adjustment per Section 3.60 | 300 | -290 | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -29 | - | - |
| 003 Budget Act appropriation | - | 29 | 3,018 |
| Adjustment per Section 4.30 | - | -7 | - |
| 012 Budget Act appropriation (Loan to the General Fund) | - | (350,000) | - |
| Totals Available | \$68,199 | \$59,800 | \$72,839 |
| Unexpended balance, estimated savings | -9,819 | - | - |
| TOTALS, EXPENDITURES | \$58,380 | \$59,800 | \$72,839 |
| 3060 Appellate Court Trust Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$4,323 | \$4,321 | \$4,265 |
| Allocation for employee compensation | 2 | - | - |
| Adjustment per Section 3.60 | 8 | -8 | - |
| Totals Available | \$4,333 | \$4,313 | \$4,265 |
| Unexpended balance, estimated savings | -1,166 | - | - |
| TOTALS, EXPENDITURES | \$3,167 | \$4,313 | \$4,265 |
| 3066 Court Facilities Trust Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$104,814 | \$109,809 | \$109,809 |
| Revised expenditure authority per Provision 1 | 3,881 | - | - |
| Totals Available | \$108,695 | \$109,809 | \$109,809 |
| Unexpended balance, estimated savings | -3,200 | - | - |
| TOTALS, EXPENDITURES | \$105,495 | \$109,809 | \$109,809 |
| Less funding provided by General Fund | -8,053 | -8,053 | -8,053 |
| NET TOTALS, EXPENDITURES | \$97,442 | \$101,756 | \$101,756 |
| 3085 Mental Health Services Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$993 | \$1,063 | \$1,048 |
| Allocation for employee compensation | 3 | - | - |
| Adjustment per Section 3.60 | 10 | -9 | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -3 | - | - |
| TOTALS, EXPENDITURES | \$1,003 | \$1,054 | \$1,048 |
| 3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$25,000 | \$15,836 | \$27,393 |
| 011 Budget Act appropriation (Loan to the General Fund) | - | (90,000) | - |
| 012 Budget Act appropriation (transfer to the General Fund) | - | (310,275) | - |
| Totals Available | \$25,000 | \$15,836 | \$27,393 |
| Unexpended balance, estimated savings | -637 | - | - |
| TOTALS, EXPENDITURES | \$24,363 | \$15,836 | \$27,393 |
| 8059 State Community Corrections Performance Incentive Fund | | | |
| APPROPRIATIONS | | | |
| Penal Code section 1233.6 | \$- | \$615 | \$615 |
| TOTALS, EXPENDITURES | \$- | \$615 | \$615 |

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|--------------------|------------------|------------------|
| 9728 Judicial Branch Workers' Compensation Fund | | | |
| APPROPRIATIONS | | | |
| Government Code Section 68114.10 | \$508 | \$3 | \$3 |
| TOTALS, EXPENDITURES | \$508 | \$3 | \$3 |
| Less funding provided by General Fund | -1,008 | -1 | -1 |
| NET TOTALS, EXPENDITURES | \$-500 | \$2 | \$2 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$602,688 | \$588,970 | \$641,989 |
| | | | |
| 2 LOCAL ASSISTANCE | 2010-11* | 2011-12* | 2012-13* |
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$17,753 | \$16,542 | \$17,753 |
| 102 Budget Act appropriation | 17,862 | 52,533 | 71,502 |
| Revised expenditure authority per Provision 2 | - | 12,001 | - |
| 111 Budget Act appropriation (transfer to Trial Court Trust Fund) | 1,561,890 | 1,294,125 | 769,648 |
| Reduction per Section 3.97 as added by Chapter 41, Statutes of 2011 | - | -413,883 | - |
| Revised expenditure authority per Provision 19 of Item 0250-101-0932 | - | 8,616 | - |
| 112 Budget Act appropriation (Transfer to Judicial Administration Efficiency and Modernization Fund) | 38,709 | 38,709 | 38,709 |
| Chapter 21, Statutes of 2009 | -350,000 | - | - |
| Pending Legislation | - | -41,000 | - |
| Totals Available | \$1,286,214 | \$967,643 | \$897,612 |
| Unexpended balance, estimated savings | -1,824 | - | - |
| TOTALS, EXPENDITURES | \$1,284,390 | \$967,643 | \$897,612 |
| Less funding provided by the Local Revenue Fund 2011 per Government Code Section 30025(e) | - | -82,546 | - |
| NET TOTALS, EXPENDITURES | \$1,284,390 | \$885,097 | \$897,612 |
| 0159 Trial Court Improvement Fund | | | |
| APPROPRIATIONS | | | |
| 111 Budget Act appropriation (transfer to Trial Court Trust Fund) | (\$1) | (\$1) | \$- |
| Government Code Section 77209 (g) | 35,222 | 57,156 | 49,449 |
| TOTALS, EXPENDITURES | \$35,222 | \$57,156 | \$49,449 |
| 0556 Judicial Administration Efficiency and Modernization Fund | | | |
| APPROPRIATIONS | | | |
| 102 Budget Act appropriation | \$38,709 | \$18,709 | \$38,709 |
| 111 Budget Act appropriation (transfer to Trial Court Trust Fund) | (1) | (20,000) | - |
| Transfer from Trial Court Trust Fund per Item 0250-101-0932, Provision 14 | (31,599) | (-) | - |
| Totals Available | \$38,709 | \$18,709 | \$38,709 |
| Unexpended balance, estimated savings | -1,907 | - | - |
| TOTALS, EXPENDITURES | \$36,802 | \$18,709 | \$38,709 |
| Less funding provided by the General Fund | -38,709 | -38,709 | -38,709 |
| NET TOTALS, EXPENDITURES | \$-1,907 | \$-20,000 | \$- |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$2,275 | \$2,275 | \$2,275 |
| Budget Adjustment | -1,200 | - | - |
| TOTALS, EXPENDITURES | \$1,075 | \$2,275 | \$2,275 |
| 0932 Trial Court Trust Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$3,150,394 | \$2,892,629 | \$2,248,152 |

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

| 2 LOCAL ASSISTANCE | 2010-11* | 2011-12* | 2012-13* |
|--|--------------------|--------------------|--------------------|
| Reduction per Section 3.97 as added by Chapter 41, Statutes of 2011 | - | -413,883 | - |
| Revised expenditure authority per Provision 19 of Item 0250-101-0932 | - | 46,616 | - |
| Revised expenditure authority per Provision 8 (Transfer to Item 0250-001-0932) | -5,075 | - | - |
| Revised expenditure authority per Provision 8 | -2,042 | - | - |
| Revised expenditure authority per Provision 9 (Transfer to Item 0250-001-0932) | -315 | - | - |
| Revised expenditure authority per Provision 7 of Item 0250-101-0932 | - | -24 | - |
| Revised expenditure authority per Government Code Section 77209 (Transfer to Trial Court Improvement Fund) | -27,232 | -19,697 | - |
| Revised expenditure authority per Provision 10 | 813 | - | - |
| Revised expenditure authority per Provision 6 | -17,686 | - | - |
| Revised expenditure authority per Provision 19 | - | 25,000 | - |
| Adjustment per Chapter 720, Statutes of 2010 | - | 500 | - |
| 115 Budget Act appropriation (transfer to Judicial Branch Workers Compensation Fund) | 1 | 1 | 1 |
| Revised expenditure authority per Provision 1 | 17,686 | - | - |
| Revised expenditure authority per Government Code Section 77209 (transfer to Trial Court Improvement Fund) | - | - | -18,165 |
| Chapter 36, Statutes of 2011 (SB 92) | - | 1 | - |
| Chapter 193, Statutes of 2011 | - | 1 | - |
| Totals Available | \$3,116,544 | \$2,531,144 | \$2,229,988 |
| Unexpended balance, estimated savings | -60,693 | - | - |
| TOTALS, EXPENDITURES | \$3,055,851 | \$2,531,144 | \$2,229,988 |
| Less funding provided by the General Fund | -1,561,890 | -888,858 | -769,648 |
| NET TOTALS, EXPENDITURES | \$1,493,961 | \$1,642,286 | \$1,460,340 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$56,396 | \$59,666 | \$59,666 |
| 3037 State Court Facilities Construction Fund | | | |
| APPROPRIATIONS | | | |
| 111 Budget Act appropriation (transfer to Trial Court Trust Fund) | (\$25,000) | (\$10,000) | \$- |
| Revised expenditure authority per Provision 19 of Item 0250-101-0932 | (-) | (25,000) | - |
| Revised expenditure authority per Provision 16 of Item 0250-101-0932 | (-) | (35,000) | - |
| TOTALS, EXPENDITURES | \$- | \$- | \$- |
| 3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund | | | |
| APPROPRIATIONS | | | |
| 111 Budget Act appropriation (transfer to Trial Court Trust Fund) | (\$73,400) | (\$10,000) | \$- |
| Revised expenditure authority per Provision 19 of Item 0250-101-0932 | (-) | (38,000) | - |
| Revised expenditure authority per Provision 16 of Item 0250-101-0932 | (-) | (95,000) | - |
| TOTALS, EXPENDITURES | \$- | \$- | \$- |
| 9728 Judicial Branch Workers' Compensation Fund | | | |
| APPROPRIATIONS | | | |
| Government Code Section 68114.10 | \$16,650 | \$1 | \$1 |
| TOTALS, EXPENDITURES | \$16,650 | \$1 | \$1 |
| Less funding provided by the Trial Court Trust Fund | -17,686 | -1 | -1 |
| NET TOTALS, EXPENDITURES | \$-1,036 | \$- | \$- |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$2,868,101 | \$2,626,480 | \$2,469,342 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | \$3,470,789 | \$3,215,450 | \$3,111,331 |

FUND CONDITION STATEMENTS

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| 0159 Trial Court Improvement Fund ^s | | | |
| BEGINNING BALANCE | \$20,675 | \$38,534 | \$20,407 |
| Prior year adjustments | <u>2,974</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$23,649 | \$38,534 | \$20,407 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 141200 Sales of Documents | 549 | 526 | 526 |
| 150300 Income From Surplus Money Investments | 136 | 126 | 113 |
| 161400 Miscellaneous Revenue | 1 | - | - |
| 164600 Fines and Forfeitures | 62,465 | 60,336 | 60,336 |
| Transfers and Other Adjustments: | | | |
| FO0932 From Trial Court Trust Fund per Government Code Section 77209 (b) | 27,232 | 19,697 | 18,165 |
| TO0932 To Trial Court Trust Fund per Item 0250-111-0159, Budget Act of 2011 | - | -1 | - |
| TO0932 To Trial Court Trust Fund per Government Code Section 77209 (k) | <u>-31,563</u> | <u>-31,563</u> | <u>-31,563</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$58,820</u> | <u>\$49,121</u> | <u>\$47,577</u> |
| Total Resources | \$82,469 | \$87,655 | \$67,984 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0250 Judicial Branch | | | |
| State Operations | 7,835 | 9,601 | 9,601 |
| Local Assistance | 35,222 | 57,156 | 49,449 |
| 0840 State Controller (State Operations) | 5 | 12 | 13 |
| 8880 Financial Information System for California (State Operations) | 11 | 33 | 9 |
| 9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations) | <u>862</u> | <u>446</u> | <u>163</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$43,935</u> | <u>\$67,248</u> | <u>\$59,235</u> |
| FUND BALANCE | \$38,534 | \$20,407 | \$8,749 |
| Reserve for economic uncertainties | 38,534 | 20,407 | 8,749 |
| 0327 Court Interpreters' Fund ^s | | | |
| BEGINNING BALANCE | \$135 | \$216 | \$228 |
| Prior year adjustments | <u>38</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$173 | \$216 | \$228 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 125700 Other Regulatory Licenses and Permits | <u>192</u> | <u>175</u> | <u>175</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$192</u> | <u>\$175</u> | <u>\$175</u> |
| Total Resources | \$365 | \$391 | \$403 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0250 Judicial Branch (State Operations) | <u>149</u> | <u>163</u> | <u>164</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$149</u> | <u>\$163</u> | <u>\$164</u> |
| FUND BALANCE | \$216 | \$228 | \$239 |
| Reserve for economic uncertainties | 216 | 228 | 239 |
| 0556 Judicial Administration Efficiency and Modernization Fund ^s | | | |
| BEGINNING BALANCE | \$30,933 | \$2,764 | \$2,901 |
| Prior year adjustments | <u>1,249</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$32,182 | \$2,764 | \$2,901 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|---|------------------|------------------|----------------|
| 150300 Income From Surplus Money Investments | 275 | 137 | 123 |
| Transfers and Other Adjustments: | | | |
| TO0932 To Trial Court Trust Fund Per Item 0250-111-0556, Budget Act of 2010 | -31,600 | - | - |
| TO0932 To Trial Court Trust Fund per Item 0250-111-0556, Budget Act of 2011 | - | -20,000 | - |
| Total Revenues, Transfers, and Other Adjustments | <u>-\$31,325</u> | <u>-\$19,863</u> | <u>\$123</u> |
| Total Resources | \$857 | -\$17,099 | \$3,024 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0250 Judicial Branch (Local Assistance) | 36,802 | 18,709 | 38,709 |
| Expenditure Adjustments: | | | |
| 0250 Judicial Branch | | | |
| Less funding provided by the General Fund (Local Assistance) | <u>-38,709</u> | <u>-38,709</u> | <u>-38,709</u> |
| Total Expenditures and Expenditure Adjustments | <u>-\$1,907</u> | <u>-\$20,000</u> | <u>-</u> |
| FUND BALANCE | \$2,764 | \$2,901 | \$3,024 |
| Reserve for economic uncertainties | 2,764 | 2,901 | 3,024 |

0587 Family Law Trust Fund ^s

| | | | |
|--|----------------|----------------|----------------|
| BEGINNING BALANCE | \$1,871 | \$1,760 | \$890 |
| Prior year adjustments | <u>13</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$1,884 | \$1,760 | \$890 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 150300 Income From Surplus Money Investments | 9 | 6 | 6 |
| 161400 Miscellaneous Revenue | <u>1,883</u> | <u>1,912</u> | <u>1,912</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$1,892</u> | <u>\$1,918</u> | <u>\$1,918</u> |
| Total Resources | \$3,776 | \$3,678 | \$2,808 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0250 Judicial Branch (State Operations) | 1,970 | 2,676 | 2,628 |
| 0840 State Controller (State Operations) | 2 | 3 | 4 |
| 8880 Financial Information System for California (State Operations) | 2 | 9 | 2 |
| 9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations) | <u>42</u> | <u>100</u> | <u>131</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$2,016</u> | <u>\$2,788</u> | <u>\$2,765</u> |
| FUND BALANCE | \$1,760 | \$890 | \$43 |
| Reserve for economic uncertainties | 1,760 | 890 | 43 |

0932 Trial Court Trust Fund ^s

| | | | |
|---|----------------|----------|----------|
| BEGINNING BALANCE | \$103,840 | \$72,919 | \$59,889 |
| Prior year adjustments | <u>-15,199</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$88,641 | \$72,919 | \$59,889 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 131700 Misc Revenue From Local Agencies | 498,600 | 498,600 | 498,600 |
| 150300 Income From Surplus Money Investments | 321 | 132 | 132 |
| 161000 Escheat of Unclaimed Checks & Warrants | 21 | 21 | 21 |
| 161400 Miscellaneous Revenue | 235 | 233 | 233 |
| 164300 Penalty Assessments | 7,126 | 18,091 | 18,091 |
| 164400 Civil & Criminal Violation Assessment | 145,727 | 144,501 | 144,501 |
| 164600 Fines and Forfeitures | 161,168 | 161,675 | 161,675 |
| 164700 Court Filing Fees and Surcharges | 545,905 | 568,763 | 618,673 |
| Transfers and Other Adjustments: | | | |

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|---|--------------------|--------------------|--------------------|
| FO0159 From Trial Court Improvement Fund per Government Code Section 77209 (k) | 31,563 | 31,563 | 31,563 |
| FO0159 From Trial Court Improvement Fund per Item 0250-111-0159, Budget Act of 2011 | - | 1 | - |
| FO0556 From Judicial Administration Efficiency and Modernization Fund Per Item 0250-111-0556, Budget Act of 2010 | 31,600 | - | - |
| FO0556 From Judicial Administration Efficiency and Modernization Fund per Item 0250-111-0556, Budget Act of 2011 | - | 20,000 | - |
| FO3037 From State Court Facilities Construction Fund Per Item 0250-111-3037, Budget Act of 2010 | 25,000 | - | - |
| FO3037 From State Court Facilities Construction Fund per Item 0250-111-3037, Budget Act of 2011 | - | 70,000 | - |
| FO3138 From Immediate and Critical Needs Account, State Court Facilities Construction Fund per Item 0250-111-3138, Budget Act of 2011 | - | 143,000 | - |
| FO3138 From Immediate and Critical Needs Account, State Court Facilities Construction Fund per Item 0250-111-3138, Budget Act of 2010 | 73,400 | - | - |
| TO0159 To Trial Court Improvement Fund per Government Code Section 77209 (b) | <u>-27,232</u> | <u>-19,697</u> | <u>-18,165</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$1,493,434</u> | <u>\$1,636,883</u> | <u>\$1,455,324</u> |
| Total Resources | \$1,582,075 | \$1,709,802 | \$1,515,213 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0250 Judicial Branch | | | |
| State Operations | 14,383 | 7,100 | 7,076 |
| Local Assistance | 3,055,851 | 2,531,144 | 2,229,988 |
| 0840 State Controller (State Operations) | 171 | 174 | 183 |
| 8880 Financial Information System for California (State Operations) | 7 | 25 | 7 |
| 9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations) | 634 | 328 | 212 |
| Expenditure Adjustments: | | | |
| 0250 Judicial Branch | | | |
| Less funding provided by the General Fund (Local Assistance) | <u>-1,561,890</u> | <u>-888,858</u> | <u>-769,648</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$1,509,156</u> | <u>\$1,649,913</u> | <u>\$1,467,818</u> |
| FUND BALANCE | \$72,919 | \$59,889 | \$47,395 |
| Reserve for economic uncertainties | 72,919 | 59,889 | 47,395 |
| 3037 State Court Facilities Construction Fund ^s | | | |
| BEGINNING BALANCE | \$357,031 | \$377,054 | \$13,803 |
| Prior year adjustments | <u>-28,619</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$328,412 | \$377,054 | \$13,803 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 131700 Misc Revenue From Local Agencies | 1,500 | 4 | 4 |
| 150300 Income From Surplus Money Investments | 1,758 | 1,079 | 1,079 |
| 161300 Subsequent Injuries Revenue | 10 | - | - |
| 161400 Miscellaneous Revenue | 3,453 | 294 | 294 |
| 164000 Uninsured Motorist Fees | 6 | - | - |
| 164300 Penalty Assessments | 11,246 | 11,666 | 11,666 |
| 164700 Court Filing Fees and Surcharges | 30,852 | 29,889 | 29,889 |
| 164800 Penalty Assessments on Criminal Fines | 90,551 | 87,168 | 87,168 |
| Transfers and Other Adjustments: | | | |
| TO0001 To General Fund Loan per item 0250-012-3037, Budget Act of 2011 | - | -350,000 | - |
| TO0932 To Trial Court Trust Fund Per Item 0250-111-3037, Budget Act of 2010 | -25,000 | - | - |
| TO0932 To Trial Court Trust Fund per Item 0250-111-3037, Budget Act of 2011 | <u>-</u> | <u>-70,000</u> | <u>-</u> |
| Total Revenues, Transfers, and Other Adjustments | \$114,376 | -\$289,900 | \$130,100 |

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

| | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
|---|-----------------|------------------|------------------|
| Total Resources | \$442,788 | \$87,154 | \$143,903 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0250 Judicial Branch | | | |
| State Operations | 58,380 | 59,800 | 72,839 |
| Capital Outlay | 7,274 | 13,186 | - |
| 0840 State Controller (State Operations) | 46 | 86 | 79 |
| 8880 Financial Information System for California (State Operations) | 34 | 279 | 74 |
| Total Expenditures and Expenditure Adjustments | <u>\$65,734</u> | <u>\$73,351</u> | <u>\$72,992</u> |
| FUND BALANCE | \$377,054 | \$13,803 | \$70,911 |
| Reserve for economic uncertainties | 377,054 | 13,803 | 70,911 |
| 3060 Appellate Court Trust Fund ^s | | | |
| BEGINNING BALANCE | \$2,890 | \$3,925 | \$4,261 |
| Prior year adjustments | <u>47</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$2,937 | \$3,925 | \$4,261 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 150300 Income From Surplus Money Investments | 19 | 18 | 19 |
| 164700 Court Filing Fees and Surcharges | <u>4,142</u> | <u>4,649</u> | <u>4,773</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$4,161</u> | <u>\$4,667</u> | <u>\$4,792</u> |
| Total Resources | \$7,098 | \$8,592 | \$9,053 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0250 Judicial Branch (State Operations) | 3,167 | 4,313 | 4,265 |
| 0840 State Controller (State Operations) | 2 | 5 | 6 |
| 8880 Financial Information System for California (State Operations) | <u>4</u> | <u>13</u> | <u>3</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$3,173</u> | <u>\$4,331</u> | <u>\$4,274</u> |
| FUND BALANCE | \$3,925 | \$4,261 | \$4,779 |
| Reserve for economic uncertainties | 3,925 | 4,261 | 4,779 |
| 3066 Court Facilities Trust Fund ^s | | | |
| BEGINNING BALANCE | \$3,162 | \$2,569 | \$2,366 |
| Prior year adjustments | <u>1,534</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$4,696 | \$2,569 | \$2,366 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 131700 Misc Revenue From Local Agencies | 91,502 | 97,354 | 97,354 |
| 150300 Income From Surplus Money Investments | 146 | 146 | 146 |
| 152200 Rentals of State Property | 3,659 | 4,050 | 4,050 |
| 161400 Miscellaneous Revenue | <u>8</u> | <u>3</u> | <u>3</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$95,315</u> | <u>\$101,553</u> | <u>\$101,553</u> |
| Total Resources | \$100,011 | \$104,122 | \$103,919 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0250 Judicial Branch (State Operations) | 105,495 | 109,809 | 109,809 |
| Expenditure Adjustments: | | | |
| 0250 Judicial Branch | | | |
| Less funding provided by General Fund (State Operations) | <u>-8,053</u> | <u>-8,053</u> | <u>-8,053</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$97,442</u> | <u>\$101,756</u> | <u>\$101,756</u> |
| FUND BALANCE | \$2,569 | \$2,366 | \$2,163 |

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|---|-----------|------------|-----------|
| Reserve for economic uncertainties | 2,569 | 2,366 | 2,163 |
| 3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund ^s | | | |
| BEGINNING BALANCE | \$346,729 | \$369,617 | \$53,273 |
| Prior year adjustments | -88,284 | - | - |
| Adjusted Beginning Balance | \$258,445 | \$369,617 | \$53,273 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 150300 Income From Surplus Money Investments | 571 | 822 | 822 |
| 161400 Miscellaneous Revenue | 34,361 | 31,793 | 27,793 |
| 164100 Traffic Violations | 36,202 | 35,229 | 35,229 |
| 164300 Penalty Assessments | 25,828 | 23,124 | 23,124 |
| 164700 Court Filing Fees and Surcharges | 37,202 | 36,383 | 36,383 |
| 164800 Penalty Assessments on Criminal Fines | 195,631 | 198,416 | 198,416 |
| Transfers and Other Adjustments: | | | |
| TO0001 To General Fund Immediate and Critical Needs Account, SCFCF per Item 0250-012-3138 BA of 2011 | - | -310,275 | - |
| TO0001 To General Fund Loan per item 0250-011-3038, Budget Act of 2011 | - | -90,000 | - |
| TO0932 To Trial Court Trust Fund per Item 0250-111-3138, Budget Act of 2010 | -73,400 | - | - |
| TO0932 To Trial Court Trust Fund per Item 0250-111-3138, Budget Act of 2011 | - | -143,000 | - |
| Total Revenues, Transfers, and Other Adjustments | \$256,395 | -\$217,508 | \$321,767 |
| Total Resources | \$514,840 | \$152,109 | \$375,040 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0250 Judicial Branch | | | |
| State Operations | 24,363 | 15,836 | 27,393 |
| Capital Outlay | 120,860 | 83,000 | 293,000 |
| Total Expenditures and Expenditure Adjustments | \$145,223 | \$98,836 | \$320,393 |
| FUND BALANCE | \$369,617 | \$53,273 | \$54,647 |
| Reserve for economic uncertainties | 369,617 | 53,273 | 54,647 |

INFRASTRUCTURE OVERVIEW

The Judicial Council facilities consist of the Supreme Court, Appellate Courts, Trial Courts, and the Administrative Office of the Courts. The Supreme Court is located within the San Francisco Civic Center Plaza (98,155 square feet (sf)), the Library and Courts Building in Sacramento (2,480 sf), currently vacant due to renovation, and the Ronald Reagan State Office Building in Los Angeles (7,598 sf). The Courts of Appeal are organized into six districts, operate in 10 different locations, and consist of 505,337 sf. The Trial Courts are located in 58 counties statewide consisting of more than 500 buildings, 2,100 courtrooms, and over 13 million sf of usable area. The space includes public courtrooms, judges' chambers, staff workspace, storage space, training rooms, and conference rooms. The Administrative Office of the Courts facilities are primarily located in San Francisco (Headquarters), Burbank, and Sacramento and occupy 343,423 sf.

As part of the budget solutions, the 2011 Budget Act included various loans and transfers from the Immediate and Critical Needs Account, which funds all Senate Bill 1407 (Chapter 311, Statutes of 2008) trial court capital projects. In response to these transfers, the Chief Justice of the Supreme Court appointed a 25-member Court Facilities Working Group to review and revise the funding plans for the SB 1407 projects. All project funding changes approved by the Judicial Council will be presented to the Governor and the Legislature in the spring of 2012. As individual project expenditure information will not be available until late December, the Governor's Budget includes a single line item "Court Construction Expenditure Plan" to reflect the estimated expenditures for 2011-12 and 2012-13.

SUMMARY OF PROJECTS

| | State Building Program Expenditures | 2010-11* | 2011-12* | 2012-13* |
|---|-------------------------------------|----------------------|----------|----------|
| 91 CAPITAL OUTLAY | | | | |
| Major Projects | | | | |
| 91.01 ALAMEDA COUNTY | | \$50,000 | \$- | \$- |
| 91.01.001 Alameda County-New East County Courthouse | | 50,000 ^{CS} | - | - |

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

| State Building Program Expenditures | | 2010-11* | 2011-12* | 2012-13* |
|---|--|-----------------------|------------------------|-------------------------|
| 91.04 | BUTTE COUNTY | \$6,064 | \$- | \$- |
| 91.04.001 | Butte County-New North County Courthouse | 6,064 ^{APs} | - | - |
| 91.05 | CALAVERAS COUNTY | \$- | \$40,429 | \$- |
| 91.05.001 | Calaveras County-New San Andreas Courthouse | - | 40,429 ^{Cn} | - |
| 91.16 | KINGS COUNTY | \$6,231 | \$- | \$- |
| 91.16.001 | Kings County-New Hanford Courthouse | 6,231 ^{Ps} | - | - |
| 91.17 | LAKE COUNTY | \$4,660 | \$- | \$- |
| 91.17.001 | Lake County-New Lakeport Courthouse | 4,660 ^{APs} | - | - |
| 91.19 | LOS ANGELES COUNTY | \$400 | \$- | \$- |
| 91.19.002 | Los Angeles County-New Southeast Los Angeles Courthouse | 400 ^{As} | - | - |
| 91.20 | MADERA COUNTY | \$4,934 | \$88,248 | \$- |
| 91.20.001 | Madera County-New Madera Courthouse | 4,934 ^{AWs} | 88,248 ^{Cn} | - |
| 91.27 | MONTEREY COUNTY | \$2,288 | \$- | \$- |
| 91.27.001 | Monterey County-New South Monterey County Courthouse | 2,288 ^{Ps} | - | - |
| 91.31 | PLACER COUNTY | \$3,764 | \$- | \$- |
| 91.31.001 | Placer County-New Tahoe Area Courthouse | 3,764 ^{As} | - | - |
| 91.33 | RIVERSIDE COUNTY | \$6,362 | \$54,546 | \$- |
| 91.33.001 | Riverside County-New Riverside Mid-County Courthouse | - | 54,546 ^{Cn} | - |
| 91.33.002 | Riverside County-New Indio Juvenile and Family Courthouse | 6,362 ^{APs} | - | - |
| 91.35 | SAN BENITO COUNTY | \$- | \$33,508 | \$- |
| 91.35.001 | San Benito County-New Hollister Courthouse | - | 33,508 ^{Cn} | - |
| 91.36 | SAN BERNARDINO COUNTY | \$- | \$304,682 | \$- |
| 91.36.001 | San Bernardino County-New San Bernardino Courthouse | - | 304,682 ^{Cn} | - |
| 91.39 | SAN JOAQUIN COUNTY | \$2,584 | \$13,186 | \$243,266 |
| 91.39.001 | San Joaquin County-New Stockton Courthouse | 2,340 ^{As} | 13,186 ^{Ws} | 243,266 ^{Cn} |
| 91.39.002 | San Joaquin County-Renovate and Expand Juvenile Justice Center | 244 ^{Ps} | - | - |
| 91.43 | SANTA CLARA COUNTY | \$12,915 | \$- | \$- |
| 91.43.001 | Santa Clara County-New Family Justice Center | 12,915 ^{APs} | - | - |
| 91.48 | SOLANO COUNTY | \$- | \$- | \$22,286 |
| 91.48.001 | Solano County-Renovation to Fairfield Old Solano Courthouse | - | - | 22,286 ^{Cn} |
| 91.50 | STANISLAUS COUNTY | \$7,906 | \$- | \$- |
| 91.50.001 | Stanislaus County-New Modesto Courthouse | 7,906 ^{As} | - | - |
| 91.51 | SUTTER COUNTY | \$3,543 | \$- | \$- |
| 91.51.001 | Sutter County-New Yuba City Courthouse | 3,543 ^{As} | - | - |
| 91.52 | TEHAMA COUNTY | \$2,014 | \$- | \$- |
| 91.52.001 | Tehama County-New Red Bluff Courthouse | 2,014 ^{As} | - | - |
| 91.54 | TULARE COUNTY | \$- | \$81,055 | \$- |
| 91.54.001 | Tulare County-New Porterville Courthouse | - | 81,055 ^{Cn} | - |
| 91.57 | YOLO COUNTY | \$14,469 | \$- | \$- |
| 91.57.001 | Yolo County-New Woodland Courthouse | 14,469 ^{APs} | - | - |
| 99.99 | STATEWIDE | \$- | \$83,000 | \$293,000 |
| 99.99.999 | Unallocated: Court Construction Expenditure Plan | - | 83,000 ^{APWs} | 293,000 ^{APWs} |
| Totals, Major Projects | | \$128,134 | \$698,654 | \$558,552 |
| TOTALS, EXPENDITURES, ALL PROJECTS | | \$128,134 | \$698,654 | \$558,552 |

| FUNDING | | 2010-11* | 2011-12* | 2012-13* |
|---------|--|----------|----------|-----------|
| 0660 | Public Buildings Construction Fund | | \$- | \$602,468 |
| 3037 | State Court Facilities Construction Fund | | 7,274 | 13,186 |
| 3138 | Immediate and Critical Needs Account, State Court Facilities Construction Fund | | 120,860 | 83,000 |
| | | | | 293,000 |

* Dollars in thousands, except in Salary Range.

0250 Judicial Branch - Continued

| FUNDING | 2010-11* | 2011-12* | 2012-13* |
|--|------------------|------------------|------------------|
| TOTALS, EXPENDITURES, ALL FUNDS | \$128,134 | \$698,654 | \$558,552 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| | | | |
|--|------------------|------------------|------------------|
| 3 CAPITAL OUTLAY | 2010-11* | 2011-12* | 2012-13* |
| 0660 Public Buildings Construction Fund | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | \$868,020 | - | - |
| Prior year balances available: | | | |
| Item 0250-301-0660, Budget Act of 2010, as reappropriated by Item 0250-490, Budget Act of 2011 | - | \$868,020 | \$265,552 |
| Totals Available | \$868,020 | \$868,020 | \$265,552 |
| Balance available in subsequent years | -868,020 | -265,552 | - |
| TOTALS, EXPENDITURES | \$- | \$602,468 | \$265,552 |
| 3037 State Court Facilities Construction Fund | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Item 0250-301-3037, Budget Act of 2007, as reappropriated by Item 0250-491, Budget Act of 2008 and Item 0250-490, Budget Act of 2010 | \$2,480 | - | - |
| Item 0250-301-3037, Budget Act 2008 as reappropriated by 0250-490, Budget Act of 2009 | 2,195 | \$580 | - |
| Item 0250-301-3037, Budget Act of 2009, as reappropriated by Items 0250-490, and 0250-491, Budget Acts of 2010 and 2011 | 18,049 | 13,186 | - |
| Totals Available | \$22,724 | \$13,766 | \$- |
| Unexpended balance, estimated savings | -1,684 | -580 | - |
| Balance available in subsequent years | -13,766 | - | - |
| TOTALS, EXPENDITURES | \$7,274 | \$13,186 | \$- |
| 3138 Immediate and Critical Needs Account, State Court Facilities Construction Fund | | | |
| APPROPRIATIONS | | | |
| 301 Budget Act appropriation | \$51,393 | \$148,324 | - |
| Government Code Section 70371.5 (c) | 53,265 | - | - |
| Prior year balances available: | | | |
| Item 0250-301-3138, Budget Act of 2009 | 81,378 | 63,783 | - |
| Item 0250-301-3138, Budget Act of 2010, as reappropriated by Items 0250-490, Budget Act of 2011 | - | 1,393 | - |
| Unallocated Court Construction Expenditure Plan | - | 83,000 | \$293,000 |
| Totals Available | \$186,036 | \$296,500 | \$293,000 |
| Unexpended balance, estimated savings | - | -213,500 | - |
| Balance available in subsequent years | -65,176 | - | - |
| TOTALS, EXPENDITURES | \$120,860 | \$83,000 | \$293,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay) | \$128,134 | \$698,654 | \$558,552 |

0280 Commission on Judicial Performance

The California Commission on Judicial Performance is the independent state agency responsible for investigating complaints of judicial misconduct and judicial incapacity, and for disciplining judges pursuant to Article VI, Section 18 of the California Constitution. It is the only body with such authority in the state. Its jurisdiction includes all active judges and justices of California's superior courts, Courts of Appeal and Supreme Court, and former judges for conduct prior to retirement or resignation. The Commission also shares authority with the local courts for the oversight of court commissioners and referees. In addition to its disciplinary functions, the Commission is responsible for handling judges' applications for disability retirement.

The Commission's authority is limited to investigating alleged judicial misconduct and, if warranted, imposing discipline. Judicial misconduct usually involves conduct in conflict with the standards set forth in the Code of Judicial Ethics. After investigation and, in some cases a public hearing, the Commission may impose sanctions ranging from confidential

* Dollars in thousands, except in Salary Range.

0280 Commission on Judicial Performance - Continued

discipline to removal from office.

The Commission is composed of 11 members: 3 judges appointed by the Supreme Court, 2 attorneys appointed by the Governor, and 6 lay citizens, of which 2 are appointed by the Governor, 2 are appointed by the Senate Committee on Rules, and 2 are appointed by the Speaker of the Assembly. Members are appointed to four-year terms and may serve two terms. Commission members do not receive a salary.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|-------------|-------------|-----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 Commission on Judicial Performance | 19.3 | 27.0 | 27.0 | \$3,717 | \$4,133 | \$4,133 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 19.3 | 27.0 | 27.0 | \$3,717 | \$4,133 | \$4,133 |
| FUNDING | | | | 2010-11* | 2011-12* | 2012-13* |
| 0001 General Fund | | | | \$3,723 | \$4,134 | \$4,134 |
| 9728 Judicial Branch Workers' Compensation Fund | | | | -6 | -1 | -1 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$3,717 | \$4,133 | \$4,133 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article VI, Sections 8, 18, 18.1 and 18.5; Government Code, Sections 75060 et seq. and 75560 et seq.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|--|--------------|-------------|-----------------|--------------|-------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Retirement Rate Adjustment | -\$48 | \$- | - | -\$48 | \$- | - |
| Totals, Other Workload Budget Adjustments | -\$48 | \$- | - | -\$48 | \$- | - |
| Totals, Workload Budget Adjustments | -\$48 | \$- | - | -\$48 | \$- | - |
| Totals, Budget Adjustments | -\$48 | \$- | - | -\$48 | \$- | - |

DETAILED EXPENDITURES BY PROGRAM

| | | 2010-11* | 2011-12* | 2012-13* |
|---|--|----------------|----------------|----------------|
| PROGRAM REQUIREMENTS | | | | |
| 10 COMMISSION ON JUDICIAL PERFORMANCE | | | | |
| State Operations: | | | | |
| 0001 General Fund | | \$3,723 | \$4,134 | \$4,134 |
| 9728 Judicial Branch Workers' Compensation Fund | | -6 | -1 | -1 |
| Totals, State Operations | | \$3,717 | \$4,133 | \$4,133 |
| TOTALS, EXPENDITURES | | | | |
| State Operations | | 3,717 | 4,133 | 4,133 |
| Totals, Expenditures | | \$3,717 | \$4,133 | \$4,133 |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---------------------------------------|---------------------------|---------|---------|--------------|----------|----------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 19.3 | 27.0 | 27.0 | \$1,937 | \$2,746 | \$2,767 |

* Dollars in thousands, except in Salary Range.

0280 Commission on Judicial Performance - Continued

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|-------------|-------------|----------------|----------------|----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Net Totals, Salaries and Wages | 19.3 | 27.0 | 27.0 | \$1,937 | \$2,746 | \$2,767 |
| Staff Benefits | - | - | - | 799 | 700 | 700 |
| Totals, Personal Services | 19.3 | 27.0 | 27.0 | \$2,736 | \$3,446 | \$3,467 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$981 | \$687 | \$666 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$3,717 | \$4,133 | \$4,133 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|----------------|----------------|----------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$4,080 | \$4,181 | \$4,133 |
| Allocation for employee compensation | 11 | - | - |
| Adjustment per Section 3.60 | 49 | -48 | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -34 | - | - |
| Transfer to Item 0280-011-0001 per Provision 1 | -5 | - | - |
| 011 Budget Act appropriation (transfer to Judicial Branch Workers' Compensation Fund) | 1 | 1 | 1 |
| Transfer from Item 0280-001-0001 per Provision 1 | 5 | - | - |
| Totals Available | \$4,107 | \$4,134 | \$4,134 |
| Unexpended balance, estimated savings | -384 | - | - |
| TOTALS, EXPENDITURES | \$3,723 | \$4,134 | \$4,134 |
| 9728 Judicial Branch Workers' Compensation Fund | | | |
| APPROPRIATIONS | | | |
| TOTALS, EXPENDITURES | \$- | \$- | \$- |
| Less funding provided by the General Fund | -\$6 | -\$1 | -\$1 |
| NET TOTALS, EXPENDITURES | -\$6 | -\$1 | -\$1 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$3,717 | \$4,133 | \$4,133 |

0390 Contributions to the Judges' Retirement System

The Judges' Retirement System provides retirement benefit funding for California's Supreme and Appellate Court Judges, as well as Superior and Municipal Court Judges.

The Judges' Retirement System provides retirement, disability, and death benefits based on age, years of service, compensation of active judges, and eligibility as determined by specific sections of the Judges' Retirement Law. The Judges' Retirement System receives contributions equal to 8 percent of salary from both active judges and the state. Additional contributions come from filing fees for specific civil cases and investment income. These contributions, however, are not sufficient to fully fund benefit payments. Consequently, current law requires the state to fund the difference between existing contribution sources and the required benefit payments to retired judges.

A second retirement system for judges was established in 1994. All new judges elected or appointed on or after November 9, 1994 become members of Judges' Retirement System II. The Judges' Retirement System II receives contributions from judges equal to 8 percent of salary as well as investment income. The state's contributions are adjusted annually to maintain actuarial soundness of the fund. Judges' Retirement System II members eligible for a service retirement also have the option of choosing the monetary credit plan (a lump-sum return of contributions and interest earned) or the defined benefit plan.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|---------------------|-----------------|---------|---------|--------------|----------|----------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 State Operations | - | - | - | \$3,646 | \$3,616 | \$3,511 |
| 20 Local Assistance | - | - | - | 215,633 | 246,342 | 212,633 |

* Dollars in thousands, except in Salary Range.

0390 Contributions to the Judges' Retirement System - Continued

| | Personnel Years | | | Expenditures | | |
|--|-----------------|---------|---------|------------------|------------------|------------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 99 Unclassified (Benefit Payments) | - | - | - | 187,355 | 194,703 | 208,763 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | - | - | - | \$406,634 | \$444,661 | \$424,907 |
| FUNDING | | | | 2010-11* | 2011-12* | 2012-13* |
| 0001 General Fund | | | | \$219,279 | \$249,958 | \$216,144 |
| 0815 Judges' Retirement Fund | | | | 185,119 | 191,204 | 204,180 |
| 0884 Judges' Retirement System II Fund | | | | 2,236 | 3,499 | 4,583 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$406,634 | \$444,661 | \$424,907 |

The amounts for the Judges' Retirement Fund (0815) and the Judges' Retirement System II Fund (0884) are unclassified expenditures for benefit payments.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 8, Chapters 11 and 11.5.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|--|----------------|------------------|-----------------|------------------|-----------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Adjustments to Judges' Retirement System | \$2,390 | -\$19,521 | - | -\$31,424 | -\$5,461 | - |
| Totals, Other Workload Budget Adjustments | \$2,390 | -\$19,521 | - | -\$31,424 | -\$5,461 | - |
| Totals, Workload Budget Adjustments | \$2,390 | -\$19,521 | - | -\$31,424 | -\$5,461 | - |
| Totals, Budget Adjustments | \$2,390 | -\$19,521 | - | -\$31,424 | -\$5,461 | - |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|------------------|------------------|------------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation (transfer to Judges' Retirement Fund) | \$1,150 | \$1,150 | \$1,150 |
| Government Code Section 75101 (JRS I) | 1,203 | 1,139 | 1,027 |
| Government Code Section 75600.5 (JRS II) | 1,293 | 1,327 | 1,334 |
| TOTALS, EXPENDITURES | \$3,646 | \$3,616 | \$3,511 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$3,646 | \$3,616 | \$3,511 |
| 2 LOCAL ASSISTANCE | 2010-11* | 2011-12* | 2012-13* |
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation (transfer to Judges' Retirement Fund) | \$157,832 | \$187,432 | \$153,901 |
| Government Code Section 75101 (JRS I) | 5,231 | 4,954 | 4,464 |
| Government Code Section 75600.5 (JRS II) | 52,570 | 53,956 | 54,268 |
| TOTALS, EXPENDITURES | \$215,633 | \$246,342 | \$212,633 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$215,633 | \$246,342 | \$212,633 |

* Dollars in thousands, except in Salary Range.

0390 Contributions to the Judges' Retirement System - Continued

| 4 UNCLASSIFIED | 2010-11* | 2011-12* | 2012-13* |
|--|------------------|------------------|------------------|
| 0815 Judges' Retirement Fund | | | |
| APPROPRIATIONS | | | |
| Government Code Section 75025 | \$185,119 | \$191,204 | \$204,180 |
| Number of Annuitants (JRS I) | <u>(1,884)</u> | <u>(1,934)</u> | <u>(1,984)</u> |
| TOTALS, EXPENDITURES | \$185,119 | \$191,204 | \$204,180 |
| 0884 Judges' Retirement System II Fund | | | |
| APPROPRIATIONS | | | |
| Government Code Section 75522 | \$2,236 | \$3,499 | \$4,583 |
| Number of Annuitants (JRS II) | <u>(30)</u> | <u>(41)</u> | <u>(52)</u> |
| TOTALS, EXPENDITURES | \$2,236 | \$3,499 | \$4,583 |
| TOTALS, EXPENDITURES, ALL FUNDS (Unclassified) | \$187,355 | \$194,703 | \$208,763 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations, Local Assistance and Unclassified) | \$406,634 | \$444,661 | \$424,907 |

FUND CONDITION STATEMENTS

| | 2010-11* | 2011-12* | 2012-13* |
|---|------------------|------------------|------------------|
| 0815 Judges' Retirement Fund ^N | | | |
| BEGINNING BALANCE | \$63,827 | \$54,383 | \$68,162 |
| Prior year adjustments | <u>-593</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$63,234 | \$54,383 | \$68,162 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 215000 Income From Investments | 184 | 158 | 198 |
| 216000 Fees and Licenses (Filing Fees) | 3,217 | 3,218 | 3,218 |
| 221000 Contributions From Judges | 6,659 | 6,093 | 5,491 |
| 221000 Refunds of Contributions | - | -11 | -11 |
| 221000 Contributions From State | 6,436 | 6,093 | 5,491 |
| 221000 Contributions For Assignments | 1,886 | 2,008 | 2,008 |
| 299000 Budget Act Appropriation (Administration) (Transfer From General Fund) | 1,094 | 1,157 | 1,157 |
| 299000 Budget Act Appropriation (Transfer From General Fund) | <u>157,888</u> | <u>187,425</u> | <u>153,894</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$177,364</u> | <u>\$206,141</u> | <u>\$171,446</u> |
| Total Resources | \$240,598 | \$260,524 | \$239,608 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0390 Contributions to the Judges' Retirement System (Unclassified) | 185,119 | 191,204 | 204,180 |
| 0840 State Controller (State Operations) | 2 | 1 | 1 |
| 1900 Public Employees' Retirement System (State Operations) | <u>1,094</u> | <u>1,157</u> | <u>1,157</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$186,215</u> | <u>\$192,362</u> | <u>\$205,338</u> |
| FUND BALANCE | \$54,383 | \$68,162 | \$34,270 |
| 0884 Judges' Retirement System II Fund ^N | | | |
| BEGINNING BALANCE | \$407,486 | \$575,978 | \$686,948 |
| Prior year adjustments | <u>13,205</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$420,691 | \$575,978 | \$686,948 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 215000 Income From Investments | 91,596 | 44,638 | 55,238 |
| 221000 Contributions From Judges | 18,589 | 18,867 | 18,976 |
| 221000 Contributions From State | 53,863 | 55,283 | 55,602 |

* Dollars in thousands, except in Salary Range.

0390 Contributions to the Judges' Retirement System - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|--|------------------|------------------|------------------|
| 221000 Refunds of Contributions | -5,870 | -3,825 | -3,825 |
| 221000 Contributions From Assignments | - | 200 | 200 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$158,178</u> | <u>\$115,163</u> | <u>\$126,191</u> |
| Total Resources | \$578,869 | \$691,141 | \$813,139 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0390 Contributions to the Judges' Retirement System (Unclassified) | 2,236 | 3,499 | 4,583 |
| 0840 State Controller (State Operations) | - | 1 | 1 |
| 1900 Public Employees' Retirement System (State Operations) | <u>655</u> | <u>693</u> | <u>693</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$2,891</u> | <u>\$4,193</u> | <u>\$5,277</u> |
| FUND BALANCE | \$575,978 | \$686,948 | \$807,862 |

0500 Governor's Office

Article V of the California Constitution vests the supreme executive power in a chief magistrate, who is called the Governor of the State of California. The Office of the Governor is maintained at Sacramento.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 Governor's Office | <u>202.1</u> | <u>185.0</u> | <u>185.0</u> | <u>\$15,268</u> | <u>\$12,660</u> | <u>\$12,660</u> |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 202.1 | 185.0 | 185.0 | \$15,268 | \$12,660 | \$12,660 |
| FUNDING | | | | 2010-11* | 2011-12* | 2012-13* |
| 0001 General Fund | | | | \$12,154 | \$10,456 | \$10,345 |
| 9740 Central Service Cost Recovery Fund | | | | <u>3,114</u> | <u>2,204</u> | <u>2,315</u> |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$15,268 | \$12,660 | \$12,660 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article V.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|--|---------------|--------------|-----------------|---------------|-------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation Adjustments | -\$284 | \$- | - | \$23 | \$- | - |
| • Operational Efficiency Plan | -397 | -24 | - | -397 | -24 | - |
| • Miscellaneous Adjustments | - | - | - | -418 | 111 | - |
| Totals, Other Workload Budget Adjustments | -\$681 | -\$24 | - | -\$792 | \$87 | - |
| Totals, Workload Budget Adjustments | -\$681 | -\$24 | - | -\$792 | \$87 | - |
| Totals, Budget Adjustments | -\$681 | -\$24 | - | -\$792 | \$87 | - |

EXPENDITURES BY CATEGORY

* Dollars in thousands, except in Salary Range.

0500 Governor's Office - Continued

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 202.1 | 185.0 | 185.0 | \$12,572 | \$10,382 | \$10,689 |
| Net Totals, Salaries and Wages | 202.1 | 185.0 | 185.0 | \$12,572 | \$10,382 | \$10,689 |
| Totals, Personal Services | 202.1 | 185.0 | 185.0 | \$12,572 | \$10,382 | \$10,689 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$2,696 | \$2,278 | \$1,971 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$15,268 | \$12,660 | \$12,660 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$16,356 | \$11,137 | \$10,345 |
| Allocation for employee compensation | 27 | 13 | - |
| Adjustment per Section 3.90 | -510 | -297 | - |
| Adjustment per Section 3.91 | -1,267 | - | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -62 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -335 | - |
| 012 Budget Act appropriation (Transfer to the Central Service Cost Recovery Fund) | 1,675 | - | - |
| Totals Available | \$16,281 | \$10,456 | \$10,345 |
| Unexpended balance, estimated savings | -4,127 | - | - |
| TOTALS, EXPENDITURES | \$12,154 | \$10,456 | \$10,345 |
| 9740 Central Service Cost Recovery Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$2,742 | \$2,228 | \$2,315 |
| Allocation for employee compensation | 9 | - | - |
| Adjustment per Section 3.90 | -168 | - | - |
| Adjustment per Section 3.91 | -103 | - | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -12 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -12 | - |
| 002 Budget Act appropriation | 2,800 | - | - |
| Allocation for employee compensation | 9 | - | - |
| Adjustment per Section 3.90 | -168 | - | - |
| Adjustment per Section 3.91 | -103 | - | - |
| Totals Available | \$5,018 | \$2,204 | \$2,315 |
| Unexpended balance, estimated savings | -1,904 | - | - |
| TOTALS, EXPENDITURES | \$3,114 | \$2,204 | \$2,315 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$15,268 | \$12,660 | \$12,660 |

0502 California Technology Agency

The California Technology Agency (Agency) establishes and enforces statewide information technology strategic plans, policies, standards, and enterprise architecture, and oversees information technology projects and public safety emergency communications systems for all state departments.

3-YR EXPENDITURES AND PERSONNEL YEARS

* Dollars in thousands, except in Salary Range.

0502 California Technology Agency - Continued

| | | Personnel Years | | | Expenditures | | |
|--|--|-----------------|----------------|----------------|------------------|------------------|------------------|
| | | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 | California Technology Agency | 666.3 | 756.3 | 759.1 | \$257,952 | \$281,035 | \$320,116 |
| 20 | Project Review and Oversight | 342.7 | 340.2 | 341.5 | 180,832 | 194,639 | 184,595 |
| 30.01 | Administration | 140.7 | 165.3 | 165.9 | 14,044 | 17,454 | 17,712 |
| 30.02 | Distributed Administration | - | - | - | -14,044 | -17,454 | -17,712 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | | 1,149.7 | 1,261.8 | 1,266.5 | \$438,784 | \$475,674 | \$504,711 |
| FUNDING | | | | | 2010-11* | 2011-12* | 2012-13* |
| 0001 | General Fund | | | | \$3,230 | \$3,561 | \$4,156 |
| 0022 | State Emergency Telephone Number Account | | | | 120,017 | 124,928 | 113,013 |
| 0890 | Federal Trust Fund | | | | 502 | 1,931 | 1,931 |
| 0995 | Reimbursements | | | | 4,205 | 3,175 | 3,181 |
| 9730 | Technology Services Revolving Fund | | | | 307,627 | 338,409 | 379,294 |
| 9740 | Central Service Cost Recovery Fund | | | | 3,203 | 3,670 | 3,136 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | | \$438,784 | \$475,674 | \$504,711 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 8592, 11534, 11539, 11541, 11542, 11544, 11545, 11546, 11549, 11550, 14930-14931.1, 15275, 15277, 53100 et seq., 53114-53127. Public Contracts Code Sections 12101, 12105, and 12120.

Revenue and Taxation Code Sections 41030, 41031, 41136, 41137, 41138, 41140 and 41141

MAJOR PROGRAM CHANGES

- The Budget reflects a \$13 million revenue reduction that will result from a planned rate reduction for data center services.
- The Budget includes \$34.1 million for data center workload growth and to replace equipment that has reached the end of its useful life.
- The Budget includes \$2.5 million and six positions to provide software support for the Employment Development Department's identity management system that is integrated into critical information technology systems.

DETAILED BUDGET ADJUSTMENTS

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|--|--------------|------------------|-----------------|--------------|-----------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Workload Budget Change Proposals | | | | | | |
| • Data Center Workload Capacity | \$- | \$- | - | \$- | \$32,245 | - |
| • Support for EDD Identity Management | - | 989 | - | - | 2,508 | 5.7 |
| • Data Center Maintenance and Operation | - | - | - | - | 1,895 | - |
| • Completed Project Expenditure Reduction | - | -8,790 | - | - | -12,472 | - |
| Totals, Workload Budget Change Proposals | \$- | -\$7,801 | - | \$- | \$24,176 | 5.7 |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation Adjustments | \$- | -\$1,258 | - | \$- | \$886 | - |
| • Retirement Rate Adjustment | - | 763 | - | - | 763 | - |
| • Go Biz Adjustment | - | - | - | - | -28 | - |
| • Miscellaneous Adjustments | - | -2,265 | - | - | -7,321 | - |
| Totals, Other Workload Budget Adjustments | \$- | -\$2,760 | - | \$- | -\$5,700 | - |
| Totals, Workload Budget Adjustments | \$- | -\$10,561 | - | \$- | \$18,476 | 5.7 |
| Totals, Budget Adjustments | \$- | -\$10,561 | - | \$- | \$18,476 | 5.7 |

* Dollars in thousands, except in Salary Range.

0502 California Technology Agency - Continued

PROGRAM DESCRIPTIONS

10 - California Technology Agency

The Agency maintains up-to-date policies for information technology activities to ensure the state adopts and uses best practices in information technology management. The Agency maintains a state information technology strategic plan and establishes statewide information technology policies and standards, facilitates the development and implementation of enterprise initiatives, and maintains a governance structure to address issues and concerns that arise as part of technology deployment.

The Agency ensures that project-specific decisions are consistent with the state's policies and direction for information technology development, including project management, oversight, and risk mitigation. The Agency also ensures the coordination and collaboration of enterprise and other multidepartment information technology efforts, as well as standardization of project management processes and performance metrics, to maximize the management of major projects and allow project performance to be assessed uniformly.

Within the Agency, the Office of Information Security (OIS) is the primary state government authority responsible for ensuring the protection of state information, as well as the confidentiality, integrity, and availability of state systems and applications. OIS represents the State to federal, state, and local government entities, higher education, private industry, and others on security-related matters.

The Office of Technology Services (OTech) provides information technology services to state, federal, and local government entities throughout California. Through the use of a scalable, reliable and secure statewide network, combined with voice and data technologies, OTech delivers computing, networking, electronic messaging and training solutions.

20 - The Public Safety and Communications Division provides leadership, oversight and the delivery of communications services and systems used to meet the State's public safety needs.

DETAILED EXPENDITURES BY PROGRAM

| | | 2010-11* | 2011-12* | 2012-13* |
|-----------------------------|--|------------------|------------------|------------------|
| PROGRAM REQUIREMENTS | | | | |
| 10 | CALIFORNIA TECHNOLOGY AGENCY | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$3,230 | \$3,561 | \$4,156 |
| 0995 | Reimbursements | 4,205 | 3,175 | 3,181 |
| 9730 | Technology Services Revolving Fund | 247,314 | 270,629 | 309,643 |
| 9740 | Central Service Cost Recovery Fund | <u>3,203</u> | <u>3,670</u> | <u>3,136</u> |
| | Totals, State Operations | \$257,952 | \$281,035 | \$320,116 |
| PROGRAM REQUIREMENTS | | | | |
| 20 | PROJECT REVIEW AND OVERSIGHT | | | |
| | State Operations: | | | |
| 0022 | State Emergency Telephone Number Account | \$1,615 | \$2,324 | \$2,394 |
| 9730 | Technology Services Revolving Fund | <u>60,313</u> | <u>67,780</u> | <u>69,651</u> |
| | Totals, State Operations | \$61,928 | \$70,104 | \$72,045 |
| | Local Assistance: | | | |
| 0022 | State Emergency Telephone Number Account | \$118,402 | \$122,604 | \$110,619 |
| 0890 | Federal Trust Fund | <u>502</u> | <u>1,931</u> | <u>1,931</u> |
| | Totals, Local Assistance | \$118,904 | \$124,535 | \$112,550 |
| | TOTALS, EXPENDITURES | | | |
| | State Operations | 319,880 | 351,139 | 392,161 |
| | Local Assistance | <u>118,904</u> | <u>124,535</u> | <u>112,550</u> |
| | Totals, Expenditures | \$438,784 | \$475,674 | \$504,711 |

EXPENDITURES BY CATEGORY

* Dollars in thousands, except in Salary Range.

0502 California Technology Agency - Continued

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|--|---------------------------|----------------|----------------|------------------|------------------|------------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 1,149.7 | 1,328.2 | 1,327.2 | \$82,477 | \$97,391 | \$100,256 |
| Total Adjustments | - | - | 6.0 | - | -173 | 279 |
| Estimated Salary Savings | - | -66.4 | -66.7 | - | -4,861 | -5,027 |
| Net Totals, Salaries and Wages | 1,149.7 | 1,261.8 | 1,266.5 | \$82,477 | \$92,357 | \$95,508 |
| Staff Benefits | - | - | - | 31,038 | 33,341 | 34,478 |
| Totals, Personal Services | 1,149.7 | 1,261.8 | 1,266.5 | \$113,515 | \$125,698 | \$129,986 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$206,365 | \$225,441 | \$262,175 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS | | | | \$319,880 | \$351,139 | \$392,161 |
| (State Operations) | | | | | | |

| 2 Local Assistance | Expenditures | | |
|---|------------------|------------------|------------------|
| | 2010-11* | 2011-12* | 2012-13* |
| Grants and Subventions | \$118,904 | \$124,535 | \$112,550 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$118,904 | \$124,535 | \$112,550 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|----------------|----------------|----------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$4,094 | \$3,694 | \$4,156 |
| Allocation for employee compensation | 10 | 9 | - |
| Adjustment per Section 3.60 | 54 | - | - |
| Adjustment per Section 3.90 | -206 | -22 | - |
| Adjustment per Section 3.90(b) | -60 | - | - |
| Adjustment per Section 3.91 | -121 | - | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -9 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -111 | - |
| Adjustment per Section 15.30 | -421 | - | - |
| Totals Available | \$3,350 | \$3,561 | \$4,156 |
| Unexpended balance, estimated savings | -120 | - | - |
| TOTALS, EXPENDITURES | \$3,230 | \$3,561 | \$4,156 |
| 0022 State Emergency Telephone Number Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$2,360 | \$2,322 | \$2,394 |
| Allocation for employee compensation | 7 | 18 | - |
| Adjustment per Section 3.60 | 35 | 5 | - |
| Adjustment per Section 3.90 | -72 | -21 | - |
| Adjustment per Section 3.91 | -79 | - | - |
| 012 Budget Act Appropriation (Loan to the General Fund) as added by Chapter 13, Statutes of 2011 | (28,000) | - | - |
| Totals Available | \$2,251 | \$2,324 | \$2,394 |
| Unexpended balance, estimated savings | -636 | - | - |
| TOTALS, EXPENDITURES | \$1,615 | \$2,324 | \$2,394 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |

* Dollars in thousands, except in Salary Range.

0502 California Technology Agency - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|------------------|------------------|------------------|
| Reimbursements | \$4,205 | \$3,175 | \$3,181 |
| 9730 Technology Services Revolving Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$344,935 | \$348,812 | \$379,294 |
| Allocation for employee compensation | 470 | 443 | - |
| Adjustment per Section 3.60 | 2,397 | 757 | - |
| Adjustment per Section 3.90 | -4,975 | -1,666 | - |
| Adjustment per Section 3.91 | -5,402 | - | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -153 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -1,983 | - |
| Adjustment per Provision 1 | - | 989 | - |
| Chapter 31, Statutes of 2011 | 1 | - | - |
| Totals Available | \$337,426 | \$347,199 | \$379,294 |
| Unexpended balance, estimated savings | -29,799 | -8,790 | - |
| TOTALS, EXPENDITURES | \$307,627 | \$338,409 | \$379,294 |
| 9740 Central Service Cost Recovery Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$3,555 | \$3,693 | \$3,136 |
| Allocation for employee compensation | 9 | 9 | - |
| Adjustment per Section 3.60 | 45 | - | - |
| Adjustment per Section 3.90 | -94 | -23 | - |
| Adjustment per Section 3.91 | -102 | - | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -9 | - |
| Totals Available | \$3,413 | \$3,670 | \$3,136 |
| Unexpended balance, estimated savings | -210 | - | - |
| TOTALS, EXPENDITURES | \$3,203 | \$3,670 | \$3,136 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$319,880 | \$351,139 | \$392,161 |
| 2 LOCAL ASSISTANCE | 2010-11* | 2011-12* | 2012-13* |
| 0022 State Emergency Telephone Number Account | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$122,604 | \$122,604 | \$110,619 |
| Totals Available | \$122,604 | \$122,604 | \$110,619 |
| Unexpended balance, estimated savings | -4,202 | - | - |
| TOTALS, EXPENDITURES | \$118,402 | \$122,604 | \$110,619 |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$1,932 | \$1,931 | \$1,931 |
| Budget Adjustment | -1,430 | - | - |
| TOTALS, EXPENDITURES | \$502 | \$1,931 | \$1,931 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$118,904 | \$124,535 | \$112,550 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | \$438,784 | \$475,674 | \$504,711 |

FUND CONDITION STATEMENTS

| | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| 0022 State Emergency Telephone Number Account ^s | | | |
| BEGINNING BALANCE | \$120,519 | \$61,125 | \$15,272 |
| Prior year adjustments | 5,886 | - | - |
| Adjusted Beginning Balance | \$126,405 | \$61,125 | \$15,272 |

* Dollars in thousands, except in Salary Range.

0502 California Technology Agency - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|--|------------------|------------------|------------------|
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 141100 Emergency Telephone Users Surcharge | 86,500 | 83,800 | 81,200 |
| Transfers and Other Adjustments: | | | |
| FO0001 From General Fund loan repayment per Item 0502-012-0022, Budget Act of 2010 | - | - | 28,000 |
| TO0001 To General Fund Loan per Item 0502-012-0022, Budget Act of 2010 as added by Chapter 13/2011 | -28,000 | - | - |
| Total Revenues, Transfers, and Other Adjustments | <u>\$58,500</u> | <u>\$83,800</u> | <u>\$109,200</u> |
| Total Resources | \$184,905 | \$144,925 | \$124,472 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0502 California Technology Agency | | | |
| State Operations | 1,615 | 2,324 | 2,394 |
| Local Assistance | 118,402 | 122,604 | 110,619 |
| 0840 State Controller (State Operations) | 51 | 165 | 42 |
| 0860 State Board of Equalization (State Operations) | 1,041 | 1,551 | 1,531 |
| 3540 Department of Forestry and Fire Protection (State Operations) | 2,668 | 3,009 | 8,886 |
| 8880 Financial Information System for California (State Operations) | 3 | - | - |
| Total Expenditures and Expenditure Adjustments | <u>\$123,780</u> | <u>\$129,653</u> | <u>\$123,472</u> |
| FUND BALANCE | \$61,125 | \$15,272 | \$1,000 |
| Reserve for economic uncertainties | 61,125 | 15,272 | 1,000 |
| 9730 Technology Services Revolving Fund ^N | | | |
| BEGINNING BALANCE | \$66,259 | \$84,417 | \$42,571 |
| Prior year adjustments | -2,481 | - | - |
| Adjusted Beginning Balance | \$63,778 | \$84,417 | \$42,571 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 299000 Other | | | |
| Income from Office of Technology Operations | 258,281 | 227,617 | 278,336 |
| Income from PSCD Operations | 70,329 | 70,104 | 72,045 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$328,610</u> | <u>\$297,721</u> | <u>\$350,381</u> |
| Total Resources | \$392,388 | \$382,138 | \$392,952 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0502 California Technology Agency (State Operations) | 307,627 | 338,409 | 379,294 |
| 0840 State Controller (State Operations) | 198 | 129 | 193 |
| 8880 Financial Information System for California (State Operations) | 146 | 1,029 | 272 |
| Total Expenditures and Expenditure Adjustments | <u>\$307,971</u> | <u>\$339,567</u> | <u>\$379,759</u> |
| FUND BALANCE | \$84,417 | \$42,571 | \$13,193 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|---|----------------------------------|---------|---------|---------------------|----------|-----------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Totals, Authorized Positions | 1,149.7 | 1,328.2 | 1,327.2 | \$82,477 | \$97,391 | \$100,256 |
| Workload and Administrative Adjustments: | | | | Salary Range | | |
| Shared Services Adjustments | | | | | | |
| Office of Technology Services Division | | | | | | |
| Operations, Chief & Support Staff: | | | | | | |
| Sr Info Systems Analyst-Spec | - | 0.5 | 1.0 | 5,571-7,109 | 33 | 70 |
| Staff Info Systems Analyst-Spec | - | 0.5 | 1.0 | 5,065-6,466 | 30 | 64 |

* Dollars in thousands, except in Salary Range.

0502 California Technology Agency - Continued

| | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|---------|---------|--------------|----------|-----------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Asst Info Systems Analyst | - | 0.5 | 1.0 | 3,106-4,903 | 19 | 39 |
| Raised Floor Operations Branch: | | | | | | |
| Sr Info Systems Analyst-Spec | - | -0.5 | -1.0 | 5,571-7,109 | -33 | -70 |
| Staff Info Systems Analyst-Spec | - | -0.5 | -1.0 | 5,065-6,466 | -30 | -64 |
| Infrastructure Services Branch: | | | | | | |
| Systems Software Spec II-Tech | - | 0.5 | 1.0 | 5,561-7,097 | 33 | 70 |
| Systems Software Spec I-Tech | - | 0.5 | 1.0 | 5,064-6,465 | 30 | 64 |
| Software Services Branch: | | | | | | |
| Systems Software Spec II-Tech | - | -0.5 | -1.0 | 5,561-7,097 | -33 | -70 |
| Systems Software Spec I-Tech | - | -0.5 | -1.0 | 5,064-6,465 | -30 | -64 |
| Asst Info Systems Analyst | - | -0.5 | -1.0 | 3,106-4,903 | -19 | -39 |
| Network Engineering Branch: | | | | | | |
| Systems Software Spec II-Tech | - | 0.5 | 1.0 | 5,561-7,097 | 33 | 70 |
| Systems Software Spec I-Tech | - | 0.5 | 1.0 | 5,064-6,465 | 30 | 64 |
| Telecommunication and Network Contract: | | | | | | |
| Systems Software Spec II-Tech | - | -0.5 | -1.0 | 5,561-7,097 | -33 | -70 |
| Systems Software Spec I-Tech | - | -0.5 | -1.0 | 5,064-6,465 | -30 | -64 |
| Workforce Cap Adjustments | | | | | | |
| State Chief Information Officer | | | | | | |
| Chief of Staff: | | | | | | |
| Assoc Govtl Prog Analyst | - | -1.0 | -1.0 | 4,400-5,348 | -53 | -55 |
| Staff Services Analyst-Gen | - | 1.0 | 1.0 | 2,817-4,446 | 34 | 35 |
| Policy and Program Management | | | | | | |
| Facility and Administrative Services Branch: | | | | | | |
| Staff Services Mgr II-Supvry | - | -1.0 | -1.0 | 5,576-6,727 | -67 | -70 |
| Staff Services Analyst-Gen | - | 1.0 | 1.0 | 2,817-4,446 | 34 | 35 |
| Human Resources Branch: | | | | | | |
| Assoc Govtl Prog Analyst | - | -1.0 | -1.0 | 4,400-5,348 | -53 | -55 |
| Staff Services Analyst-Gen | - | 1.0 | 1.0 | 2,817-4,446 | 34 | 35 |
| Business Systems and Analysis Branch: | | | | | | |
| Sr Info Systems Analyst-Spec | - | -1.0 | -1.0 | 5,571-7,109 | -66 | -70 |
| Asst Info Systems Analyst | - | 1.0 | 1.0 | 3,106-4,903 | 37 | 39 |
| Public Safety Communications Division | | | | | | |
| Engineering Services: | | | | | | |
| Supvng Telecomm Engr | - | -1.0 | -1.0 | 8,492-10,324 | -102 | -107 |
| C.E.A. II | - | 1.0 | 1.0 | 7,815-8,616 | 94 | 98 |
| Sr Telecomm Engr | - | -2.0 | -2.0 | 7,726-9,389 | -185 | -195 |
| Assoc Telecomm Engr | - | 1.0 | 1.0 | 6,897-8,379 | 83 | 87 |
| Asst Info Systems Analyst | - | 1.0 | 1.0 | 3,106-4,903 | 37 | 39 |
| Totals, Workload & Admin Adjustments | - | - | - | \$- | -\$173 | -\$184 |
| Proposed New Positions: | | | | | | |
| Office of Technology Services Division: | | | | | | |
| Software Services Branch: | | | | | | |
| Systems Software Spec III-Tech | - | - | 1.0 | 6,110-7,796 | - | 83 |
| Systems Software Spec II-Tech | - | - | 5.0 | 5,561-7,097 | - | 380 |
| Totals, Proposed New Positions | - | - | 6.0 | \$- | \$- | \$463 |
| Total Adjustments | - | - | 6.0 | \$- | -\$173 | \$279 |
| TOTALS, SALARIES AND WAGES | 1,149.7 | 1,328.2 | 1,333.2 | \$82,477 | \$97,218 | \$100,535 |

* Dollars in thousands, except in Salary Range.

0509 Governor's Office of Business and Economic Development (GO-Biz)

The Governor's Office of Business and Economic Development (GO-Biz) provides a single point of contact for economic development, business assistance and job creation efforts. The GO-Biz works with companies and organizations across the state to market the benefits of doing business in California, recruit new businesses, and support private sector job growth. The GO-Biz serves as the Governor's lead entity for economic strategy and the marketing of California on issues relating to business development, private sector investment, and economic growth.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | | Personnel Years | | | Expenditures | | |
|--|---|-----------------|---------|---------|-----------------|-----------------|-----------------|
| | | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 | GO-Biz | - | - | 12.3 | \$- | \$- | \$1,947 |
| 20 | California Business Investment Services | - | - | 11.4 | - | - | 1,642 |
| 30 | Office of the Small Business Advocate | - | - | 2.9 | - | - | 465 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | | - | - | 26.6 | \$- | \$- | \$4,054 |
| FUNDING | | | | | 2010-11* | 2011-12* | 2012-13* |
| 0001 | General Fund | | | | \$- | \$- | \$4,054 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | | \$- | \$- | \$4,054 |

LEGAL CITATIONS AND AUTHORITY

Government Code Sections 12096.1-12098.5.

DETAILED BUDGET ADJUSTMENTS

| | | 2011-12* | | | 2012-13* | | |
|---|---|--------------|-------------|-----------------|----------------|-------------|-----------------|
| | | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | | |
| Workload Budget Change Proposals | | | | | | | |
| • | Chaptered Legislation: Governor's Office of Business and Economic Development (Chapter 475, Statutes of 2011) | \$- | \$- | - | \$4,054 | \$- | 26.6 |
| Totals, Workload Budget Change Proposals | | \$- | \$- | - | \$4,054 | \$- | 26.6 |
| Totals, Workload Budget Adjustments | | \$- | \$- | - | \$4,054 | \$- | 26.6 |
| Totals, Budget Adjustments | | \$- | \$- | - | \$4,054 | \$- | 26.6 |

PROGRAM DESCRIPTIONS

10 - GO-Biz

The GO-Biz serves as the Governor's lead entity for economic strategy and the marketing of California on issues relating to business development, private sector investment, and economic growth. This program makes recommendations to the Governor and the Legislature regarding policies, programs, and actions to advance statewide economic goals.

20 - CALIFORNIA BUSINESS INVESTMENT SERVICES

This program serves employers, corporate executives, business owners, and site location consultants who are considering California for business investment and expansion. This program convenes teams on key business development issues. This program works with local and federal partners as well as marketing and trade organizations to attract, retain, and help businesses succeed in California.

30 - OFFICE OF THE SMALL BUSINESS ADVOCATE

The Director of the Office of the Small Business Advocate (OSBA) serves as the principal advocate in the state on behalf of small businesses, including legislation and administrative regulations that affect small business. The OSBA is responsible for disseminating information about programs and services provided by the state that benefit small businesses, and how small businesses can participate in these programs and services. The OSBA responds to complaints from small businesses concerning the actions of state agencies and state laws and regulations adversely affecting those businesses. The OSBA maintains and distributes an annual list of persons serving as small business ombudsmen throughout state government.

* Dollars in thousands, except in Salary Range.

0509 Governor's Office of Business and Economic Development (GO-Biz) - Continued

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|---------|-------------|--------------|------------|----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | - | - | - | \$- | \$- | \$- |
| Total Adjustments | - | - | 28.0 | - | - | 2,218 |
| Estimated Salary Savings | - | - | -1.4 | - | - | -111 |
| Net Totals, Salaries and Wages | - | - | 26.6 | \$- | \$- | \$2,107 |
| Staff Benefits | - | - | - | - | - | 828 |
| Totals, Personal Services | - | - | 26.6 | \$- | \$- | \$2,935 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$- | \$- | \$1,119 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$- | \$- | \$4,054 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|------------|------------|----------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$4,054 |
| TOTALS, EXPENDITURES | \$- | \$- | \$4,054 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$- | \$- | \$4,054 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|---------|-------------|---------------------|------------|----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Totals, Authorized Positions | - | - | - | \$- | \$- | \$- |
| Workload and Administrative Adjustments: | | | | Salary Range | | |
| Proposed New Positions: | | | | | | |
| Various | - | - | 28.0 | - | - | 2,218 |
| Totals, Proposed New Positions | - | - | 28.0 | \$- | \$- | \$2,218 |
| Total Adjustments | - | - | 28.0 | \$- | \$- | \$2,218 |
| TOTALS, SALARIES AND WAGES | - | - | 28.0 | \$- | \$- | \$2,218 |

0510 Secretary for State and Consumer Services

The State and Consumer Services Agency oversees the departments of Consumer Affairs, Fair Employment and Housing, and General Services. The Agency also oversees the California Science Center, the California African American Museum, the Seismic Safety Commission, the Fair Employment and Housing Commission, the Franchise Tax Board, the California Building Standards Commission, the State Personnel Board, the California Public Employees' Retirement System, the California State Teachers' Retirement System, the Victim Compensation and Government Claims Board, and the Office of Privacy Protection.

The entities under the State and Consumer Services Agency are responsible for civil rights enforcement, consumer protection and the licensing of 2.7 million Californians in more than 250 different professions. Agency entities provide oversight and guidance for the procurement of more than \$7.9 billion worth of goods and services; the management and development of state real estate; operation oversight of two state employee pension funds; collection of state taxes; hiring of state employees; adoption of state building standards; and the administration of two state museums. In addition, the Secretary for State and Consumer Services Agency is the Chair of the California Building Standards Commission and the Victim Compensation and Government Claims Board, and provides oversight of the Office of Privacy Protection.

* Dollars in thousands, except in Salary Range.

0510 Secretary for State and Consumer Services - Continued

3-YR EXPENDITURES AND PERSONNEL YEARS

| | | Personnel Years | | | Expenditures | | |
|--|--|-----------------|-------------|------------|-----------------|-----------------|-----------------|
| | | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 | State and Consumer Services Agency Oversight | 7.9 | 6.8 | 4.8 | \$1,582 | \$1,350 | \$1,094 |
| 20 | Office of Privacy Protection | 6.2 | 3.3 | - | 724 | 398 | - |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | | 14.1 | 10.1 | 4.8 | \$2,306 | \$1,748 | \$1,094 |
| FUNDING | | | | | 2010-11* | 2011-12* | 2012-13* |
| 0001 | General Fund | | | | \$1,008 | \$233 | \$- |
| 0995 | Reimbursements | | | | 671 | 1,334 | 1,094 |
| 9740 | Central Service Cost Recovery Fund | | | | 627 | 181 | - |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | | \$2,306 | \$1,748 | \$1,094 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 11549, 11550, 12800, 12801, and 12804.

MAJOR PROGRAM CHANGES

- Eliminate the Office of Privacy Protection - The Budget includes a decrease of \$436,000 (\$246,000 General Fund) and 3.4 positions as a result of the elimination of the Office of Privacy Protection within the State and Consumer Services Agency.

DETAILED BUDGET ADJUSTMENTS

| | | 2011-12* | | | 2012-13* | | |
|--|--|---------------|--------------|-----------------|---------------|---------------|-----------------|
| | | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | | |
| Other Workload Budget Adjustments | | | | | | | |
| • | Employee Compensation Adjustments | -\$9 | -\$12 | - | \$3 | \$2 | - |
| • | Retirement Rate Adjustment | 6 | 8 | - | 6 | 8 | - |
| • | Operational Efficiency Plan | -557 | 276 | -1.9 | -556 | 31 | -3.8 |
| Totals, Other Workload Budget Adjustments | | -\$560 | \$272 | -1.9 | -\$547 | \$41 | -3.8 |
| Totals, Workload Budget Adjustments | | -\$560 | \$272 | -1.9 | -\$547 | \$41 | -3.8 |
| Policy Adjustments | | | | | | | |
| • | Eliminate the Office of Privacy Protection | \$- | \$- | - | -\$246 | -\$190 | -3.4 |
| Totals, Policy Adjustments | | \$- | \$- | - | -\$246 | -\$190 | -3.4 |
| Totals, Budget Adjustments | | -\$560 | \$272 | -1.9 | -\$793 | -\$149 | -7.2 |

PROGRAM DESCRIPTIONS

10 - STATE AND CONSUMER SERVICES AGENCY OVERSIGHT

The Secretary, a member of the Governor's Cabinet, advises the Governor on major policy and program matters and oversees the Agency's departments.

20 - OFFICE OF PRIVACY PROTECTION

The Office of Privacy Protection provides information and assistance to consumers on identity theft and other privacy issues and recommends policies and practices that protect individual privacy rights to business and government. The Governor's Budget includes elimination of this program in fiscal year 2012-13.

* Dollars in thousands, except in Salary Range.

0510 Secretary for State and Consumer Services - Continued**DETAILED EXPENDITURES BY PROGRAM**

| | | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
|-----------|---|-----------------|-----------------|-----------------|
| | PROGRAM REQUIREMENTS | | | |
| 10 | STATE AND CONSUMER SERVICES AGENCY | | | |
| | OVERSIGHT | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$599 | \$9 | \$- |
| 0995 | Reimbursements | 616 | 1,334 | 1,094 |
| 9740 | Central Service Cost Recovery Fund | <u>367</u> | <u>7</u> | <u>-</u> |
| | Totals, State Operations | \$1,582 | \$1,350 | \$1,094 |
| | PROGRAM REQUIREMENTS | | | |
| 20 | OFFICE OF PRIVACY PROTECTION | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$415 | \$224 | \$- |
| 0995 | Reimbursements | 55 | - | - |
| 9740 | Central Service Cost Recovery Fund | <u>254</u> | <u>174</u> | <u>-</u> |
| | Totals, State Operations | \$724 | \$398 | \$- |
| | TOTALS, EXPENDITURES | | | |
| | State Operations | <u>2,306</u> | <u>1,748</u> | <u>1,094</u> |
| | Totals, Expenditures | \$2,306 | \$1,748 | \$1,094 |

EXPENDITURES BY CATEGORY

| | 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|--|---------------------------------------|----------------------------------|----------------|----------------|---------------------|-----------------|-----------------|
| | | <u>2010-11</u> | <u>2011-12</u> | <u>2012-13</u> | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
| PERSONAL SERVICES | | | | | | | |
| | Authorized Positions (Equals Sch. 7A) | 14.1 | 16.1 | 16.1 | \$1,149 | \$1,294 | \$1,321 |
| | Total Adjustments | - | -5.5 | -11.0 | - | -341 | -769 |
| | Estimated Salary Savings | <u>-</u> | <u>-0.5</u> | <u>-0.3</u> | <u>-</u> | <u>-48</u> | <u>-28</u> |
| | Net Totals, Salaries and Wages | 14.1 | 10.1 | 4.8 | \$1,149 | \$905 | \$524 |
| | Staff Benefits | <u>-</u> | <u>-</u> | <u>-</u> | <u>416</u> | <u>305</u> | <u>177</u> |
| | Totals, Personal Services | 14.1 | 10.1 | 4.8 | \$1,565 | \$1,210 | \$701 |
| OPERATING EXPENSES AND EQUIPMENT | | | | | | | |
| | | | | | <u>\$741</u> | <u>\$538</u> | <u>\$393</u> |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS | | | | | \$2,306 | \$1,748 | \$1,094 |
| (State Operations) | | | | | | | |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | | 2010-11* | 2011-12* | 2012-13* |
|---------------------------|---|-----------------|-----------------|-----------------|
| | 0001 General Fund | | | |
| APPROPRIATIONS | | | | |
| | 001 Budget Act appropriation | \$1,157 | \$793 | 0 |
| | Allocation for employee compensation | 3 | 1 | \$- |
| | Adjustment per Section 3.60 | 25 | 6 | - |
| | Adjustment per Section 3.90 | -47 | -10 | - |
| | Adjustment per Section 3.90(b) | -11 | - | - |
| | Adjustment per Section 3.91 | -76 | - | - |
| | Adjustment per Section 3.91 (a) | - | -548 | - |
| | Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -1 | - |

* Dollars in thousands, except in Salary Range.

0510 Secretary for State and Consumer Services - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| Adjustment per Section 3.91 (b) Rental Rate Reductions | - | -1 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -7 | - |
| Adjustment per Section 4.30 | 7 | - | - |
| Adjustment per Section 15.30 | <u>-20</u> | <u>-</u> | <u>-</u> |
| Totals Available | \$1,038 | \$233 | \$- |
| Unexpended balance, estimated savings | <u>-30</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$1,008 | \$233 | \$- |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$671 | \$1,334 | \$1,094 |
| 9740 Central Service Cost Recovery Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$705 | \$601 | \$- |
| Allocation for employee compensation | 2 | 1 | - |
| Adjustment per Section 3.60 | 15 | 5 | - |
| Adjustment per Section 3.90 | -40 | -8 | - |
| Adjustment per Section 3.91 | -48 | - | - |
| Adjustment per Section 3.91 (a) | - | -417 | - |
| Adjustment per Section 3.91 (b) Rental Rate Reductions | - | -1 | - |
| Adjustment per Section 4.30 | <u>7</u> | <u>-</u> | <u>-</u> |
| Totals Available | \$641 | \$181 | \$- |
| Unexpended balance, estimated savings | <u>-14</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$627 | \$181 | \$- |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$2,306 | \$1,748 | \$1,094 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|---|----------------------------------|----------------|----------------|---------------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Totals, Authorized Positions | 14.1 | 16.1 | 16.1 | \$1,149 | \$1,294 | \$1,321 |
| Workload and Administrative Adjustments: | | | | Salary Range | | |
| Reductions in authorized Positions: | | | | | | |
| State & Consumer Services Agency Oversight: | | | | | | |
| Gen Counsel-Dep Secty | - | - | -1.0 | 10,572-11,433 | - | -130 |
| Director | - | -1.0 | -1.0 | 6,784-8,237 | -90 | -91 |
| Office Technician (Typing) | - | -1.0 | -2.0 | 2,686-3,264 | -39 | -78 |
| Office of Privacy Protection: | | | | | | |
| CEA II | - | - | -1.0 | 7,815-8,616 | - | -99 |
| Staff Services Manager I | - | -1.0 | -1.0 | 5,079-6,127 | -72 | -74 |
| Assoc Govtl Prog Analyst | - | -2.5 | -3.0 | 4,400-5,348 | -140 | -180 |
| Information Officer I-Spec | - | - | -1.0 | 4,400-5,348 | - | -64 |
| Staff Services Analyst | <u>-</u> | <u>-</u> | <u>-1.0</u> | <u>2,817-4,446</u> | <u>-</u> | <u>-53</u> |
| Totals, Workload & Admin Adjustments | - | -5.5 | -11.0 | \$- | -\$341 | -\$769 |
| Total Adjustments | - | -5.5 | -11.0 | \$- | -\$341 | -\$769 |
| TOTALS, SALARIES AND WAGES | 14.1 | 10.6 | 5.1 | \$1,149 | \$953 | \$552 |

* Dollars in thousands, except in Salary Range.

0520 Secretary for Business, Transportation and Housing

The mission of the Secretary for Business, Transportation and Housing (BTH) is to oversee and coordinate the activities of 12 departments and several important economic development programs and commissions. These entities improve California's place in the global marketplace by leveraging the state's advantages to promote job and business growth, improving transportation mobility, and increasing affordable housing opportunities while promoting environmental quality and safe communities.

Departments in the BTH Agency include:

- California Housing Finance Agency
- Department of Alcoholic Beverage Control
- Department of the California Highway Patrol
- Department of Corporations
- Department of Financial Institutions
- Department of Housing and Community Development
- Department of Motor Vehicles
- Department of Real Estate
- Department of Transportation
- Office of Real Estate Appraisers
- Office of Traffic Safety
- Board of Pilot Commissioners for the Bays of San Francisco, San Pablo and Suisun

Additionally, economic development programs include:

- California Film Commission
- California Travel and Tourism Commission
- Infrastructure and Economic Development Bank
- International Trade Promotion
- Small Business Loan Guarantee Program

3-YR EXPENDITURES AND PERSONNEL YEARS

| | | Personnel Years | | | Expenditures | | |
|--|---|-----------------|-------------|-------------|-----------------|-----------------|-----------------|
| | | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 | Administration of Business, Transportation and Housing Agency | 20.1 | 22.0 | 22.0 | \$3,149 | \$3,504 | \$3,405 |
| 25 | Infrastructure Finance and Economic Development Program | 38.1 | 41.0 | 40.0 | 37,202 | 12,993 | 12,216 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | | 58.2 | 63.0 | 62.0 | \$40,351 | \$16,497 | \$15,621 |
| FUNDING | | | | | 2010-11* | 2011-12* | 2012-13* |
| 0001 | General Fund | | | | \$10,382 | \$2,485 | \$2,499 |
| 0044 | Motor Vehicle Account, State Transportation Fund | | | | 1,265 | 1,548 | 1,424 |
| 0649 | California Infrastructure and Economic Development Bank Fund | | | | 20,347 | 6,051 | 5,931 |
| 0890 | Federal Trust Fund | | | | 27,823 | 27,823 | - |
| 0918 | Small Business Expansion Fund | | | | -22,171 | -25,026 | 2,120 |
| 0995 | Reimbursements | | | | 2,625 | 3,499 | 3,531 |
| 3083 | Welcome Center Fund | | | | 77 | 107 | 106 |
| 3095 | Film Promotion and Marketing Fund | | | | 3 | 10 | 10 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | | \$40,351 | \$16,497 | \$15,621 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

DETAILED BUDGET ADJUSTMENTS

| | | 2011-12* | | | 2012-13* | | |
|--|---|--------------|-------------|-----------------|--------------|-------------|-----------------|
| | | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | | |
| Other Workload Budget Adjustments | | | | | | | |
| • | FDC Payments supporting federal program | \$- | \$828 | - | \$- | \$125 | - |

* Dollars in thousands, except in Salary Range.

0520 Secretary for Business, Transportation and Housing - Continued

| | 2011-12* | | | 2012-13* | | |
|--|---------------|--------------|-----------------|---------------|---------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| • Retirement Rate Adjustment | 4 | 52 | - | 4 | 52 | - |
| • Employee Compensation Adjustments | -11 | -70 | - | 3 | 20 | - |
| • GoBiz Reduction | - | - | - | - | -84 | -0.9 |
| • Miscellaneous Adjustments | -803 | -78 | - | -803 | -271 | - |
| Totals, Other Workload Budget Adjustments | -\$810 | \$732 | - | -\$796 | -\$158 | -0.9 |
| Totals, Workload Budget Adjustments | -\$810 | \$732 | - | -\$796 | -\$158 | -0.9 |
| Totals, Budget Adjustments | -\$810 | \$732 | - | -\$796 | -\$158 | -0.9 |

PROGRAM DESCRIPTIONS**10 - ADMINISTRATION OF BUSINESS, TRANSPORTATION AND HOUSING AGENCY**

The objective of this program is two-fold. The Secretary, a member of the Governor's Cabinet, advises the Governor on major policy and program matters and oversees the operations of the Agency's departments and programs. The Administration Program also provides support services for the Agency.

25 - INFRASTRUCTURE FINANCE AND ECONOMIC DEVELOPMENT

The Infrastructure Finance and Economic Development Program's objective is to encourage and promote economic activity and investment within the state through various infrastructure, small business finance, and economic development programs. The Agency serves as a catalyst to help all sectors of the California economy succeed, including technology, tourism, entertainment, and small business. This program also includes the California Infrastructure and Economic Development Bank, which finances public infrastructure and private development that promote economic growth, revitalize communities and enhance the quality of life for Californians.

DETAILED EXPENDITURES BY PROGRAM

| | | 2010-11* | 2011-12* | 2012-13* |
|-----------------------------|--|-----------------|-----------------|-----------------|
| PROGRAM REQUIREMENTS | | | | |
| 10 | ADMINISTRATION OF BUSINESS, TRANSPORTATION AND HOUSING AGENCY | | | |
| | State Operations: | | | |
| 0044 | Motor Vehicle Account, State Transportation Fund | \$1,265 | \$1,548 | \$1,424 |
| 0995 | Reimbursements | 1,884 | 1,956 | 1,981 |
| | Totals, State Operations | \$3,149 | \$3,504 | \$3,405 |
| PROGRAM REQUIREMENTS | | | | |
| 25 | INFRASTRUCTURE FINANCE AND ECONOMIC DEVELOPMENT PROGRAM | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$10,382 | \$2,485 | \$2,499 |
| 0649 | California Infrastructure and Economic Development Bank Fund | 3,116 | 3,874 | 3,754 |
| 0890 | Federal Trust Fund | 27,823 | 27,823 | - |
| 0918 | Small Business Expansion Fund | -22,171 | -25,026 | 2,120 |
| 0995 | Reimbursements | 741 | 1,543 | 1,550 |
| 3083 | Welcome Center Fund | 77 | 107 | 106 |
| 3095 | Film Promotion and Marketing Fund | 3 | 10 | 10 |
| | Totals, State Operations | \$19,971 | \$10,816 | \$10,039 |
| | Local Assistance: | | | |
| 0649 | California Infrastructure and Economic Development Bank Fund | \$17,231 | \$2,177 | \$2,177 |
| | Totals, Local Assistance | \$17,231 | \$2,177 | \$2,177 |
| ELEMENT REQUIREMENTS | | | | |

* Dollars in thousands, except in Salary Range.

0520 Secretary for Business, Transportation and Housing - Continued

| | | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
|--|--|-----------------|-----------------|-----------------|
| 25.10 California Film Commission | | \$1,199 | \$1,314 | \$1,324 |
| State Operations: | | | | |
| 0001 General Fund | | 1,196 | 1,304 | 1,314 |
| 3095 Film Promotion and Marketing Fund | | 3 | 10 | 10 |
| 25.30 Tourism | | \$1,548 | \$1,051 | \$1,051 |
| State Operations: | | | | |
| 0001 General Fund | | 934 | 200 | 200 |
| 0995 Reimbursements | | 614 | 851 | 851 |
| 25.40 California Infrastructure and Economic Development Bank | | \$20,347 | \$6,257 | \$6,143 |
| State Operations: | | | | |
| 0649 California Infrastructure and Economic Development Bank Fund | | 3,116 | 3,874 | 3,754 |
| 0995 Reimbursements | | - | 206 | 212 |
| Local Assistance: | | | | |
| 0649 California Infrastructure and Economic Development Bank Fund | | 17,231 | 2,177 | 2,177 |
| 25.50 Small Business Expansion | | \$14,020 | \$4,234 | \$3,562 |
| State Operations: | | | | |
| 0001 General Fund | | 8,241 | 951 | 955 |
| 0918 Small Business Expansion Fund | | -22,171 | -25,026 | 2,120 |
| 0890 Federal Trust Fund | | 27,823 | 27,823 | - |
| 0995 Reimbursements | | 127 | 486 | 487 |
| 25.70 Technology, Trade, and Commerce Agency Closure Costs | | \$11 | \$30 | \$30 |
| State Operations: | | | | |
| 0001 General Fund | | 11 | 30 | 30 |
| 25.80 Welcome Center Program | | \$77 | \$107 | \$106 |
| State Operations: | | | | |
| 3083 Welcome Center Fund | | 77 | 107 | 106 |
| TOTALS, EXPENDITURES | | | | |
| State Operations | | 23,120 | 14,320 | 13,444 |
| Local Assistance | | 17,231 | 2,177 | 2,177 |
| Totals, Expenditures | | \$40,351 | \$16,497 | \$15,621 |

EXPENDITURES BY CATEGORY

| | <u>1 State Operations</u> | | | <u>Expenditures</u> | | |
|---------------------------------------|----------------------------|----------------|----------------|---------------------|-----------------|-----------------|
| | <u>Positions/Personnel</u> | <u>Years</u> | | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
| | <u>2010-11</u> | <u>2011-12</u> | <u>2012-13</u> | | | |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 58.2 | 66.0 | 66.0 | \$4,041 | \$4,889 | \$4,983 |
| Total Adjustments | - | - | -1.0 | - | - | -84 |
| Estimated Salary Savings | - | -3.0 | -3.0 | - | -226 | -227 |
| Net Totals, Salaries and Wages | 58.2 | 63.0 | 62.0 | \$4,041 | \$4,663 | \$4,672 |
| Staff Benefits | - | - | - | 1,571 | 1,545 | 1,598 |
| Totals, Personal Services | 58.2 | 63.0 | 62.0 | \$5,612 | \$6,208 | \$6,270 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$3,815 | \$4,561 | \$4,326 |
| SPECIAL ITEMS OF EXPENSE | | | | \$13,693 | \$3,551 | \$2,848 |

* Dollars in thousands, except in Salary Range.

0520 Secretary for Business, Transportation and Housing - Continued

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|---------|---------|-----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$23,120 | \$14,320 | \$13,444 |

| 2 Local Assistance | Expenditures | | |
|---|-----------------|----------------|----------------|
| | 2010-11* | 2011-12* | 2012-13* |
| Grants and subventions | 18,693 | 5,500 | 5,500 |
| Special Adjustments (Loan Repayments) | -1,462 | -3,323 | -3,323 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$17,231 | \$2,177 | \$2,177 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|----------------|----------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$2,456 | \$2,374 | \$1,608 |
| Allocation for employee compensation | 3 | - | - |
| Adjustment per Section 3.60 | 20 | -1 | - |
| Adjustment per Section 3.90 | -33 | -6 | - |
| Adjustment per Section 3.90(b) | -10 | - | - |
| Adjustment per Section 3.91 | -52 | - | - |
| Adjustment per Section 3.91 (a) | - | -734 | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -1 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -38 | - |
| Adjustment per Section 15.30 | -33 | - | - |
| 002 Budget Act appropriation (TTCA closure costs) | 60 | 60 | 30 |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -30 | - |
| 011 Budget Act appropriation | 1,723 | 861 | 861 |
| Chapter 731, Statutes of 2010, Section 2(a) | 20,000 | - | - |
| Chapter 731, Statutes of 2010, Section 2(c) and 2(d) | 6,350 | - | - |
| Totals Available | \$30,484 | \$2,485 | \$2,499 |
| Unexpended balance, estimated savings | -20,102 | - | - |
| TOTALS, EXPENDITURES | \$10,382 | \$2,485 | \$2,499 |
| 0044 Motor Vehicle Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$1,526 | \$1,571 | \$1,424 |
| Allocation for employee compensation | 5 | 2 | - |
| Adjustment per Section 3.60 | 28 | 9 | - |
| Adjustment per Section 3.90 | -45 | -16 | - |
| Adjustment per Section 3.91 | -78 | - | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -15 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -3 | - |
| Totals Available | \$1,436 | \$1,548 | \$1,424 |
| Unexpended balance, estimated savings | -171 | - | - |
| TOTALS, EXPENDITURES | \$1,265 | \$1,548 | \$1,424 |
| 0649 California Infrastructure and Economic Development Bank Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$3,819 | \$3,937 | \$3,754 |

* Dollars in thousands, except in Salary Range.

0520 Secretary for Business, Transportation and Housing - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|------------------|------------------|-----------------|
| Allocation for employee compensation | 10 | 6 | - |
| Adjustment per Section 3.60 | 58 | 26 | - |
| Adjustment per Section 3.90 | -110 | -35 | - |
| Adjustment per Section 3.91 | -171 | - | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -1 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -59 | - |
| Totals Available | \$3,606 | \$3,874 | \$3,754 |
| Unexpended balance, estimated savings | -490 | - | - |
| TOTALS, EXPENDITURES | \$3,116 | \$3,874 | \$3,754 |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 011 Budget Act appropriation (Transfer to the Small Business Expansion Fund) | \$- | \$27,823 | \$- |
| Federal Funds (Transfer to Small Business Expansion Fund) | 27,823 | - | - |
| TOTALS, EXPENDITURES | \$27,823 | \$27,823 | \$- |
| 0918 Small Business Expansion Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$111 | \$133 |
| Adjustment per Section 3.60 | - | -2 | - |
| Adjustment per Section 3.90 | - | -2 | - |
| Corporations Code Section 14030 | 1,950 | 2,551 | 1,848 |
| Corporations Code Section 14030(a) (Default Payments) | 5,425 | 1,000 | 1,000 |
| Chapter 731, Statutes of 2010, Section 2(a) | 20,000 | - | - |
| Totals Available | \$27,375 | \$3,658 | \$2,981 |
| Unexpended balance, estimated savings | -20,000 | - | - |
| TOTALS, EXPENDITURES | \$7,375 | \$3,658 | \$2,981 |
| Less funding provided by Various Funds | -29,546 | -28,684 | -861 |
| NET TOTALS, EXPENDITURES | \$-22,171 | \$-25,026 | \$2,120 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$2,625 | \$3,499 | \$3,531 |
| 3083 Welcome Center Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$103 | \$107 | \$106 |
| Totals Available | \$103 | \$107 | \$106 |
| Unexpended balance, estimated savings | -26 | - | - |
| TOTALS, EXPENDITURES | \$77 | \$107 | \$106 |
| 3095 Film Promotion and Marketing Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$10 | \$10 | \$10 |
| Totals Available | \$10 | \$10 | \$10 |
| Unexpended balance, estimated savings | -7 | - | - |
| TOTALS, EXPENDITURES | \$3 | \$10 | \$10 |
| 8052 Economic Development Fund, California | | | |
| APPROPRIATIONS | | | |
| Chapter 731, Statutes of 2010, Section 2(c) and (d) | \$6,350 | \$- | \$- |
| Totals Available | \$6,350 | \$- | \$- |
| Unexpended balance, estimated savings | -32 | - | - |
| TOTALS, EXPENDITURES | \$6,318 | \$- | \$- |
| Less funding provided by the General Fund | -6,318 | - | - |

* Dollars in thousands, except in Salary Range.

0520 Secretary for Business, Transportation and Housing - Continued

| | | | |
|--|-----------------|-----------------|-----------------|
| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
| NET TOTALS, EXPENDITURES | \$- | \$- | \$- |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$23,120 | \$14,320 | \$13,444 |
| 2 LOCAL ASSISTANCE | 2010-11* | 2011-12* | 2012-13* |
| 0649 California Infrastructure and Economic Development Bank Fund | | | |
| APPROPRIATIONS | | | |
| Government Code Section 63050 | \$18,693 | \$5,500 | \$5,500 |
| TOTALS, EXPENDITURES | \$18,693 | \$5,500 | \$5,500 |
| Loan Repayment per Government Code Section 63050 | -1,462 | -3,323 | -3,323 |
| NET TOTALS, EXPENDITURES | \$17,231 | \$2,177 | \$2,177 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$17,231 | \$2,177 | \$2,177 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | \$40,351 | \$16,497 | \$15,621 |

FUND CONDITION STATEMENTS

| | | | |
|--|-----------------|-----------------|-----------------|
| | 2010-11* | 2011-12* | 2012-13* |
| 3083 Welcome Center Fund ^s | | | |
| BEGINNING BALANCE | \$53 | \$78 | \$65 |
| Prior year adjustments | 9 | - | - |
| Adjusted Beginning Balance | \$62 | \$78 | \$65 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 161400 Miscellaneous Revenue | 93 | 94 | 94 |
| Total Revenues, Transfers, and Other Adjustments | \$93 | \$94 | \$94 |
| Total Resources | \$155 | \$172 | \$159 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0520 Secretary for Business, Transportation and Housing (State Operations) | 77 | 107 | 106 |
| Total Expenditures and Expenditure Adjustments | \$77 | \$107 | \$106 |
| FUND BALANCE | \$78 | \$65 | \$53 |
| Reserve for economic uncertainties | 78 | 65 | 53 |
| 3095 Film Promotion and Marketing Fund ^s | | | |
| BEGINNING BALANCE | \$8 | \$2 | \$2 |
| Prior year adjustments | -5 | - | - |
| Adjusted Beginning Balance | \$3 | \$2 | \$2 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 161400 Miscellaneous Revenue | 2 | 10 | 10 |
| Total Revenues, Transfers, and Other Adjustments | \$2 | \$10 | \$10 |
| Total Resources | \$5 | \$12 | \$12 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0520 Secretary for Business, Transportation and Housing (State Operations) | 3 | 10 | 10 |
| Total Expenditures and Expenditure Adjustments | \$3 | \$10 | \$10 |
| FUND BALANCE | \$2 | \$2 | \$2 |
| Reserve for economic uncertainties | 2 | 2 | 2 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|------------------------------|----------------------------------|----------------|----------------|---------------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Totals, Authorized Positions | 58.2 | 66.0 | 66.0 | \$4,041 | \$4,889 | \$4,983 |

* Dollars in thousands, except in Salary Range.

0520 Secretary for Business, Transportation and Housing - Continued

| | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|-------------|-------------|---------------------|----------------|----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Workload and Administrative Adjustments: | | | | Salary Range | | |
| Assoc Govtl Prog Analyst | - | - | -1.0 | 4,400-5,348 | - | -64 |
| Temporary Help | - | - | - | - | - | -20 |
| Totals Workload & Admin Adjustments | - | - | -1.0 | \$- | \$- | -\$84 |
| Total Adjustments | - | - | -1.0 | \$- | \$- | -\$84 |
| TOTALS, SALARIES AND WAGES | 58.2 | 66.0 | 65.0 | \$4,041 | \$4,889 | \$4,899 |

0530 Secretary for California Health and Human Services Agency

The primary mission of the Health and Human Services Agency (HHSA) is to provide policy leadership and direction to the departments, board and programs it oversees, to reduce duplication and fragmentation among HHSA departments in policy development and implementation, to improve coordination among departments on common programs, to ensure programmatic integrity, and to advance the Governor's priorities on health and human services issues.

The HHSA accomplishes its mission through the administration and coordination of state and federal programs for public health, health care services, social services, public assistance, health planning and licensing, and rehabilitation. These programs touch the lives of millions of California's most needy and vulnerable residents. The HHSA is committed to striking a balance between the twin imperatives of maintaining access to essential health and human services for California's most disadvantaged and at-risk residents while constantly pursuing ways to better manage and control costs.

The following departments and entities fall under the purview of the HHSA:

- Department of Aging
- Department of Child Support Services
- Department of Community Services and Development
- Department of Developmental Services
- Emergency Medical Services Authority
- Department of Health Care Services
- Department of Public Health
- Department of Rehabilitation
- Department of Social Services
- Department of State Hospitals
- Office of Statewide Health Planning and Development
- Managed Risk Medical Insurance Board

3-YR EXPENDITURES AND PERSONNEL YEARS

| | | Personnel Years | | | Expenditures | | |
|--|---|-----------------|--------------|--------------|------------------|------------------|------------------|
| | | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 | Secretary for Health and Human Services | 21.9 | 26.2 | 26.3 | \$4,561 | \$5,536 | \$5,498 |
| 21 | The California Office of Health Information Integrity (CALOHII) | 14.2 | 19.6 | 19.6 | 18,128 | 21,624 | 14,464 |
| 30 | Office of Systems Integration | 182.0 | 170.4 | 170.3 | 173,849 | 171,352 | 181,034 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | | 218.1 | 216.2 | 216.2 | \$196,538 | \$198,512 | \$200,996 |
| FUNDING | | | | | 2010-11* | 2011-12* | 2012-13* |
| 0001 | General Fund | | | | \$2,711 | \$3,454 | \$3,498 |
| 0890 | Federal Trust Fund | | | | 863 | 1,850 | 1,774 |
| 0995 | Reimbursements | | | | 24,960 | 3,284 | 3,326 |
| 3151 | Internal Health Information Integrity Quality Improvement Account | | | | - | 40 | 25 |
| 3163 | California Health Information Technology and Exchange Fund | | | | 15,187 | 17,697 | 10,500 |
| 9732 | Office of Systems Integration Fund | | | | 152,037 | 171,352 | 181,034 |
| 9740 | Central Service Cost Recovery Fund | | | | 780 | 835 | 839 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | | \$196,538 | \$198,512 | \$200,996 |

LEGAL CITATIONS AND AUTHORITY

* Dollars in thousands, except in Salary Range.

0530 Secretary for California Health and Human Services Agency - Continued

10-Secretary for Health and Human Services:

Government Code, Title 2, Division 3, Part 2.5, commencing with Section 12800.

21-The California Office of Health Information Integrity (CALOHII):

Health and Safety Code Division 110, Section 130300 et seq.

30-Office of Systems Integration:

Government Code, Title 2, Division 3, Part 2.5, Section 12803.3.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|--|---------------|------------------|-----------------|---------------|------------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Workload Budget Change Proposals | | | | | | |
| • Schedule Changes for Case Management, Information, & Payrolling System (CMIPS II) | \$- | -\$4,589 | - | \$- | \$3,008 | 0.9 |
| Totals, Workload Budget Change Proposals | \$- | -\$4,589 | - | \$- | \$3,008 | 0.9 |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation Adjustments | -\$35 | -\$262 | - | \$5 | \$74 | - |
| • Retirement Rate Adjustment | -34 | 142 | - | -34 | 142 | - |
| • Limited Term Positions/Expiring Programs | - | -5,651 | -9.7 | - | -5,785 | -10.6 |
| • Abolished Vacant Positions | - | - | -10.7 | - | - | -10.7 |
| • One Time Cost Reductions | - | -2,338 | - | - | -283 | - |
| • Operational Efficiency Plan | -110 | - | - | -110 | - | - |
| • Cell Phone Reductions | -3 | -41 | - | -3 | -41 | - |
| • Rental Rate Reductions | -7 | -9 | - | - | - | - |
| • Miscellaneous Adjustments | - | - | - | -4 | -969 | - |
| • Reduction to Align with Remaining California Health Information Exchange Grant Funding | - | - | - | - | -7,205 | - |
| • CWS/CMS M&O - Prime Vendor Contract | - | - | - | - | 752 | - |
| Totals, Other Workload Budget Adjustments | -\$189 | -\$8,159 | -20.4 | -\$146 | -\$13,315 | -21.3 |
| Totals, Workload Budget Adjustments | -\$189 | -\$12,748 | -20.4 | -\$146 | -\$10,307 | -20.4 |
| Totals, Budget Adjustments | -\$189 | -\$12,748 | -20.4 | -\$146 | -\$10,307 | -20.4 |

PROGRAM DESCRIPTIONS**10 - SECRETARY FOR HEALTH AND HUMAN SERVICES**

The Secretary for California Health and Human Services Agency provides the Governor with the highest level of advice on state health, human services and related budget policy issues. The Secretary also provides the highest level of leadership and oversight of the agency wide efforts to promote the health and well being of a growing and increasingly diverse California population.

21 - THE CALIFORNIA OFFICE OF HEALTH INFORMATION INTEGRITY (CALOHII)

The California Office of Health Information Integrity (CalOHII) is responsible for the coordination and oversight of state department's implementation of the federal Health Insurance Portability and Accountability Act (HIPAA), development of privacy and security standards for electronic health information exchange, and enforcement of California's health and medical privacy laws in conjunction with the Department of Public Health.

30 - OFFICE OF SYSTEMS INTEGRATION (OSI)

This Office provides project management services for automation projects for the Department of Social Services, and for the Employment Development Department, including:

- Child Welfare Services/Case Management System

* Dollars in thousands, except in Salary Range.

0530 Secretary for California Health and Human Services Agency - Continued

- Statewide Automated Welfare System
- Statewide Fingerprint Imaging System
- Electronic Benefit Transfer System
- Case Management, Information and Payrolling System
- Unemployment Insurance Modernization Project

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|--|---------------------------|--------------|--------------|------------------|------------------|------------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 218.1 | 227.4 | 226.4 | \$15,541 | \$17,193 | \$17,687 |
| Total Adjustments | - | - | 1.0 | - | - | 76 |
| Estimated Salary Savings | - | -11.2 | -11.2 | - | -822 | -905 |
| Net Totals, Salaries and Wages | 218.1 | 216.2 | 216.2 | \$15,541 | \$16,371 | \$16,858 |
| Staff Benefits | - | - | - | 7,145 | 5,684 | 5,801 |
| Totals, Personal Services | 218.1 | 216.2 | 216.2 | \$22,686 | \$22,055 | \$22,659 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$173,852 | \$176,457 | \$178,337 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS | | | | \$196,538 | \$198,512 | \$200,996 |
| (State Operations) | | | | | | |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|----------------|----------------|----------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$1,162 | \$1,117 | \$1,064 |
| Allocation for employee compensation | 8 | 1 | - |
| Adjustment per Section 3.60 | 29 | -15 | - |
| Adjustment per Section 3.90 | -36 | -18 | - |
| Adjustment per Section 3.90(b) | -26 | - | - |
| Adjustment per Section 3.91 | -4 | - | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -3 | - |
| Adjustment per Section 3.91 (b) Rental Rate Reductions | - | -2 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -34 | - |
| Adjustment per Section 4.30 | 34 | - | - |
| Adjustment per Section 15.30 | -3 | - | - |
| 017 Budget Act appropriation | 2,558 | 2,527 | 2,434 |
| Allocation for employee compensation | 5 | 2 | - |
| Adjustment per Section 3.60 | 28 | -19 | - |
| Adjustment per Section 3.90 | -81 | -20 | - |
| Adjustment per Section 3.91 | -8 | - | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -1 | - |
| Adjustment per Section 3.91 (b) Rental Rate Reductions | - | -5 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -76 | - |
| Adjustment per Section 4.30 | 34 | - | - |
| Adjustment per Section 15.30 | -23 | - | - |
| Totals Available | \$3,677 | \$3,454 | \$3,498 |
| Unexpended balance, estimated savings | -966 | - | - |
| TOTALS, EXPENDITURES | \$2,711 | \$3,454 | \$3,498 |
| 0890 Federal Trust Fund | | | |

* Dollars in thousands, except in Salary Range.

0530 Secretary for California Health and Human Services Agency - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|------------------|------------------|------------------|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$1,002 | \$1,850 | \$1,774 |
| Budget Adjustment | <u>-139</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$863 | \$1,850 | \$1,774 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$24,960 | \$3,284 | \$3,326 |
| 3151 Internal Health Information Integrity Quality Improvement Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | <u>\$25</u> | <u>\$40</u> | <u>\$25</u> |
| Totals Available | \$25 | \$40 | \$25 |
| Unexpended balance, estimated savings | <u>-25</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$- | \$40 | \$25 |
| 3163 California Health Information Technology and Exchange Fund | | | |
| APPROPRIATIONS | | | |
| 017 Budget Act appropriation | <u>\$17,229</u> | <u>\$17,697</u> | <u>\$10,500</u> |
| Totals Available | \$17,229 | \$17,697 | \$10,500 |
| Unexpended balance, estimated savings | <u>-2,042</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$15,187 | \$17,697 | \$10,500 |
| 9732 Office of Systems Integration Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$190,121 | \$184,025 | \$181,034 |
| Allocation for employee compensation | 92 | 41 | - |
| Adjustment per Section 3.60 | 467 | 178 | - |
| Adjustment per Section 3.90 | -889 | -264 | - |
| Adjustment per Section 3.91 | -1,720 | - | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -41 | - |
| Adjustment per Section 3.91 (b) Rental Rate Reductions | - | -9 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | <u>-</u> | <u>-2,338</u> | <u>-</u> |
| Totals Available | \$188,071 | \$181,592 | \$181,034 |
| Unexpended balance, estimated savings | <u>-36,034</u> | <u>-10,240</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$152,037 | \$171,352 | \$181,034 |
| 9740 Central Service Cost Recovery Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | <u>\$780</u> | <u>\$835</u> | <u>\$839</u> |
| TOTALS, EXPENDITURES | \$780 | \$835 | \$839 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$196,538 | \$198,512 | \$200,996 |

FUND CONDITION STATEMENTS

| | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| 3151 Internal Health Information Integrity Quality Improvement Account ^s | | | |
| BEGINNING BALANCE | - | \$8 | \$8 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 164300 Penalty Assessments | <u>\$8</u> | <u>40</u> | <u>25</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$8</u> | <u>\$40</u> | <u>\$25</u> |
| Total Resources | \$8 | \$48 | \$33 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0530 Secretary for California Health and Human Services Agency (State Operations) | - | 40 | 25 |

* Dollars in thousands, except in Salary Range.

0530 Secretary for California Health and Human Services Agency - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|---|-----------|-----------|-----------|
| Total Expenditures and Expenditure Adjustments | - | \$40 | \$25 |
| FUND BALANCE | \$8 | \$8 | \$8 |
| Reserve for economic uncertainties | 8 | 8 | 8 |
| 3163 California Health Information Technology and Exchange Fund ^s | | | |
| BEGINNING BALANCE | - | - | - |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 161400 Miscellaneous Revenue | \$15,187 | \$17,704 | \$10,500 |
| Total Revenues, Transfers, and Other Adjustments | \$15,187 | \$17,704 | \$10,500 |
| Total Resources | \$15,187 | \$17,704 | \$10,500 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0530 Secretary for California Health and Human Services Agency (State Operations) | 15,187 | 17,697 | 10,500 |
| 0840 State Controller (State Operations) | - | 7 | - |
| Total Expenditures and Expenditure Adjustments | \$15,187 | \$17,704 | \$10,500 |
| FUND BALANCE | - | - | - |
| 9732 Office of Systems Integration Fund ⁿ | | | |
| BEGINNING BALANCE | - | - | - |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| Other (Income from Operations) | \$152,037 | \$171,352 | \$181,034 |
| Total Revenues, Transfers, and Other Adjustments | \$152,037 | \$171,352 | \$181,034 |
| Total Resources | \$152,037 | \$171,352 | \$181,034 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0530 Secretary for California Health and Human Services Agency (State Operations) | 152,037 | 171,352 | 181,034 |
| Total Expenditures and Expenditure Adjustments | \$152,037 | \$171,352 | \$181,034 |
| FUND BALANCE | - | - | - |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|--|---------------------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Totals, Authorized Positions | 218.1 | 227.4 | 226.4 | \$15,541 | \$17,193 | \$17,687 |
| Proposed New Positions: | | | | | | |
| Office of Systems Integration: | | | | | | |
| Sr Info Sys Analyst (1.0 LT pos exp 6-30-13) | - | - | 1.0 | 5,571- 7,106 | - | 76 |
| Totals, Proposed New Positions | - | - | 1.0 | \$- | \$- | \$76 |
| Total Adjustments | - | - | 1.0 | \$- | \$- | \$76 |
| TOTALS, SALARIES AND WAGES | 218.1 | 227.4 | 227.4 | \$15,541 | \$17,193 | \$17,763 |

0540 Secretary of the Natural Resources Agency

The mission of the Natural Resources Agency is to restore, protect and manage the state's natural, historical and cultural resources for current and future generations using creative approaches and solutions based on science, collaboration and respect for all involved communities. The Secretary for Natural Resources, a member of the Governor's Cabinet, sets the policies and coordinates the environmental preservation and restoration activities of 27 various departments, boards, commissions, and conservancies, and directly administers the Sea Grant Program, California Environmental Quality Act, River Parkways, and the Sierra Nevada Cascade grant programs.

The Natural Resources Agency consists of the departments of Forestry and Fire Protection, Conservation, Fish and Game, Boating and Waterways, Parks and Recreation, and Water Resources; the State Lands Commission; the Colorado River Board; the San Francisco Bay Conservation and Development Commission; the Energy Resources Conservation and Development Commission; the Wildlife Conservation Board; the Delta Protection Commission; the California Conservation

* Dollars in thousands, except in Salary Range.

0540 Secretary of the Natural Resources Agency - Continued

Corps; the California Coastal Commission; the State Coastal Conservancy; the California Tahoe Conservancy; the Santa Monica Mountains Conservancy; the Coachella Valley Mountains Conservancy; the San Joaquin River Conservancy; the San Gabriel and Lower Los Angeles Rivers and Mountains Conservancy; the Baldwin Hills Conservancy; the San Diego River Conservancy; the Sierra Nevada Conservancy; Sacramento-San Joaquin Delta Conservancy; Delta Stewardship Council; and the Special Resources Program.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|-------------|-------------|-----------------|------------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 Administration of Natural Resources Agency | 33.4 | 41.3 | 41.3 | \$59,221 | \$173,197 | \$47,703 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 33.4 | 41.3 | 41.3 | \$59,221 | \$173,197 | \$47,703 |

| FUNDING | | 2010-11* | 2011-12* | 2012-13* |
|---------------------------------|---|----------|-----------|----------|
| 0005 | Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund | \$133 | \$4,433 | \$472 |
| 0140 | California Environmental License Plate Fund | 2,772 | 3,254 | 3,647 |
| 0183 | Environmental Enhancement and Mitigation Program Fund | 124 | 127 | 143 |
| 0890 | Federal Trust Fund | 4,638 | 9,052 | 9,052 |
| 0995 | Reimbursements | 1,914 | 561 | 577 |
| 6015 | River Protection Subaccount | 600 | 269 | - |
| 6029 | California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund | 1,938 | 10,308 | 2,218 |
| 6031 | Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002 | 1,042 | 38,704 | 8,227 |
| 6051 | Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006 | 45,913 | 106,293 | 23,170 |
| 6052 | Disaster Preparedness and Flood Prevention Bond Fund of 2006 | 147 | 196 | 197 |
| TOTALS, EXPENDITURES, ALL FUNDS | | \$59,221 | \$173,197 | \$47,703 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 12800, 12801, 12802.5, 12805, 12807-12810, 12850-12850.7, 12851-12855. Public Resources Code Sections 32300, 36000, 85000.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|--|--------------|------------------|-----------------|--------------|------------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation Adjustment | \$- | -\$69 | - | \$- | \$19 | - |
| • Retirement Rate Adjustment | - | 38 | - | - | 38 | - |
| • One Time Costs Reduction | - | - | - | - | -25,052 | - |
| • Carryover/Reappropriation | - | 107,453 | - | - | 6,724 | - |
| • Miscellaneous Adjustments | - | -126 | - | - | 75 | - |
| Totals, Other Workload Budget Adjustments | \$- | \$107,296 | - | \$- | -\$18,196 | - |
| Totals, Workload Budget Adjustments | \$- | \$107,296 | - | \$- | -\$18,196 | - |
| Totals, Budget Adjustments | \$- | \$107,296 | - | \$- | -\$18,196 | - |

PROGRAM DESCRIPTIONS

10 - Administration of Natural Resources Agency

The Natural Resources Agency sets the policies and coordinates the environmental preservation and restoration activities of

* Dollars in thousands, except in Salary Range.

0540 Secretary of the Natural Resources Agency - Continued

27 various departments, boards, commissions, and conservancies, and directly administers the Sea Grant Program, California Environmental Quality Act, River Parkways, and the Sierra Nevada Cascade grant programs.

DETAILED EXPENDITURES BY PROGRAM

| | | 2010-11* | 2011-12* | 2012-13* |
|-----------------------------|---|-----------------|------------------|-----------------|
| PROGRAM REQUIREMENTS | | | | |
| 10 | ADMINISTRATION OF NATURAL RESOURCES AGENCY | | | |
| | State Operations: | | | |
| 0005 | Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund | \$133 | \$277 | \$126 |
| 0140 | California Environmental License Plate Fund | 2,772 | 3,254 | 3,647 |
| 0183 | Environmental Enhancement and Mitigation Program Fund | 124 | 127 | 143 |
| 0890 | Federal Trust Fund | 4,638 | 9,052 | 9,052 |
| 0995 | Reimbursements | 1,914 | 561 | 577 |
| 6029 | California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund | 1,198 | 1,834 | 1,460 |
| 6031 | Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002 | 1,042 | 2,601 | 2,607 |
| 6051 | Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006 | 24,931 | 27,460 | 2,170 |
| 6052 | Disaster Preparedness and Flood Prevention Bond Act of 2006 | 147 | 196 | 197 |
| | Totals, State Operations | \$36,899 | \$45,362 | \$19,979 |
| | Local Assistance: | | | |
| 0005 | Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund | \$- | \$4,156 | \$346 |
| 6015 | River Protection Subaccount | 600 | 269 | - |
| 6029 | California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund | 740 | 8,474 | 758 |
| 6031 | Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002 | - | 36,103 | 5,620 |
| 6051 | Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006 | 20,982 | 78,833 | 21,000 |
| | Totals, Local Assistance | \$22,322 | \$127,835 | \$27,724 |
| | PROGRAM REQUIREMENTS | 59,221 | 173,197 | 47,703 |
| | TOTALS, EXPENDITURES | | | |
| | State Operations | 36,899 | 45,362 | 19,979 |
| | Local Assistance | 22,322 | 127,835 | 27,724 |
| | Totals, Expenditures | \$59,221 | \$173,197 | \$47,703 |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---------------------------------------|---------------------------|-------------|-------------|----------------|----------------|----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 33.4 | 43.5 | 43.5 | \$2,661 | \$3,487 | \$3,545 |
| Estimated Salary Savings | - | -2.2 | -2.2 | - | -174 | -177 |
| Net Totals, Salaries and Wages | 33.4 | 41.3 | 41.3 | \$2,661 | \$3,313 | \$3,368 |
| Staff Benefits | - | - | - | 1,230 | 1,325 | 1,347 |
| Totals, Personal Services | 33.4 | 41.3 | 41.3 | \$3,891 | \$4,638 | \$4,715 |

* Dollars in thousands, except in Salary Range.

0540 Secretary of the Natural Resources Agency - Continued

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|---------|---------|-----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$33,008 | \$40,724 | \$15,264 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$36,899 | \$45,362 | \$19,979 |

| 2 Local Assistance | Expenditures | | |
|---|-----------------|------------------|-----------------|
| | 2010-11* | 2011-12* | 2012-13* |
| Grants and Subventions | \$22,322 | \$127,835 | \$27,724 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$22,322 | \$127,835 | \$27,724 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|----------------|----------------|----------------|
| 0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$274 | \$279 | \$126 |
| Allocation for employee compensation | 1 | 1 | - |
| Adjustment per Section 3.60 | 6 | 2 | - |
| Adjustment per Section 3.90 | -6 | -5 | - |
| Adjustment per Section 3.91 | -7 | - | - |
| Totals Available | \$268 | \$277 | \$126 |
| Unexpended balance, estimated savings | -135 | - | - |
| TOTALS, EXPENDITURES | \$133 | \$277 | \$126 |
| 0140 California Environmental License Plate Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$3,389 | \$3,282 | \$3,647 |
| Allocation for employee compensation | 14 | 8 | - |
| Adjustment per Section 3.60 | 72 | 25 | - |
| Adjustment per Section 3.90 | -86 | -53 | - |
| Adjustment per Section 3.91 | -120 | - | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -8 | - |
| Adjustment per Section 4.30 | 34 | - | - |
| Totals Available | \$3,303 | \$3,254 | \$3,647 |
| Unexpended balance, estimated savings | -531 | - | - |
| TOTALS, EXPENDITURES | \$2,772 | \$3,254 | \$3,647 |
| 0183 Environmental Enhancement and Mitigation Program Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$132 | \$128 | \$143 |
| Allocation for employee compensation | 1 | - | - |
| Adjustment per Section 3.60 | 4 | 1 | - |
| Adjustment per Section 3.90 | -6 | -2 | - |
| Adjustment per Section 3.91 | -7 | - | - |
| TOTALS, EXPENDITURES | \$124 | \$127 | \$143 |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$5,552 | \$9,052 | \$9,052 |
| Budget Adjustment | -914 | - | - |
| TOTALS, EXPENDITURES | \$4,638 | \$9,052 | \$9,052 |
| 0995 Reimbursements | | | |

* Dollars in thousands, except in Salary Range.

0540 Secretary of the Natural Resources Agency - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| APPROPRIATIONS | | | |
| Reimbursements | \$1,914 | \$561 | \$577 |
| 6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$2,072 | \$1,457 | \$1,460 |
| Allocation for employee compensation | 1 | - | - |
| Adjustment per Section 3.60 | - | 2 | - |
| Adjustment per Section 3.90 | -5 | -3 | - |
| Adjustment per Section 3.91 | -6 | - | - |
| Prior year balances available: | | | |
| Item 0540-001-6029, Budget Act of 2003, as reappropriated by Item 0540-490, Budget Acts of 2004 and 2009 | 378 | 378 | - |
| Totals Available | \$2,440 | \$1,834 | \$1,460 |
| Unexpended balance, estimated savings | -864 | - | - |
| Balance available in subsequent years | -378 | - | - |
| TOTALS, EXPENDITURES | \$1,198 | \$1,834 | \$1,460 |
| 6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002 | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$2,728 | \$2,604 | \$2,607 |
| Allocation for employee compensation | 3 | 1 | - |
| Adjustment per Section 3.60 | 6 | 2 | - |
| Adjustment per Section 3.90 | -25 | -6 | - |
| Adjustment per Section 3.91 | -34 | - | - |
| Totals Available | \$2,678 | \$2,601 | \$2,607 |
| Unexpended balance, estimated savings | -1,636 | - | - |
| TOTALS, EXPENDITURES | \$1,042 | \$2,601 | \$2,607 |
| 6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$20,187 | \$27,329 | \$2,170 |
| Allocation for employee compensation | 3 | 1 | - |
| Adjustment per Section 3.60 | 29 | 2 | - |
| Adjustment per Section 3.90 | -25 | -4 | - |
| Adjustment per Section 3.91 | -34 | - | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -110 | - |
| Prior year balances available: | | | |
| Item 0540-001-6051, Budget Act of 2008 as reappropriated by Item 0540-490, Budget Act of 2010 | 5,100 | 242 | - |
| Totals Available | \$25,260 | \$27,460 | \$2,170 |
| Unexpended balance, estimated savings | -87 | - | - |
| Balance available in subsequent years | -242 | - | - |
| TOTALS, EXPENDITURES | \$24,931 | \$27,460 | \$2,170 |
| 6052 Disaster Preparedness and Flood Prevention Bond Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$267 | \$196 | \$197 |
| Allocation for employee compensation | 2 | - | - |
| Adjustment per Section 3.60 | 6 | 1 | - |
| Adjustment per Section 3.90 | -1 | -1 | - |
| Adjustment per Section 3.91 | -1 | - | - |

* Dollars in thousands, except in Salary Range.

0540 Secretary of the Natural Resources Agency - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|---------------------|---------------------|---------------------|
| Totals Available | \$273 | \$196 | \$197 |
| Unexpended balance, estimated savings | -126 | - | - |
| TOTALS, EXPENDITURES | \$147 | \$196 | \$197 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$36,899 | \$45,362 | \$19,979 |
| 2 LOCAL ASSISTANCE | 2010-11* | 2011-12* | 2012-13* |
| 0005 Safe Neighborhood Parks, Clean Water, Clean Air, and Coastal Protection Bond Fund | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Item 0540-101-0005, Budget Act of 2000, as reappropriated by Item 0540-490, Budget Acts of 2002, 2007, and 2011 | \$- | \$4,502 | \$346 |
| Totals Available | \$- | \$4,502 | \$346 |
| Balance available in subsequent years | - | -346 | - |
| TOTALS, EXPENDITURES | \$- | \$4,156 | \$346 |
| 6015 River Protection Subaccount | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Item 0540-101-6015, Budget Act of 2002, as reappropriated by Item 0540-490, Budget Acts of 2007 and 2011 | \$- | \$269 | \$- |
| Item 0540-101-6015, Budget Act of 2008 | 600 | - | - |
| TOTALS, EXPENDITURES | \$600 | \$269 | \$- |
| 6029 California Clean Water, Clean Air, Safe Neighborhood Parks, and Coastal Protection Fund | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Item 0540-101-6029, Budget Act of 2002, as reappropriated by Item 0540-490, Budget Acts of 2004 and 2007 | \$- | \$8,300 | \$758 |
| Item 0540-101-6029 Budget Act of 2005, as reappropriated by Item 0540-490, Budget Acts of 2008 and 2010 | 1,672 | 932 | - |
| Totals Available | \$1,672 | \$9,232 | \$758 |
| Balance available in subsequent years | -932 | -758 | - |
| TOTALS, EXPENDITURES | \$740 | \$8,474 | \$758 |
| 6031 Water Security, Clean Drinking Water, Coastal and Beach Protection Fund of 2002 | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Item 0540-101-6031, Budget Act of 2005, as reappropriated by Items 0540-491, Budget Act of 2009 and 0540-490, Budget Act of 2011 | \$- | \$7,200 | \$619 |
| Item 0540-101-6031, Budget Act of 2006, as reappropriated by Item 0540-490, Budget Act of 2011 | - | 16,927 | 5,001 |
| Item 0540-101-6031, Budget Act of 2007 as reappropriated by Item 0540-490, Budget Act of 2011 | - | 17,596 | - |
| Chapter 230, Statutes of 2004, as reappropriated by Item 0540-490, Budget Act of 2008 | 1,186 | - | - |
| Totals Available | \$1,186 | \$41,723 | \$5,620 |
| Unexpended balance, estimated savings | -1,186 | - | - |
| Balance available in subsequent years | - | -5,620 | - |
| TOTALS, EXPENDITURES | \$- | \$36,103 | \$5,620 |
| 6051 Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$21,050 | \$21,000 | \$21,000 |
| Prior year balances available: | | | |
| Item 0540-001-6051, Budget Act of 2008 | 28,365 | - | - |

* Dollars in thousands, except in Salary Range.

0540 Secretary of the Natural Resources Agency - Continued

| 2 LOCAL ASSISTANCE | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|------------------|-----------------|
| Item 0540-001-6051, Budget Act of 2008 as reappropriated by Item 0540-490, Budget Act of 2011 | - | 26,165 | - |
| Item 0540-101-6051, Budget Act of 2009 | 29,135 | 15,342 | - |
| 101 Budget Act appropriation | - | 16,061 | - |
| Chapter 729, Statutes of 2008 as reappropriated by Item 0540-490, Budget Act of 2011 | - | 265 | - |
| Totals Available | \$78,550 | \$78,833 | \$21,000 |
| Balance available in subsequent years | -57,568 | - | - |
| TOTALS, EXPENDITURES | \$20,982 | \$78,833 | \$21,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$22,322 | \$127,835 | \$27,724 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | \$59,221 | \$173,197 | \$47,703 |

0552 Office of the Inspector General

The Office of the Inspector General oversees the state's correctional system through contemporaneous monitoring and special reviews of the policies, practices, and procedures of the California Department of Corrections and Rehabilitation. Although the duties required of the Inspector General's Office are complex, its mission is clear: to protect public safety by safeguarding the integrity of California's correctional system.

The Office of the Inspector General has regional offices in the northern, central, and southern regions of California, which allow staff to quickly respond to issues arising at California's prisons, youth facilities, and parole regions, located throughout California. This regional model works effectively for the Office of the Inspector General's contemporaneous oversight of the California Department of Corrections and Rehabilitation's internal affairs investigations and employee disciplinary process, as well as contemporaneous oversight monitoring of all deadly force incidents, certain custodial death incidents, and other significant critical incidents. In addition, the Office of the Inspector General is statutorily responsible for conducting use of force monitoring, policy and performance reviews, warden and superintendent vettings, sexual abuse in detention reviews, retaliation complaint reviews, independent intake (complaint) processing, and medical inspections. As required by statute, the Office of the Inspector General's monitoring and oversight activities are reported publicly several times per year.

In addition, the Public Safety and Offender Rehabilitation Services Act of 2007, Chapter 7, Statutes of 2007, created the California Rehabilitation Oversight Board (Board) within the Office of the Inspector General. The Board's mandate is to examine the California Department of Corrections and Rehabilitation's various mental health, substance abuse, educational, and employment programs for inmates and parolees. The Board meets quarterly to recommend modifications, additions, and eliminations of offender rehabilitation and treatment programs. The Board also submits biannual reports to the Governor, the Legislature, and the public to convey its findings on the effectiveness of treatment efforts, rehabilitation needs of offenders, gaps in offender rehabilitation services, and levels of offender participation and success.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|--------------|-------------|-----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 Office of the Inspector General | 125.3 | 100.0 | 86.4 | \$18,346 | \$16,732 | \$14,589 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 125.3 | 100.0 | 86.4 | \$18,346 | \$16,732 | \$14,589 |
| FUNDING | | | | 2010-11* | 2011-12* | 2012-13* |
| 0001 General Fund | | | | \$18,346 | \$16,732 | \$14,589 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$18,346 | \$16,732 | \$14,589 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Penal Code, Part III, Title 7, Chapter 8.2, Sections 6125 to 6133 and Section 6140.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|----------------------------------|--------------|-------------|-----------------|--------------|-------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Workload Budget Change Proposals | | | | | | |

* Dollars in thousands, except in Salary Range.

0552 Office of the Inspector General - Continued

| | 2011-12* | | | 2012-13* | | |
|---|-----------------|-------------|-----------------|-----------------|-------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| • Restructure the Office of the Inspector General | \$- | \$- | -1.9 | \$- | \$- | -1.9 |
| Totals, Workload Budget Change Proposals | \$- | \$- | -1.9 | \$- | \$- | -1.9 |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation Adjustments | -\$206 | \$- | - | \$45 | \$- | - |
| • Retirement Rate Adjustment | 140 | - | - | 140 | - | - |
| • Abolished Vacant Positions | - | - | -0.9 | - | - | -0.9 |
| • Operational Efficiency Plan | -3,821 | - | -26.7 | -6,215 | - | -39.0 |
| • Miscellaneous Adjustments | - | - | -1.8 | - | - | -3.1 |
| Totals, Other Workload Budget Adjustments | -\$3,887 | \$- | -29.4 | -\$6,030 | \$- | -43.0 |
| Totals, Workload Budget Adjustments | -\$3,887 | \$- | -31.3 | -\$6,030 | \$- | -44.9 |
| Totals, Budget Adjustments | -\$3,887 | \$- | -31.3 | -\$6,030 | \$- | -44.9 |

DETAILED EXPENDITURES BY PROGRAM

| | | 2010-11* | 2011-12* | 2012-13* |
|---|--|-----------------|-----------------|-----------------|
| PROGRAM REQUIREMENTS | | | | |
| 10 OFFICE OF THE INSPECTOR GENERAL | | | | |
| State Operations: | | | | |
| 0001 General Fund | | \$18,346 | \$16,732 | \$14,589 |
| Totals, State Operations | | \$18,346 | \$16,732 | \$14,589 |
| TOTALS, EXPENDITURES | | | | |
| State Operations | | 18,346 | 16,732 | 14,589 |
| Totals, Expenditures | | \$18,346 | \$16,732 | \$14,589 |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|--------------|-------------|-----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 125.3 | 134.0 | 134.0 | \$11,096 | \$12,328 | \$12,599 |
| Total Adjustments | - | -33.0 | -43.0 | - | -2,431 | -3,097 |
| Estimated Salary Savings | - | -1.0 | -4.6 | - | -123 | -466 |
| Net Totals, Salaries and Wages | 125.3 | 100.0 | 86.4 | \$11,096 | \$9,774 | \$9,036 |
| Staff Benefits | - | - | - | 4,139 | 3,723 | 3,473 |
| Totals, Personal Services | 125.3 | 100.0 | 86.4 | \$15,235 | \$13,497 | \$12,509 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$3,111 | \$3,235 | \$2,080 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$18,346 | \$16,732 | \$14,589 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--------------------------------------|----------|----------|----------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$26,145 | \$20,619 | \$14,589 |
| Allocation for employee compensation | 59 | 26 | - |
| Adjustment per Section 3.60 | 453 | 140 | - |
| Adjustment per Section 3.90 | -1,031 | -232 | - |

* Dollars in thousands, except in Salary Range.

0552 Office of the Inspector General - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| Adjustment per Section 3.90(b) | -298 | - | - |
| Adjustment per Section 3.91 | -1,070 | - | - |
| Adjustment per Section 3.91 (a) | - | -3,156 | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -45 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -620 | - |
| Adjustment per Section 15.30 | -44 | - | - |
| Totals Available | \$24,214 | \$16,732 | \$14,589 |
| Unexpended balance, estimated savings | -5,868 | - | - |
| TOTALS, EXPENDITURES | \$18,346 | \$16,732 | \$14,589 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$18,346 | \$16,732 | \$14,589 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|--|----------------------------------|----------------|----------------|---------------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Totals, Authorized Positions | 125.3 | 134.0 | 134.0 | \$11,096 | \$12,328 | \$12,599 |
| Workload and Administrative Adjustments: | | | | Salary Range | | |
| Governor's Veto (to reconcile with OIG calculation): | | | | | | |
| Dep Insp Gen | - | -2.0 | -2.0 | 5,061-8,450 | -121 | -121 |
| Control Section 3.91(b): | | | | | | |
| Dep Insp Gen-In Charge | - | -1.0 | -1.0 | 8,895-9,805 | -112 | -112 |
| Dep Insp Gen-Sr | - | -1.0 | -1.0 | 7,499-9,065 | -100 | -100 |
| Dep Insp Gen | - | -3.0 | -3.0 | 5,061-8,450 | -243 | -243 |
| Control Section 3.91(a): | | | | | | |
| Nursing Consultant | - | -2.0 | -2.0 | 9,022-10,966 | -217 | -217 |
| Dep Insp Gen-In Charge | - | -1.0 | -1.0 | 8,895-9,805 | -107 | -107 |
| Spec Asst Insp General | - | -4.0 | -4.0 | 8,486-10,477 | -407 | -407 |
| Staff Counsel IV | - | -1.0 | -1.0 | 8,486-10,477 | -102 | -102 |
| Dep Insp Gen-Sr | - | -2.0 | -4.0 | 7,499-9,065 | -180 | -360 |
| Staff Services Mgr I | - | -2.0 | -2.0 | 5,079-6,127 | -122 | -122 |
| Systems Software Spec I-Tech | - | - | -1.0 | 5,064-6,465 | - | -61 |
| Dep Insp Gen | - | -7.0 | -14.0 | 5,061-8,450 | -425 | -850 |
| Assoc Govtl Prog Analyst | - | -1.0 | -1.0 | 4,400-5,348 | -53 | -53 |
| Training Officer I | - | -1.0 | -1.0 | 4,400-5,348 | -53 | -53 |
| Asst Information Systems Analyst | - | -1.0 | -1.0 | 3,106-4,903 | -55 | -55 |
| Exec Secty I | - | -1.0 | -1.0 | 3,020-3,672 | -36 | -36 |
| Staff Services Analyst-Gen | - | -1.0 | -1.0 | 2,817-4,446 | -34 | -34 |
| Ofc Techn-Typing | - | -2.0 | -2.0 | 2,686-3,264 | -64 | -64 |
| Totals, Workload & Admin Adjustments | - | -33.0 | -43.0 | \$- | -\$2,431 | -\$3,097 |
| Total Adjustments | - | -33.0 | -43.0 | \$- | -\$2,431 | -\$3,097 |
| TOTALS, SALARIES AND WAGES | 125.3 | 101.0 | 91.0 | \$11,096 | \$9,897 | \$9,502 |

0555 Secretary for Environmental Protection

The Secretary for Environmental Protection is the cabinet level agency for the protection of human health and the environment. The agency programs restore, protect and enhance environmental quality, and ensure public health. The Office of the Secretary coordinates the state environmental regulatory programs and promotes the state economic vitality. The Secretary also administers the children's environmental health, environmental justice, environmental law enforcement, environmental education, emergency preparedness and response, Certified Unified Program Agencies (CUPAs), and scientific review programs. The Secretary leads greenhouse gas emission reduction and climate change activities in state government, and is responsible for coordinating the implementation of Chapter 488, Statutes of 2006 (AB 32). The Secretary participates in communication of California-Mexico border environmental efforts by centralizing border coordination.

* Dollars in thousands, except in Salary Range.

0555 Secretary for Environmental Protection - Continued**3-YR EXPENDITURES AND PERSONNEL YEARS**

| | Personnel Years | | | Expenditures | | |
|--|-----------------|-------------|-------------|-----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 30 Support | 128.2 | 75.8 | 74.8 | \$15,530 | \$20,446 | \$20,674 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 128.2 | 75.8 | 74.8 | \$15,530 | \$20,446 | \$20,674 |
| FUNDING | | | | 2010-11* | 2011-12* | 2012-13* |
| 0001 General Fund | | | | \$1,749 | \$1,799 | \$1,798 |
| 0014 Hazardous Waste Control Account | | | | 313 | 315 | 317 |
| 0028 Unified Program Account | | | | 3,807 | 4,352 | 4,376 |
| 0044 Motor Vehicle Account, State Transportation Fund | | | | 1,778 | 1,833 | 1,789 |
| 0106 Department of Pesticide Regulation Fund | | | | 886 | 901 | 911 |
| 0115 Air Pollution Control Fund | | | | 1,654 | 1,541 | 1,583 |
| 0193 Waste Discharge Permit Fund | | | | 631 | 645 | 673 |
| 0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund | | | | 51 | 53 | 53 |
| 0387 Integrated Waste Management Account, Integrated Waste Management Fund | | | | 857 | 877 | 890 |
| 0439 Underground Storage Tank Cleanup Fund | | | | 831 | 839 | 842 |
| 0679 State Water Quality Control Fund | | | | 695 | 728 | 774 |
| 0890 Federal Trust Fund | | | | - | 1,888 | 1,949 |
| 0995 Reimbursements | | | | 1,714 | 1,928 | 1,972 |
| 3058 Water Rights Fund | | | | 37 | 38 | 38 |
| 8013 Environmental Enforcement and Training Account | | | | 70 | 2,132 | 2,132 |
| 8020 Environmental Education Account | | | | 457 | 577 | 577 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$15,530 | \$20,446 | \$20,674 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Governor's Reorganization Plan No. 1 of 1991, Government Code Section 12812.6, Health and Safety Code Section 42821, Health and Safety Code, Division 37, Section 57000 et seq., and Public Resources Code Sections 71000 and 71110.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|--|--------------|---------------|-----------------|--------------|--------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation and Retirement Adjustments | \$- | -\$70 | - | \$- | \$66 | - |
| • Other Miscellaneous Adjustments | -62 | -137 | - | -62 | 48 | - |
| Totals, Other Workload Budget Adjustments | -\$62 | -\$207 | - | -\$62 | \$114 | - |
| Totals, Workload Budget Adjustments | -\$62 | -\$207 | - | -\$62 | \$114 | - |
| Totals, Budget Adjustments | -\$62 | -\$207 | - | -\$62 | \$114 | - |

PROGRAM DESCRIPTIONS

30 - SUPPORT PROGRAM

The Secretary for Environmental Protection's primary program objectives are restoring, protecting and enhancing environmental quality, and protecting public health while fostering economic vitality. The Secretary oversees the state environmental regulatory programs with particular emphasis on ensuring "cross-media" coordination, enhancing risk

* Dollars in thousands, except in Salary Range.

0555 Secretary for Environmental Protection - Continued

assessment (especially for children and other sensitive populations), enforcing laws consistently and fairly, and objectively measuring and reporting results.

DETAILED EXPENDITURES BY PROGRAM

| | | 2010-11* | 2011-12* | 2012-13* |
|-----------|---|-----------------|-----------------|-----------------|
| | PROGRAM REQUIREMENTS | | | |
| 30 | SUPPORT | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$1,749 | \$1,799 | \$1,798 |
| 0014 | Hazardous Waste Control Account | 313 | 315 | 317 |
| 0028 | Unified Program Account | 3,807 | 4,352 | 4,376 |
| 0044 | Motor Vehicle Account, State Transportation Fund | 1,778 | 1,833 | 1,789 |
| 0106 | Department of Pesticide Regulation Fund | 886 | 901 | 911 |
| 0115 | Air Pollution Control Fund | 1,654 | 1,541 | 1,583 |
| 0193 | Waste Discharge Permit Fund | 631 | 645 | 673 |
| 0235 | Public Resources Account, Cigarette and Tobacco Products Surtax Fund | 51 | 53 | 53 |
| 0387 | Integrated Waste Management Account, Integrated Waste Management Fund | 857 | 877 | 890 |
| 0439 | Underground Storage Tank Cleanup Fund | 831 | 839 | 842 |
| 0679 | State Water Quality Control Fund | 695 | 728 | 774 |
| 0890 | Federal Trust Fund | - | 1,888 | 1,949 |
| 0995 | Reimbursements | 1,714 | 1,928 | 1,972 |
| 3058 | Water Rights Fund | 37 | 38 | 38 |
| 8013 | Environmental Enforcement and Training Account | 70 | 2,132 | 2,132 |
| 8020 | Environmental Education Account | 457 | 577 | 577 |
| | Totals, State Operations | \$15,530 | \$20,446 | \$20,674 |
| | TOTALS, EXPENDITURES | | | |
| | State Operations | 15,530 | 20,446 | 20,674 |
| | Totals, Expenditures | \$15,530 | \$20,446 | \$20,674 |

EXPENDITURES BY CATEGORY

| | 1 State Operations | | | Expenditures | | |
|---|---------------------|-------------|-------------|-----------------|-----------------|-----------------|
| | Positions/Personnel | Years | | 2010-11* | 2011-12* | 2012-13* |
| | 2010-11 | 2011-12 | 2012-13 | | | |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 128.2 | 87.0 | 86.0 | \$5,504 | \$6,174 | \$6,293 |
| Total Adjustments | - | -3.0 | -3.0 | - | -250 | -153 |
| Estimated Salary Savings | - | -8.2 | -8.2 | - | -318 | -305 |
| Net Totals, Salaries and Wages | 128.2 | 75.8 | 74.8 | \$5,504 | \$5,606 | \$5,835 |
| Staff Benefits | - | - | - | 2,121 | 1,966 | 2,005 |
| Totals, Personal Services | 128.2 | 75.8 | 74.8 | \$7,625 | \$7,572 | \$7,840 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$7,905 | \$12,874 | \$12,834 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$15,530 | \$20,446 | \$20,674 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| | | | |
|---------------------------|-----------------|-----------------|-----------------|
| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
| 0001 General Fund | | | |

* Dollars in thousands, except in Salary Range.

0555 Secretary for Environmental Protection - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$1,049 | \$1,025 | \$963 |
| Allocation for employee compensation | 3 | - | - |
| Adjustment per Section 3.60 | 16 | - | - |
| Adjustment per Section 3.90 | -32 | - | - |
| Adjustment per Section 3.91 | -64 | - | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -5 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -56 | - |
| 011 Budget Act appropriation (transfer to Rural CUPA Reimbursement Account) | 835 | 835 | 835 |
| Totals Available | \$1,807 | \$1,799 | \$1,798 |
| Unexpended balance, estimated savings | -58 | - | - |
| TOTALS, EXPENDITURES | \$1,749 | \$1,799 | \$1,798 |
| 0014 Hazardous Waste Control Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$332 | \$316 | \$317 |
| Allocation for employee compensation | 1 | - | - |
| Adjustment per Section 3.60 | 5 | - | - |
| Adjustment per Section 3.90 | -10 | - | - |
| Adjustment per Section 3.91 | -15 | - | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -1 | - |
| TOTALS, EXPENDITURES | \$313 | \$315 | \$317 |
| 0028 Unified Program Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$4,220 | \$4,371 | \$4,376 |
| Allocation for employee compensation | 6 | 3 | - |
| Adjustment per Section 3.60 | 23 | 4 | - |
| Adjustment per Section 3.90 | -54 | -22 | - |
| Adjustment per Section 3.91 | -87 | - | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -4 | - |
| 011 Budget Act appropriation (loan to the General Fund) | - | (8,700) | - |
| Totals Available | \$4,108 | \$4,352 | \$4,376 |
| Unexpended balance, estimated savings | -301 | - | - |
| TOTALS, EXPENDITURES | \$3,807 | \$4,352 | \$4,376 |
| 0044 Motor Vehicle Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$1,948 | \$1,869 | \$1,789 |
| Allocation for employee compensation | 5 | 8 | - |
| Adjustment per Section 3.60 | 27 | 5 | - |
| Adjustment per Section 3.90 | -56 | -43 | - |
| Adjustment per Section 3.91 | -84 | - | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -6 | - |
| Totals Available | \$1,840 | \$1,833 | \$1,789 |
| Unexpended balance, estimated savings | -62 | - | - |
| TOTALS, EXPENDITURES | \$1,778 | \$1,833 | \$1,789 |
| 0106 Department of Pesticide Regulation Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$940 | \$902 | \$911 |
| Allocation for employee compensation | 2 | - | - |
| Adjustment per Section 3.60 | 12 | 1 | - |

* Dollars in thousands, except in Salary Range.

0555 Secretary for Environmental Protection - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| Adjustment per Section 3.90 | -26 | - | - |
| Adjustment per Section 3.91 | -39 | - | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -2 | - |
| Totals Available | \$889 | \$901 | \$911 |
| Unexpended balance, estimated savings | -3 | - | - |
| TOTALS, EXPENDITURES | \$886 | \$901 | \$911 |
| 0115 Air Pollution Control Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$1,722 | \$1,660 | \$1,583 |
| Allocation for employee compensation | 2 | 4 | - |
| Adjustment per Section 3.60 | 15 | 3 | - |
| Adjustment per Section 3.90 | -29 | -16 | - |
| Adjustment per Section 3.91 | -44 | - | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -6 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -104 | - |
| Totals Available | \$1,666 | \$1,541 | \$1,583 |
| Unexpended balance, estimated savings | -12 | - | - |
| TOTALS, EXPENDITURES | \$1,654 | \$1,541 | \$1,583 |
| 0193 Waste Discharge Permit Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$662 | \$647 | \$673 |
| Allocation for employee compensation | 2 | - | - |
| Adjustment per Section 3.60 | 8 | - | - |
| Adjustment per Section 3.90 | -16 | - | - |
| Adjustment per Section 3.91 | -24 | - | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -2 | - |
| Totals Available | \$632 | \$645 | \$673 |
| Unexpended balance, estimated savings | -1 | - | - |
| TOTALS, EXPENDITURES | \$631 | \$645 | \$673 |
| 0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$56 | \$53 | \$53 |
| Adjustment per Section 3.60 | 1 | - | - |
| Adjustment per Section 3.90 | -2 | - | - |
| Adjustment per Section 3.91 | -2 | - | - |
| Totals Available | \$53 | \$53 | \$53 |
| Unexpended balance, estimated savings | -2 | - | - |
| TOTALS, EXPENDITURES | \$51 | \$53 | \$53 |
| 0387 Integrated Waste Management Account, Integrated Waste Management Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$899 | \$876 | \$890 |
| Allocation for employee compensation | 3 | - | - |
| Adjustment per Section 3.60 | 11 | 4 | - |
| Adjustment per Section 3.90 | -22 | - | - |
| Adjustment per Section 3.91 | -33 | - | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -3 | - |
| Totals Available | \$858 | \$877 | \$890 |
| Unexpended balance, estimated savings | -1 | - | - |
| TOTALS, EXPENDITURES | \$857 | \$877 | \$890 |

* Dollars in thousands, except in Salary Range.

0555 Secretary for Environmental Protection - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| 0439 Underground Storage Tank Cleanup Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$884 | \$839 | \$842 |
| Allocation for employee compensation | 2 | - | - |
| Adjustment per Section 3.60 | 12 | 2 | - |
| Adjustment per Section 3.90 | -26 | - | - |
| Adjustment per Section 3.91 | -39 | - | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -2 | - |
| Totals Available | \$833 | \$839 | \$842 |
| Unexpended balance, estimated savings | -2 | - | - |
| TOTALS, EXPENDITURES | \$831 | \$839 | \$842 |
| 0679 State Water Quality Control Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$739 | \$725 | \$774 |
| Allocation for employee compensation | 2 | - | - |
| Adjustment per Section 3.60 | 9 | 5 | - |
| Adjustment per Section 3.90 | -17 | - | - |
| Adjustment per Section 3.91 | -26 | - | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -2 | - |
| Totals Available | \$707 | \$728 | \$774 |
| Unexpended balance, estimated savings | -12 | - | - |
| TOTALS, EXPENDITURES | \$695 | \$728 | \$774 |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$1,500 | \$1,888 | \$1,949 |
| Budget Adjustment | -1,500 | - | - |
| TOTALS, EXPENDITURES | \$- | \$1,888 | \$1,949 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$1,714 | \$1,928 | \$1,972 |
| 1006 Rural CUPA Reimbursement Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$835 | \$835 | \$835 |
| 011 Budget Act appropriation (loan to the General Fund) | - | (1,300) | - |
| Totals Available | \$835 | \$835 | \$835 |
| Unexpended balance, estimated savings | -55 | - | - |
| TOTALS, EXPENDITURES | \$780 | \$835 | \$835 |
| Less funding provided by General Fund | -780 | -835 | -835 |
| NET TOTALS, EXPENDITURES | \$- | \$- | \$- |
| 3058 Water Rights Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$39 | \$38 | \$38 |
| Adjustment per Section 3.90 | -1 | - | - |
| Adjustment per Section 3.91 | -1 | - | - |
| TOTALS, EXPENDITURES | \$37 | \$38 | \$38 |
| 8013 Environmental Enforcement and Training Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$2,132 | \$2,132 | \$2,132 |
| Totals Available | \$2,132 | \$2,132 | \$2,132 |
| Unexpended balance, estimated savings | -2,062 | - | - |

* Dollars in thousands, except in Salary Range.

0555 Secretary for Environmental Protection - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| TOTALS, EXPENDITURES | \$70 | \$2,132 | \$2,132 |
| 8020 Environmental Education Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$577 | \$577 | \$577 |
| Totals Available | \$577 | \$577 | \$577 |
| Unexpended balance, estimated savings | -120 | - | - |
| TOTALS, EXPENDITURES | \$457 | \$577 | \$577 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$15,530 | \$20,446 | \$20,674 |

FUND CONDITION STATEMENTS

| | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| 0028 Unified Program Account ^s | | | |
| BEGINNING BALANCE | \$11,100 | \$11,829 | \$3,385 |
| Prior year adjustments | -1,223 | - | - |
| Adjusted Beginning Balance | \$9,877 | \$11,829 | \$3,385 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 125600 Other Regulatory Fees | 7,880 | 7,500 | 7,500 |
| 150300 Income From Surplus Money Investments | 1 | 1 | 1 |
| Transfers and Other Adjustments: | | | |
| TO0001 To General Fund loan per Item 0555-011-0028, Budget Act of 2011 | - | -8,700 | - |
| Total Revenues, Transfers, and Other Adjustments | \$7,881 | -\$1,199 | \$7,501 |
| Total Resources | \$17,758 | \$10,630 | \$10,886 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0555 Secretary for Environmental Protection (State Operations) | 3,807 | 4,352 | 4,376 |
| 0690 California Emergency Management Agency (State Operations) | 483 | 770 | 785 |
| 0840 State Controller (State Operations) | 15 | 32 | 15 |
| 3540 Department of Forestry and Fire Protection (State Operations) | 253 | 346 | 361 |
| 3940 State Water Resources Control Board (State Operations) | 525 | 605 | 596 |
| 3960 Department of Toxic Substances Control (State Operations) | 735 | 1,003 | 1,022 |
| 3980 Office of Environmental Health Hazard Assessment (State Operations) | 108 | 137 | 142 |
| 8880 Financial Information System for California (State Operations) | 3 | - | - |
| Total Expenditures and Expenditure Adjustments | \$5,929 | \$7,245 | \$7,297 |
| FUND BALANCE | \$11,829 | \$3,385 | \$3,589 |
| Reserve for economic uncertainties | 11,829 | 3,385 | 3,589 |
| 1006 Rural CUPA Reimbursement Account ^s | | | |
| BEGINNING BALANCE | \$1,309 | \$1,309 | \$8 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Transfers and Other Adjustments: | | | |
| TO0001 To General Fund loan per Item 0555-011-1006, Budget Act of 2011 | - | -1,300 | - |
| Total Revenues, Transfers, and Other Adjustments | - | -\$1,300 | - |
| Total Resources | \$1,309 | \$9 | \$8 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0555 Secretary for Environmental Protection (State Operations) | 780 | 835 | 835 |
| 0840 State Controller (State Operations) | - | 1 | - |
| Expenditure Adjustments: | | | |
| 0555 Secretary for Environmental Protection | | | |

* Dollars in thousands, except in Salary Range.

0555 Secretary for Environmental Protection - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|--|----------|----------|----------|
| Less funding provided by General Fund (State Operations) | -780 | -835 | -835 |
| Total Expenditures and Expenditure Adjustments | - | \$1 | - |
| FUND BALANCE | \$1,309 | \$8 | \$8 |
| Reserve for economic uncertainties | 1,309 | 8 | 8 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|-------------|-------------|---------------------|----------------|----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Totals, Authorized Positions | 128.2 | 87.0 | 86.0 | \$5,504 | \$6,174 | \$6,293 |
| Salary Adjustment | - | - | - | \$- | -\$93 | \$4 |
| Workload and Administrative Adjustments: | | | | Salary Range | | |
| Reduction in Authorized Positions: | | | | | | |
| Office of the Secretary | | | | | | |
| Staff Services Analyst | - | -1.0 | -1.0 | 4,400-5,348 | -58 | -58 |
| Integrated Waste Management Spc | - | -1.0 | -1.0 | \$3,077-5,711 | -69 | -69 |
| Business Services Asst Spc | - | -1.0 | -1.0 | 2,495-3,708 | -30 | -30 |
| Totals, Workload and Admin Adjustments | - | -3.0 | -3.0 | \$- | -157 | -157 |
| Total Adjustments | - | -3.0 | -3.0 | \$- | -\$250 | -\$153 |
| TOTALS, SALARIES AND WAGES | 128.2 | 84.0 | 83.0 | \$5,504 | \$5,924 | \$6,140 |

0558 Office of the Secretary of Education

The Secretary of Education was a member of the Governor's cabinet, responsible for advising the Governor and making recommendations on state education policy and legislation. The Office of the Secretary of Education (OSE), eliminated in January 2011, was funded through the Governor's Office of Planning and Research.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|----------|----------|-----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 Office of the Secretary of Education | 7.0 | - | - | \$1,693 | \$- | \$- |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 7.0 | - | - | \$1,693 | \$- | \$- |
| FUNDING | | | | 2010-11* | 2011-12* | 2012-13* |
| 0001 General Fund | | | | \$1,693 | \$- | \$- |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$1,693 | \$- | \$- |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|--|---------------------------|----------|----------|----------------|------------|------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 14.0 | - | - | \$734 | \$- | \$- |
| Total Adjustments | -7.0 | - | - | - | - | - |
| Net Totals, Salaries and Wages | 7.0 | - | - | \$734 | \$- | \$- |
| Staff Benefits | - | - | - | 220 | - | - |
| Totals, Personal Services | 7.0 | - | - | \$954 | \$- | \$- |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$739 | \$- | \$- |
| TOTALS, POSITIONS AND EXPENDITURES ALL FUNDS (State Operations) | | | | \$1,693 | \$- | \$- |

* Dollars in thousands, except in Salary Range.

0558 Office of the Secretary of Education - Continued**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS**

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$971 | - | - |
| Allocation from Office of Planning and Research | 971 | - | - |
| Allocation for employee compensation | 4 | - | - |
| Adjustment per Section 3.90 | -88 | - | - |
| Reduction per Section 3.90(b) | -25 | - | - |
| Adjustment per Section 3.91 | -114 | - | - |
| Reduction per Section 15.30 | -26 | - | - |
| TOTALS, EXPENDITURES | \$1,693 | \$- | \$- |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | - | - | - |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$1,693 | \$- | \$- |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|-----------------------------------|----------------------------------|----------------|----------------|---------------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Totals, Authorized Positions | 14.0 | - | - | \$734 | \$- | \$- |
| Position Reductions | -7.0 | - | - | - | - | - |
| Total Adjustments | -7.0 | - | - | \$- | \$- | \$- |
| TOTALS, SALARIES AND WAGES | 7.0 | - | - | \$734 | \$- | \$- |

0559 Secretary for Labor and Workforce Development Agency

The Labor and Workforce Development Agency was established to address important issues relating to California workers and their employers. The Agency is responsible for three primary functions: labor law enforcement, workforce development, and benefit payment and adjudication. The Agency's leading goals are to improve the coordination of services provided to California employers and workers and to insure compliance with California labor laws through a combination of enforcement and education activities.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|------------------------|----------------|----------------|---------------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 Office of the Secretary for Labor and Workforce Development | 13.9 | 11.4 | 11.4 | \$3,035 | \$2,297 | \$2,295 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 13.9 | 11.4 | 11.4 | \$3,035 | \$2,297 | \$2,295 |
| FUNDING | | | | 2010-11* | 2011-12* | 2012-13* |
| 0995 Reimbursements | | | | \$2,626 | \$1,933 | \$1,966 |
| 3078 Labor and Workforce Development Fund | | | | 409 | 364 | 329 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$3,035 | \$2,297 | \$2,295 |

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

Government Code Sections 12800 and 12813.

* Dollars in thousands, except in Salary Range.

0559 Secretary for Labor and Workforce Development Agency - Continued**DETAILED BUDGET ADJUSTMENTS**

| | 2011-12* | | | 2012-13* | | |
|--|--------------|---------------|-----------------|--------------|---------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation Adjustments | \$- | -\$29 | - | \$- | \$3 | - |
| • Retirement Rate Adjustment | - | 18 | - | - | 18 | - |
| • Operational Efficiency Plan | - | -696 | -3.8 | - | -696 | -3.8 |
| • Miscellaneous Adjustments | - | - | - | - | -35 | - |
| Totals, Other Workload Budget Adjustments | \$- | -\$707 | -3.8 | \$- | -\$710 | -3.8 |
| Totals, Workload Budget Adjustments | \$- | -\$707 | -3.8 | \$- | -\$710 | -3.8 |
| Totals, Budget Adjustments | \$- | -\$707 | -3.8 | \$- | -\$710 | -3.8 |

DETAILED EXPENDITURES BY PROGRAM

| | | 2010-11* | 2011-12* | 2012-13* |
|---|--|----------------|----------------|----------------|
| PROGRAM REQUIREMENTS | | | | |
| 10 OFFICE OF THE SECRETARY FOR LABOR AND WORKFORCE DEVELOPMENT | | | | |
| State Operations: | | | | |
| 0995 Reimbursements | | \$2,626 | \$1,933 | \$1,966 |
| 3078 Labor and Workforce Development Fund | | 409 | 364 | 329 |
| Totals, State Operations | | \$3,035 | \$2,297 | \$2,295 |
| TOTALS, EXPENDITURES | | | | |
| State Operations | | 3,035 | 2,297 | 2,295 |
| Totals, Expenditures | | \$3,035 | \$2,297 | \$2,295 |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|-------------|-------------|----------------|----------------|----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 13.9 | 15.0 | 15.0 | \$1,264 | \$1,572 | \$1,600 |
| Total Adjustments | - | -3.0 | -3.0 | - | -453 | -453 |
| Estimated Salary Savings | - | -0.6 | -0.6 | - | -54 | -55 |
| Net Totals, Salaries and Wages | 13.9 | 11.4 | 11.4 | \$1,264 | \$1,065 | \$1,092 |
| Staff Benefits | - | - | - | 420 | 430 | 439 |
| Totals, Personal Services | 13.9 | 11.4 | 11.4 | \$1,684 | \$1,495 | \$1,531 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$1,351 | \$802 | \$764 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$3,035 | \$2,297 | \$2,295 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|------------------------------|------------|------------|------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | 0 | 0 | 0 |
| TOTALS, EXPENDITURES | \$- | \$- | \$- |
| 0995 Reimbursements | | | |

* Dollars in thousands, except in Salary Range.

0559 Secretary for Labor and Workforce Development Agency - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| APPROPRIATIONS | | | |
| Reimbursements | \$2,626 | \$1,933 | \$1,966 |
| 3078 Labor and Workforce Development Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$413 | \$364 | \$329 |
| Totals Available | \$413 | \$364 | \$329 |
| Unexpended balance, estimated savings | -4 | - | - |
| TOTALS, EXPENDITURES | \$409 | \$364 | \$329 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$3,035 | \$2,297 | \$2,295 |

FUND CONDITION STATEMENTS

| | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| 3078 Labor and Workforce Development Fund ^s | | | |
| BEGINNING BALANCE | \$118 | \$4,237 | \$7,871 |
| Prior year adjustments | 60 | - | - |
| Adjusted Beginning Balance | \$178 | \$4,237 | \$7,871 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 164300 Penalty Assessments | 4,468 | 4,000 | 4,000 |
| Total Revenues, Transfers, and Other Adjustments | \$4,468 | \$4,000 | \$4,000 |
| Total Resources | \$4,646 | \$8,237 | \$11,871 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0559 Secretary for Labor and Workforce Development Agency (State Operations) | 409 | 364 | 329 |
| 0840 State Controller (State Operations) | - | 2 | - |
| 7300 Agricultural Labor Relations Board (State Operations) | - | - | 500 |
| 7350 Department of Industrial Relations (State Operations) | - | - | 2,300 |
| Total Expenditures and Expenditure Adjustments | \$409 | \$366 | \$3,129 |
| FUND BALANCE | \$4,237 | \$7,871 | \$8,742 |
| Reserve for economic uncertainties | 4,237 | 7,871 | 8,742 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|---|----------------------------------|----------------|----------------|---------------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Totals, Authorized Positions | 13.9 | 15.0 | 15.0 | \$1,264 | \$1,572 | \$1,600 |
| Workload and Administrative Adjustments: | | | | Salary Range | | |
| Positions Established: | | | | | | |
| Undersecretary | - | 1.0 | 1.0 | 10,599-11,658 | 133 | 133 |
| General Counsel | - | 1.0 | 1.0 | 9,550-10,329 | 122 | 122 |
| Office Technician | - | 1.0 | 1.0 | 2,686-3,264 | 35 | 35 |
| Management Services Tech. | - | 1.0 | 1.0 | 2,495-3,426 | 37 | 37 |
| Reductions in Authorized Positions: | | | | | | |
| C.E.A. IV - AIO | - | -1.0 | -1.0 | 9,018-9,939 | -114 | -114 |
| Dep. Undersecretary | - | -1.0 | -1.0 | 8,945-9,673 | -108 | -108 |
| Dep. Secretary - Enforcement | - | -1.0 | -1.0 | 8,912-12,020 | -144 | -144 |
| Dep. Secretary - Workforce Development | - | -1.0 | -1.0 | 8,912-12,020 | -144 | -144 |
| C.E.A. III - ESP | - | -1.0 | -1.0 | 8,594-9,476 | -114 | -114 |
| C.E.A. I - EEEEC Director | - | -1.0 | -1.0 | 6,173-7,838 | -92 | -92 |
| Assoc. Govt. Prog. Analyst | - | -1.0 | -1.0 | 4,400-5,348 | -64 | -64 |
| Totals, Workload & Admin Adjustments | - | -3.0 | -3.0 | \$- | -\$453 | -\$453 |

* Dollars in thousands, except in Salary Range.

0559 Secretary for Labor and Workforce Development Agency - Continued

| | Positions/Personnel Years | | | Expenditures | | |
|----------------------------|---------------------------|---------|---------|--------------|----------|----------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Total Adjustments | - | -3.0 | -3.0 | \$- | -\$453 | -\$453 |
| TOTALS, SALARIES AND WAGES | 13.9 | 12.0 | 12.0 | \$1,264 | \$1,119 | \$1,147 |

0650 Office of Planning and Research

The Office of Planning and Research (OPR) assists the Governor and the Administration in planning, research, policy development, and legislative analysis. The OPR formulates long-range state goals and policies to address land use, climate change, population growth and distribution, urban expansion, infrastructure development, and resource protection. The OPR acts as the state's liaison to a variety of entities including local government, planning professionals, small business, and the military. The OPR houses the Advisor on Military Affairs and supports the Strategic Growth Council. The mission of CaliforniaVolunteers is to increase the number and impact of Californians involved with service and volunteering throughout the state. CaliforniaVolunteers is administered through the OPR but for all intents and purposes is a standalone entity.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|-------------|-------------|--------------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 11 State Planning and Policy Development | 21.7 | 18.5 | 14.7 | \$1,692,022 | \$21,149 | \$2,013 |
| 21 California Volunteers | 24.6 | 36.0 | 36.0 | 33,760 | 34,051 | 34,015 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 46.3 | 54.5 | 50.7 | \$1,725,782 | \$55,200 | \$36,028 |
| FUNDING | | | | 2010-11* | 2011-12* | 2012-13* |
| 0001 General Fund | | | | \$2,261 | \$2,153 | \$1,978 |
| 0890 Federal Trust Fund | | | | 1,719,744 | 49,126 | 30,159 |
| 0995 Reimbursements | | | | 3,469 | 3,518 | 3,539 |
| 9740 Central Service Cost Recovery Fund | | | | 308 | 403 | 352 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$1,725,782 | \$55,200 | \$36,028 |

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

Fish and Game Code Section 711.4; Government Code Sections: 4530-4535.3, 13073.5, 17525, 65025-65049, 65352, 65352.3, 65940 and 65944; Health and Safety Code Sections: 25199.4, 25199.7 and 50901; Public Resources Code Sections: 5024.5, 21080.3-21080.4, 21083, 21083.05, 21086, 21091, 21094.5.5, 21108, 21152.1, 21153, 21159.9, 21161, 21162, 21165, and 75121; California Code of Regulations: Title 2, Section 1896.38; Title 14, Sections: 15053, 15062, 15073, 15075, 15082, 15087, 15094, 15105, 15205, 15300.3 and, 16000-16041.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|--|---------------|-----------------|-----------------|---------------|-------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Eliminate Governor's Mentorship Program | \$- | \$- | - | -\$88 | \$- | - |
| • Employee Compensation Adjustments | -18 | -34 | - | 3 | 8 | - |
| • Retirement Rate Adjustment | 6 | 13 | - | 6 | 13 | - |
| • Carryover Reappropriation | - | - | - | - | 2 | - |
| • Operational Efficiency Plan | -130 | -94 | - | -113 | -78 | - |
| • Miscellaneous Adjustments | - | 19,187 | - | -125 | 132 | -3.8 |
| Totals, Other Workload Budget Adjustments | -\$142 | \$19,072 | - | -\$317 | \$77 | -3.8 |
| Totals, Workload Budget Adjustments | -\$142 | \$19,072 | - | -\$317 | \$77 | -3.8 |
| Totals, Budget Adjustments | -\$142 | \$19,072 | - | -\$317 | \$77 | -3.8 |

* Dollars in thousands, except in Salary Range.

0650 Office of Planning and Research - Continued

PROGRAM DESCRIPTIONS

11 - STATE PLANNING AND POLICY DEVELOPMENT

The major activities of the Office include: (1) recommending and implementing state policies with regard to land-use and growth planning; (2) coordinating the implementation of the California Environmental Quality Act, including: (a) operating the State Clearinghouse, (b) developing guidelines, (c) providing technical assistance, and (d) providing training; (3) serving as the Military Affairs Advisor and liaison; (4) providing policy research for the Governor and Cabinet; (5) providing technical advice to local governments with regard to land use planning and compliance with environmental statutes and regulations; (6) staffing the Strategic Growth Council; (7) preparing guidelines to local agency formation commissions; and (8) conducting other activities as the Governor may direct.

21 - CALIFORNIA VOLUNTEERS

CaliforniaVolunteers is charged with increasing the number and impact of Californians involved with service and volunteering throughout the state. CaliforniaVolunteers administers programs such as AmeriCorps and Citizen Corps, guides policy development to support the nonprofit and service fields, and is responsible for the California Volunteer Matching Network (featured on CaliforniaVolunteers.org), which matches Californians with volunteer opportunities in their communities. The agency is led by the nation's first Cabinet-level Secretary of Service and Volunteering. Through the efforts of CaliforniaVolunteers, Californians of all ages and abilities are provided ongoing opportunities to volunteer, to become better prepared to respond in emergencies and disasters, and ultimately, to embrace a lifetime ethic of service.

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|--|---------------------------|-------------|-------------|------------------|-----------------|----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 46.3 | 57.4 | 57.4 | \$2,807 | \$2,993 | \$2,993 |
| Total Adjustments | - | - | -4.0 | - | - | -200 |
| Estimated Salary Savings | - | -2.9 | -2.7 | - | -150 | -140 |
| Net Totals, Salaries and Wages | 46.3 | 54.5 | 50.7 | \$2,807 | \$2,843 | \$2,653 |
| Staff Benefits | - | - | - | 842 | 853 | 796 |
| Totals, Personal Services | 46.3 | 54.5 | 50.7 | \$3,649.1 | \$3,696 | \$3,449 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$217,201.9 | \$22,252 | \$4,579 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS | | | | \$220,851 | \$25,948 | \$8,028 |
| (State Operations) | | | | | | |

| 2 Local Assistance | Expenditures | | |
|---|--------------------|-----------------|-----------------|
| | 2010-11* | 2011-12* | 2012-13* |
| Grants | \$1,504,931 | \$29,252 | \$28,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$1,504,931 | \$29,252 | \$28,000 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|----------|----------|----------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$2,548 | \$2,295 | \$1,978 |
| Allocation for employee compensation | 9 | 3 | - |
| Adjustment per Section 3.60 | 17 | 6 | - |
| Adjustment per Section 3.90 | -163 | -21 | - |
| Adjustment per Section 3.91 | -104 | - | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -7 | - |

* Dollars in thousands, except in Salary Range.

0650 Office of Planning and Research - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|------------------|-----------------|-----------------|
| Adjustment per Section 3.91 (b) Rental Rate Reductions | - | -54 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -69 | - |
| 011 Budget Act appropriation | 971 | - | - |
| Allocation for employee compensation | 4 | - | - |
| Adjustment per Section 3.90 | -88 | - | - |
| Adjustment per Section 3.90(b) | -25 | - | - |
| Adjustment per Section 3.91 | -114 | - | - |
| Adjustment per Section 15.30 | -26 | - | - |
| Transfer from Item 0558-001-0001 per Provision 1 | 971 | - | - |
| Less amount shown in Office of Secretary for Education | -1,693 | - | - |
| Totals Available | \$2,307 | \$2,153 | \$1,978 |
| Unexpended balance, estimated savings | -46 | - | - |
| TOTALS, EXPENDITURES | \$2,261 | \$2,153 | \$1,978 |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$2,112 | \$2,098 | \$2,115 |
| Allocation for employee compensation | 4 | 3 | - |
| Adjustment per Section 3.60 | 6 | 4 | - |
| Adjustment per Section 3.90 | -20 | -11 | - |
| Adjustment per Section 3.91 | -58 | - | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -5 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -5 | - |
| Budget Adjustment | -379 | 17,748 | - |
| Chapter 220, Statutes of 2010 | 128 | - | - |
| Omnibus Education Trailer Bill (amends Chapter 221, Statutes of 2010) | 214,000 | - | - |
| Budget Adjustment | -894 | - | - |
| Prior year balances available: | | | |
| Chapter 220, Statutes of 2010 | - | 86 | 44 |
| Totals Available | \$214,899 | \$19,918 | \$2,159 |
| Balance available in subsequent years | -86 | -44 | - |
| TOTALS, EXPENDITURES | \$214,813 | \$19,874 | \$2,159 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$3,469 | \$3,518 | \$3,539 |
| 9740 Central Service Cost Recovery Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$361 | \$490 | \$352 |
| Allocation for employee compensation | 2 | 1 | - |
| Adjustment per Section 3.60 | 3 | 2 | - |
| Adjustment per Section 3.90 | -41 | -6 | - |
| Adjustment per Section 3.91 | -17 | - | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -2 | - |
| Adjustment per Section 3.91 (b) Rental Rate Reductions | - | -54 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -28 | - |
| TOTALS, EXPENDITURES | \$308 | \$403 | \$352 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$220,851 | \$25,948 | \$8,028 |

* Dollars in thousands, except in Salary Range.

0650 Office of Planning and Research - Continued

| 2 LOCAL ASSISTANCE | 2010-11* | 2011-12* | 2012-13* |
|--|--------------------|-----------------|-----------------|
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$28,000 | \$28,000 | \$28,000 |
| Budget Adjustment | 131 | - | - |
| Chapter 220, Statutes of 2010 | 1,201,407 | 1,252 | - |
| Omnibus Education Trailer Bill (amends Chapter 221, Statutes of 2010) | <u>276,000</u> | <u>-</u> | <u>-</u> |
| Totals Available | \$1,505,538 | \$29,252 | \$28,000 |
| Unexpended balance, estimated savings | <u>-607</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$1,504,931 | \$29,252 | \$28,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$1,504,931 | \$29,252 | \$28,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | \$1,725,782 | \$55,200 | \$36,028 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|---|----------------------------------|----------------|----------------|---------------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Totals, Authorized Positions | 46.3 | 57.4 | 57.4 | \$2,807 | \$2,993 | \$2,993 |
| Workload and Administrative Adjustments: | | | | Salary Range | | |
| Temporary Help | - | - | -4.0 | - | - | -200 |
| Totals, Workload & Admin Adjustments | - | - | -4.0 | \$- | \$- | -\$200 |
| Total Adjustments | - | - | -4.0 | \$- | \$- | -\$200 |
| TOTALS, SALARIES AND WAGES | 46.3 | 57.4 | 53.4 | \$2,807 | \$2,993 | \$2,793 |

0690 California Emergency Management Agency

The principal objective of the California Emergency Management Agency (Cal EMA) is to reduce vulnerability to hazards and crimes through emergency management and criminal justice to ensure a safe and resilient California. The Cal EMA coordinates emergency activities to save lives and reduce property loss during disasters and to expedite recovery from the effects of disasters. On a day-to-day basis, the Cal EMA provides leadership, assistance, and support to state and local agencies in planning and preparing for the most effective use of federal, state, local, and private sector resources in emergencies. This emergency planning is based upon a system of mutual aid whereby a jurisdiction relies first on its own resources, and then requests assistance from its neighbors. The Cal EMA's plans and programs are coordinated with those of the federal government, other states, and state and local agencies within California.

During an emergency, the Cal EMA functions as the Governor's immediate staff to coordinate the state's responsibilities under the Emergency Services Act and applicable federal statutes. It also acts as the conduit for federal assistance through natural disaster grants and federal agency support. Additionally, the Cal EMA is responsible for the development and coordination of a comprehensive state strategy related to all hazards that includes prevention, preparedness, and response and recovery.

Further, the Cal EMA improves the criminal justice system in California by providing financial and technical assistance to local governments, state agencies, and the private sector for public safety and victim services.

Since department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on the California Emergency Management Agency's Capital Outlay Program, see "Infrastructure Overview."

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|------------------------|----------------|----------------|---------------------|--------------------|--------------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 20 Emergency Management Services | 203.1 | 184.3 | 174.8 | \$55,652 | \$59,905 | \$48,546 |
| 40 Special Programs and Grant Management | 209.2 | 235.8 | 221.1 | 1,292,078 | 1,280,590 | 1,206,508 |
| 65.01 Administration and Executive Program | 149.4 | 151.2 | 149.3 | 14,498 | 15,077 | 14,707 |
| 65.02 Distributed Administration and Executive | - | - | - | -14,498 | -15,077 | -14,707 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 561.7 | 571.3 | 545.2 | \$1,347,730 | \$1,340,495 | \$1,255,054 |

* Dollars in thousands, except in Salary Range.

0690 California Emergency Management Agency - Continued

| FUNDING | 2010-11* | 2011-12* | 2012-13* |
|---|--------------------|--------------------|--------------------|
| 0001 General Fund | \$144,450 | \$115,575 | \$113,107 |
| 0028 Unified Program Account | 483 | 770 | 785 |
| 0029 Nuclear Planning Assessment Special Account | 4,534 | 4,586 | 4,677 |
| 0214 Restitution Fund | 9,950 | 10,014 | 519 |
| 0241 Local Public Prosecutors and Public Defenders Training Fund | 809 | 882 | 882 |
| 0425 Victim - Witness Assistance Fund | 16,361 | 16,822 | 16,850 |
| 0437 State Assistance For Fire Equipment Account | 25 | 105 | 114 |
| 0890 Federal Trust Fund | 1,042,337 | 1,082,952 | 1,012,043 |
| 0995 Reimbursements | 6,323 | 4,188 | 2,883 |
| 3034 Antiterrorism Fund | 2,160 | 213 | 286 |
| 3112 Equality in Prevention and Services for Domestic Abuse Fund | 104 | 105 | 103 |
| 3149 Local Safety and Protection Account, Transportation Tax Fund | 18,034 | - | - |
| 6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 102,160 | 102,563 | 102,598 |
| 6073 Port and Maritime Security Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | - | 1,513 | - |
| 8039 Disaster Resistant Communities Account | - | 207 | 207 |
| TOTALS, EXPENDITURES, ALL FUNDS | \$1,347,730 | \$1,340,495 | \$1,255,054 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Title 2, Division 1, Chapter 7; Penal Code, Part 4, Title 6, Chapter 3.

MAJOR PROGRAM CHANGES

- Relocation of the California Specialized Training Institute-The Budget includes a reduction of \$1.5 million (\$0.2 million General Fund, \$1.3 million Other Funds) and 9.5 positions associated with the relocation of the California Specialized Training Institute from San Luis Obispo to sites in southern and northern California.
- Transfer Resources to the Board of State and Community Corrections-Pursuant to Chapter 36, Statutes of 2011, the Budget includes the transfer of specified public safety programs to the Board of State and Community Corrections. For 2012-13, this reduction totals \$46 million Other Funds and 8.0 positions.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|--|---------------------|--------------------|------------------------|---------------------|--------------------|------------------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Workload Budget Change Proposals | | | | | | |
| • Administration for the American Recovery and Reinvestment Act Justice Assistance Grant | \$- | \$- | - | \$- | \$300 | - |
| • Transfer Programs and Funding to Board of State and Community Corrections | - | - | - | -14,558 | -45,970 | -8.0 |
| Totals, Workload Budget Change Proposals | \$- | \$- | - | -\$14,558 | -\$45,670 | -8.0 |
| Other Workload Budget Adjustments | | | | | | |
| • Realignment 2011 Savings | -\$40,930 | \$- | - | -\$40,930 | \$- | - |
| • Vertical Prosecution Grant Trigger Reduction | -14,558 | - | - | - | - | - |
| • Employee Compensation Adjustments | -278 | -391 | - | 180 | 134 | - |
| • Retirement Rate Adjustment | -50 | -139 | - | -50 | -139 | - |
| • Limited Term Positions/Expiring Programs | -3,817 | -5,552 | - | -7,632 | -5,552 | - |
| • One Time Cost Reductions | - | - | - | 1,750 | -592 | - |
| • Carryover/Reappropriation | 672 | 1,513 | - | - | - | - |

* Dollars in thousands, except in Salary Range.

0690 California Emergency Management Agency - Continued

| | 2011-12* | | | 2012-13* | | |
|--|------------------|-----------------|-----------------|------------------|------------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| • Lease Revenue Debt Service Adjustment | -3 | - | - | -4 | - | - |
| • Operational Efficiency Plan | -1,341 | -750 | - | -1,339 | -745 | - |
| • Miscellaneous Adjustments | 2 | - | - | - | -34,376 | - |
| Totals, Other Workload Budget Adjustments | -\$60,303 | -\$5,319 | - | -\$48,025 | -\$41,270 | - |
| Totals, Workload Budget Adjustments | -\$60,303 | -\$5,319 | - | -\$62,583 | -\$86,940 | -8.0 |
| Policy Adjustments | | | | | | |
| • Relocate the California Specialized Training Institute | \$- | \$- | - | -\$187 | -\$1,350 | -9.5 |
| Totals, Policy Adjustments | \$- | \$- | - | -\$187 | -\$1,350 | -9.5 |
| Totals, Budget Adjustments | -\$60,303 | -\$5,319 | - | -\$62,770 | -\$88,290 | -17.5 |

* Dollars in thousands, except in Salary Range.

0690 California Emergency Management Agency - Continued**Program 40 - Criminal Justice Projects, Local Assistance**

| Component | Program Name | Source of Funds | Actual Expenditures 2010-11 | Proposed Expenditures 2011-12 | Proposed Expenditures 2012-13 |
|--|---------------------------------------|------------------------------------|--|--|--|
| Victim Services | | | | | |
| 40.20.101 | Victim-Witness Assistance | 0425 Victim-Witness Assist Fund | 10,871 | \$10,871 | \$10,871 |
| 40.20.102 | Victims' Legal Resource Center | 0001 General Fund | 37 | 37 | 37 |
| 40.20.151 | Domestic Violence ¹ | 0001 General Fund | 20,602 | 20,602 | 20,602 |
| 40.20.151 | Family Violence Prevention | 0890 Federal Trust Fund | 7,427 | 8,051 | 8,051 |
| 40.20.152 | Family Violence Prevention | 0001 General Fund | 45 | 45 | 45 |
| 40.20.161 | Violence Against Women Act | 0890 Federal Trust Fund | 13,800 | 12,990 | 12,990 |
| 40.20.161 | Violence Against Women Recovery Act | 0890 Federal Trust Fund | 537 | - | - |
| 40.20.301 | Rape Crisis ¹ | 0001 General Fund | 45 | 45 | 45 |
| 40.20.301 | Rape Crisis ¹ | 0425 Victim-Witness Assist Fund | 3,670 | 3,670 | 3,670 |
| 40.20.351 | Homeless Youth | 0001 General Fund | 356 | 356 | 356 |
| 40.20.352 | Youth Emergency Telephone Refer | 0001 General Fund | 114 | 114 | 114 |
| 40.20.353 | Child Sexual Abuse & Exploitation | 0425 Victim-Witness Assist Fund | 978 | 978 | 978 |
| 40.20.354 | Child Sexual Abuse Prevention/Trng | 0001 General Fund | 272 | 272 | 272 |
| 40.20.451 | Victims of Crime Act | 0890 Federal Trust Fund | 43,274 | 45,000 | 45,000 |
| 40.20.451 | Victims of Crime Recovery Act | 0890 Federal Trust Fund | 47 | - | - |
| 40.20.902 | Child Justice Act | 0890 Federal Trust Fund | 1,375 | 1,775 | 1,775 |
| 40.20.903 | Evidentiary Medical Training | 3149 Local Safety & Protection Act | 225 | - | - |
| 40.20.904 | Public Pros/Pub Defender Training | 3149 Local Safety & Protection Act | 8 | - | - |
| 40.20.906 | Equality in Prevention & Serv. | 3112 EPSDA | 98 | 98 | 98 |
| Victim Services Total | | | 103,781 | 104,904 | 104,904 |
| Public Safety | | | | | |
| 40.30.502 | War on Methamphetamine | 3149 Local Safety & Protection Act | 5,858 | - | - |
| 40.30.503 | Vertical Prosecution Block Grant | 3149 Local Safety & Protection Act | 4,374 | - | - |
| 40.30.504 | Project Safe Neighborhoods | 0890 Federal Trust Fund | 870 | 2,520 | 650 |
| 40.30.523 | Forensic Science Improvement Act | 0890 Federal Trust Fund | 2,785 | 2,473 | 2,473 |
| 40.30.541 | Public Pros/Pub Defender Training | 0241 Local Pub Pros/Def Trng Fund | 792 | 799 | 799 |
| 40.30.555 | Res Substance Abuse Treatment | 0890 Federal Trust Fund | 2,702 | 921 | 300 |
| 40.30.559 | Peace Officer Protective Equipment | 0890 Federal Trust Fund | 512 | 1,275 | 1,275 |
| 40.30.560 | Justice Assistance Grant | 0890 Federal Trust Fund | 32,337 | 39,270 | 6,000 |
| 40.30.560 | Justice Assistance Grant Recovery Act | 0890 Federal Trust Fund | 1,245 | - | - |
| 40.30.562 | High Tech Theft Apprehension | 3149 Local Safety & Protection Act | 3,596 | - | - |
| 40.30.661 | Gang Violence Suppression | 3149 Local Safety & Protection Act | 483 | - | - |
| 40.30.662 | CALGANG | 3149 Local Safety & Protection Act | 81 | - | - |
| 40.30.672 | Multi-Agency Gang Enfrc Consort | 3149 Local Safety & Protection Act | 26 | - | - |
| 40.30.815 | Rural Crime Prevention | 3149 Local Safety & Protection Act | 1,197 | - | - |
| 40.30.901 | Sexual Assault Felony Enforce Prog | 3149 Local Safety & Protection Act | 1,541 | - | - |
| 40.30.905 | Anti-Gang Initiative | 0890 Federal Trust Fund | - | 4,607 | 3,728 |
| 40.30.907 | Statewide Anti-Gang Coordinator | 0214 Restitution Fund | 9,215 | 9,215 | - |
| 40.30.908 | Internet Crimes Against Children | 0214 Restitution Fund | 500 | 500 | 500 |
| 40.30.910 | Postconviction DNA Testing Assistance | 0890 Federal Trust Fund | 50 | - | - |
| Public Safety Total | | | 68,164 | 61,580 | 15,725 |
| Total, Program 40-Criminal Justice Projects, Local Assistance | | | \$171,945 | \$166,484 | \$120,629 |

¹ Program has multiple funding sources.

0690 California Emergency Management Agency - Continued

PROGRAM DESCRIPTIONS

20 - EMERGENCY MANAGEMENT SERVICES

Through the Emergency Management Services Program, the Cal EMA provides effective use of federal, state, and local resources, through the mutual aid system to jurisdictions whose resources and services are overextended in a disaster situation. Also, this program develops and coordinates a comprehensive state strategy related to an all-hazards approach that includes prevention, preparedness, response, and recovery consistent with the National Strategy on Homeland Security. Central to this effort is maintaining operational readiness at all levels of government. Within this program, the Cal EMA also trains emergency managers in preparedness and response to all hazards.

40 - SPECIAL PROGRAMS AND GRANT MANAGEMENT

The Cal EMA serves as the State Administering Agent for federal homeland security, emergency management, and criminal justice grants and is the primary liaison with the Federal Emergency Management Agency, the United States Department of Homeland Security, and other federal agencies. Additionally, the Agency serves as an advocate for local public safety, emergency management, and public and private agencies operating public safety and victim service programs. As part of its grant management role, the Cal EMA administers the California Disaster Assistance Act and provides eligible local agencies with financial assistance to recover from disasters.

Included in this program is the State Terrorism Threat Assessment Center which operates a state-level intelligence database that assists law enforcement in combating terrorist activities in California, and coordinates those efforts with federal law enforcement agencies.

65 - ADMINISTRATION AND EXECUTIVE

The Administration and Executive Program provides the overall policy direction of the Cal EMA and support services such as accounting, fiscal, personnel, and business services.

DETAILED EXPENDITURES BY PROGRAM

| | | 2010-11* | 2011-12* | 2012-13* |
|-----------------------------|---|-----------------|-----------------|-----------------|
| PROGRAM REQUIREMENTS | | | | |
| 20 | Emergency Management Services | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$28,371 | \$20,261 | \$22,135 |
| 0028 | Unified Program Account | 483 | 770 | 785 |
| 0029 | Nuclear Planning Assessment Special Account | 1,062 | 1,122 | 1,154 |
| 0437 | State Assistance for Fire Equipment Account | 25 | 105 | 114 |
| 0890 | Federal Trust Fund | 19,502 | 33,168 | 21,148 |
| 0995 | Reimbursements | 2,724 | 4,167 | 2,862 |
| 3034 | Antiterrorism Fund | 13 | 105 | 141 |
| 8039 | Disaster Resistant Communities Account | - | 207 | 207 |
| | Totals, State Operations | \$52,180 | \$59,905 | \$48,546 |
| | Local Assistance: | | | |
| 0029 | Nuclear Planning Assessment Special Account | \$3,472 | \$- | \$- |
| 0890 | Federal Trust Fund | - | - | - |
| | Totals, Local Assistance | \$3,472 | \$- | \$- |
| PROGRAM REQUIREMENTS | | | | |
| 40 | Special Programs and Grant Management | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$20,047 | \$23,642 | \$19,375 |
| 0214 | Restitution Fund | 235 | 299 | 19 |
| 0241 | Local Public Prosecutors and Public Defenders Training Fund | 17 | 83 | 83 |
| 0425 | Victim - Witness Assistance Fund | 842 | 1,303 | 1,331 |
| 0890 | Federal Trust Fund | 37,048 | 52,076 | 50,910 |
| 0995 | Reimbursements | - | 21 | 21 |
| 3034 | Antiterrorism Fund | 2,147 | 108 | 145 |

* Dollars in thousands, except in Salary Range.

0690 California Emergency Management Agency - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|---|--------------------|--------------------|--------------------|
| 3112 Equality in Prevention and Services for Domestic Abuse Fund | 6 | 7 | 5 |
| 3149 Local Safety and Protection Account, Transportation Tax Fund | 645 | - | - |
| 6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 2,160 | 2,563 | 2,598 |
| 6073 Port and Maritime Security Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | - | 1,513 | - |
| Totals, State Operations | \$63,147 | \$81,615 | \$74,487 |
| Local Assistance: | | | |
| 0001 General Fund | \$96,032 | \$71,672 | \$71,597 |
| 0029 Nuclear Planning Assessment Special Account | - | 3,464 | 3,523 |
| 0214 Restitution Fund | 9,715 | 9,715 | 500 |
| 0241 Local Public Prosecutors and Public Defenders Training Fund | 792 | 799 | 799 |
| 0425 Victim - Witness Assistance Fund | 15,519 | 15,519 | 15,519 |
| 0890 Federal Trust Fund | 985,787 | 997,708 | 939,985 |
| 0995 Reimbursements | 3,599 | - | - |
| 3112 Equality in Prevention and Services for Domestic Abuse Fund | 98 | 98 | 98 |
| 3149 Local Safety and Protection Account, Transportation Tax Fund | 17,389 | - | - |
| 6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | 100,000 | 100,000 | 100,000 |
| Totals, Local Assistance | \$1,228,931 | \$1,198,975 | \$1,132,021 |
| PROGRAM REQUIREMENTS | | | |
| 65 EXECUTIVE AND ADMINISTRATION | | | |
| 65.01 Executive and Administration | 14,498 | 15,077 | 14,707 |
| 65.02 Distributed Administration | -14,498 | -15,077 | -14,707 |
| TOTALS, EXPENDITURES | | | |
| State Operations | 115,327 | 141,520 | 123,033 |
| Local Assistance | 1,232,403 | 1,198,975 | 1,132,021 |
| Totals, Expenditures | \$1,347,730 | \$1,340,495 | \$1,255,054 |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---------------------------------------|---------------------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 561.7 | 601.4 | 592.4 | \$35,863 | \$39,366 | \$40,435 |
| Total Adjustments | - | - | -18.5 | - | - | -1,108 |
| Estimated Salary Savings | - | -30.1 | -28.7 | - | -1,968 | -1,966 |
| Net Totals, Salaries and Wages | 561.7 | 571.3 | 545.2 | \$35,863 | \$37,398 | \$37,361 |
| Staff Benefits | - | - | - | 12,552 | 13,089 | 13,076 |
| Totals, Personal Services | 561.7 | 571.3 | 545.2 | \$48,415 | \$50,487 | \$50,437 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$66,912 | \$91,033 | \$72,596 |

* Dollars in thousands, except in Salary Range.

0690 California Emergency Management Agency - Continued

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|---------|---------|------------------|------------------|------------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$115,327 | \$141,520 | \$123,033 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$45,910 | \$42,402 | \$35,124 |
| Allocation for employee compensation | 70 | 140 | - |
| Adjustment per Section 3.60 | 394 | -50 | - |
| Adjustment per Section 3.90 | -1,294 | -417 | - |
| Adjustment per Section 3.90(b) | -373 | - | - |
| Adjustment per Section 3.91 | -2,176 | - | - |
| Adjustment per Section 3.91 (a) | - | -3,817 | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -88 | - |
| Adjustment per Section 3.91 (b) Rental Rate Reductions | - | -8 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -1,245 | - |
| 003 Budget Act appropriation | 6,405 | 6,390 | 6,386 |
| Adjustment per Section 4.30 | -4 | -3 | - |
| Chapters 13 & 14, Statutes of 2011 | - | 2 | - |
| Penal Code Section 13821 | - | 164 | - |
| Prior year balances available: | | | |
| Chapter 29, Statutes of 2009, Third Extraordinary Session | 606 | 597 | - |
| Totals Available | \$49,538 | \$44,067 | \$41,510 |
| Unexpended balance, estimated savings | -523 | - | - |
| Balance available in subsequent years | -597 | - | - |
| TOTALS, EXPENDITURES | \$48,418 | \$44,067 | \$41,510 |
| Less funding provided by the Local Revenue Fund 2011 per Government Code Section 30025(e) | - | -164 | - |
| NET TOTALS, EXPENDITURES | \$48,418 | \$43,903 | \$41,510 |
| 0028 Unified Program Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$826 | \$784 | \$785 |
| Allocation for employee compensation | 2 | 2 | - |
| Adjustment per Section 3.60 | 12 | -4 | - |
| Adjustment per Section 3.90 | -59 | -12 | - |
| Adjustment per Section 3.91 | -49 | - | - |
| Totals Available | \$732 | \$770 | \$785 |
| Unexpended balance, estimated savings | -249 | - | - |
| TOTALS, EXPENDITURES | \$483 | \$770 | \$785 |
| 0029 Nuclear Planning Assessment Special Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$1,103 | \$1,148 | \$1,154 |
| Allocation for employee compensation | 2 | 7 | - |
| Adjustment per Section 3.60 | 9 | -14 | - |
| Adjustment per Section 3.90 | - | -19 | - |
| Adjustment per Section 3.91 | -74 | - | - |

* Dollars in thousands, except in Salary Range.

0690 California Emergency Management Agency - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| Transfer from Item 0690-001-0029, Budget Act of 2009 per Provision 1 | <u>30</u> | <u>-</u> | <u>-</u> |
| Totals Available | \$1,070 | \$1,122 | \$1,154 |
| Unexpended balance, estimated savings | <u>-8</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$1,062 | \$1,122 | \$1,154 |
| 0214 Restitution Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$300 | \$299 | \$19 |
| Adjustment per Section 3.60 | 3 | 2 | - |
| Adjustment per Section 3.90 | - | -2 | - |
| Adjustment per Section 3.91 | <u>-8</u> | <u>-</u> | <u>-</u> |
| Totals Available | \$295 | \$299 | \$19 |
| Unexpended balance, estimated savings | <u>-60</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$235 | \$299 | \$19 |
| 0241 Local Public Prosecutors and Public Defenders Training Fund | | | |
| APPROPRIATIONS | | | |
| 002 Budget Act appropriation | <u>\$81</u> | <u>\$83</u> | <u>\$83</u> |
| Totals Available | \$81 | \$83 | \$83 |
| Unexpended balance, estimated savings | <u>-64</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$17 | \$83 | \$83 |
| 0425 Victim - Witness Assistance Fund | | | |
| APPROPRIATIONS | | | |
| 002 Budget Act appropriation | \$1,290 | \$1,307 | \$1,331 |
| Allocation for employee compensation | 3 | 1 | - |
| Adjustment per Section 3.60 | 21 | 7 | - |
| Adjustment per Section 3.90 | - | -12 | - |
| Adjustment per Section 3.91 | <u>-51</u> | <u>-</u> | <u>-</u> |
| 011 Budget Act appropriation (Loan to the General Fund) | <u>-</u> | <u>(11,000)</u> | <u>-</u> |
| Totals Available | \$1,263 | \$1,303 | \$1,331 |
| Unexpended balance, estimated savings | <u>-421</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$842 | \$1,303 | \$1,331 |
| 0437 State Assistance For Fire Equipment Account | | | |
| APPROPRIATIONS | | | |
| Government Code Section 8589.16 | <u>\$25</u> | <u>\$105</u> | <u>\$114</u> |
| TOTALS, EXPENDITURES | \$25 | \$105 | \$114 |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$86,386 | \$86,420 | \$72,058 |
| Allocation for employee compensation | 88 | 72 | - |
| Adjustment per Section 3.60 | 432 | -126 | - |
| Adjustment per Section 3.90 | -1,120 | -373 | - |
| Adjustment per Section 3.91 | -1,064 | - | - |
| Adjustment per Section 3.91 (a) | - | -30 | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -165 | - |
| Adjustment per Section 3.91 (b) Rental Rate Reductions | - | -15 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -539 | - |
| Budget Adjustment | <u>-28,172</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$56,550 | \$85,244 | \$72,058 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |

* Dollars in thousands, except in Salary Range.

0690 California Emergency Management Agency - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|------------------|------------------|------------------|
| Reimbursements | \$2,724 | \$4,188 | \$2,883 |
| 3034 Antiterrorism Fund | | | |
| APPROPRIATIONS | | | |
| 010 Budget Act appropriation | \$2,342 | \$213 | \$286 |
| 011 Budget Act appropriation (Loan to General Fund) | - | (1,000) | - |
| Totals Available | \$2,342 | \$213 | \$286 |
| Unexpended balance, estimated savings | -182 | - | - |
| TOTALS, EXPENDITURES | \$2,160 | \$213 | \$286 |
| 3112 Equality in Prevention and Services for Domestic Abuse Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$10 | \$7 | \$5 |
| Totals Available | \$10 | \$7 | \$5 |
| Unexpended balance, estimated savings | -4 | - | - |
| TOTALS, EXPENDITURES | \$6 | \$7 | \$5 |
| 3149 Local Safety and Protection Account, Transportation Tax Fund | | | |
| APPROPRIATIONS | | | |
| Penal Code Section 13821 | \$645 | \$- | \$- |
| TOTALS, EXPENDITURES | \$645 | \$- | \$- |
| 6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$2,654 | \$2,573 | \$2,598 |
| Allocation for employee compensation | 15 | 5 | - |
| Adjustment per Section 3.60 | 52 | 17 | - |
| Adjustment per Section 3.90 | -105 | -32 | - |
| Adjustment per Section 3.91 | -131 | - | - |
| Totals Available | \$2,485 | \$2,563 | \$2,598 |
| Unexpended balance, estimated savings | -325 | - | - |
| TOTALS, EXPENDITURES | \$2,160 | \$2,563 | \$2,598 |
| 6073 Port and Maritime Security Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| 001 Budget Act appropriation, as amended by Chapter 172, Statutes of 2007 and Chapter 8, Statutes of 2010 | \$809 | \$809 | \$- |
| Item 0690-001-6073, Budget Act of 2008 as amended by Chapter 8, Statutes of 2010 | 704 | 704 | - |
| Totals Available | \$1,513 | \$1,513 | \$- |
| Balance available in subsequent years | -1,513 | - | - |
| TOTALS, EXPENDITURES | \$- | \$1,513 | \$- |
| 8039 Disaster Resistant Communities Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$217 | \$207 | \$207 |
| Totals Available | \$217 | \$207 | \$207 |
| Unexpended balance, estimated savings | -217 | - | - |
| TOTALS, EXPENDITURES | \$- | \$207 | \$207 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$115,327 | \$141,520 | \$123,033 |

2 LOCAL ASSISTANCE**0001 General Fund**

APPROPRIATIONS

* Dollars in thousands, except in Salary Range.

0690 California Emergency Management Agency - Continued

| 2 LOCAL ASSISTANCE | 2010-11* | 2011-12* | 2012-13* |
|--|------------------|------------------|------------------|
| 102 Budget Act appropriation | \$21,471 | \$36,029 | \$21,471 |
| Adjustment per Section 3.94 | - | -14,558 | - |
| 112 Budget Act appropriation | 69,114 | 49,114 | 49,114 |
| 115 Budget Act appropriation | 1,012 | 1,012 | 1,012 |
| Prior year balances available: | | | |
| Chapter 29, Statutes of 2009, Third Extraordinary Session | <u>4,690</u> | <u>75</u> | <u>-</u> |
| Totals Available | \$96,287 | \$71,672 | \$71,597 |
| Unexpended balance, estimated savings | -180 | - | - |
| Balance available in subsequent years | <u>-75</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$96,032 | \$71,672 | \$71,597 |
| 0029 Nuclear Planning Assessment Special Account | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$3,440 | \$3,464 | \$3,523 |
| Transfer from Item 0690-001-0029, Budget Act of 2009, per Provision 1 | <u>378</u> | <u>-</u> | <u>-</u> |
| Totals Available | \$3,818 | \$3,464 | \$3,523 |
| Unexpended balance, estimated savings | <u>-346</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$3,472 | \$3,464 | \$3,523 |
| 0214 Restitution Fund | | | |
| APPROPRIATIONS | | | |
| 102 Budget Act appropriation | <u>\$9,715</u> | <u>\$9,715</u> | <u>\$500</u> |
| TOTALS, EXPENDITURES | \$9,715 | \$9,715 | \$500 |
| 0241 Local Public Prosecutors and Public Defenders Training Fund | | | |
| APPROPRIATIONS | | | |
| 102 Budget Act appropriation | <u>\$792</u> | <u>\$799</u> | <u>\$799</u> |
| TOTALS, EXPENDITURES | \$792 | \$799 | \$799 |
| 0425 Victim - Witness Assistance Fund | | | |
| APPROPRIATIONS | | | |
| 102 Budget Act appropriation | <u>\$15,519</u> | <u>\$15,519</u> | <u>\$15,519</u> |
| TOTALS, EXPENDITURES | \$15,519 | \$15,519 | \$15,519 |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$878,826 | \$878,826 | \$857,743 |
| 102 Budget Act appropriation | 118,882 | 118,882 | 82,242 |
| Budget Adjustment | <u>-11,921</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$985,787 | \$997,708 | \$939,985 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$3,599 | \$- | \$- |
| 3112 Equality in Prevention and Services for Domestic Abuse Fund | | | |
| APPROPRIATIONS | | | |
| 102 Budget Act appropriation | <u>\$98</u> | <u>\$98</u> | <u>\$98</u> |
| TOTALS, EXPENDITURES | \$98 | \$98 | \$98 |
| 3149 Local Safety and Protection Account, Transportation Tax Fund | | | |
| APPROPRIATIONS | | | |
| Penal Code Section 13821 (Chapter 4, Statutes of 2009, SEC. 14) (SB X3 8) | <u>\$17,389</u> | <u>\$-</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$17,389 | \$- | \$- |
| 6061 Transit System Safety, Security, and Disaster Response Account, Highway Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$100,000 | \$100,000 | \$100,000 |

* Dollars in thousands, except in Salary Range.

0690 California Emergency Management Agency - Continued

| 2 LOCAL ASSISTANCE | 2010-11* | 2011-12* | 2012-13* |
|--|--------------------|--------------------|--------------------|
| TOTALS, EXPENDITURES | \$100,000 | \$100,000 | \$100,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$1,232,403 | \$1,198,975 | \$1,132,021 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | \$1,347,730 | \$1,340,495 | \$1,255,054 |

FUND CONDITION STATEMENTS

| | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| 0029 Nuclear Planning Assessment Special Account ^s | | | |
| BEGINNING BALANCE | \$655 | \$598 | \$639 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 125600 Other Regulatory Fees | 5,075 | 5,636 | 5,658 |
| Total Revenues, Transfers, and Other Adjustments | \$5,075 | \$5,636 | \$5,658 |
| Total Resources | \$5,730 | \$6,234 | \$6,297 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0690 California Emergency Management Agency | | | |
| State Operations | 1,062 | 1,122 | 1,154 |
| Local Assistance | 3,472 | 3,464 | 3,523 |
| 0840 State Controller (State Operations) | 12 | 15 | 17 |
| 4265 Department of Public Health (State Operations) | 585 | 977 | 984 |
| 8880 Financial Information System for California (State Operations) | 1 | 17 | 5 |
| Total Expenditures and Expenditure Adjustments | \$5,132 | \$5,595 | \$5,683 |
| FUND BALANCE | \$598 | \$639 | \$614 |
| Reserve for economic uncertainties | 598 | 639 | 614 |
| 0241 Local Public Prosecutors and Public Defenders Training Fund ^s | | | |
| BEGINNING BALANCE | \$996 | \$1,160 | \$1,132 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 150300 Income From Surplus Money Investments | 6 | 4 | 4 |
| 164300 Penalty Assessments | 967 | 850 | 850 |
| Total Revenues, Transfers, and Other Adjustments | \$973 | \$854 | \$854 |
| Total Resources | \$1,969 | \$2,014 | \$1,986 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0690 California Emergency Management Agency | | | |
| State Operations | 17 | 83 | 83 |
| Local Assistance | 792 | 799 | 799 |
| Total Expenditures and Expenditure Adjustments | \$809 | \$882 | \$882 |
| FUND BALANCE | \$1,160 | \$1,132 | \$1,104 |
| Reserve for economic uncertainties | 1,160 | 1,132 | 1,104 |
| 0372 Disaster Relief Fund ^s | | | |
| BEGINNING BALANCE | \$11 | \$11 | \$11 |
| FUND BALANCE | \$11 | \$11 | \$11 |
| Reserve for economic uncertainties | 11 | 11 | 11 |
| 0425 Victim - Witness Assistance Fund ^s | | | |
| BEGINNING BALANCE | \$63 | \$17,762 | \$6,727 |
| Prior year adjustments | 16,709 | - | - |
| Adjusted Beginning Balance | \$16,772 | \$17,762 | \$6,727 |

* Dollars in thousands, except in Salary Range.

0690 California Emergency Management Agency - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 130800 Penalties on Felony Convictions | 2 | 2 | 2 |
| 150300 Income From Surplus Money Investments | 85 | 55 | 55 |
| 164300 Penalty Assessments | 13,154 | 12,629 | 12,247 |
| Transfers and Other Adjustments: | | | |
| FO0178 From Driver Training Penalty Assessment Fund per Code Section 24.10, Budget Acts | 4,121 | 4,121 | 4,121 |
| TO0001 To General Fund Loan per item 0690-011-0425, Budget Act of 2011 | - | -11,000 | - |
| Total Revenues, Transfers, and Other Adjustments | <u>\$17,362</u> | <u>\$5,807</u> | <u>\$16,425</u> |
| Total Resources | \$34,134 | \$23,569 | \$23,152 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0690 California Emergency Management Agency | | | |
| State Operations | 842 | 1,303 | 1,331 |
| Local Assistance | 15,519 | 15,519 | 15,519 |
| 0840 State Controller (State Operations) | 10 | 16 | 17 |
| 8880 Financial Information System for California (State Operations) | <u>1</u> | <u>4</u> | <u>1</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$16,372</u> | <u>\$16,842</u> | <u>\$16,868</u> |
| FUND BALANCE | \$17,762 | \$6,727 | \$6,284 |
| Reserve for economic uncertainties | 17,762 | 6,727 | 6,284 |

0437 State Assistance For Fire Equipment Account ^s

| | | | |
|--|--------------|--------------|--------------|
| BEGINNING BALANCE | \$612 | \$718 | \$787 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 131700 Misc Revenue From Local Agencies | <u>131</u> | <u>174</u> | <u>174</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$131</u> | <u>\$174</u> | <u>\$174</u> |
| Total Resources | \$743 | \$892 | \$961 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0690 California Emergency Management Agency (State Operations) | <u>25</u> | <u>105</u> | <u>114</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$25</u> | <u>\$105</u> | <u>\$114</u> |
| FUND BALANCE | \$718 | \$787 | \$847 |
| Reserve for economic uncertainties | 718 | 787 | 847 |

0903 State Penalty Fund ⁿ

| | | | |
|---|---------|---------|---------|
| BEGINNING BALANCE | \$308 | \$460 | \$460 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 217500 Penalties on Traffic Violations and Felony Convictions | 153,847 | 147,870 | 143,434 |
| Less Revenues Collected For Other Funds: | | | |
| Restitution Fund (Indemnity Fund) | -49,085 | -47,095 | -45,645 |
| Peace Officers Training Fund | -36,522 | -35,067 | -34,006 |
| Fish and Game Preservation Fund | -535 | -562 | -544 |
| Corrections Training Fund | -11,996 | -11,518 | -11,170 |
| Driver Training Penalty Assessment Fund | -39,126 | -37,566 | -36,430 |
| Local Public Prosecutors/Defenders Training Fund | -850 | -850 | -850 |
| Victim/Witness Assistance Fund | -13,154 | -12,629 | -12,247 |
| Traumatic Brain Injury Fund | -1,005 | -965 | -936 |
| Transfers and Other Adjustments: | | | |

* Dollars in thousands, except in Salary Range.

0690 California Emergency Management Agency - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|--|----------------|----------------|----------------|
| TO0840 California Motorcyclist Safety Fund per Item 2720-012-0903, Budget Acts | -250 | -250 | -250 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$1,324</u> | <u>\$1,368</u> | <u>\$1,356</u> |
| Total Resources | \$1,632 | \$1,828 | \$1,816 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | <u>1,172</u> | <u>1,368</u> | <u>1,356</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$1,172</u> | <u>\$1,368</u> | <u>\$1,356</u> |
| FUND BALANCE | \$460 | \$460 | \$460 |
| 3034 Antiterrorism Fund ^s | | | |
| BEGINNING BALANCE | \$2,124 | \$838 | \$489 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 143000 Personalized License Plates | 1,401 | 1,400 | 1,400 |
| Transfers and Other Adjustments: | | | |
| TO0001 To General Fund Loan per item 0690-011-3034, Budget Act of 2011 | - | -1,000 | - |
| Total Revenues, Transfers, and Other Adjustments | <u>\$1,401</u> | <u>\$400</u> | <u>\$1,400</u> |
| Total Resources | \$3,525 | \$1,238 | \$1,889 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0690 California Emergency Management Agency (State Operations) | 2,160 | 213 | 286 |
| 0840 State Controller (State Operations) | 5 | - | - |
| 8570 Department of Food and Agriculture (State Operations) | 519 | 536 | 533 |
| 8880 Financial Information System for California (State Operations) | <u>3</u> | <u>-</u> | <u>-</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$2,687</u> | <u>\$749</u> | <u>\$819</u> |
| FUND BALANCE | \$838 | \$489 | \$1,070 |
| Reserve for economic uncertainties | 838 | 489 | 1,070 |
| 3075 Unlawful Sales Reduction Fund ^s | | | |
| BEGINNING BALANCE | \$102 | \$103 | \$104 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 164300 Penalty Assessments | <u>1</u> | <u>1</u> | <u>1</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$1</u> | <u>\$1</u> | <u>\$1</u> |
| Total Resources | <u>\$103</u> | <u>\$104</u> | <u>\$105</u> |
| FUND BALANCE | \$103 | \$104 | \$105 |
| Reserve for economic uncertainties | 103 | 104 | 105 |
| 3112 Equality in Prevention and Services for Domestic Abuse Fund ^s | | | |
| BEGINNING BALANCE | \$237 | \$219 | \$188 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 125600 Other Regulatory Fees | <u>86</u> | <u>74</u> | <u>74</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$86</u> | <u>\$74</u> | <u>\$74</u> |
| Total Resources | <u>\$323</u> | <u>\$293</u> | <u>\$262</u> |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0690 California Emergency Management Agency | | | |
| State Operations | 6 | 7 | 5 |
| Local Assistance | <u>98</u> | <u>98</u> | <u>98</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$104</u> | <u>\$105</u> | <u>\$103</u> |
| FUND BALANCE | \$219 | \$188 | \$159 |

* Dollars in thousands, except in Salary Range.

0690 California Emergency Management Agency - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|------------------------------------|----------|----------|----------|
| Reserve for economic uncertainties | 219 | 188 | 159 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|--|---------------------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Totals, Authorized Positions | 561.7 | 601.4 | 592.4 | \$35,863 | \$39,366 | \$40,435 |
| Proposed New Positions: | | | | | | |
| C.E.A. I | - | - | -0.5 | 6,173-7,838 | - | -42 |
| Sr Emergency Mgt Coordinator | - | - | -0.5 | 6,024-7,276 | - | -40 |
| Staff Services Manager I | - | - | -0.5 | 5,576-6,727 | - | -37 |
| Emergency Mgt Instructor II | - | - | -4.0 | 5,479-6,616 | - | -290 |
| Staff Services Manager I | - | - | -1.0 | 5,079-6,127 | - | -74 |
| Staff Information Systems Analyst | - | - | -1.0 | 5,065-6,466 | - | -78 |
| Associate Governmental Program Analyst | - | - | -5.0 | 4,400-5,348 | - | -295 |
| Maintenance Mechanic | - | - | -0.5 | 3,835-4,207 | - | -24 |
| Sheetfed Offset Press Op III | - | - | -0.5 | 3,624-4,405 | - | -24 |
| Staff Services Analyst | - | - | -1.0 | 3,050-3,708 | - | -41 |
| Staff Services Analyst | - | - | -1.0 | 2,817-4,446 | - | -53 |
| Office Technician (T) | - | - | -3.0 | 2,686-3,264 | - | -110 |
| Totals, Proposed New Positions | - | - | -18.5 | \$- | \$- | -\$1,108 |
| Total Adjustments | - | - | -18.5 | \$- | \$- | -\$1,108 |
| TOTALS, SALARIES AND WAGES | 561.7 | 601.4 | 573.9 | \$35,863 | \$39,366 | \$39,327 |

0730 Governor Elect and Outgoing Governor

These funds are to provide assistance to the Governor-elect and the outgoing Governor, during the transition period following the election, in carrying out the duties described under the provisions of Government Code Section 12015 and 12015.5.

The law provides that state agencies furnish to the Governor-elect any information or assistance necessary in the preparation of the annual state budget and for the orderly transfer of the executive power. This gives the Governor-elect approximately two months to formulate policies and to incorporate them in the various programs included in the Budget, which will be transmitted to the Legislature.

The law also provides for the outgoing Governor to appoint persons for up to a two-month period, to assist in concluding matters arising from official duties during the last term of office.

Of the \$890,000 budgeted, \$770,000 is for the Governor-elect and \$120,000 is for the outgoing Governor.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|---------|---------|-----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 Transition Funding | - | - | - | \$257 | \$- | \$- |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | - | - | - | \$257 | \$- | \$- |
| FUNDING | | | | 2010-11* | 2011-12* | 2012-13* |
| 0001 General Fund | | | | \$257 | \$- | \$- |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$257 | \$- | \$- |

LEGAL CITATIONS AND AUTHORITY

Government Code Section 12015 and 12015.5.

* Dollars in thousands, except in Salary Range.

0730 Governor Elect and Outgoing Governor - Continued

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|--------------|------------|------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$890 | - | - |
| Totals Available | \$890 | \$- | \$- |
| Unexpended balance, estimated savings | -633 | - | - |
| TOTALS, EXPENDITURES | \$257 | \$- | \$- |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$257 | \$- | \$- |

0750 Office of the Lieutenant Governor

Under California's Constitution, the Lieutenant Governor serves as Acting Governor whenever the Governor is absent from the state, and automatically becomes Governor if a vacancy occurs in the Office of Governor. The Lieutenant Governor is also President of the Senate and votes in case of a tie.

The Lieutenant Governor serves as a voting member of the Board of Regents of the University of California and a voting member of the Board of Trustees of the California State University system.

The Lieutenant Governor also serves on, and rotates with the State Controller, as chair of the three-member State Lands Commission, which oversees the control and leasing of millions of acres of state-owned land, including offshore oil resources, as well as use and permitting for all navigable waterways in California. The Commission also manages state land-use planning and revenues, and related interstate issues. During alternate years, when the Lieutenant Governor serves as Chairperson of the State Lands Commission, he also serves as a member of the Coastal Commission.

In addition, under state statutes, the Lieutenant Governor chairs the California Commission for Economic Development, which provides support and guidance to the Governor, Legislature and private sector regarding the development of California's economy. The Lieutenant Governor is also a member of the State Job Training Coordinating Council.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|------------|------------|-----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 General Activities | 9.0 | 8.6 | 8.6 | \$989 | \$994 | \$1,011 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 9.0 | 8.6 | 8.6 | \$989 | \$994 | \$1,011 |
| FUNDING | | | | 2010-11* | 2011-12* | 2012-13* |
| 0001 General Fund | | | | \$989 | \$994 | \$1,011 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$989 | \$994 | \$1,011 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

California Constitution, Article V, Sections 9 and 10, and Article IX, Section 9; Education Code Section 66602; Government Code Sections 8704, 8575, 14999-14999.8, and 15364.2; Public Resources Code Section 6101; Unemployment Insurance Code Section 15036; Vehicle Code Section 2600.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|--|--------------|-------------|-----------------|--------------|-------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation Adjustments | -\$7 | \$- | - | \$5 | \$- | - |
| • Retirement Rate Adjustment | 4 | - | - | 4 | - | - |
| • Operational Efficiency Plan | -36 | - | - | -31 | - | - |

* Dollars in thousands, except in Salary Range.

0750 Office of the Lieutenant Governor - Continued

| | 2011-12* | | | 2012-13* | | |
|---|--------------|-------------|-----------------|--------------|-------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Totals, Other Workload Budget Adjustments | -\$39 | \$- | - | -\$22 | \$- | - |
| Totals, Workload Budget Adjustments | -\$39 | \$- | - | -\$22 | \$- | - |
| Totals, Budget Adjustments | -\$39 | \$- | - | -\$22 | \$- | - |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|------------|------------|--------------|--------------|----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 9.0 | 9.0 | 9.0 | \$560 | \$560 | \$560 |
| Estimated Salary Savings | - | -0.4 | -0.4 | - | -28 | -28 |
| Net Totals, Salaries and Wages | 9.0 | 8.6 | 8.6 | \$560 | \$532 | \$532 |
| Staff Benefits | - | - | - | 182 | 185 | 185 |
| Totals, Personal Services | 9.0 | 8.6 | 8.6 | \$742 | \$717 | \$717 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$247 | \$277 | \$294 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$989 | \$994 | \$1,011 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|----------------|--------------|----------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$1,029 | \$1,033 | \$1,011 |
| Allocation for employee compensation | 2 | 3 | - |
| Adjustment per Section 3.60 | 32 | 4 | - |
| Adjustment per Section 3.90 | - | -10 | - |
| Adjustment per Section 3.91 | -33 | - | - |
| Adjustment per Section 3.91 (b) Rental Rate Reductions | - | -5 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -31 | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -6 | - | - |
| Totals Available | \$1,024 | \$994 | \$1,011 |
| Unexpended balance, estimated savings | -35 | - | - |
| TOTALS, EXPENDITURES | \$989 | \$994 | \$1,011 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$989 | \$994 | \$1,011 |

0820 Department of Justice

The constitutional office of the Attorney General, as chief law officer of the state, has the responsibility to see that the laws of California are uniformly and adequately enforced. This responsibility is fulfilled through the diverse programs of the Department of Justice.

The Department of Justice is responsible for providing skillful and efficient legal services on behalf of the people of California. The Attorney General represents the people in all matters before the Appellate and Supreme Courts of California and the United States; serves as legal counsel to state officers, boards, commissioners and departments; represents the people in actions to protect the environment and to enforce consumer, antitrust, and civil laws; and assist district attorneys in the administration of justice. The Department provides oversight, enforcement, education and regulation of California's firearms/dangerous weapon laws; provides evaluation and analysis of physical evidence; regulates legal gambling activities in California; supports the telecommunications and data processing needs of the California criminal justice community; and pursues projects designed to protect the people of California from fraudulent, unfair, and illegal activities.

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

Since department programs drive the need for infrastructure investment, each department has a related capital outlay program to support this need. For the specifics on the Department of Justice's Capital Outlay Program see "Infrastructure Overview."

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|---|-----------------|----------------|----------------|------------------|------------------|------------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 11.01 Directorate and Administration | 879.9 | 965.4 | 965.4 | \$81,940 | \$88,382 | \$91,329 |
| 11.02 Distributed Directorate and Administration | - | - | - | -81,940 | -88,382 | -91,329 |
| 20 Legal Services | 1,444.0 | 1,495.5 | 1,495.5 | 315,054 | 367,203 | 375,594 |
| 50 Law Enforcement | 1,259.0 | 1,194.9 | 1,059.0 | 215,362 | 209,453 | 189,755 |
| 60 California Justice Information Services | 981.6 | 1,133.4 | 1,133.4 | 136,121 | 155,142 | 158,029 |
| 95 Reimbursement for General Fund Legal Services Costs | - | - | - | - | -60,000 | - |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 4,564.5 | 4,789.2 | 4,653.3 | \$666,537 | \$671,798 | \$723,378 |
| FUNDING | | | | 2010-11* | 2011-12* | 2012-13* |
| 0001 General Fund | | | | \$287,455 | \$168,633 | \$201,152 |
| 0012 Attorney General Antitrust Account | | | | 1,878 | 2,222 | 2,346 |
| 0017 Fingerprint Fees Account | | | | 55,576 | 67,508 | 68,840 |
| 0032 Firearm Safety Account | | | | 310 | 337 | 338 |
| 0044 Motor Vehicle Account, State Transportation Fund | | | | 22,756 | 24,383 | 24,898 |
| 0142 Department of Justice Sexual Habitual Offender Fund | | | | 2,043 | 2,187 | 2,290 |
| 0158 Travel Seller Fund | | | | 951 | 1,381 | 1,401 |
| 0214 Restitution Fund | | | | 5,191 | 5,210 | 5,215 |
| 0256 Sexual Predator Public Information Account | | | | 82 | 171 | 181 |
| 0367 Indian Gaming Special Distribution Fund | | | | 13,602 | 14,142 | 15,224 |
| 0378 False Claims Act Fund | | | | 9,320 | 10,803 | 11,821 |
| 0460 Dealers' Record of Sale Special Account | | | | 10,226 | 12,073 | 18,698 |
| 0566 Department of Justice Child Abuse Fund | | | | 321 | 367 | 377 |
| 0567 Gambling Control Fund | | | | 7,085 | 7,605 | 7,822 |
| 0569 Gambling Control Fines and Penalties Account | | | | 41 | 48 | 47 |
| 0641 Domestic Violence Restraining Order Reimbursement Fund | | | | 1,744 | 1,018 | 1,018 |
| 0890 Federal Trust Fund | | | | 29,066 | 33,948 | 34,412 |
| 0942 Special Deposit Fund | | | | 919 | 2,769 | 2,769 |
| 0995 Reimbursements | | | | 33,679 | 40,250 | 37,564 |
| 1008 Firearms Safety and Enforcement Special Fund | | | | 3,092 | 3,331 | 3,422 |
| 3016 Missing Persons DNA Data Base Fund | | | | 3,011 | 3,296 | 3,351 |
| 3053 Public Rights Law Enforcement Special Fund | | | | 452 | 5,818 | 5,797 |
| 3086 DNA Identification Fund | | | | 62,763 | 65,716 | 67,778 |
| 3087 Unfair Competition Law Fund | | | | 8,720 | 9,742 | 10,543 |
| 3088 Registry of Charitable Trusts Fund | | | | 2,605 | 2,881 | 2,947 |
| 9731 Legal Services Revolving Fund | | | | 101,436 | 182,916 | 191,481 |
| 9740 Central Service Cost Recovery Fund | | | | 2,213 | 3,043 | 1,646 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$666,537 | \$671,798 | \$723,378 |

Less amount funded in the Political Reform Act (2010-11 \$195 and 2011-12 \$195); 0942 Special Deposit Fund includes State Asset Forfeiture Account (2010-11 \$91, 2011-12 \$568, and 2012-13 \$568) and Federal Asset Forfeiture Account (2010-11 \$1,574, 2011-12 \$1,551, and 2012-13 \$1,551).

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued**LEGAL CITATIONS AND AUTHORITY****DEPARTMENT AUTHORITY**

California Constitution, Article V, Section 13.

PROGRAM AUTHORITY

11-Directorate and Administration:

Government Code Section 12519.

20- Legal Services:

California Constitution, Article V, Section 13; Business and Professions Code Sections 17200 and 17500; Civil Code Section 51 et seq.; Government Code Sections 4458, 11043, 11157, 12510 et seq., 12580 et seq., 12600 et seq., 12657 et seq., 12989.3 and 16645-16649; Health and Safety Code Sections 19958.5, 25180, 25249.7 and 104555-104557; Penal Code Sections 1256, 1475 and 1548.3; and Revenue and Taxation Code Section 30165.1.

50-Law Enforcement:

California Constitution, Article V, Section 13; Business and Professions Code Sections 7583.26, 19800-19807; Penal Code Sections 295, 295.1, 297, 830.1, 832.15, 11006-11054, 11060, 11061, 11061.5, 12054, 12072, 12076, 12086, 12096, 12130, 12131, 12231, 12250, 12285, 12287, 12289, 12305, 12424, 13511, 14160-14167, 14250; Government Code Sections 15001.1 and 15001.2; and Health and Safety Code Sections 11100, 11102, 11106, 11165, 11450, 11641, and 11647.

60-California Justice Information Services:

California Constitution, Article V, Section 13; Government Code Sections 15150-15167 and 27390-27399; and Penal Code Sections 11102.1, 11105, and 14200-14213.

MAJOR PROGRAM CHANGES

- Partial Restoration of Division of Law Enforcement-The Budget includes \$4.9 General Fund and \$6.9 million in Legal Services Revolving Fund to partially restore the Office of the Director and to establish the California Bureau of Special Investigations within the Division of Law Enforcement.
- Armed Prohibited Persons System-The Budget shifts \$4.9 million in General Fund expenditures to the Dealers' Record of Sale Account for the Armed Prohibited Persons System and augments the Dealers' Record of Sale Account by \$1.6 million on a three year limited-term basis to address a backlog.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|--|--------------|-------------|-----------------|----------------|--------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Workload Budget Change Proposals | | | | | | |
| • Update DOJ Legal Services Items | \$- | \$- | - | \$1,858 | -\$1,258 | - |
| • Armed Prohibited System Workload | - | - | - | - | 1,602 | 7.6 |
| • Chaptered Legislation: Firearms (Chapter 745, Statutes of 2011) | - | - | - | - | 486 | - |
| Totals, Workload Budget Change Proposals | \$- | \$- | - | \$1,858 | \$830 | 7.6 |
| Other Workload Budget Adjustments | | | | | | |
| • Allocation of Distributed Costs: Security and Advanced Training Center | \$- | \$- | - | \$- | \$2,875 | - |
| • Reduce General Fund Transfer to DNA Identification Fund | -2,000 | 2,000 | - | - | - | - |
| • Employee Compensation Adjustments | -1,781 | -5,537 | - | 1,009 | 2,433 | - |
| • Retirement Rate Adjustment | -1,078 | -1,664 | - | -1,078 | -1,664 | - |
| • Limited Term Positions/Expiring Programs | - | - | -167.2 | -35,391 | - | -334.4 |
| • Operational Efficiency Plan | - | -3,201 | -24.7 | - | -6,400 | -49.4 |
| • Lease Revenue Debt Service Adjustment | -15 | - | - | -7 | - | - |

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

| | 2011-12* | | | 2012-13* | | |
|---|------------------|----------------|-----------------|------------------|-----------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| • Miscellaneous Adjustments | -59,805 | 12,674 | - | 1,449 | 13,443 | - |
| Totals, Other Workload Budget Adjustments | -\$64,679 | \$4,272 | -191.9 | -\$34,018 | \$10,687 | -383.8 |
| Totals, Workload Budget Adjustments | -\$64,679 | \$4,272 | -191.9 | -\$32,160 | \$11,517 | -376.2 |
| Policy Adjustments | | | | | | |
| • Partial Restoration of Division of Law Enforcement | \$- | \$- | - | \$4,926 | \$6,888 | 48.5 |
| • Shift Support of Armed Prohibited Persons System to Dealers' Record of Sale Account | - | - | - | -4,926 | 4,926 | - |
| Totals, Policy Adjustments | \$- | \$- | - | \$- | \$11,814 | 48.5 |
| Totals, Budget Adjustments | -\$64,679 | \$4,272 | -191.9 | -\$32,160 | \$23,331 | -327.7 |

PROGRAM DESCRIPTIONS**11 - DIRECTORATE AND ADMINISTRATION**

The Directorate and the Administration Division of the Department of Justice consists of the Division of Administrative Support and the Attorney General's Executive Office. The executive office maintains overall direction and administration over the diverse programs and projects of the department, including the Equal Employment Rights and Resolution Office, the Office of Program Review and Audits, the Opinions Unit, the Solicitor General's Unit, the Office of Legislative Affairs, the Office of Communications and the Public Inquiry Unit. In addition, the Division of Administrative Support provides support functions essential to the department's operations, including fiscal, personnel, and specialized services such as legal secretarial support, litigation support, and legal case management services.

20 - LEGAL SERVICES

Legal Services is organized into three elements: (1) Civil Law, (2) Criminal Law, and (3) Public Rights.

Civil Law represents the State of California and its officers, agencies, departments, boards, commissions and employees in civil matters. It provides advice to these clients, defends cases brought against them and prosecutes cases to vindicate state interests. Deputy Attorneys General in Civil Law have primary responsibility to manage and litigate cases in both state and federal courts at the trial level and on appeal, including appeals before the United States and California Supreme Courts. Deputies work in one of eight sections: Business and Tax; Correctional Law; Employment and Administrative Mandates; Government Law; Health, Education and Welfare; Health Quality Enforcement; Licensing; or Tort and Condemnation.

Criminal Law represents the State in criminal matters before the Appellate and Supreme courts. Criminal Law also fulfills the Attorney General's responsibilities of assisting district attorneys in cases for which they are recused, conducts criminal investigations and prosecutes those engaged in illegal activities where local resources are inadequate to perform these functions, and defends state and federal habeas corpus matters. Additional responsibilities include enforcing the Political Reform Act, advising the Governor on extradition matters, investigating and prosecuting Medi-Cal provider fraud, investigating and prosecuting the abuse or neglect of elder and dependent adults residing in long-term health care facilities, and investigating, prosecuting, and coordinating litigation involving white-collar crime, high-tech/computer crime, and financial crimes against the elderly.

Public Rights protects and preserves the public interest by providing legal services to state agencies and Constitutional Officers and by bringing actions in the name of the Attorney General to protect the public. Public Rights provides specialized services in the following areas: Civil Rights Enforcement; Charitable Trusts (including Registry of Charitable Trusts); Natural Resources Law; Corporate Fraud (including False Claims, Underground Economy, Energy and Corporate Responsibility); Indian and Gaming Law; Environment Law; Land Law; Consumer Law; Antitrust Law; and Tobacco Litigation Enforcement

50 - LAW ENFORCEMENT

The Division of Law Enforcement is organized into five elements: (1) Bureau of Firearms, (2) Bureau of Forensic Services, (3) Bureau of Gambling Control, (4) California Bureau of State Investigations, and (5) the Office of the Director.

The Bureau of Firearms provides oversight, enforcement, education and regulation of California's firearms/dangerous weapon laws by conducting firearms eligibility background checks and administering over thirty different state-mandated firearms-related programs. The Bureau conducts firearms dealer and manufacturer inspections and provides training as needed. Special Agents conduct investigations on armed and prohibited persons and other investigations resulting in the seizure of weapons. Agents also conduct firearms investigations to prevent illegal gun trafficking at in-state and out-of-state gun shows in accordance with state and federal law.

The Bureau of Forensic Services provides evaluation and analysis of physical evidence including expert court testimony to state and local law enforcement agencies, district attorneys, and courts, by operating 11 specialized laboratories that serve 46 counties as well as a forensic training facility and a DNA laboratory that is compiling and maintaining a database of sex and violent offenders.

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

The Bureau of Gambling Control regulates legal gambling activities in California to ensure gambling is conducted honestly and is free from criminal and corruptive elements by investigating the qualifications of individuals who apply for state gambling licenses and monitoring the conduct of these licensees to ensure compliance with the Gambling Control Act. Further, it conducts criminal investigations in, on or about tribal casinos and cardrooms. Its role also includes regulating tribal gaming by ensuring that each tribe is in compliance with all aspects of the gaming compact entered into between the tribe and the state.

The California Bureau of State Investigations (CBSI) leads the investigations of crimes that present serious multi-jurisdictional threats to California. CBSI prioritizes cases involving human trafficking, environmental crimes, public corruption, mortgage and other major frauds, underground economy cases, and digital or high-technology crimes. In addition, the Bureau provides leadership, coordination, and support to law enforcement through multi-agency drug and gang task forces, and special operations units.

The Office of the Director (OD) enhances public safety through training, technical, intelligence and administrative support to the investigative, regulatory and forensic components of the DLE and other criminal justice agencies. OD serves as the policy-making and oversight body for its four operational bureaus.

60 - CALIFORNIA JUSTICE INFORMATION SERVICES

The California Justice Information Services Division provides criminal justice intelligence, information, and identification services to law enforcement, regulatory agencies, and the public. Four major functional areas carry out these primary services. 1) The Bureau of Criminal Identification & Investigative Services consolidates the identification, investigative, and field services functions, providing information and technical assistance on manual and automated systems including the fingerprint identification system and the violent crime information system. 2) The Bureau of Criminal Information and Analysis consolidates the functions related to the authorization, release, and use of criminal offender record information for law enforcement investigatory and regulatory purposes. 3) The Hawkins Data Center operates the Criminal Justice Information System and the California Law Enforcement Telecommunications System, and 4) the Operations Support Program provides business resumption planning and administrative support and oversight.

DETAILED EXPENDITURES BY PROGRAM

| | 2010-11* | 2011-12* | 2012-13* |
|---|------------------|------------------|------------------|
| PROGRAM REQUIREMENTS | | | |
| 11 DIRECTORATE AND ADMINISTRATION | | | |
| ELEMENT REQUIREMENTS | | | |
| 11.01 Directorate and Administration | \$81,940 | \$88,382 | \$91,329 |
| 11.02 Distributed Directorate and Administration | -81,940 | -88,382 | -91,329 |
| PROGRAM REQUIREMENTS | | | |
| 20 LEGAL SERVICES | | | |
| State Operations: | | | |
| 0001 General Fund | \$164,493 | \$122,738 | \$128,361 |
| 0012 Attorney General Antitrust Account | 1,841 | 2,180 | 2,304 |
| 0158 Travel Seller Fund | 939 | 1,368 | 1,388 |
| 0367 Indian Gaming Special Distribution Fund | 966 | 1,014 | 1,630 |
| 0378 False Claims Act Fund | 8,298 | 9,666 | 10,658 |
| 0567 Gambling Control Fund | 341 | 359 | 364 |
| 0890 Federal Trust Fund | 22,063 | 24,619 | 25,138 |
| 0942 Electronic Recording Authorization Account, Special Deposit Fund | - | 71 | 71 |
| 0995 Reimbursements | 950 | 1,060 | 430 |
| 3053 Public Rights Law Enforcement Special Fund | 452 | 5,818 | 5,797 |
| 3087 Unfair Competition Law Fund | 8,720 | 9,742 | 10,543 |
| 3088 Registry of Charitable Trusts Fund | 2,342 | 2,609 | 2,671 |
| 9731 Legal Services Revolving Fund | 101,436 | 182,916 | 184,593 |
| 9740 Central Service Cost Recovery Fund | 2,213 | 3,043 | 1,646 |
| Totals, State Operations | \$315,054 | \$367,203 | \$375,594 |
| ELEMENT REQUIREMENTS | | | |
| 20.10 Civil Law | \$125,897 | \$156,469 | \$159,096 |
| State Operations: | | | |
| 0001 General Fund | 46,013 | 13,360 | 14,715 |

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

| | | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
|--------------|--|------------------|------------------|------------------|
| 0942 | Electronic Recording Authorization Account, Special Deposit Fund | - | 71 | 71 |
| 9731 | Legal Services Revolving Fund | 78,118 | 140,603 | 142,993 |
| 9740 | Central Service Cost Recovery Fund | 1,766 | 2,435 | 1,317 |
| 20.20 | Criminal Law | \$116,923 | \$124,053 | \$126,391 |
| | State Operations: | | | |
| 0001 | General Fund | 90,958 | 84,923 | 86,640 |
| 0378 | False Claims Act Fund | 3,190 | 3,440 | 3,498 |
| 0890 | Federal Trust Fund | 22,063 | 24,619 | 25,138 |
| 0995 | Reimbursements | 674 | 1,060 | 430 |
| 9731 | Legal Services Revolving Fund | 38 | 10,011 | 10,685 |
| 20.30 | Public Rights | \$72,234 | \$86,681 | \$90,107 |
| | State Operations: | | | |
| 0001 | General Fund | 27,522 | 24,455 | 27,006 |
| 0012 | Attorney General Antitrust Account | 1,841 | 2,180 | 2,304 |
| 0158 | Travel Seller Fund | 939 | 1,368 | 1,388 |
| 0367 | Indian Gaming Special Distribution Fund | 966 | 1,014 | 1,630 |
| 0378 | False Claims Act Fund | 5,108 | 6,226 | 7,160 |
| 0567 | Gambling Control Fund | 341 | 359 | 364 |
| 0995 | Reimbursements | 276 | - | - |
| 3053 | Public Rights Law Enforcement Special Fund | 452 | 5,818 | 5,797 |
| 3087 | Unfair Competition Law Fund | 8,720 | 9,742 | 10,543 |
| 3088 | Registry of Charitable Trusts Fund | 2,342 | 2,609 | 2,671 |
| 9731 | Legal Services Revolving Fund | 23,280 | 32,302 | 30,915 |
| 9740 | Central Service Cost Recovery Fund | 447 | 608 | 329 |
| | PROGRAM REQUIREMENTS | | | |
| 50 | LAW ENFORCEMENT | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$74,261 | \$54,837 | \$21,049 |
| 0012 | Attorney General Antitrust Account | 24 | 28 | 28 |
| 0032 | Firearm Safety Account | 310 | 337 | 338 |
| 0142 | Department of Justice Sexual Habitual Offender Fund | 613 | 724 | 744 |
| 0214 | Restitution Fund | 336 | 355 | 360 |
| 0367 | Indian Gaming Special Distribution Fund | 12,345 | 12,829 | 13,291 |
| 0378 | False Claims Act Fund | 578 | 679 | 699 |
| 0460 | Dealers' Record of Sale Special Account | 8,967 | 10,354 | 16,471 |
| 0567 | Gambling Control Fund | 6,744 | 7,246 | 7,458 |
| 0569 | Gambling Control Fines and Penalties Account | 16 | 22 | 21 |
| 0890 | Federal Trust Fund | 6,727 | 8,599 | 8,854 |
| 0942 | State Asset Forfeiture Account, Special Deposit Fund | 85 | 561 | 561 |
| 0942 | Federal Asset Forfeiture Account, Special Deposit Fund | 574 | 1,551 | 1,551 |
| 1008 | Firearms Safety and Enforcement Special Fund | 3,092 | 3,331 | 3,422 |
| 0995 | Reimbursements | 31,896 | 36,550 | 34,468 |
| 3016 | Missing Persons DNA Data Base Fund | 3,011 | 3,296 | 3,351 |
| 3086 | DNA Identification Fund | 60,900 | 63,271 | 65,318 |
| 9731 | Legal Services Revolving Fund | - | - | 6,888 |
| | Totals, State Operations | \$210,479 | \$204,570 | \$184,872 |
| | Local Assistance: | | | |
| 0214 | Restitution Fund | \$4,855 | \$4,855 | \$4,855 |

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| 0460 Dealers' Record of Sale Special Account | 28 | 28 | 28 |
| Totals, Local Assistance | \$4,883 | \$4,883 | \$4,883 |
| ELEMENT REQUIREMENTS | | | |
| 50.10 Investigation and Intelligence | \$34,793 | \$27,576 | \$- |
| State Operations: | | | |
| 0001 General Fund | 16,275 | 9,613 | - |
| 0012 Attorney General Antitrust Account | 24 | 28 | - |
| 0214 Restitution Fund | 336 | 355 | - |
| 0378 False Claims Act Fund | 578 | 679 | - |
| 0890 Federal Trust Fund | 832 | 584 | - |
| 0995 Reimbursements | 11,893 | 11,462 | - |
| Local Assistance: | | | |
| 0214 Restitution Fund | 4,855 | 4,855 | - |
| 50.15 Office of the Director | \$20,575 | \$20,884 | \$14,228 |
| State Operations: | | | |
| 0001 General Fund | 14,343 | 7,723 | 970 |
| 0214 Restitution Fund | - | - | 360 |
| 0367 Indian Gaming Special Distribution Fund | - | - | 371 |
| 0460 Dealers' Record of Sale Special Account | - | - | 415 |
| 0567 Gambling Control Fund | - | - | 208 |
| 0890 Federal Trust Fund | - | 3,448 | 3,703 |
| 0995 Reimbursements | 6,232 | 9,713 | 6,095 |
| 3086 DNA Identification Fund | - | - | 2,106 |
| Local Assistance: | | | |
| 0214 Restitution Fund | - | - | 4,855 |
| 50.20 Narcotic Enforcement | \$48,284 | \$32,168 | \$- |
| State Operations: | | | |
| 0001 General Fund | 36,216 | 19,731 | - |
| 0890 Federal Trust Fund | 3,084 | 2,117 | - |
| 0942 State Asset Forfeiture Account, Special Deposit Fund | 85 | 561 | - |
| 0942 Federal Asset Forfeiture Account, Special Deposit Fund | 574 | 1,551 | - |
| 0995 Reimbursements | 8,325 | 8,208 | - |
| 50.25 State Investigations | \$- | \$- | \$39,564 |
| State Operations: | | | |
| 0001 General Fund | - | - | 5,995 |
| 0012 Attorney General Antitrust Account | - | - | 28 |
| 0378 False Claims Act Fund | - | - | 699 |
| 0890 Federal Trust Fund | - | - | 2,665 |
| 0942 State Asset Forfeiture Account, Special Deposit Fund | - | - | 561 |
| 0942 Federal Asset Forfeiture Account, Special Deposit Fund | - | - | 1,551 |
| 0995 Reimbursements | - | - | 21,177 |
| 9731.0 Legal Services Revolving Fund | - | - | 6,888 |
| 50.30 Forensic Services | \$74,007 | \$86,303 | \$87,543 |
| State Operations: | | | |
| 0001 General Fund | 2,930 | 12,912 | 14,084 |
| 0142 Department of Justice Sexual Habitual Offender Fund | 613 | 724 | 744 |
| 0890 Federal Trust Fund | 2,811 | 2,450 | 2,486 |
| 0995 Reimbursements | 3,742 | 3,650 | 3,666 |
| 3016 Missing Persons DNA Data Base Fund | 3,011 | 3,296 | 3,351 |

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|---|------------------|------------------|------------------|
| 3086 DNA Identification Fund | 60,900 | 63,271 | 63,212 |
| 50.75 Gambling | \$19,198 | \$21,747 | \$21,841 |
| State Operations: | | | |
| 0367 Indian Gaming Special Distribution Fund | 12,345 | 12,829 | 12,920 |
| 0567 Gambling Control Fund | 6,744 | 7,246 | 7,250 |
| 0569 Gambling Control Fines and Penalties Account | 16 | 22 | 21 |
| 0995 Reimbursements | 93 | 1,650 | 1,650 |
| 50.80 Firearms | \$18,505 | \$20,775 | \$21,724 |
| State Operations: | | | |
| 0001 General Fund | 4,497 | 4,858 | - |
| 0032 Firearm Safety Account | 310 | 337 | 338 |
| 0460 Dealers' Record of Sale Special Account | 8,967 | 10,354 | 16,056 |
| 1008 Firearms Safety and Enforcement Special Fund | 3,092 | 3,331 | 3,422 |
| 0995 Reimbursements | 1,611 | 1,867 | 1,880 |
| Local Assistance: | | | |
| 0460 Dealers' Record of Sale Special Account | 28 | 28 | 28 |
| PROGRAM REQUIREMENTS | | | |
| 60 CALIFORNIA JUSTICE INFORMATION SERVICES | | | |
| State Operations: | | | |
| 0001 General Fund | \$48,701 | \$51,058 | \$51,742 |
| 0012 Attorney General Antitrust Account | 13 | 14 | 14 |
| 0017 Fingerprint Fees Account | 55,576 | 67,508 | 68,840 |
| 0044 Motor Vehicle Account, State Transportation Fund | 22,756 | 24,383 | 24,898 |
| 0142 Department of Justice Sexual Habitual Offender Fund | 1,430 | 1,463 | 1,546 |
| 0158 Travel Seller Fund | 12 | 13 | 13 |
| 0256 Sexual Predator Public Information Account | 82 | 171 | 181 |
| 0367 Indian Gaming Special Distribution Fund | 291 | 299 | 303 |
| 0378 False Claims Act Fund | 444 | 458 | 464 |
| 0460 Dealers' Record of Sale Special Account | 1,231 | 1,691 | 2,199 |
| 0566 Department of Justice Child Abuse Fund | 321 | 367 | 377 |
| 0569 Gambling Control Fines and Penalties Account | 25 | 26 | 26 |
| 0890 Federal Trust Fund | 276 | 730 | 420 |
| 0942 State Asset Forfeiture Account, Special Deposit Fund | 6 | 7 | 7 |
| 0942 Electronic Recording Authorization Account, Special Deposit Fund | 254 | 579 | 579 |
| 0995 Reimbursements | 833 | 2,640 | 2,666 |
| 3086 DNA Identification Fund | 1,863 | 2,445 | 2,460 |
| 3088 Registry of Charitable Trusts Fund | 263 | 272 | 276 |
| Totals, State Operations | \$134,377 | \$154,124 | \$157,011 |
| Local Assistance: | | | |
| 0641 Domestic Violence Restraining Order Reimbursement Fund | \$1,744 | \$1,018 | \$1,018 |
| Totals, Local Assistance | \$1,744 | \$1,018 | \$1,018 |
| ELEMENT REQUIREMENTS | | | |
| 60.10 O. J. Hawkins Data Center | \$39,869 | \$48,372 | \$49,459 |
| State Operations: | | | |
| 0001 General Fund | 14,309 | 17,078 | 17,251 |
| 0012 Attorney General Antitrust Account | 13 | 14 | 14 |
| 0017 Fingerprint Fees Account | 4,121 | 6,315 | 6,376 |

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| 0044 Motor Vehicle Account, State Transportation Fund | 18,212 | 21,198 | 21,534 |
| 0158 Travel Seller Fund | 12 | 13 | 13 |
| 0367 Indian Gaming Special Distribution Fund | 291 | 299 | 303 |
| 0378 False Claims Act Fund | 444 | 458 | 464 |
| 0460 Dealers' Record of Sale Special Account | 697 | 1,146 | 1,643 |
| 0569 Gambling Control Fines and Penalties Account | 25 | 26 | 26 |
| 0942 State Asset Forfeiture Account, Special Deposit Fund | 6 | 7 | 7 |
| 0995 Reimbursements | 502 | 524 | 529 |
| 3086 DNA Identification Fund | 998 | 1,048 | 1,049 |
| 3088 Registry of Charitable Trusts Fund | 239 | 246 | 250 |
| 60.30 Criminal Information and Analysis | \$54,549 | \$60,165 | \$61,533 |
| State Operations: | | | |
| 0001 General Fund | 16,162 | 16,842 | 17,103 |
| 0017 Fingerprint Fees Account | 37,212 | 41,731 | 42,815 |
| 0142 Department of Justice Sexual Habitual Offender Fund | 361 | 402 | 411 |
| 0460 Dealers' Record of Sale Special Account | 166 | 171 | 173 |
| 0566 Department of Justice Child Abuse Fund | 313 | 359 | 369 |
| 0942 Electronic Recording Authorization Account, Special Deposit Fund | 254 | 579 | 579 |
| 0995 Reimbursements | 81 | 81 | 83 |
| 60.40 Criminal Identification and Investigation Services | \$25,522 | \$29,618 | \$30,246 |
| State Operations: | | | |
| 0001 General Fund | 12,497 | 12,721 | 12,937 |
| 0017 Fingerprint Fees Account | 7,636 | 11,521 | 11,653 |
| 0044 Motor Vehicle Account, State Transportation Fund | 1,312 | 1,407 | 1,580 |
| 0142 Department of Justice Sexual Habitual Offender Fund | 1,051 | 1,043 | 1,117 |
| 0256 Sexual Predator Public Information Account | 63 | 151 | 161 |
| 0460 Dealers' Record of Sale Special Account | 366 | 372 | 381 |
| 3086 DNA Identification Fund | 853 | 1,385 | 1,399 |
| Local Assistance: | | | |
| 0641 Domestic Violence Restraining Order Reimbursement Fund | 1,744 | 1,018 | 1,018 |
| 60.60 Criminal Justice Operational Support Program | \$16,181 | \$16,987 | \$16,791 |
| State Operations: | | | |
| 0001 General Fund | 5,733 | 4,417 | 4,451 |
| 0017 Fingerprint Fees Account | 6,607 | 7,941 | 7,996 |
| 0044 Motor Vehicle Account, State Transportation Fund | 3,232 | 1,778 | 1,784 |
| 0142 Department of Justice Sexual Habitual Offender Fund | 18 | 18 | 18 |
| 0256 Sexual Predator Public Information Account | 19 | 20 | 20 |
| 0460 Dealers' Record of Sale Special Account | 2 | 2 | 2 |
| 0566 Department of Justice Child Abuse Fund | 8 | 8 | 8 |
| 0890 Federal Trust Fund | 276 | 730 | 420 |
| 0995 Reimbursements | 250 | 2,035 | 2,054 |
| 3086 DNA Identification Fund | 12 | 12 | 12 |
| 3088 Registry of Charitable Trusts Fund | 24 | 26 | 26 |
| 95.00 Reimbursement for General Fund Legal Services | | | |
| Costs | | | |
| State Operations: | | | |
| 0001 General Fund | \$- | -\$60,000 | \$- |

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|---------------------------------|------------------|------------------|------------------|
| Totals, State Operations | \$- | -\$60,000 | \$- |
| TOTALS, EXPENDITURES | | | |
| State Operations | 659,910 | 665,897 | 717,477 |
| Local Assistance | 6,627 | 5,901 | 5,901 |
| Totals, Expenditures | \$666,537 | \$671,798 | \$723,378 |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|----------------|----------------|------------------|------------------|------------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 4,564.5 | 5,243.2 | 5,243.2 | \$340,825 | \$374,972 | \$387,714 |
| Total Adjustments | - | -202.0 | -345.0 | - | -14,824 | -24,515 |
| Estimated Salary Savings | - | -252.0 | -244.9 | - | -11,275 | -23,440 |
| Net Totals, Salaries and Wages | 4,564.5 | 4,789.2 | 4,653.3 | \$340,825 | \$348,873 | \$339,759 |
| Staff Benefits | - | - | - | 126,960 | 129,761 | 126,860 |
| Totals, Personal Services | 4,564.5 | 4,789.2 | 4,653.3 | \$467,785 | \$478,634 | \$466,619 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$192,125 | \$247,263 | \$250,858 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Reimbursement for General Fund Legal Services Costs | | | | \$- | -\$60,000 | \$- |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$659,910 | \$665,897 | \$717,477 |

| 2 Local Assistance | Expenditures | | |
|---|----------------|----------------|----------------|
| | 2010-11* | 2011-12* | 2012-13* |
| Grants and Subventions | \$6,627 | \$5,901 | \$5,901 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$6,627 | \$5,901 | \$5,901 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|------------------|------------------|------------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$300,121 | \$217,721 | \$183,710 |
| Allocation for employee compensation | 425 | 1,229 | - |
| Adjustment per Section 3.60 | 4,091 | -1,078 | - |
| Adjustment per Section 3.90 | - | -3,010 | - |
| Adjustment per Section 3.91 | -13,852 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -458 | - | - |
| Transfer from Item 8640-001-0001 | 195 | 195 | - |
| 003 Budget Act appropriation, for rental payments on lease-revenue bonds | - | 4,091 | 4,084 |
| Adjustment per Section 4.30 | - | -15 | - |
| 011 Budget Act appropriation (Transfer to the DNA Identification Fund) | - | 10,000 | 10,000 |
| Revised expenditure authority per Provision 1 | - | -2,000 | - |
| 015 Budget Act appropriation | - | 1,500 | 3,358 |
| Totals Available | \$290,522 | \$228,633 | \$201,152 |
| Unexpended balance, estimated savings | -3,067 | - | - |
| TOTALS, EXPENDITURES | \$287,455 | \$228,633 | \$201,152 |

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|------------------|------------------|------------------|
| Less funding provided by the Litigation Deposits Fund | - | -60,000 | - |
| NET TOTALS, EXPENDITURES | \$287,455 | \$168,633 | \$201,152 |
| 0012 Attorney General Antitrust Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$2,220 | \$2,263 | \$2,346 |
| Allocation for employee compensation | 1 | 7 | - |
| Adjustment per Section 3.60 | 27 | -14 | - |
| Adjustment per Section 3.90 | - | -34 | - |
| Adjustment per Section 3.91 | -140 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -37 | - | - |
| Totals Available | \$2,071 | \$2,222 | \$2,346 |
| Unexpended balance, estimated savings | -193 | - | - |
| TOTALS, EXPENDITURES | \$1,878 | \$2,222 | \$2,346 |
| 0017 Fingerprint Fees Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$66,821 | \$68,015 | \$68,840 |
| Allocation for employee compensation | 135 | 135 | - |
| Adjustment per Section 3.60 | 503 | -212 | - |
| Adjustment per Section 3.90 | - | -430 | - |
| Adjustment per Section 3.91 | -2,003 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -578 | - | - |
| 011 Budget Act appropriation (Loan to General Fund) | - | (24,000) | - |
| Totals Available | \$64,878 | \$67,508 | \$68,840 |
| Unexpended balance, estimated savings | -9,302 | - | - |
| TOTALS, EXPENDITURES | \$55,576 | \$67,508 | \$68,840 |
| 0032 Firearm Safety Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$335 | \$339 | \$338 |
| Adjustment per Section 3.60 | - | -2 | - |
| Totals Available | \$335 | \$337 | \$338 |
| Unexpended balance, estimated savings | -25 | - | - |
| TOTALS, EXPENDITURES | \$310 | \$337 | \$338 |
| 0044 Motor Vehicle Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$24,069 | \$24,709 | \$24,898 |
| Allocation for employee compensation | 77 | 36 | - |
| Adjustment per Section 3.60 | 291 | -136 | - |
| Adjustment per Section 3.90 | - | -226 | - |
| Adjustment per Section 3.91 | -355 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -202 | - | - |
| Totals Available | \$23,880 | \$24,383 | \$24,898 |
| Unexpended balance, estimated savings | -1,124 | - | - |
| TOTALS, EXPENDITURES | \$22,756 | \$24,383 | \$24,898 |
| 0142 Department of Justice Sexual Habitual Offender Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$2,199 | \$2,245 | \$2,290 |
| Allocation for employee compensation | 4 | 25 | - |
| Adjustment per Section 3.60 | 41 | -34 | - |
| Adjustment per Section 3.90 | - | -49 | - |

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| Adjustment per Section 3.91 | -125 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -3 | - | - |
| Totals Available | \$2,116 | \$2,187 | \$2,290 |
| Unexpended balance, estimated savings | -73 | - | - |
| TOTALS, EXPENDITURES | \$2,043 | \$2,187 | \$2,290 |
| 0158 Travel Seller Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$1,364 | \$1,401 | \$1,401 |
| Allocation for employee compensation | 2 | 1 | - |
| Adjustment per Section 3.60 | 15 | -9 | - |
| Adjustment per Section 3.90 | - | -12 | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -13 | - | - |
| Totals Available | \$1,368 | \$1,381 | \$1,401 |
| Unexpended balance, estimated savings | -417 | - | - |
| TOTALS, EXPENDITURES | \$951 | \$1,381 | \$1,401 |
| 0214 Restitution Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$355 | \$359 | \$360 |
| Allocation for employee compensation | 1 | 1 | - |
| Adjustment per Section 3.60 | 3 | -2 | - |
| Adjustment per Section 3.90 | - | -3 | - |
| Totals Available | \$359 | \$355 | \$360 |
| Unexpended balance, estimated savings | -23 | - | - |
| TOTALS, EXPENDITURES | \$336 | \$355 | \$360 |
| 0256 Sexual Predator Public Information Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$171 | \$171 | \$181 |
| Allocation for employee compensation | - | 3 | - |
| Adjustment per Section 3.60 | - | -2 | - |
| Adjustment per Section 3.90 | - | -1 | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -3 | - | - |
| Totals Available | \$168 | \$171 | \$181 |
| Unexpended balance, estimated savings | -86 | - | - |
| TOTALS, EXPENDITURES | \$82 | \$171 | \$181 |
| 0367 Indian Gaming Special Distribution Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$14,146 | \$14,359 | \$15,224 |
| Allocation for employee compensation | 26 | 89 | - |
| Adjustment per Section 3.60 | 190 | -78 | - |
| Adjustment per Section 3.90 | - | -228 | - |
| Adjustment per Section 3.91 | -525 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -2 | - | - |
| Totals Available | \$13,835 | \$14,142 | \$15,224 |
| Unexpended balance, estimated savings | -233 | - | - |
| TOTALS, EXPENDITURES | \$13,602 | \$14,142 | \$15,224 |
| 0378 False Claims Act Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$10,676 | \$10,889 | \$11,821 |
| Allocation for employee compensation | 12 | 52 | - |

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| Adjustment per Section 3.60 | 112 | 11 | - |
| Adjustment per Section 3.90 | - | -149 | - |
| Adjustment per Section 3.91 | -538 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -29 | - | - |
| 011 Budget Act appropriation (Transfer to the General Fund) | - | (20,000) | - |
| 012 Budget Act appropriation (Loan to the General Fund) | (15,700) | - | - |
| Totals Available | \$10,233 | \$10,803 | \$11,821 |
| Unexpended balance, estimated savings | -913 | - | - |
| TOTALS, EXPENDITURES | \$9,320 | \$10,803 | \$11,821 |
| 0460 Dealers' Record of Sale Special Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$11,182 | \$11,251 | \$18,670 |
| Allocation for employee compensation | 19 | 63 | - |
| Adjustment per Section 3.60 | 149 | 24 | - |
| Adjustment per Section 3.90 | - | -158 | - |
| Adjustment per Section 3.91 | -700 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -28 | - | - |
| Revised expenditure authority per Provision 2 | - | 865 | - |
| 011 Budget Act appropriation (loan to General Fund) | - | (11,500) | - |
| Totals Available | \$10,622 | \$12,045 | \$18,670 |
| Unexpended balance, estimated savings | -424 | - | - |
| TOTALS, EXPENDITURES | \$10,198 | \$12,045 | \$18,670 |
| 0566 Department of Justice Child Abuse Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$365 | \$377 | \$377 |
| Allocation for employee compensation | 1 | 2 | - |
| Adjustment per Section 3.60 | 5 | -6 | - |
| Adjustment per Section 3.90 | - | -6 | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -1 | - | - |
| Totals Available | \$370 | \$367 | \$377 |
| Unexpended balance, estimated savings | -49 | - | - |
| TOTALS, EXPENDITURES | \$321 | \$367 | \$377 |
| 0567 Gambling Control Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$7,603 | \$7,706 | \$7,822 |
| Allocation for employee compensation | 15 | 32 | - |
| Adjustment per Section 3.60 | 96 | -29 | - |
| Adjustment per Section 3.90 | - | -104 | - |
| Adjustment per Section 3.91 | -422 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -1 | - | - |
| Totals Available | \$7,291 | \$7,605 | \$7,822 |
| Unexpended balance, estimated savings | -206 | - | - |
| TOTALS, EXPENDITURES | \$7,085 | \$7,605 | \$7,822 |
| 0569 Gambling Control Fines and Penalties Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$47 | \$48 | \$47 |
| Totals Available | \$47 | \$48 | \$47 |
| Unexpended balance, estimated savings | -6 | - | - |
| TOTALS, EXPENDITURES | \$41 | \$48 | \$47 |

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$34,364 | \$34,034 | \$34,412 |
| Allocation for employee compensation | 25 | 146 | - |
| Adjustment per Section 3.60 | 331 | 179 | - |
| Adjustment per Section 3.90 | - | -719 | - |
| Adjustment per Section 3.91 | -7 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -12 | - | - |
| Budget Adjustment | -5,635 | 308 | - |
| TOTALS, EXPENDITURES | \$29,066 | \$33,948 | \$34,412 |
| 0942 Special Deposit Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$1,551 | \$1,525 | \$1,551 |
| Adjustment per Section 3.60 | - | 26 | - |
| Adjustment per Section 3.91 | -280 | - | - |
| 011 Budget Act appropriation (State Asset Forfeiture Account) | 568 | 565 | 568 |
| Adjustment per Section 3.60 | - | 3 | - |
| Adjustment per Section 3.91 | -31 | - | - |
| Government Code Section 27397 (e) | 254 | 650 | 650 |
| Totals Available | \$2,062 | \$2,769 | \$2,769 |
| Unexpended balance, estimated savings | -1,143 | - | - |
| TOTALS, EXPENDITURES | \$919 | \$2,769 | \$2,769 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$33,679 | \$40,250 | \$37,564 |
| 1008 Firearms Safety and Enforcement Special Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$3,295 | \$3,353 | \$3,422 |
| Allocation for employee compensation | 1 | 17 | - |
| Adjustment per Section 3.60 | 21 | -5 | - |
| Adjustment per Section 3.90 | - | -34 | - |
| Adjustment per Section 3.91 | -120 | - | - |
| 011 Budget Act appropriation (Loan to the General Fund) | - | (4,900) | - |
| Totals Available | \$3,197 | \$3,331 | \$3,422 |
| Unexpended balance, estimated savings | -105 | - | - |
| TOTALS, EXPENDITURES | \$3,092 | \$3,331 | \$3,422 |
| 3016 Missing Persons DNA Data Base Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$3,388 | \$3,354 | \$3,351 |
| Allocation for employee compensation | - | 14 | - |
| Adjustment per Section 3.60 | 17 | -34 | - |
| Adjustment per Section 3.90 | - | -38 | - |
| Adjustment per Section 3.91 | -75 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -1 | - | - |
| 011 Budget Act appropriation (Loan to General Fund) | - | (4,000) | - |
| Totals Available | \$3,329 | \$3,296 | \$3,351 |
| Unexpended balance, estimated savings | -318 | - | - |
| TOTALS, EXPENDITURES | \$3,011 | \$3,296 | \$3,351 |
| 3053 Public Rights Law Enforcement Special Fund | | | |

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$5,718 | \$5,858 | \$5,797 |
| Allocation for employee compensation | 4 | 14 | - |
| Adjustment per Section 3.60 | 43 | 2 | - |
| Adjustment per Section 3.90 | - | -56 | - |
| Adjustment per Section 3.91 | -362 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -19 | - | - |
| Totals Available | \$5,384 | \$5,818 | \$5,797 |
| Unexpended balance, estimated savings | -4,932 | - | - |
| TOTALS, EXPENDITURES | \$452 | \$5,818 | \$5,797 |
| 3086 DNA Identification Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation (DNA Initiative) | \$73,337 | \$74,822 | \$77,778 |
| Allocation for employee compensation | 25 | 341 | - |
| Adjustment per Section 3.60 | 561 | -600 | - |
| Adjustment per Section 3.90 | - | -848 | - |
| Adjustment per Section 3.91 | -3,977 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -144 | - | - |
| 003 Budget Act appropriation (Lease Revenue) | 4,105 | - | - |
| Adjustment per Section 4.30 | -1 | - | - |
| Chapter 10, Statutes of 2011 | - | 1 | - |
| Totals Available | \$73,906 | \$73,716 | \$77,778 |
| Unexpended balance, estimated savings | -11,143 | - | - |
| TOTALS, EXPENDITURES | \$62,763 | \$73,716 | \$77,778 |
| Less funding provided by the General Fund | - | -8,000 | -10,000 |
| NET TOTALS, EXPENDITURES | \$62,763 | \$65,716 | \$67,778 |
| 3087 Unfair Competition Law Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation (Unfair Competition Law Fund) | \$9,748 | \$9,925 | \$10,543 |
| Allocation for employee compensation | 13 | 31 | - |
| Adjustment per Section 3.60 | 136 | -59 | - |
| Adjustment per Section 3.90 | - | -155 | - |
| Adjustment per Section 3.91 | -200 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -66 | - | - |
| Totals Available | \$9,631 | \$9,742 | \$10,543 |
| Unexpended balance, estimated savings | -911 | - | - |
| TOTALS, EXPENDITURES | \$8,720 | \$9,742 | \$10,543 |
| 3088 Registry of Charitable Trusts Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$2,855 | \$2,933 | \$2,947 |
| Allocation for employee compensation | 8 | 6 | - |
| Adjustment per Section 3.60 | 35 | -25 | - |
| Adjustment per Section 3.90 | - | -33 | - |
| Adjustment per Section 3.91 | -25 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -1 | - | - |
| 011 Budget Act appropriation (loan to General Fund) | - | (2,700) | - |
| Totals Available | \$2,872 | \$2,881 | \$2,947 |
| Unexpended balance, estimated savings | -267 | - | - |
| TOTALS, EXPENDITURES | \$2,605 | \$2,881 | \$2,947 |

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|------------------|------------------|------------------|
| 3136 Foreclosure Consultant Regulation Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$500 | \$- | \$- |
| Adjustment per Section 3.91 | -500 | - | - |
| TOTALS, EXPENDITURES | \$- | \$- | \$- |
| 9731 Legal Services Revolving Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation (Legal Services Revolving Fund) | \$118,515 | \$175,742 | \$194,839 |
| Allocation for employee compensation | 142 | 699 | - |
| Adjustment per Section 3.60 | 1,522 | -519 | - |
| Adjustment per Section 3.90 | - | -3,006 | - |
| Adjustment per Section 3.91 | -706 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -662 | - | - |
| Revised expenditure authority per Provision 1 | - | 11,500 | - |
| Totals Available | \$118,811 | \$184,416 | \$194,839 |
| Unexpended balance, estimated savings | -17,375 | - | - |
| TOTALS, EXPENDITURES | \$101,436 | \$184,416 | \$194,839 |
| Less funding provided by the General Fund | - | -1,500 | -3,358 |
| NET TOTALS, EXPENDITURES | \$101,436 | \$182,916 | \$191,481 |
| 9740 Central Service Cost Recovery Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$2,339 | \$3,090 | \$1,646 |
| Allocation for employee compensation | - | 12 | - |
| Adjustment per Section 3.60 | 30 | -8 | - |
| Adjustment per Section 3.90 | - | -51 | - |
| Adjustment per Section 3.91 | -150 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -6 | - | - |
| TOTALS, EXPENDITURES | \$2,213 | \$3,043 | \$1,646 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$659,910 | \$665,897 | \$717,477 |
| 2 LOCAL ASSISTANCE | 2010-11* | 2011-12* | 2012-13* |
| 0214 Restitution Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$4,855 | \$4,855 | \$4,855 |
| TOTALS, EXPENDITURES | \$4,855 | \$4,855 | \$4,855 |
| 0460 Dealers' Record of Sale Special Account | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$28 | \$28 | \$28 |
| TOTALS, EXPENDITURES | \$28 | \$28 | \$28 |
| 0641 Domestic Violence Restraining Order Reimbursement Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$1,918 | \$1,018 | \$1,018 |
| Totals Available | \$1,918 | \$1,018 | \$1,018 |
| Unexpended balance, estimated savings | -174 | - | - |
| TOTALS, EXPENDITURES | \$1,744 | \$1,018 | \$1,018 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$6,627 | \$5,901 | \$5,901 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | \$666,537 | \$671,798 | \$723,378 |

FUND CONDITION STATEMENTS

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| 0012 Attorney General Antitrust Account ^s | | | |
| BEGINNING BALANCE | \$643 | \$501 | \$268 |
| Prior year adjustments | <u>39</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$682 | \$501 | \$268 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 160100 Attorney General Proceeds of Anti-Trust | <u>1,700</u> | <u>2,000</u> | <u>2,200</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$1,700</u> | <u>\$2,000</u> | <u>\$2,200</u> |
| Total Resources | \$2,382 | \$2,501 | \$2,468 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0820 Department of Justice (State Operations) | 1,878 | 2,222 | 2,346 |
| 0840 State Controller (State Operations) | 2 | 4 | 2 |
| 8880 Financial Information System for California (State Operations) | <u>1</u> | <u>7</u> | <u>2</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$1,881</u> | <u>\$2,233</u> | <u>\$2,350</u> |
| FUND BALANCE | \$501 | \$268 | \$118 |
| Reserve for economic uncertainties | 501 | 268 | 118 |
| 0017 Fingerprint Fees Account ^s | | | |
| BEGINNING BALANCE | \$21,802 | \$32,003 | \$5,912 |
| Prior year adjustments | <u>817</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$22,619 | \$32,003 | \$5,912 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 131600 Fingerprint ID Card Fees | 65,126 | 65,738 | 66,396 |
| 150300 Income From Surplus Money Investments | 1 | - | - |
| Transfers and Other Adjustments: | | | |
| TO0001 To General Fund loan per Item 0820-011-0017, Budget Act of 2010 | <u>-</u> | <u>-24,000</u> | <u>-</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$65,127</u> | <u>\$41,738</u> | <u>\$66,396</u> |
| Total Resources | \$87,746 | \$73,741 | \$72,308 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0820 Department of Justice (State Operations) | 55,576 | 67,508 | 68,840 |
| 0840 State Controller (State Operations) | 126 | 113 | 59 |
| 8880 Financial Information System for California (State Operations) | <u>41</u> | <u>208</u> | <u>55</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$55,743</u> | <u>\$67,829</u> | <u>\$68,954</u> |
| FUND BALANCE | \$32,003 | \$5,912 | \$3,354 |
| Reserve for economic uncertainties | 32,003 | 5,912 | 3,354 |
| 0032 Firearm Safety Account ^s | | | |
| BEGINNING BALANCE | \$1,035 | \$1,285 | \$1,565 |
| Prior year adjustments | <u>16</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$1,051 | \$1,285 | \$1,565 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 142500 Miscellaneous Services to the Public | <u>544</u> | <u>618</u> | <u>704</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$544</u> | <u>\$618</u> | <u>\$704</u> |
| Total Resources | \$1,595 | \$1,903 | \$2,269 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|--|----------------|----------------|----------------|
| 0820 Department of Justice (State Operations) | 310 | 337 | 338 |
| 0840 State Controller (State Operations) | - | 1 | - |
| Total Expenditures and Expenditure Adjustments | <u>\$310</u> | <u>\$338</u> | <u>\$338</u> |
| FUND BALANCE | \$1,285 | \$1,565 | \$1,931 |
| Reserve for economic uncertainties | 1,285 | 1,565 | 1,931 |
| 0142 Department of Justice Sexual Habitual Offender Fund ^s | | | |
| BEGINNING BALANCE | \$2,308 | \$2,015 | \$1,512 |
| Prior year adjustments | <u>1</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$2,309 | \$2,015 | \$1,512 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 142500 Miscellaneous Services to the Public | <u>1,755</u> | <u>1,695</u> | <u>1,695</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$1,755</u> | <u>\$1,695</u> | <u>\$1,695</u> |
| Total Resources | \$4,064 | \$3,710 | \$3,207 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0820 Department of Justice (State Operations) | 2,043 | 2,187 | 2,290 |
| 0840 State Controller (State Operations) | 5 | 4 | 2 |
| 8880 Financial Information System for California (State Operations) | <u>1</u> | <u>7</u> | <u>2</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$2,049</u> | <u>\$2,198</u> | <u>\$2,294</u> |
| FUND BALANCE | \$2,015 | \$1,512 | \$913 |
| Reserve for economic uncertainties | 2,015 | 1,512 | 913 |
| 0158 Travel Seller Fund ^s | | | |
| BEGINNING BALANCE | \$2,311 | \$2,025 | \$1,337 |
| Prior year adjustments | <u>-4</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$2,307 | \$2,025 | \$1,337 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 142500 Miscellaneous Services to the Public | 661 | 689 | 689 |
| 150300 Income From Surplus Money Investments | <u>11</u> | <u>10</u> | <u>6</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$672</u> | <u>\$699</u> | <u>\$695</u> |
| Total Resources | \$2,979 | \$2,724 | \$2,032 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0820 Department of Justice (State Operations) | 951 | 1,381 | 1,401 |
| 0840 State Controller (State Operations) | 2 | 2 | 1 |
| 8880 Financial Information System for California (State Operations) | <u>1</u> | <u>4</u> | <u>1</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$954</u> | <u>\$1,387</u> | <u>\$1,403</u> |
| FUND BALANCE | \$2,025 | \$1,337 | \$629 |
| Reserve for economic uncertainties | 2,025 | 1,337 | 629 |
| 0255 Department of Justice DNA Testing Fund ^s | | | |
| BEGINNING BALANCE | \$296 | - | - |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 142500 Miscellaneous Services to the Public | 2 | - | - |
| Transfers and Other Adjustments: | | | |
| TO0001 To General Fund per Government Code Section 16346 | <u>-298</u> | <u>-</u> | <u>-</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>-\$296</u> | <u>-</u> | <u>-</u> |

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|---|----------|----------|----------|
| Total Resources | - | - | - |
| FUND BALANCE | - | - | - |
| 0256 Sexual Predator Public Information Account ^s | | | |
| BEGINNING BALANCE | \$373 | \$392 | \$372 |
| Prior year adjustments | -7 | - | - |
| Adjusted Beginning Balance | \$366 | \$392 | \$372 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 142500 Miscellaneous Services to the Public | 106 | 149 | 149 |
| 150300 Income From Surplus Money Investments | 2 | 2 | 2 |
| Total Revenues, Transfers, and Other Adjustments | \$108 | \$151 | \$151 |
| Total Resources | \$474 | \$543 | \$523 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0820 Department of Justice (State Operations) | 82 | 171 | 181 |
| Total Expenditures and Expenditure Adjustments | \$82 | \$171 | \$181 |
| FUND BALANCE | \$392 | \$372 | \$342 |
| Reserve for economic uncertainties | 392 | 372 | 342 |

0288 The Registry of International Student Exchange Visitor Placement Organizations

| | Fund ^s | | |
|--|-------------------|------|------|
| BEGINNING BALANCE | \$78 | \$82 | \$82 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 142500 Miscellaneous Services to the Public | 4 | - | - |
| Total Revenues, Transfers, and Other Adjustments | \$4 | - | - |
| Total Resources | \$82 | \$82 | \$82 |
| FUND BALANCE | \$82 | \$82 | \$82 |
| Reserve for economic uncertainties | 82 | 82 | 82 |

0378 False Claims Act Fund ^s

| | | | |
|--|----------|----------|----------|
| BEGINNING BALANCE | \$3,023 | \$717 | \$1,365 |
| Prior year adjustments | 544 | - | - |
| Adjusted Beginning Balance | \$3,567 | \$717 | \$1,365 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 150300 Income From Surplus Money Investments | 9 | 2 | 2 |
| 164400 Civil & Criminal Violation Assessment | 22,186 | 31,500 | 12,000 |
| Transfers and Other Adjustments: | | | |
| TO0001 To General Fund per Item 0820-011-0378 Budget Act of 2011 | - | -20,000 | - |
| TO0001 To General Fund loan per Item 0820-012-0378, Budget Act of 2010 | -15,700 | - | - |
| Total Revenues, Transfers, and Other Adjustments | \$6,495 | \$11,502 | \$12,002 |
| Total Resources | \$10,062 | \$12,219 | \$13,367 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0820 Department of Justice (State Operations) | 9,320 | 10,803 | 11,821 |
| 0840 State Controller (State Operations) | 19 | 18 | 9 |
| 8880 Financial Information System for California (State Operations) | 6 | 33 | 9 |
| Total Expenditures and Expenditure Adjustments | \$9,345 | \$10,854 | \$11,839 |
| FUND BALANCE | \$717 | \$1,365 | \$1,528 |

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|--|----------|----------|----------|
| Reserve for economic uncertainties | 717 | 1,365 | 1,528 |
| 0460 Dealers' Record of Sale Special Account ^s | | | |
| BEGINNING BALANCE | \$14,222 | \$18,235 | \$10,297 |
| Prior year adjustments | 69 | - | - |
| Adjusted Beginning Balance | \$14,291 | \$18,235 | \$10,297 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 125700 Other Regulatory Licenses and Permits | 2,504 | 2,439 | 2,417 |
| 142500 Miscellaneous Services to the Public | 11,691 | 13,249 | 14,958 |
| 150300 Income From Surplus Money Investments | 1 | 1 | 1 |
| Transfers and Other Adjustments: | | | |
| TO0001 To General Fund loan per Item 0820-011-0460, Budget Act of 2010 | - | -11,500 | - |
| Total Revenues, Transfers, and Other Adjustments | \$14,196 | \$4,189 | \$17,376 |
| Total Resources | \$28,487 | \$22,424 | \$27,673 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0820 Department of Justice | | | |
| State Operations | 10,198 | 12,045 | 18,670 |
| Local Assistance | 28 | 28 | 28 |
| 0840 State Controller (State Operations) | 19 | 19 | 10 |
| 8880 Financial Information System for California (State Operations) | 7 | 35 | 9 |
| Total Expenditures and Expenditure Adjustments | \$10,252 | \$12,127 | \$18,717 |
| FUND BALANCE | \$18,235 | \$10,297 | \$8,956 |
| Reserve for economic uncertainties | 18,235 | 10,297 | 8,956 |
| 0566 Department of Justice Child Abuse Fund ^s | | | |
| BEGINNING BALANCE | \$1,403 | \$1,574 | \$1,714 |
| Prior year adjustments | -3 | - | - |
| Adjusted Beginning Balance | \$1,400 | \$1,574 | \$1,714 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 142500 Miscellaneous Services to the Public | 488 | 499 | 499 |
| 150300 Income From Surplus Money Investments | 7 | 9 | 9 |
| Total Revenues, Transfers, and Other Adjustments | \$495 | \$508 | \$508 |
| Total Resources | \$1,895 | \$2,082 | \$2,222 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0820 Department of Justice (State Operations) | 321 | 367 | 377 |
| 0840 State Controller (State Operations) | - | 1 | - |
| Total Expenditures and Expenditure Adjustments | \$321 | \$368 | \$377 |
| FUND BALANCE | \$1,574 | \$1,714 | \$1,845 |
| Reserve for economic uncertainties | 1,574 | 1,714 | 1,845 |
| 0569 Gambling Control Fines and Penalties Account ^s | | | |
| BEGINNING BALANCE | \$286 | \$1,651 | \$1,650 |
| Prior year adjustments | -7 | - | - |
| Adjusted Beginning Balance | \$279 | \$1,651 | \$1,650 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 164300 Penalty Assessments | 1,413 | 47 | 47 |

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| Total Revenues, Transfers, and Other Adjustments | <u>\$1,413</u> | <u>\$47</u> | <u>\$47</u> |
| Total Resources | \$1,692 | \$1,698 | \$1,697 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0820 Department of Justice (State Operations) | <u>41</u> | <u>48</u> | <u>47</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$41</u> | <u>\$48</u> | <u>\$47</u> |
| FUND BALANCE | \$1,651 | \$1,650 | \$1,650 |
| Reserve for economic uncertainties | 1,651 | 1,650 | 1,650 |
| 1008 Firearms Safety and Enforcement Special Fund ^s | | | |
| BEGINNING BALANCE | \$3,757 | \$5,158 | \$1,821 |
| Prior year adjustments | <u>-24</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$3,733 | \$5,158 | \$1,821 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 142500 Miscellaneous Services to the Public | 4,504 | 4,886 | 5,566 |
| 150300 Income From Surplus Money Investments | 22 | 24 | 27 |
| Transfers and Other Adjustments: | | | |
| TO0001 To General Fund loan per Item 0820-011-1008, Budget Act of 2010 | <u>-</u> | <u>-4,900</u> | <u>-</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$4,526</u> | <u>\$10</u> | <u>\$5,593</u> |
| Total Resources | \$8,259 | \$5,168 | \$7,414 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0820 Department of Justice (State Operations) | 3,092 | 3,331 | 3,422 |
| 0840 State Controller (State Operations) | 7 | 6 | 3 |
| 8880 Financial Information System for California (State Operations) | <u>2</u> | <u>10</u> | <u>3</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$3,101</u> | <u>\$3,347</u> | <u>\$3,428</u> |
| FUND BALANCE | \$5,158 | \$1,821 | \$3,986 |
| Reserve for economic uncertainties | 5,158 | 1,821 | 3,986 |
| 3016 Missing Persons DNA Data Base Fund ^s | | | |
| BEGINNING BALANCE | \$4,312 | \$4,380 | \$358 |
| Prior year adjustments | <u>-87</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$4,225 | \$4,380 | \$358 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 131700 Misc Revenue From Local Agencies | 3,155 | 3,267 | 3,267 |
| 150300 Income From Surplus Money Investments | 21 | 23 | 23 |
| Transfers and Other Adjustments: | | | |
| TO0001 To General Fund loan per Item 0820-011-3016, Budget Act of 2010 | <u>-</u> | <u>-4,000</u> | <u>-</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$3,176</u> | <u>-\$710</u> | <u>\$3,290</u> |
| Total Resources | \$7,401 | \$3,670 | \$3,648 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0820 Department of Justice (State Operations) | 3,011 | 3,296 | 3,351 |
| 0840 State Controller (State Operations) | 7 | 6 | 3 |
| 8880 Financial Information System for California (State Operations) | <u>3</u> | <u>10</u> | <u>3</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$3,021</u> | <u>\$3,312</u> | <u>\$3,357</u> |
| FUND BALANCE | \$4,380 | \$358 | \$291 |
| Reserve for economic uncertainties | 4,380 | 358 | 291 |

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| 3053 Public Rights Law Enforcement Special Fund ^s | | | |
| BEGINNING BALANCE | \$4,395 | \$5,535 | \$2,710 |
| Prior year adjustments | <u>1,301</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$5,696 | \$5,535 | \$2,710 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 150300 Income From Surplus Money Investments | 16 | 20 | 10 |
| 163000 Settlements/Judgments(not Anti-trust) | <u>289</u> | <u>3,000</u> | <u>4,000</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$305</u> | <u>\$3,020</u> | <u>\$4,010</u> |
| Total Resources | \$6,001 | \$8,555 | \$6,720 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0820 Department of Justice (State Operations) | 452 | 5,818 | 5,797 |
| 0840 State Controller (State Operations) | 10 | 10 | 5 |
| 8880 Financial Information System for California (State Operations) | <u>4</u> | <u>17</u> | <u>5</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$466</u> | <u>\$5,845</u> | <u>\$5,807</u> |
| FUND BALANCE | \$5,535 | \$2,710 | \$913 |
| Reserve for economic uncertainties | 5,535 | 2,710 | 913 |
| 3086 DNA Identification Fund ^s | | | |
| BEGINNING BALANCE | \$44,714 | \$24,410 | \$9,218 |
| Prior year adjustments | <u>1,405</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$46,119 | \$24,410 | \$9,218 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 150300 Income From Surplus Money Investments | 192 | 349 | 349 |
| 164300 Penalty Assessments | <u>40,937</u> | <u>50,538</u> | <u>58,399</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$41,129</u> | <u>\$50,887</u> | <u>\$58,748</u> |
| Total Resources | \$87,248 | \$75,297 | \$67,966 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0820 Department of Justice (State Operations) | 62,763 | 73,716 | 77,778 |
| 0840 State Controller (State Operations) | 56 | 124 | 65 |
| 8880 Financial Information System for California (State Operations) | 19 | 239 | 63 |
| Expenditure Adjustments: | | | |
| 0820 Department of Justice | | | |
| Less funding provided by the General Fund (State Operations) | <u>-</u> | <u>-8,000</u> | <u>-10,000</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$62,838</u> | <u>\$66,079</u> | <u>\$67,906</u> |
| FUND BALANCE | \$24,410 | \$9,218 | \$60 |
| Reserve for economic uncertainties | 24,410 | 9,218 | 60 |
| 3087 Unfair Competition Law Fund ^s | | | |
| BEGINNING BALANCE | \$3,530 | \$1,125 | \$341 |
| Prior year adjustments | <u>133</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$3,663 | \$1,125 | \$341 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 150300 Income From Surplus Money Investments | 14 | 4 | 1 |
| 164300 Penalty Assessments | <u>6,177</u> | <u>9,000</u> | <u>11,000</u> |
| Total Revenues, Transfers, and Other Adjustments | \$6,191 | \$9,004 | \$11,001 |

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|--|----------------|----------------|-----------------|
| Total Resources | \$9,854 | \$10,129 | \$11,342 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0820 Department of Justice (State Operations) | 8,720 | 9,742 | 10,543 |
| 0840 State Controller (State Operations) | 7 | 16 | 9 |
| 8880 Financial Information System for California (State Operations) | <u>2</u> | <u>30</u> | <u>8</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$8,729</u> | <u>\$9,788</u> | <u>\$10,560</u> |
| FUND BALANCE | \$1,125 | \$341 | \$782 |
| Reserve for economic uncertainties | 1,125 | 341 | 782 |
| 3088 Registry of Charitable Trusts Fund ^s | | | |
| BEGINNING BALANCE | \$3,086 | \$3,962 | \$1,805 |
| Prior year adjustments | <u>103</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$3,189 | \$3,962 | \$1,805 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 142500 Miscellaneous Services to the Public | 3,366 | 3,414 | 3,414 |
| 150300 Income From Surplus Money Investments | 19 | 24 | 11 |
| Transfers and Other Adjustments: | | | |
| TO0001 To General Fund loan per Item 0820-011-3088, Budget Act of 2010 | <u>-</u> | <u>-2,700</u> | <u>-</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$3,385</u> | <u>\$738</u> | <u>\$3,425</u> |
| Total Resources | \$6,574 | \$4,700 | \$5,230 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0820 Department of Justice (State Operations) | 2,605 | 2,881 | 2,947 |
| 0840 State Controller (State Operations) | 5 | 5 | 3 |
| 8880 Financial Information System for California (State Operations) | <u>2</u> | <u>9</u> | <u>2</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$2,612</u> | <u>\$2,895</u> | <u>\$2,952</u> |
| FUND BALANCE | \$3,962 | \$1,805 | \$2,278 |
| Reserve for economic uncertainties | 3,962 | 1,805 | 2,278 |
| 3136 Foreclosure Consultant Regulation Fund ^s | | | |
| BEGINNING BALANCE | \$7 | \$10 | \$13 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 125600 Other Regulatory Fees | <u>3</u> | <u>3</u> | <u>3</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$3</u> | <u>\$3</u> | <u>\$3</u> |
| Total Resources | <u>\$10</u> | <u>\$13</u> | <u>\$16</u> |
| FUND BALANCE | \$10 | \$13 | \$16 |
| Reserve for economic uncertainties | 10 | 13 | 16 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|---|----------------------------------|---------|---------|---------------------|-----------|-----------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Totals, Authorized Positions | 4,564.5 | 5,243.2 | 5,243.2 | \$340,825 | \$374,972 | \$387,714 |
| Salary Adjustments | - | - | - | - | 6 | 11 |
| Workload and Administrative Adjustments: | | | | | | |
| Control Section 3.91 Reduction: | | | | | | |
| Asst Bureau Chief | - | -0.5 | -1.0 | 8,401-9,875 | -60 | -123 |
| Asst Bureau Chief (Non-Peace Off) | - | -0.5 | -1.0 | 8,401-9,264 | -55 | -111 |
| Special Agent-In-Charge DOJ | - | -0.5 | -1.0 | 7,370-8,939 | -44 | -89 |
| C.E.A. I | - | -0.5 | -1.0 | 6,173-7,838 | -37 | -74 |

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

| | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|--------------|--------------|--------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| DOJ Administrator II | - | -0.5 | -1.0 | 6,159-6,788 | -40 | -82 |
| Special Agent Supvr-DOJ | - | -2.5 | -5.0 | 5,925-8,069 | -185 | -383 |
| Criminal ID & Intelligence Supvr | - | -1.0 | -2.0 | 4,522-5,460 | -60 | -125 |
| Criminal Intelligence Spec III | - | -2.0 | -4.0 | 3,748-4,519 | -91 | -189 |
| Special Agent DOJ | - | -8.0 | -16.0 | 3,740-7,341 | -666 | -1,386 |
| Criminal Intelligence Spec II | - | -3.5 | -7.0 | 3,424-4,115 | -151 | -321 |
| Criminal Intelligence Spec I | - | -5.0 | -10.0 | 2,877-3,424 | -183 | -387 |
| Staff Services Analyst-Gen | - | -0.5 | -1.0 | 2,817-4,446 | -17 | -34 |
| Office Techn-Typing | - | -1.0 | -2.0 | 2,686-3,264 | -35 | -71 |
| Overtime-Regular | - | - | - | - | -16 | -32 |
| Overtime-Agent | - | - | - | - | -40 | -80 |
| Total Control Section 3.91 Reduction | - | -26.0 | -52.0 | \$- | -\$1,680 | -\$3,487 |
| Division of Law Enforcement General Fund | | | | | | |
| Position Reduction: | | | | | | |
| Asst Bureau Chief | - | -1.0 | -2.0 | 8,401-9,875 | -112 | -228 |
| Sr Special Agent-In-Charge | - | -3.5 | -7.0 | 7,739-9,385 | -400 | -812 |
| Special Agent-In-Charge DOJ | - | -7.0 | -14.0 | 7,370-8,939 | -756 | -1,541 |
| DOJ Administrator III | - | -0.5 | -1.0 | 6,772-7,467 | -40 | -81 |
| C.E.A. I | - | -1.0 | -2.0 | 6,173-7,838 | -73 | -148 |
| Special Agent Supvr-DOJ | - | -29.5 | -59.0 | 5,925-8,069 | -2,711 | -5,625 |
| Sr Photo Electronics Spec | - | -0.5 | -1.0 | 5,098-6,451 | -30 | -61 |
| Investigative Auditor IV-Supvr DOJ | - | -0.5 | -1.0 | 5,079-6,434 | -30 | -61 |
| DOJ Administrator I | - | -0.5 | -1.0 | 5,079-6,130 | -36 | -74 |
| Staff Services Mgr I | - | -1.5 | -3.0 | 5,079-6,127 | -99 | -209 |
| Investigative Auditor IV-Spec DOJ | - | -1.5 | -3.0 | 4,833-6,168 | -109 | -222 |
| Photo Electronics Spec | - | -1.0 | -2.0 | 4,643-5,607 | -65 | -135 |
| Investigative Auditor III DOJ | - | -1.0 | -2.0 | 4,619-5,897 | -70 | -142 |
| Criminal ID & Intelligence Supvr | - | -2.5 | -5.0 | 4,522-5,460 | -150 | -305 |
| Aircraft Pilot | - | -0.5 | -1.0 | 4,503-5,214 | -26 | -54 |
| Telecomm Systems Analyst II | - | -0.5 | -1.0 | 4,400-5,616 | -33 | -67 |
| Assoc Business Mgt Analyst | - | -0.5 | -1.0 | 4,400-5,348 | -32 | -64 |
| Assoc Govtl Prog Analyst | - | -3.0 | -6.0 | 4,400-5,348 | -183 | -374 |
| Criminal Intelligence Spec III | - | -1.0 | -2.0 | 3,748-4,519 | -52 | -108 |
| Special Agent DOJ | - | -75.5 | -151.0 | 3,740-7,341 | -5,618 | -11,739 |
| Criminal Intelligence Spec II | - | -6.5 | -13.0 | 3,424-4,115 | -296 | -616 |
| Property Controller II | - | -5.0 | -10.0 | 3,297-4,005 | -235 | -482 |
| Security Off I-DOJ | - | -3.0 | -6.0 | 3,155-3,957 | -116 | -239 |
| Research Analyst I-Gen | - | -0.5 | -1.0 | 3,106-4,670 | -18 | -37 |
| Office Services Supvr II-Gen | - | -2.0 | -4.0 | 2,953-3,590 | -85 | -172 |
| Program Techn III | - | -1.0 | -2.0 | 2,951-3,588 | -39 | -79 |
| Property Controller I | - | -2.5 | -5.0 | 2,902-3,527 | -105 | -214 |
| Criminal Intelligence Spec I | - | -7.0 | -14.0 | 2,877-3,424 | -256 | -530 |
| Staff Services Analyst-Gen | - | -3.5 | -7.0 | 2,817-4,446 | -162 | -332 |
| Dispatcher-Clerk | - | -3.0 | -6.0 | 2,771-3,368 | -113 | -229 |
| Sr Word Processing Techn | - | -0.5 | -1.0 | 2,742-3,334 | -20 | -40 |
| Office Techn-Typing | - | -6.0 | -12.0 | 2,686-3,264 | -229 | -467 |
| Office Services Supvr I-Typing | - | -0.5 | -1.0 | 2,638-3,263 | -20 | -40 |
| Program Techn II | - | -1.0 | -2.0 | 2,638-3,209 | -37 | -75 |

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued

| | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|----------------|----------------|------------------|------------------|------------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Word Processing Techn | - | -1.0 | -2.0 | 2,324-2,975 | -35 | -71 |
| Program Techn | - | -0.5 | -1.0 | 2,280-2,975 | -17 | -34 |
| Temp Help-Regular | - | - | - | - | -192 | -384 |
| Temp Help-Agent | - | - | - | - | -191 | -382 |
| Overtime-Regular | - | - | - | - | -18 | -36 |
| Overtime-Agent | - | - | - | - | -341 | -682 |
| Total Division of Law Enforcement General Fund | - | -176.0 | -352.0 | \$- | -\$13,150 | -\$27,191 |
| Position Reduction: | | | | | | |
| Total Workload and Administrative Adjustments | - | -202.0 | -404.0 | \$- | -\$14,830 | -\$30,678 |
| Proposed New Positions: | | | | | | |
| Division of Law Enforcement: | | | | | | |
| Special Agent-In-Charge DOJ | - | - | 3.0 | 7,370-8,939 | - | 371 |
| Special Agent Supvr-DOJ | - | - | 11.0 | 5,925-8,069 | - | 1,116 |
| Special Agent DOJ (8.0 LT pos exp 6-30-15) | - | - | 35.0 | 3,740-7,341 | - | 3,281 |
| Criminal Intelligence Spec II | - | - | 3.0 | 3,424-4,115 | - | 149 |
| Property Controller I | - | - | 2.0 | 2,902-3,527 | - | 85 |
| Staff Services Analyst-Gen | - | - | 2.0 | 2,817-4,446 | - | 108 |
| Office Techn-Typing | - | - | 3.0 | 2,686-3,264 | - | 119 |
| Temp Help-Regular | - | - | - | - | - | 7 |
| Overtime-Agent | - | - | - | - | - | 901 |
| California Justice Information Services: | | | | | | |
| Overtime-Regular | - | - | - | - | - | 15 |
| Totals Proposed New Positions | - | - | 59.0 | \$- | \$- | \$6,152 |
| Total Adjustments | - | -202.0 | -345.0 | \$- | -\$14,824 | -\$24,515 |
| TOTALS, SALARIES AND WAGES | 4,564.5 | 5,041.2 | 4,898.2 | \$340,825 | \$360,148 | \$363,199 |

INFRASTRUCTURE OVERVIEW

The Department of Justice (DOJ) has 90 facilities statewide consisting of 11 forensic laboratories, 6 legal offices, 28 storage locations, 2 aircraft hangars and 43 other multi-functional locations totaling 2.1 million square feet.

These facilities support the constitutional office of the Attorney General who, as Chief Law Enforcement Officer of the state, has the responsibility to see that the laws of California are uniformly and adequately enforced. This responsibility is fulfilled through the diverse legal, law enforcement and criminal justice information programs of the DOJ.

SUMMARY OF PROJECTS

| | | State Building Program Expenditures | 2010-11* | 2011-12* | 2012-13* |
|---|-------------------------------|-------------------------------------|-------------------|-----------------|-----------------|
| 85 | CAPITAL OUTLAY | | | | |
| | Major Projects | | | | |
| 85.60 | STATEWIDE | | \$179 | \$- | \$- |
| 85.60.030 | Fresno Replacement Laboratory | | 179 ^{Cg} | - | - |
| | Totals, Major Projects | | \$179 | \$- | \$- |
| TOTALS, EXPENDITURES, ALL PROJECTS | | | \$179 | \$- | \$- |
| FUNDING | | | 2010-11* | 2011-12* | 2012-13* |
| 0001 | General Fund | | \$179 | \$- | \$- |
| TOTALS, EXPENDITURES, ALL FUNDS | | | \$179 | \$- | \$- |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

* Dollars in thousands, except in Salary Range.

0820 Department of Justice - Continued**3 CAPITAL OUTLAY****2010-11* 2011-12* 2012-13*****0001 General Fund**

APPROPRIATIONS

Prior year balances available:

Item 0820-301-0001, Budget Act of 2000

0 - -

Augmentation per Government Code Sections 16352, 16409 and 16354

\$179 - -

TOTALS, EXPENDITURES**\$179 \$- \$-****TOTALS, EXPENDITURES, ALL FUNDS (Capital Outlay)****\$179 \$- \$-****0840 State Controller**

The State Controller is the Chief Fiscal Officer of California, the eighth largest economy in the world, and is principally responsible for accountability of the state's resources. The Controller ensures the appropriate expenditure of -- and accounting for -- every tax payer dollar, advancing the long-term sustainability and responsible stewardship of California public resources. The Controller chairs or serves on 81 state boards and commissions, and is charged with duties ranging from overseeing the administration of the nation's two largest public pension funds, to protecting our coastline and helping to build hospitals. The Controller provides sound fiscal control for, and independent oversight of, more than \$100 billion in receipts and disbursements of public funds. In addition, the Controller offers fiscal guidance to local governments, and performs audit functions to uncover fraud and abuse of taxpayer dollars. The Controller's primary objectives are to:

- Account for and control disbursement of all state funds.
- Determine legality and accuracy of every claim against the State.
- Issue warrants in payment of the State's bills including lottery prizes.
- Administer the Uniform State Payroll System.
- Audit and process all personnel and payroll transactions for state civil service, exempt employees, and state university and college system employees.
- Audit state and local government programs.
- Inform the public of the State's financial condition.
- Administer the Unclaimed Property Law.
- Inform the public of financial transactions of city, county and district governments.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | | Personnel Years | | | Expenditures | | |
|--|----------------------------------|-----------------|----------------|----------------|------------------|------------------|------------------|
| | | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 | Accounting and Reporting | 177.8 | 205.5 | 204.4 | \$27,076 | \$27,636 | \$28,971 |
| 20 | Audits | 276.2 | 343.9 | 322.1 | 37,398 | 44,775 | 43,966 |
| 30 | Personnel/Payroll Services | 272.4 | 289.9 | 383.0 | 92,431 | 87,287 | 107,150 |
| 50 | Unclaimed Property | 209.7 | 224.2 | 248.9 | 27,517 | 25,777 | 31,161 |
| 60 | Administration and Disbursements | 340.8 | 387.8 | 386.1 | 70,402 | 72,395 | 74,795 |
| 70.01 | Distributed to Other Programs | - | - | - | -37,715 | -34,524 | -40,080 |
| 80 | Loan Repayment Programs | - | - | - | -206 | -194 | -183 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | | 1,276.9 | 1,451.3 | 1,544.5 | \$216,903 | \$223,152 | \$245,780 |

FUNDING**2010-11* 2011-12* 2012-13***

| | | | | |
|------|---|----------|----------|----------|
| 0001 | General Fund | \$71,920 | \$75,416 | \$88,636 |
| 0002 | Property Acquisition Law Money Account | 2 | 2 | 2 |
| 0003 | Motor Vehicle Parking Facilities Moneys Account | 2 | 3 | 2 |
| 0006 | Disability Access Account | 7 | - | 4 |
| 0009 | Breast Cancer Control Account | 51 | 29 | 23 |
| 0012 | Attorney General Antitrust Account | 2 | 4 | 2 |
| 0014 | Hazardous Waste Control Account | 87 | 61 | 58 |
| 0017 | Fingerprint Fees Account | 126 | 113 | 59 |
| 0020 | California State Law Library Special Account | 15 | 9 | 9 |
| 0022 | State Emergency Telephone Number Account | 51 | 165 | 42 |
| 0026 | State Motor Vehicle Insurance Account | 22 | 15 | 16 |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| FUNDING | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| 0028 Unified Program Account | 15 | 32 | 15 |
| 0029 Nuclear Planning Assessment Special Account | 12 | 15 | 17 |
| 0032 Firearm Safety Account | - | 1 | - |
| 0033 State Energy Conservation Assistance Account | - | 1 | 2 |
| 0035 Surface Mining and Reclamation Account | - | 2 | 3 |
| 0041 Aeronautics Account, State Transportation Fund | 12 | 8 | 7 |
| 0042 State Highway Account, State Transportation Fund | 8,377 | 5,962 | 5,875 |
| 0044 Motor Vehicle Account, State Transportation Fund | 8,984 | 4,927 | 6,213 |
| 0046 Public Transportation Account, State Transportation Fund | 596 | 362 | 348 |
| 0054 New Motor Vehicle Board Account | - | - | 6 |
| 0061 Motor Vehicle Fuel Account, Transportation Tax Fund | 3,973 | 4,430 | 4,432 |
| 0062 Highway Users Tax Account, Transportation Tax Fund | 1,318 | 1,519 | 1,511 |
| 0064 Motor Vehicle License Fee Account, Transportation Tax Fund | 26 | 482 | 96 |
| 0066 Sale of Tobacco to Minors Control Account | 5 | 5 | 5 |
| 0067 State Corporations Fund | 70 | 43 | 41 |
| 0069 State Board of Barbering and Cosmetology Fund | 27 | 19 | 18 |
| 0070 Occupational Lead Poisoning Prevention Account | 10 | 11 | 12 |
| 0074 Medical Waste Management Fund | 5 | 4 | 4 |
| 0075 Radiation Control Fund | 60 | 45 | 41 |
| 0076 Tissue Bank License Fund | - | 1 | 1 |
| 0078 Graphic Design License Plate Account | 2 | 2 | 1 |
| 0080 Childhood Lead Poisoning Prevention Fund | 73 | 54 | 46 |
| 0082 Export Document Program Fund | 2 | - | 1 |
| 0096 Cal-OSHA Targeted Inspection and Consultation Fund | 15 | 11 | 9 |
| 0098 Clinical Laboratory Improvement Fund | 15 | 18 | 17 |
| 0099 Health Statistics Special Fund | 58 | 47 | 43 |
| 0100 California Used Oil Recycling Fund | 5 | 1 | 6 |
| 0102 State Fire Marshal Licensing and Certification Fund | 36 | 137 | 26 |
| 0106 Department of Pesticide Regulation Fund | 82 | 52 | 57 |
| 0108 Acupuncture Fund | 5 | 3 | 3 |
| 0111 Department of Agriculture Account, Department of Food and Agriculture Fund | 164 | 286 | 279 |
| 0115 Air Pollution Control Fund | 145 | 100 | 96 |
| 0117 Alcoholic Beverage Control Appeals Fund | 2 | 1 | 2 |
| 0121 Hospital Building Fund | 60 | 55 | 47 |
| 0133 California Beverage Container Recycling Fund | 138 | - | 36 |
| 0139 Driving Under-the-Influence Program Licensing Trust Fund | 5 | 6 | 5 |
| 0140 California Environmental License Plate Fund | 94 | 121 | 338 |
| 0141 Soil Conservation Fund | - | 3 | 3 |
| 0142 Department of Justice Sexual Habitual Offender Fund | 5 | 4 | 2 |
| 0143 California Health Data and Planning Fund | 22 | 21 | 19 |
| 0152 State Board of Chiropractic Examiners Fund | 2 | 3 | 3 |
| 0158 Travel Seller Fund | 2 | 2 | 1 |
| 0159 Trial Court Improvement Fund | 5 | 12 | 13 |
| 0163 Continuing Care Provider Fee Fund | 111 | 96 | 30 |
| 0166 Certification Account, Consumer Affairs Fund | 2 | 1 | 2 |
| 0169 California Debt Limit Allocation Committee Fund | 2 | - | 1 |
| 0171 California Debt and Investment Advisory Commission Fund | 2 | 4 | 3 |
| 0172 Developmental Disabilities Program Development Fund | 15 | 459 | 465 |
| 0177 Food Safety Fund | 17 | 13 | 13 |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| FUNDING | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| 0178 Driver Training Penalty Assessment Fund | 36 | 35 | 30 |
| 0179 Environmental Laboratory Improvement Fund | 7 | 7 | 6 |
| 0181 Registered Nurse Education Fund | 2 | 2 | 2 |
| 0184 Employment Development Department Benefit Audit Fund | 70 | 64 | 81 |
| 0185 Employment Development Department Contingent Fund | 431 | 213 | 258 |
| 0191 Fair and Exposition Fund | 19 | - | - |
| 0193 Waste Discharge Permit Fund | 48 | 32 | 34 |
| 0194 Emergency Medical Services Training Program Approval Fund | 2 | 2 | - |
| 0198 California Fire and Arson Training Fund | 34 | 155 | 29 |
| 0200 Fish and Game Preservation Fund | 244 | 215 | 206 |
| 0203 Genetic Disease Testing Fund | 44 | 42 | 37 |
| 0205 Geology and Geophysics Account, Professional Engineer's and Land Surveyor's Fund | 2 | 1 | 1 |
| 0207 Fish and Wildlife Pollution Account | 7 | 6 | 5 |
| 0208 Hearing Aid Dispensers Account of the Speech-Language Pathology and Audiology Fund | 2 | 1 | - |
| 0209 California Hazardous Liquid Pipeline Safety Fund | 41 | 162 | 30 |
| 0212 Marine Invasive Species Control Fund | 10 | 8 | 8 |
| 0214 Restitution Fund | 80 | 47 | 40 |
| 0217 Insurance Fund | 346 | 189 | 193 |
| 0223 Workers' Compensation Administration Revolving Fund | 254 | 216 | 165 |
| 0226 California Tire Recycling Management Fund | 12 | - | 8 |
| 0228 Secretary of State's Business Fees Fund | 73 | 48 | 63 |
| 0231 Health Education Account, Cigarette and Tobacco Products Surtax Fund | 41 | 35 | 32 |
| 0234 Research Account, Cigarette and Tobacco Products Surtax Fund | 15 | 10 | 10 |
| 0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund | 24 | 88 | 33 |
| 0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund | - | - | 73 |
| 0239 Private Security Services Fund | 22 | 13 | 20 |
| 0240 Local Agency Deposit Security Fund | - | 1 | 1 |
| 0242 Court Collection Account | 19 | 60 | 20 |
| 0243 Narcotic Treatment Program Licensing Trust Fund | 5 | 5 | 4 |
| 0245 Mobilehome Park Revolving Fund | 17 | 11 | 8 |
| 0247 Drinking Water Operator Certification Special Account | 5 | 3 | 3 |
| 0260 Nursing Home Administrator's State License Examining Fund | - | 1 | 1 |
| 0263 Off-Highway Vehicle Trust Fund | 60 | 349 | 169 |
| 0264 Osteopathic Medical Board of California Contingent Fund | 2 | 2 | 2 |
| 0267 Exposition Park Improvement Fund | 10 | 23 | 2 |
| 0271 Certification Fund | 121 | 100 | 29 |
| 0272 Infant Botulism Treatment and Prevention Fund | 17 | 12 | 11 |
| 0279 Child Health and Safety Fund | 259 | 220 | 67 |
| 0280 Physician Assistant Fund | 2 | 1 | 1 |
| 0281 Recycling Market Development Revolving Loan Subaccount, Integrated Waste Management Account | - | - | 1 |
| 0286 Lake Tahoe Conservancy Account | 2 | 1 | 1 |
| 0289 State HICAP Fund | 10 | 8 | 15 |
| 0290 Board of Pilot Commissioners' Special Fund | - | - | 1 |
| 0293 Motor Carriers Safety Improvement Fund | 10 | 6 | 5 |
| 0295 Board of Podiatric Medicine Fund | 2 | 1 | - |
| 0298 Financial Institutions Fund | 44 | 35 | 33 |
| 0299 Credit Union Fund | 12 | 10 | 10 |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| FUNDING | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| 0300 Professional Forester Registration Fund | 2 | 11 | 2 |
| 0305 Private Postsecondary Education Administration Fund | - | 10 | 14 |
| 0306 Safe Drinking Water Account | 31 | 26 | 24 |
| 0309 Perinatal Insurance Fund | 2 | 2 | 2 |
| 0310 Psychology Fund | 5 | 4 | 4 |
| 0312 Emergency Medical Services Personnel Fund | 5 | 7 | 9 |
| 0313 Major Risk Medical Insurance Fund | 12 | 7 | 9 |
| 0317 Real Estate Fund | 92 | 62 | 54 |
| 0318 Collins-Dugan California Conservation Corps Reimbursement Account | 203 | 151 | - |
| 0319 Respiratory Care Fund | 5 | 3 | 3 |
| 0320 Oil Spill Prevention and Administration Fund | 85 | 72 | 64 |
| 0322 Environmental Enhancement Fund | - | 1 | 1 |
| 0325 Electronic and Appliance Repair Fund | 5 | 3 | 6 |
| 0326 Athletic Commission Fund | 2 | 3 | - |
| 0328 Public School Planning, Design, and Construction Review Revolving Fund | 44 | 38 | 31 |
| 0330 Local Revenue Fund | 693 | 714 | 709 |
| 0335 Registered Environmental Health Specialist Fund | - | 1 | 1 |
| 0336 Mine Reclamation Account | - | 5 | 5 |
| 0338 Strong-Motion Instrumentation and Seismic Hazards Mapping Fund | 2 | 12 | 11 |
| 0365 Historic Property Maintenance Fund | 5 | 3 | 3 |
| 0367 Indian Gaming Special Distribution Fund | 56 | 48 | 34 |
| 0371 California Beach and Coastal Enhancement Account, California Environmental License Plate Fund | 5 | 3 | 1 |
| 0376 Speech-Language Pathology and Audiology and Hearing Aid Dispensers Fund | - | 1 | 2 |
| 0378 False Claims Act Fund | 19 | 18 | 9 |
| 0381 Public Interest Research, Development, and Demonstration Fund | 27 | 21 | 15 |
| 0382 Renewable Resource Trust Fund | 27 | 20 | - |
| 0386 Solid Waste Disposal Site Cleanup Trust Fund | 2 | - | - |
| 0387 Integrated Waste Management Account, Integrated Waste Management Fund | 27 | 6 | 42 |
| 0392 State Parks and Recreation Fund | 140 | 745 | 379 |
| 0396 Self-Insurance Plans Fund | 5 | 5 | 4 |
| 0400 Real Estate Appraisers Regulation Fund | - | - | 4 |
| 0407 Teacher Credentials Fund | 36 | 21 | 20 |
| 0408 Test Development and Administration Account, Teacher Credentials Fund | 15 | 7 | 6 |
| 0410 Transcript Reimbursement Fund | - | - | 1 |
| 0421 Vehicle Inspection and Repair Fund | 254 | 146 | 219 |
| 0425 Victim - Witness Assistance Fund | 10 | 16 | 17 |
| 0434 Air Toxics Inventory and Assessment Account | - | - | 1 |
| 0439 Underground Storage Tank Cleanup Fund | 174 | 161 | 134 |
| 0442 California Olympic Training Account | 54 | - | - |
| 0447 Wildlife Restoration Fund | 5 | 3 | 3 |
| 0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account | 2 | 2 | 3 |
| 0452 Elevator Safety Account | 31 | 27 | 21 |
| 0453 Pressure Vessel Account | 7 | 7 | 5 |
| 0457 Tax Credit Allocation Fee Account | 2 | 2 | 2 |
| 0460 Dealers' Record of Sale Special Account | 19 | 19 | 10 |
| 0461 Public Utilities Commission Transportation Reimbursement Account | 2 | 1 | 1 |
| 0462 Public Utilities Commission Utilities Reimbursement Account | 15 | 9 | 9 |
| 0464 California High-Cost Fund-A Administrative Committee Fund | 12 | 6 | 6 |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| FUNDING | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| 0465 Energy Resources Programs Account | 29 | 24 | 29 |
| 0470 California High-Cost Fund-B Administrative Committee Fund | 10 | 5 | 5 |
| 0471 Universal Lifeline Telephone Service Trust Administrative Committee Fund | 60 | 44 | 41 |
| 0483 Deaf and Disabled Telecommunications Program Administrative Committee Fund | 12 | 7 | 7 |
| 0493 California Teleconnect Fund Administrative Committee Fund | 12 | 7 | 8 |
| 0494 Other - Unallocated Special Funds | 105 | 109 | 6,966 |
| 0501 California Housing Finance Fund | 68 | 44 | 53 |
| 0502 California Water Resources Development Bond Fund | 677 | 732 | 742 |
| 0507 Central Valley Water Project Revenue Fund | 268 | - | - |
| 0512 Compensation Insurance Fund | 1,954 | 1,213 | 1,394 |
| 0514 Employment Training Fund | 402 | 1,240 | 275 |
| 0516 Harbors and Watercraft Revolving Fund | 58 | 42 | 44 |
| 0518 Health Facility Construction Loan Insurance Fund | 5 | - | - |
| 0528 California Alternative Energy Authority Fund | - | - | 1 |
| 0530 Mobilehome Park Purchase Fund | 2 | 1 | 1 |
| 0557 Toxic Substances Control Account | 97 | 73 | 70 |
| 0564 Scholarshare Administrative Fund | - | 1 | - |
| 0565 State Coastal Conservancy Fund | 12 | 8 | 6 |
| 0566 Department of Justice Child Abuse Fund | - | 1 | - |
| 0567 Gambling Control Fund | 19 | 16 | 10 |
| 0571 Uninsured Employers Benefits Trust Fund | 46 | 32 | 38 |
| 0582 High Polluter Repair or Removal Account | 143 | 79 | - |
| 0587 Family Law Trust Fund | 2 | 3 | 4 |
| 0588 Unemployment Compensation Disability Fund | 1,171 | 1,104 | 1,430 |
| 0592 Veterans' Farm and Home Building Fund of 1943 | 51 | 34 | 38 |
| 0602 Architecture Revolving Fund | 41 | 30 | 24 |
| 0622 Drinking Water Treatment and Research Fund | 2 | - | - |
| 0638 Administration Account, California Children and Families Trust Fund | 12 | 8 | 6 |
| 0642 Domestic Violence Training and Education Fund | 2 | 2 | 2 |
| 0648 Mobilehome-Manufactured Home Revolving Fund | 48 | 27 | 20 |
| 0666 Service Revolving Fund | 939 | 680 | 529 |
| 0679 State Water Quality Control Fund | 19 | 11 | 10 |
| 0687 Donated Food Revolving Fund | 172 | 152 | 135 |
| 0704 Accountancy Fund, Professions and Vocations Fund | 19 | 13 | 11 |
| 0706 California Architects Board Fund | 5 | 4 | 4 |
| 0717 Cemetery Fund, Professions and Vocations Fund | 5 | 3 | 4 |
| 0735 Contractors' License Fund | 89 | 64 | 57 |
| 0741 State Dentistry Fund | 15 | 12 | 11 |
| 0750 State Funeral Directors and Embalmers Fund, Professions and Vocations Fund | 2 | 2 | 3 |
| 0752 Bureau of Home Furnishings and Thermal Insulation Fund | 10 | 6 | 9 |
| 0757 California Board of Architectural Examiners - Landscape Architects Fund | 2 | 1 | 1 |
| 0758 Contingent Fund of the Medical Board of California | 80 | 58 | 53 |
| 0759 Physical Therapy Fund | 2 | 3 | 3 |
| 0761 Board of Registered Nursing Fund, Professions and Vocations Fund | 36 | 31 | 27 |
| 0763 State Optometry Fund, Professions and Vocations Fund | 2 | 2 | 2 |
| 0767 Pharmacy Board Contingent Fund, Professions and Vocations Fund | 17 | 14 | 14 |
| 0769 Private Investigator Fund | 2 | 1 | 1 |
| 0770 Professional Engineers' and Land Surveyors' Fund | 15 | 10 | 10 |
| 0771 Court Reporters Fund | - | 1 | 1 |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| FUNDING | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| 0773 Behavioral Science Examiners Fund, Professions and Vocations Fund | 10 | 9 | 7 |
| 0775 Structural Pest Control Fund | 7 | 4 | 4 |
| 0777 Veterinary Medical Board Contingent Fund | 5 | 3 | 3 |
| 0779 Vocational Nursing & Psychiatric Technicians Fund | 15 | 13 | 11 |
| 0780 Psychiatric Technicians Account, Vocational Nursing and Psychiatric Technicians Fund | 2 | 3 | 2 |
| 0784 Student Loan Operating Fund | 39 | 19 | - |
| 0797 Unallocated Bond Funds - Select | 615 | 633 | 642 |
| 0803 State Children's Trust Fund | 17 | 14 | 7 |
| 0813 Self - Help Housing Fund | 7 | 4 | 2 |
| 0815 Judges' Retirement Fund | 2 | 1 | 1 |
| 0821 Flexelect Benefit Fund | - | 2 | 2 |
| 0822 Public Employees' Health Care Fund (PEHCF) | 29 | 18 | 18 |
| 0823 California Alzheimer's Disease and Related Disorders Research Fund | 2 | 2 | 1 |
| 0829 Health Professions Education Fund | 2 | - | - |
| 0830 Public Employees' Retirement Fund | 467 | 375 | 381 |
| 0833 Annuitants' Health Care Coverage Fund | - | 2 | 2 |
| 0835 Teachers' Retirement Fund | 181 | 131 | 140 |
| 0840 California Motorcyclist Safety Fund | 5 | - | 5 |
| 0867 California Farmland Conservancy Program Fund | - | 1 | - |
| 0877 DMV Local Agency Collection Fund | 2 | 2 | 2 |
| 0884 Judges' Retirement System II Fund | - | 1 | 1 |
| 0890 Federal Trust Fund | 1,088 | 1,081 | 1,122 |
| 0903 State Penalty Fund | 1,172 | 1,368 | 1,356 |
| 0904 California Health Facilities Financing Authority Fund | 2 | 2 | 2 |
| 0908 School Employees Fund | 5 | 4 | 5 |
| 0911 Educational Facilities Authority Fund | - | - | 1 |
| 0913 Industrial Relations Unpaid Wage Fund | 7 | 5 | 4 |
| 0914 Bay Fill Clean-Up and Abatement Fund | - | 2 | 1 |
| 0915 Deferred Compensation Plan Fund | 10 | 26 | 17 |
| 0916 California Housing Loan Insurance Fund | 5 | 2 | 2 |
| 0925 California Community Colleges Business Resource Assistance and Innovation Network Trust Fund | - | - | 9 |
| 0927 Joe Serna, Jr. Farmworker Housing Grant Fund | 7 | 4 | 3 |
| 0928 Forest Resources Improvement Fund | 102 | 410 | 76 |
| 0929 Housing Rehabilitation Loan Fund | 34 | 21 | 13 |
| 0930 Pollution Control Financing Authority Fund | - | - | 4 |
| 0932 Trial Court Trust Fund | 171 | 174 | 183 |
| 0933 Managed Care Fund | 73 | 47 | 44 |
| 0938 Rental Housing Construction Fund | 7 | 5 | 3 |
| 0940 Bosco-Keene Renewable Resources Investment Fund | - | 1 | 2 |
| 0943 Land Bank Fund | - | 1 | 1 |
| 0950 Public Employees Contingency Reserve Fund | 51 | 36 | 36 |
| 0965 Timber Tax Fund | 1 | - | 19 |
| 0969 Public Safety Account, Local Public Safety Fund | 255 | 268 | 268 |
| 0970 Unclaimed Property Fund | 26,812 | 27,915 | 33,333 |
| 0985 Emergency Housing and Assistance Fund | 5 | 2 | 2 |
| 0988 Other - Unallocated Non-Governmental Cost Funds | 423 | 440 | 340 |
| 0995 Reimbursements | 53,052 | 59,276 | 58,418 |
| 1006 Rural CUPA Reimbursement Account | - | 1 | - |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| FUNDING | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| 1008 Firearms Safety and Enforcement Special Fund | 7 | 6 | 3 |
| 3002 Electrician Certification Fund | 5 | 3 | 3 |
| 3004 Garment Industry Regulations Fund | 5 | 4 | 3 |
| 3007 Traffic Congestion Relief Fund | 82 | 34 | 26 |
| 3008 Transportation Investment Fund | 728 | - | - |
| 3010 Pierce's Disease Management Account | 12 | 17 | 15 |
| 3015 Gas Consumption Surcharge Fund | 106 | 61 | 69 |
| 3016 Missing Persons DNA Data Base Fund | 7 | 6 | 3 |
| 3017 Occupational Therapy Fund | - | - | 1 |
| 3018 Drug and Device Safety Fund | 15 | 11 | 11 |
| 3022 Apprenticeship Training Contribution Fund | 10 | 14 | 11 |
| 3025 Abandoned Mine Reclamation and Minerals Fund Subaccount, Mine Reclamation Account | - | 1 | 1 |
| 3030 Workers' Occupational Safety and Health Education Fund | 2 | 2 | 1 |
| 3034 Antiterrorism Fund | 5 | - | - |
| 3035 Environmental Quality Assessment Fund | - | 1 | - |
| 3036 Alcohol Beverages Control Fund | 157 | 105 | 101 |
| 3037 State Court Facilities Construction Fund | 46 | 86 | 79 |
| 3046 Oil, Gas, and Geothermal Administrative Fund | 2 | 32 | 36 |
| 3053 Public Rights Law Enforcement Special Fund | 10 | 10 | 5 |
| 3056 Safe Drinking Water and Toxic Enforcement Fund | 2 | 5 | 5 |
| 3057 Dam Safety Fund | 12 | 9 | 9 |
| 3058 Water Rights Fund | 7 | 9 | 9 |
| 3060 Appellate Court Trust Fund | 2 | 5 | 6 |
| 3062 Energy Facility License and Compliance Fund | - | - | 2 |
| 3063 State Responsibility Area Fire Prevention Fund | - | - | 476 |
| 3064 Mental Health Practitioner Education Fund | - | 1 | - |
| 3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund | 82 | 35 | 45 |
| 3067 Cigarette and Tobacco Products Compliance Fund | 2 | 4 | 6 |
| 3070 Nontoxic Dry Cleaning Incentive Trust Fund | 2 | - | - |
| 3074 Medical Marijuana Program Fund | - | 1 | 1 |
| 3078 Labor and Workforce Development Fund | - | 2 | - |
| 3080 AIDS Drug Assistance Program Rebate Fund | 56 | 33 | 2 |
| 3081 Cannery Inspection Fund | 5 | 4 | 4 |
| 3084 State Certified Unified Program Account | 2 | 3 | 3 |
| 3085 Mental Health Services Fund | 714 | 1,733 | 1,259 |
| 3086 DNA Identification Fund | 56 | 124 | 65 |
| 3087 Unfair Competition Law Fund | 7 | 16 | 9 |
| 3088 Registry of Charitable Trusts Fund | 5 | 5 | 3 |
| 3089 Public Utilities Commission Ratepayer Advocate Account | 5 | 2 | 3 |
| 3098 State Department of Public Health Licensing and Certification Program Fund | 194 | 170 | 166 |
| 3100 Department of Water Resources Electric Power Fund | - | - | 22 |
| 3102 Acute Orphan Well Account, Oil, Gas, and Geothermal Administrative Fund | - | - | 1 |
| 3103 Hatchery and Inland Fisheries Fund | 51 | 34 | 43 |
| 3108 Professional Fiduciary Fund | - | - | 1 |
| 3109 Natural Gas Subaccount, Public Interest Research, Development, and Demonstration Fund | 10 | 7 | 8 |
| 3113 Residential and Outpatient Program Licensing Fund | 12 | 16 | 13 |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| FUNDING | 2010-11* | 2011-12* | 2012-13* |
|--|------------------|------------------|------------------|
| 3114 Birth Defects Monitoring Fund | 10 | 7 | 7 |
| 3117 Alternative and Renewable Fuel and Vehicle Technology Fund | 36 | 145 | 51 |
| 3119 Air Quality Improvement Fund | 36 | 25 | 25 |
| 3120 State Fire Marshal Fireworks Enforcement and Disposal Fund | - | 15 | 3 |
| 3121 Occupational Safety and Health Fund | 22 | 54 | 42 |
| 3122 Enhanced Fleet Modernization Subaccount, High Polluter Repair and Removal Account | - | 21 | - |
| 3123 Coastal Act Services Fund | 2 | 4 | - |
| 3131 California Bingo Fund | - | 1 | - |
| 3140 State Dental Hygiene Fund | 2 | 1 | 1 |
| 3141 California Advanced Services Fund | 10 | 3 | 3 |
| 3142 State Dental Assistant Fund | 2 | 2 | 2 |
| 3144 Building Standards Administration Special Revolving Fund | - | 8 | 2 |
| 3152 Labor Enforcement and Compliance Fund | - | 47 | 39 |
| 3153 Horse Racing Fund | - | 9 | 8 |
| 3155 Lead-Related Construction Fund | - | - | 1 |
| 3157 Recreational Health Fund | - | 1 | - |
| 3163 California Health Information Technology and Exchange Fund | - | 7 | - |
| 6057 2006 State School Facilities Fund | 955 | 984 | 997 |
| 6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund | 2 | - | - |
| 8013 Environmental Enforcement and Training Account | - | 1 | - |
| 8018 Salton Sea Restoration Fund | 7 | 6 | 3 |
| 8034 Medically Underserved Account for Physicians, Health Professions Education Fund | 2 | - | - |
| 8039 Disaster Resistant Communities Account | - | - | 3 |
| 8041 Teachers' Deferred Compensation Fund | - | - | 1 |
| 8047 California Sea Otter Fund | - | 1 | 1 |
| 9730 Technology Services Revolving Fund | 198 | 129 | 193 |
| 9731 Legal Services Revolving Fund | 218 | 200 | 152 |
| 9734 2004 Charter School Facilities Account, 2004 State School Facilities Fund | - | 1 | 1 |
| 9739 State Water Pollution Control Revolving Fund Administration Fund | 2 | 2 | 2 |
| 9740 Central Service Cost Recovery Fund | 20,083 | 20,518 | 20,405 |
| TOTALS, EXPENDITURES, ALL FUNDS | \$216,903 | \$223,152 | \$245,780 |

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

Constitution, Article XVI, Section 7; Government Code Section 12410 and 12411.

PROGRAM AUTHORITY

10-Accounting and Reporting:

Constitution, Article XVI, Section 7 and Government Code Section 12402 et seq.; Government Code Title 1, Division 7, Chapter 21; Government Code Title 2, Division 1, Chapter 12.491; Government Code Title 2, Division 1, Chapter 12.5; Government Code Title 2 Division 3, Part 10b, Chapter 7; Government Code Title 2, Division 4, Part 7; Government Code Title 3, Division 3, Chapter 9; Government Code Title 5, Division 2, Part 1, Chapter 4, Article 9; Government Code Title 8 Chapter 6, Article 10; Health & Safety Code Division 24, Part 1, Chapter 1, Article 6; Public Contracts Code Division 2, Part 3, Chapter 2; Public Utilities Code Division 10, Part 11, Chapter 4, Article 3 and 6.5; Revenue and Taxation Code, Division 2, Parts 2, 7, 8, 9.5 and 10.5; Streets & Highways Code Division 3, Chapter 3 and 4; Welfare & Institutions Code Division 9, Part 5, Chapter 6; Revenue & Taxation Code Division 2, Part 2, Chapter 7, Article 1;

20-Audits:

Constitution, Article XVI, Section 7 and Government Code Section 12402 et seq.

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

30-Personnel/Payroll Services:

Constitution, Article XVI, Section 7 and Government Code Section 12402 et seq., and Government Code Section 19822.3

50-Unclaimed Property:

Code of Civil Procedure Part 3, Title 10.

60-Administration and Disbursements:

Constitution, Article XVI, Section 7 and Government Code Section 12402 et seq. Membership by State Controller on boards and commissions, principally: State Board of Equalization-Constitution, Articles VII, XIX, and XIII; Franchise Tax Board-Government Code Section 15700; Board of Public Employees' Retirement System-Government Code Section 20090; Board of State Teacher's Retirement System-Education Code Section 222000; California Victim Compensation and Government Claims Board-Government Code Section 13901; State Lands Commission-Public Resources Code Section 6101; Pooled Money Investment Board-Government Code Section 16480.1; various bond and finance committees-Education Code Section 19510, Military and Veterans Code Section 991, Water Code Section 12933, Harbors and Navigation Code Sections 3902-3, and Government Code Section 17220.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|---|-----------------|---------------|-----------------|------------------|------------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Workload Budget Change Proposals | | | | | | |
| • 21st Century Project (1 yr Limited Term positions) | \$- | \$- | - | \$46,867 | \$34,496 | 195.7 |
| • Integrated Data Management System (IDMS) Cost Increase | 224 | 756 | - | 262 | 878 | - |
| • Increased Accounting & Reporting Workload - Cash Management (2 positions, 2 yr Limited Term) and 1 Permanent Position for County Cost Plans | - | - | - | 200 | 107 | 3.0 |
| • Increased Audit Workloads | - | - | - | - | 2,092 | 7.0 |
| Totals, Workload Budget Change Proposals | \$224 | \$756 | - | \$47,329 | \$37,573 | 205.7 |
| Other Workload Budget Adjustments | | | | | | |
| • Operational Efficiency Adjustment | -\$1,278 | -\$656 | - | -\$1,278 | -\$656 | - |
| • Employee Compensation Adjustments | -496 | -921 | - | 116 | 324 | - |
| • Retirement Rate Adjustment | 334 | 634 | - | 334 | 634 | - |
| • Limited Term Positions/Expiring Programs | - | - | - | -34,728 | -33,198 | -140.5 |
| • One Time Cost Reductions | - | - | - | - | -215 | - |
| • Full Year Cost of New/Expanded Programs | - | - | 2.0 | - | 125 | - |
| • Miscellaneous Adjustments | 112 | 89 | - | 337 | 1,145 | - |
| Totals, Other Workload Budget Adjustments | -\$1,328 | -\$854 | 2.0 | -\$35,219 | -\$31,841 | -140.5 |
| Totals, Workload Budget Adjustments | -\$1,104 | -\$98 | 2.0 | \$12,110 | \$5,732 | 65.2 |
| Policy Adjustments | | | | | | |
| • Unclaimed Property Fraudulent Claims Prevention and Detection Program | \$- | \$- | - | \$- | \$2,281 | 17.0 |
| • Unclaimed Property Insurance Workload (2 positions for 1yr Limited Term and 11 positions for 2 yr Limited Term) | - | - | - | - | 1,303 | 13.0 |
| Totals, Policy Adjustments | \$- | \$- | - | \$- | \$3,584 | 30.0 |
| Totals, Budget Adjustments | -\$1,104 | -\$98 | 2.0 | \$12,110 | \$9,316 | 95.2 |

PROGRAM DESCRIPTIONS

10 - ACCOUNTING AND REPORTING

The Division of Accounting and Reporting maintains uniform and systematic control accounts of all receipts, payments, state fund balances, and bonded indebtedness; reports the financial condition of the state; maintains a database of information

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

and reports on local financial transactions; apportions shared revenues to local governments; administers local mandated cost programs; approves county cost allocation plans; monitors the cash flow of the General Fund; prescribes uniform accounting procedures for presentation of financial data for local governments; administers and collects estate and inheritance taxes; collects delinquent insurance and motor vehicle fuel taxes and petroleum and gas assessments; services and collects loans formerly serviced by the Technology, Trade and Commerce Agency (abolished January 1, 2004); refunds gasoline taxes paid on fuel consumed for off-highway purposes; instructs and advises county tax collectors; maintains the recently suspended Property Tax Postponement Program for senior and disabled citizens; administers the statewide discharge from accountability program in conjunction with the California Victim Compensation and Government Claims Board; collaborates with the Department of Finance, the Treasurer, and the Department of General Services to develop and implement the Financial Information System for California (FI\$Cal) system to ensure best business practices, and participates in offsetting monies owed to the state.

20 - AUDITS

The Audits Division determines the legality and accuracy of all claims against the state through the performance of prepayment audits; assures the accuracy of local government claims and financial statements submitted to the state and federal governments by establishing and updating audit guidelines, reviewing audits performed by independent auditors, performs field audits for a variety of state and federal programs, reviews the single audits reports of local governments and local education agencies that receive "pass-through federal funds" from State agencies; and audits major businesses for compliance with the Unclaimed Property Law.

30 - PERSONNEL/PAYROLL SERVICES

The Personnel/Payroll Services Division administers the state's payroll, employment history and leave accounting processes in a manner that provides accurate, timely and efficient processing for the users of these systems. The Division pays state employees within the rules of the Uniform State Payroll process; maintains the state's official employment history and leave accounting processes in conformance with the appropriate laws, rules and memorandums of understanding and policies of the participating salary setting authorities; maintains the state's position roster and provides a wide variety of management and user information. The Division works with other entities, e.g., Department of Personnel Administration, Department of Finance, California State University Chancellor's Office, to maximize the efficiency of the state's personnel and payroll functions, while continuing to maintain the highest level and quality of customer service.

The 21st Century Project will replace existing statewide human resource management systems with a fully integrated solution (MyCalPAYS). Functionality will include employment, payroll, benefits, position management and leave accounting/timekeeping. The new system will enable the state to improve management processes and fulfill its payroll, reporting, and deduction processing obligations accurately and on time. Moreover, the new system will move the state from a transaction-based system to an enterprise database system that supports the business demands of state government.

50 - UNCLAIMED PROPERTY

The Unclaimed Property Division administers the Unclaimed Property Law by providing one central source for owners to claim their property; notifying owners of their unclaimed property before it is transferred to the State; receiving unclaimed property from banks, savings and loans, and other business firms; and providing outreach and education for California businesses holding unclaimed property.

60 - ADMINISTRATION AND DISBURSEMENTS

The Executive Office and the Administration and Disbursements Division provide executive direction and support services to programs in the State Controller's Office. The primary responsibilities include the establishment and maintenance of communications between the department and the public, budgeting, human resources, accounting information systems, and business services. Disbursements' primary responsibility is to produce and mail or deliver all payments of the state's obligations, including personal income tax refunds, payroll, and retirement payments. The Information Systems Division develops, maintains, and operates all of the department's mainframe, client-server and web-based systems; and oversees the planning, procurement, use, and maintenance of information technology hardware and software.

DETAILED EXPENDITURES BY PROGRAM

| | | 2010-11* | 2011-12* | 2012-13* |
|-----------|--|----------|----------|----------|
| | PROGRAM REQUIREMENTS | | | |
| 10 | ACCOUNTING AND REPORTING | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$11,604 | \$11,755 | \$12,056 |
| 0046 | Public Transportation Account, State Transportation Fund | 18 | 19 | 19 |
| 0061 | Motor Vehicle Fuel Account, Transportation Tax Fund | 2,410 | 2,049 | 1,986 |
| 0062 | Highway Users Tax Account, Transportation Tax Fund | 450 | 462 | 465 |
| 0064 | Motor Vehicle License Fee Account, Transportation Tax Fund | 16 | 17 | 17 |
| 0330 | Local Revenue Fund | 693 | 714 | 709 |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
|--|-----------------|-----------------|-----------------|
| 0442 California Olympic Training Account | 54 | - | - |
| 0494 Other - Unallocated Special Funds | 93 | 95 | 96 |
| 0797 Unallocated Bonds Funds - Select | 615 | 633 | 642 |
| 0877 DMV Local Agency Collection Fund | 2 | 2 | 2 |
| 0903 State Penalty Fund | 268 | 269 | 241 |
| 0932 Trial Court Trust Fund | 166 | 174 | 174 |
| 0965 Timber Tax Fund | 1 | - | - |
| 0969 Public Safety Account, Local Public Safety Fund | 255 | 268 | 268 |
| 0988 Other - Unallocated Non-Governmental Cost Funds | 361 | 363 | 262 |
| 0995 Reimbursements | 4,477 | 4,990 | 6,272 |
| 6057 2006 State School Facilities Fund | 799 | 636 | 644 |
| 9740 Central Services Cost Recovery Fund | <u>4,794</u> | <u>5,190</u> | <u>5,118</u> |
| Totals, State Operations | \$27,076 | \$27,636 | \$28,971 |

PROGRAM REQUIREMENTS**20 AUDITS****State Operations:**

| | | | |
|--|-----------------|-----------------|-----------------|
| 0001 General Fund | \$9,139 | \$11,188 | \$12,929 |
| 0061 Motor Vehicle Fuel Account, Transportation Tax Fund | 1,471 | 2,221 | 2,253 |
| 0062 Highway Users Tax Account, Transportation Tax Fund | 868 | 1,057 | 1,046 |
| 0890 Federal Trust Fund | 1,088 | 1,081 | 1,122 |
| 0903 State Penalty Fund | 904 | 1,099 | 1,115 |
| 0970 Unclaimed Property Fund | 255 | 2,160 | 2,192 |
| 0988 Other - Unallocated Non-Governmental Cost Funds | 62 | 77 | 78 |
| 0995 Reimbursements | 16,351 | 19,002 | 16,381 |
| 6057 2006 State School Facilities Fund | 156 | 348 | 353 |
| 9740 Central Services Cost Recovery Fund | <u>7,104</u> | <u>6,542</u> | <u>6,497</u> |
| Totals, State Operations | \$37,398 | \$44,775 | \$43,966 |

PROGRAM REQUIREMENTS**30 PERSONNEL/PAYROLL SERVICES****State Operations:**

| | | | |
|---|----------|----------|----------|
| 0001 General Fund | \$41,634 | \$42,326 | \$56,606 |
| 0002 Property Acquisition Law Money Account | 2 | 2 | 2 |
| 0003 Motor Vehicle Parking Facilities Moneys Account | 2 | 3 | 2 |
| 0006 Disability Access Account | 7 | - | 4 |
| 0009 Breast Cancer Control Account | 51 | 29 | 23 |
| 0012 Attorney General Antitrust Account | 2 | 4 | 2 |
| 0014 Hazardous Waste Control Account | 87 | 61 | 58 |
| 0017 Fingerprint Fees Account | 126 | 113 | 59 |
| 0020 California State Law Library Special Account | 15 | 9 | 9 |
| 0022 State Emergency Telephone Number Account | 51 | 165 | 42 |
| 0026 State Motor Vehicle Insurance Account | 22 | 15 | 16 |
| 0028 Unified Program Account | 15 | 32 | 15 |
| 0029 Nuclear Planning Assessment Special Account | 12 | 15 | 17 |
| 0032 Firearm Safety Account | - | 1 | - |
| 0033 State Energy Conservation Assistance Account | - | 1 | 2 |
| 0035 Surface Mining and Reclamation Account | - | 2 | 3 |
| 0041 Aeronautics Account, State Transportation Fund | 12 | 8 | 7 |
| 0042 State Highway Account, State Transportation Fund | 8,377 | 5,962 | 5,875 |
| 0044 Motor Vehicle Account, State Transportation Fund | 8,984 | 4,927 | 6,213 |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
|---|-----------------|-----------------|-----------------|
| 0046 Public Transportation Account, State Transportation Fund | 578 | 343 | 329 |
| 0054 New Motor Vehicle Board Account | - | - | 6 |
| 0061 Motor Vehicle Fuel Account, Transportation Tax Fund | 92 | 160 | 193 |
| 0064 Motor Vehicle License Fee Account, Transportation Tax Fund | 10 | 465 | 79 |
| 0066 Sale of Tobacco to Minors Control Account | 5 | 5 | 5 |
| 0067 State Corporations Fund | 70 | 43 | 41 |
| 0069 State Board of Barbering and Cosmetology Fund | 27 | 19 | 18 |
| 0070 Occupational Lead Poisoning Prevention Account | 10 | 11 | 12 |
| 0074 Medical Waste Management Fund | 5 | 4 | 4 |
| 0075 Radiation Control Fund | 60 | 45 | 41 |
| 0076 Tissue Bank License Fund | - | 1 | 1 |
| 0078 Graphic Design License Plate Account | 2 | 2 | 1 |
| 0080 Childhood Lead Poisoning Prevention Fund | 73 | 54 | 46 |
| 0082 Export Document Program Fund | 2 | - | 1 |
| 0096 Cal-OSHA Targeted Inspection and Consultation Fund | 15 | 11 | 9 |
| 0098 Clinical Laboratory Improvement Fund | 15 | 18 | 17 |
| 0099 Health Statistics Special Fund | 58 | 47 | 43 |
| 0100 California Used Oil Recycling Fund | 5 | 1 | 6 |
| 0102 State Fire Marshal Licensing and Certification Fund | 36 | 137 | 26 |
| 0106 Department of Pesticide Regulation Fund | 82 | 52 | 57 |
| 0108 Acupuncture Fund | 5 | 3 | 3 |
| 0111 Department of Agriculture Account, Department of Food and Agriculture Fund | 164 | 286 | 279 |
| 0115 Air Pollution Control Fund | 145 | 100 | 96 |
| 0117 Alcoholic Beverage Control Appeals Fund | 2 | 1 | 2 |
| 0121 Hospital Building Fund | 60 | 55 | 47 |
| 0133 California Beverage Container Recycling Fund | 138 | - | 36 |
| 0139 Driving Under-the-Influence Program Licensing Trust Fund | 5 | 6 | 5 |
| 0140 California Environmental License Plate Fund | 94 | 121 | 338 |
| 0141 Soil Conservation Fund | - | 3 | 3 |
| 0142 Department of Justice Sexual Habitual Offender Fund | 5 | 4 | 2 |
| 0143 California Health Data and Planning Fund | 22 | 21 | 19 |
| 0152 State Board of Chiropractic Examiners Fund | 2 | 3 | 3 |
| 0158 Travel Seller Fund | 2 | 2 | 1 |
| 0159 Trial Court Improvement Fund | 5 | 12 | 13 |
| 0163 Continuing Care Provider Fee Fund | 111 | 96 | 30 |
| 0166 Certification Account, Consumer Affairs Fund | 2 | 1 | 2 |
| 0169 California Debt Limit Allocation Committee Fund | 2 | - | 1 |
| 0171 California Debt and Investment Advisory Commission Fund | 2 | 4 | 3 |
| 0172 Developmental Disabilities Program Development Fund | 15 | 459 | 465 |
| 0177 Food Safety Fund | 17 | 13 | 13 |
| 0178 Driver Training Penalty Assessment Fund | 36 | 35 | 30 |
| 0179 Environmental Laboratory Improvement Fund | 7 | 7 | 6 |
| 0181 Registered Nurse Education Fund | 2 | 2 | 2 |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
|---|-----------------|-----------------|-----------------|
| 0184 Employment Development Department Benefit Audit Fund | 70 | 64 | 81 |
| 0185 Employment Development Department Contingent Fund | 431 | 213 | 258 |
| 0191 Fair and Exposition Fund | 19 | - | - |
| 0193 Waste Discharge Permit Fund | 48 | 32 | 34 |
| 0194 Emergency Medical Services Training Program Approval Fund | 2 | 2 | - |
| 0198 California Fire and Arson Training Fund | 34 | 155 | 29 |
| 0200 Fish and Game Preservation Fund | 244 | 215 | 206 |
| 0203 Genetic Disease Testing Fund | 44 | 42 | 37 |
| 0205 Geology and Geophysics Account, Professional Engineer's and Land Surveyor's Fund | 2 | 1 | 1 |
| 0207 Fish and Wildlife Pollution Account | 7 | 6 | 5 |
| 0208 Hearing Aid Dispensers Account of the Speech-Language Pathology and Audiology Fund | 2 | 1 | - |
| 0209 California Hazardous Liquid Pipeline Safety Fund | 41 | 162 | 30 |
| 0212 Marine Invasive Species Control Fund | 10 | 8 | 8 |
| 0214 Restitution Fund | 80 | 47 | 40 |
| 0217 Insurance Fund | 346 | 189 | 193 |
| 0223 Workers' Compensation Administration Revolving Fund | 254 | 216 | 165 |
| 0226 California Tire Recycling Management Fund | 12 | - | 8 |
| 0228 Secretary of State's Business Fees Fund | 73 | 48 | 63 |
| 0231 Health Education Account, Cigarette and Tobacco Products Surtax Fund | 41 | 35 | 32 |
| 0234 Research Account, Cigarette and Tobacco Products Surtax Fund | 15 | 10 | 10 |
| 0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund | 24 | 88 | 33 |
| 0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund | - | - | 73 |
| 0239 Private Security Services Fund | 22 | 13 | 20 |
| 0240 Local Agency Deposit Security Fund | - | 1 | 1 |
| 0242 Court Collection Account | 19 | 60 | 20 |
| 0243 Narcotic Treatment Program Licensing Trust Fund | 5 | 5 | 4 |
| 0245 Mobilehome Park Revolving Fund | 17 | 11 | 8 |
| 0247 Drinking Water Operator Certification Special Account | 5 | 3 | 3 |
| 0260 Nursing Home Administrator's State License Examining Fund | - | 1 | 1 |
| 0263 Off-Highway Vehicle Trust Fund | 60 | 349 | 169 |
| 0264 Osteopathic Medical Board of California Contingent Fund | 2 | 2 | 2 |
| 0267 Exposition Park Improvement Fund | 10 | 23 | 2 |
| 0271 Certification Fund | 121 | 100 | 29 |
| 0272 Infant Botulism Treatment and Prevention Fund | 17 | 12 | 11 |
| 0279 Child Health and Safety Fund | 259 | 220 | 67 |
| 0280 Physician Assistant Fund | 2 | 1 | 1 |
| 0281 Recycling Market Development Revolving Loan | - | - | 1 |
| 0286 Lake Tahoe Conservancy Account | 2 | 1 | 1 |
| 0289 State HICAP Fund | 10 | 8 | 15 |
| 0290 Board of Pilot Commissioners' Special Fund | - | - | 1 |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
|---|-----------------|-----------------|-----------------|
| 0293 Motor Carriers Safety Improvement Fund | 10 | 6 | 5 |
| 0295 Board of Podiatric Medicine Fund | 2 | 1 | - |
| 0298 Financial Institutions Fund | 44 | 35 | 33 |
| 0299 Credit Union Fund | 12 | 10 | 10 |
| 0300 Professional Forester Registration Fund | 2 | 11 | 2 |
| 0305 Private Postsecondary Education Administration Fund | - | 10 | 14 |
| 0306 Safe Drinking Water Account | 31 | 26 | 24 |
| 0309 Perinatal Insurance Fund | 2 | 2 | 2 |
| 0310 Psychology Fund | 5 | 4 | 4 |
| 0312 Emergency Medical Services Personnel Fund | 5 | 7 | 9 |
| 0313 Major Risk Medical Insurance Fund | 12 | 7 | 9 |
| 0317 Real Estate Fund | 92 | 62 | 54 |
| 0318 Collins-Dugan California Conservation Corps Reimbursement Account | 203 | 151 | - |
| 0319 Respiratory Care Fund | 5 | 3 | 3 |
| 0320 Oil Spill Prevention and Administration Fund | 85 | 72 | 64 |
| 0322 Environmental Enhancement Fund | - | 1 | 1 |
| 0325 Electronic and Appliance Repair Fund | 5 | 3 | 6 |
| 0326 Athletic Commission Fund | 2 | 3 | - |
| 0328 Public School Planning, Design, and Construction Review Revolving Fund | 44 | 38 | 31 |
| 0335 Registered Environmental Health Special Fund | - | 1 | 1 |
| 0336 Mine Reclamation Account | - | 5 | 5 |
| 0338 Strong-Motion Instrumentation and Seismic Hazards Mapping Fund | 2 | 12 | 11 |
| 0365 Historic Property Maintenance Fund | 5 | 3 | 3 |
| 0367 Indian Gaming Special Distribution Fund | 56 | 48 | 34 |
| 0371 California Beach and Coastal Enhancement Account, California Environmental License Plate Fund | 5 | 3 | 1 |
| 0376 Speech-Language Pathology and Audiology and Hearing Aid Dispensers Fund | - | 1 | 2 |
| 0378 False Claims Act Fund | 19 | 18 | 9 |
| 0381 Public Interest Research, Development, and Demonstration Fund | 27 | 21 | 15 |
| 0382 Renewable Resource Trust Fund | 27 | 20 | - |
| 0386 Solid Waste Disposal Site Cleanup Trust Fund | 2 | - | - |
| 0387 Integrated Waste Management Account, Integrated Waste Management Fund | 27 | 6 | 42 |
| 0392 State Parks and Recreation Fund | 140 | 745 | 379 |
| 0396 Self-Insurance Plans Fund | 5 | 5 | 4 |
| 0400 Real Estate Appraisers Regulation Fund | - | - | 4 |
| 0407 Teacher Credentials Fund | 36 | 21 | 20 |
| 0408 Test Development and Administration Account, Teacher Credentials Fund | 15 | 7 | 6 |
| 0410 Transcript Reimbursement Fund | - | - | 1 |
| 0421 Vehicle Inspection and Repair Fund | 254 | 146 | 219 |
| 0425 Victim - Witness Assistance Fund | 10 | 16 | 17 |
| 0434 Air Toxics Inventory and Assessment Account | - | - | 1 |
| 0439 Underground Storage Tank Cleanup Fund | 174 | 161 | 134 |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
|--|-----------------|-----------------|-----------------|
| 0447 Wildlife Restoration Fund | 5 | 3 | 3 |
| 0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account | 2 | 2 | 3 |
| 0452 Elevator Safety Account | 31 | 27 | 21 |
| 0453 Pressure Vessel Account | 7 | 7 | 5 |
| 0457 Tax Credit Allocation Fee Account | 2 | 2 | 2 |
| 0460 Dealers' Record of Sale Special Account | 19 | 19 | 10 |
| 0461 Public Utilities Commission Transportation Reimbursement Account | 2 | 1 | 1 |
| 0462 Public Utilities Commission Utilities Reimbursement Account | 15 | 9 | 9 |
| 0464 California High-Cost Fund-A Administrative Committee Fund | 12 | 6 | 6 |
| 0465 Energy Resources Programs Account | 29 | 24 | 29 |
| 0470 California High-Cost Fund-B Administrative Committee Fund | 10 | 5 | 5 |
| 0471 Universal Lifeline Telephone Service Trust Administrative Committee Fund | 60 | 44 | 41 |
| 0483 Deaf and Disabled Telecommunications Program Administrative Committee Fund | 12 | 7 | 7 |
| 0493 California Teleconnect Fund Administrative Committee Fund | 12 | 7 | 8 |
| 0494 Other - Unallocated Special Fund | 12 | 14 | 6,870 |
| 0501 California Housing Finance Funds | 68 | 44 | 53 |
| 0502 California Water Resources Development Bond Fund | 677 | 732 | 742 |
| 0507 Central Valley Water Project Revenue Fund | 268 | - | - |
| 0512 Compensation Insurance Fund | 1,954 | 1,213 | 1,394 |
| 0514 Employment Training Fund | 402 | 1,240 | 275 |
| 0516 Harbors and Watercraft Revolving Fund | 58 | 42 | 44 |
| 0518 Health Facility Construction Loan Insurance Fund | 5 | - | - |
| 0528 California Alternative Energy Authority Fund | - | - | 1 |
| 0530 Mobilehome Park Purchase Fund | 2 | 1 | 1 |
| 0557 Toxic Substances Control Account | 97 | 73 | 70 |
| 0564 Scholarshare Administrative Fund | - | 1 | - |
| 0565 State Coastal Conservancy Fund | 12 | 8 | 6 |
| 0566 Department of Justice Child Abuse Fund | - | 1 | - |
| 0567 Gambling Control Fund | 19 | 16 | 10 |
| 0571 Uninsured Employers Benefits Trust Fund | 46 | 32 | 38 |
| 0582 High Polluter Repair or Removal Account | 143 | 79 | - |
| 0587 Family Law Trust Fund | 2 | 3 | 4 |
| 0588 Unemployment Compensation Disability Fund | 1,171 | 1,104 | 1,430 |
| 0592 Veterans' Farm and Home Building Fund 1943 | 51 | 34 | 38 |
| 0602 Architecture Revolving Fund | 41 | 30 | 24 |
| 0622 Drinking Water Treatment and Research Fund | 2 | - | - |
| 0638 Administration Account, California Children and Families Trust Fund | 12 | 8 | 6 |
| 0642 Domestic Violence Training and Education Fund | 2 | 2 | 2 |
| 0648 Mobilehome-Manufactured Home Revolving Fund | 48 | 27 | 20 |
| 0666 Service Revolving Fund | 939 | 680 | 529 |
| 0679 State Water Quality Control Fund | 19 | 11 | 10 |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
|--|-----------------|-----------------|-----------------|
| 0687 Donated Food Revolving Fund | 172 | 152 | 135 |
| 0704 Accountancy Fund, Professions and Vocations Fund | 19 | 13 | 11 |
| 0706 California Architects Board Fund | 5 | 4 | 4 |
| 0717 Cemetery Fund, Professions and Vocations Fund | 5 | 3 | 4 |
| 0735 Contractors' License Fund | 89 | 64 | 57 |
| 0741 State Dentistry Fund | 15 | 12 | 11 |
| 0750 State Funeral Directors and Embalmers Fund, Professions and Vocations Fund | 2 | 2 | 3 |
| 0752 Bureau of Home Furnishings and Thermal Insulation Fund | 10 | 6 | 9 |
| 0757 California Board of Architectural Examiners - Landscape Architects Fund | 2 | 1 | 1 |
| 0758 Contingent Fund of the Medical Board of California | 80 | 58 | 53 |
| 0759 Physical Therapy Fund | 2 | 3 | 3 |
| 0761 Board of Registered Nursing Fund, Professions and Vocations Fund | 36 | 31 | 27 |
| 0763 State Optometry Fund, Professions and Vocations Fund | 2 | 2 | 2 |
| 0767 Pharmacy Board Contingent Fund, Professions and Vocations Fund | 17 | 14 | 14 |
| 0769 Private Investigator Fund | 2 | 1 | 1 |
| 0770 Professional Engineers' and Land Surveyors' Fund | 15 | 10 | 10 |
| 0771 Court Reporters Fund | - | 1 | 1 |
| 0773 Behavioral Science Examiners Fund, Professions and Vocations Fund | 10 | 9 | 7 |
| 0775 Structural Pest Control Fund | 7 | 4 | 4 |
| 0777 Veterinary Medical Board Contingent Fund | 5 | 3 | 3 |
| 0779 Vocational Nursing & Psychiatric Technicians Fund | 15 | 13 | 11 |
| 0780 Psychiatric Technicians Account, Vocational Nursing and Psychiatric Technicians Fund | 2 | 3 | 2 |
| 0784 Student Loan Operating Fund | 39 | 19 | - |
| 0803 State Children's Trust Fund | 17 | 14 | 7 |
| 0813 Self - Help Housing Fund | 7 | 4 | 2 |
| 0815 Judges' Retirement Fund | 2 | 1 | 1 |
| 0821 Flexelect Benefit Fund | - | 2 | 2 |
| 0822 Public Employees' Health Care Fund (PEHC) | 29 | 18 | 18 |
| 0823 California Alzheimer's Disease and Related Disorders Research Fund | 2 | 2 | 1 |
| 0829 Health Professions Education Fund | 2 | - | - |
| 0830 Public Employees' Retirement Fund | 467 | 375 | 381 |
| 0833 Annuitants' Health Care Coverage Fund | - | 2 | 2 |
| 0835 Teachers' Retirement Fund | 181 | 131 | 140 |
| 0840 California Motorcyclist Safety Fund | 5 | - | 5 |
| 0867 CA Farmland Conservancy Program Fund | - | 1 | - |
| 0884 Judges' Retirement System II Fund | - | 1 | 1 |
| 0904 California Health Facilities Financing Authority Fund | 2 | 2 | 2 |
| 0908 School Employees Fund | 5 | 4 | 5 |
| 0911 Educational Facilities Authority Fund | - | - | 1 |
| 0913 Industrial Relations Unpaid Wage Fund | 7 | 5 | 4 |
| 0914 Bay Fill Clean-Up and Abatement Fund | - | 2 | 1 |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
|--|-----------------|-----------------|-----------------|
| 0915 Deferred Compensation Plan Fund | 10 | 26 | 17 |
| 0916 California Housing Loan Insurance Fund | 5 | 2 | 2 |
| 0925 California Community Colleges Business Resource Assistance and Innovation Network Trust Fund | - | - | 9 |
| 0927 Joe Serna, Jr. Farm worker Housing Grant Fund | 7 | 4 | 3 |
| 0928 Forest Resources Improvement Fund | 102 | 410 | 76 |
| 0929 Housing Rehabilitation Loan Fund | 34 | 21 | 13 |
| 0930 Pollution Control Financing Authority Fund | - | - | 4 |
| 0932 Trial Court Trust Fund | 5 | - | 9 |
| 0933 Managed Care Fund | 73 | 47 | 44 |
| 0938 Rental Housing Construction Fund | 7 | 5 | 3 |
| 0940 Bosco Keene Renewable Resources Investment Fund | - | 1 | 2 |
| 0943 Land Bank Fund | - | 1 | 1 |
| 0950 Public Employees Contingency Reserve Fund | 51 | 36 | 36 |
| 0965 Timber Tax Fund | - | - | 19 |
| 0970 Unclaimed Property Fund | 29 | - | - |
| 0985 Emergency Housing and Assistance Fund | 5 | 2 | 2 |
| 0995 Reimbursements | 10,073 | 9,712 | 10,246 |
| 1006 Rural CUPA Reimbursement Account | - | 1 | - |
| 1008 Firearms Safety and Enforcement Special Fund | 7 | 6 | 3 |
| 3002 Electrician Certification Fund | 5 | 3 | 3 |
| 3004 Garment Industry Regulations Fund | 5 | 4 | 3 |
| 3007 Traffic Congestion Relief Fund | 82 | 34 | 26 |
| 3008 Transportation Investment Fund | 728 | - | - |
| 3010 Pierce's Disease Management Account | 12 | 17 | 15 |
| 3015 Gas Consumption Surcharge Fund | 106 | 61 | 69 |
| 3016 Missing Persons DNA Data Base Fund | 7 | 6 | 3 |
| 3017 Occupational Therapy Fund | - | - | 1 |
| 3018 Drug and Device Safety Fund | 15 | 11 | 11 |
| 3022 Apprenticeship Training Contribution Fund | 10 | 14 | 11 |
| 3025 Abandoned Mine Reclamation and Minerals Fund Subaccount, Mine Reclamation Account | - | 1 | 1 |
| 3030 Workers' Occupational Safety and Health Education Fund | 2 | 2 | 1 |
| 3034 Antiterrorism Fund | 5 | - | - |
| 3035 Environmental Quality Assessment Fund | - | 1 | - |
| 3036 Alcohol Beverages Control Fund | 157 | 105 | 101 |
| 3037 State Court Facilities Construction Fund | 46 | 86 | 79 |
| 3046 Oil , Gas, and Geothermal Administrative Fund | 2 | 32 | 36 |
| 3053 Public Rights Law Enforcement Special Fund | 10 | 10 | 5 |
| 3056 Safe Drinking Water and Toxic Enforcement Account | 2 | 5 | 5 |
| 3057 Dam Safety Fund | 12 | 9 | 9 |
| 3058 Water Rights Fund | 7 | 9 | 9 |
| 3060 Appellate Court Trust Fund | 2 | 5 | 6 |
| 3062 Energy Facility License and Compliance Fund | - | - | 2 |
| 3063 State Responsibility Area Fire Prevention Fund | - | - | 476 |
| 3064 Mental Health Practitioner Education Fund | - | 1 | - |
| 3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund | 82 | 35 | 45 |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
|--|-----------------|-----------------|-----------------|
| 3067 Cigarette and Tobacco Products Compliance Fund | 2 | 4 | 6 |
| 3070 Nontoxic Dry Cleaning Incentive Trust Fund | 2 | - | - |
| 3074 Medical Marijuana Program Fund | - | 1 | 1 |
| 3078 Labor and Workforce Development Fund | - | 2 | - |
| 3080 AIDS Drug Assistance Program Rebate Fund | 56 | 33 | 2 |
| 3081 Cannery Inspection Fund | 5 | 4 | 4 |
| 3084 State Certified Unified Program Account | 2 | 3 | 3 |
| 3085 Mental Health Services Fund | 714 | 1,733 | 1,259 |
| 3086 DNA Identification Fund | 56 | 124 | 65 |
| 3087 Unfair Competition Law Fund | 7 | 16 | 9 |
| 3088 Registry of Charitable Trusts Fund | 5 | 5 | 3 |
| 3089 Public Utilities Commission Ratepayer Advocate Account | 5 | 2 | 3 |
| 3098 State Department of Public Health Licensing and Certification Program Fund | 194 | 170 | 166 |
| 3100 Department of Water Resources Electric Power Fund | - | - | 22 |
| 3102 Acute Orphan Well Account, Oil, Gas, and Geothermal Administrative Fund | - | - | 1 |
| 3103 Hatchery and Inland Fisheries Fund | 51 | 34 | 43 |
| 3108 Professional Fiduciary Fund | - | - | 1 |
| 3109 Natural Gas Subaccount, Public Interest Research, Development, and Demonstration Fund | 10 | 7 | 8 |
| 3113 Residential and Outpatient Program Licensing Fund | 12 | 16 | 13 |
| 3114 Birth Defects Monitoring Fund | 10 | 7 | 7 |
| 3117 Alternative and Renewable Fuel and Vehicle Technology Fund | 36 | 145 | 51 |
| 3119 Air Quality Improvement Fund | 36 | 25 | 25 |
| 3120 State Fire Marshal Fireworks Enforcement and Disposal Fund | - | 15 | 3 |
| 3121 Occupational Safety and Health Fund | 22 | 54 | 42 |
| 3122 Enhanced Fleet Modernization Subaccount, High Polluter Repair and Removal Account | - | 21 | - |
| 3123 Coastal Act Services Fund | 2 | 4 | - |
| 3131 California Bingo Fund | - | 1 | - |
| 3140 State Dental Hygiene Fund | 2 | 1 | 1 |
| 3141 California Advanced Services Fund | 10 | 3 | 3 |
| 3142 State Dental Assistant Fund | 2 | 2 | 2 |
| 3144 Building Standards Administration Special Revolving Fund | - | 8 | 2 |
| 3152 Labor Enforcement and Compliance Fund | - | 47 | 39 |
| 3153 Horse Racing Fund | - | 9 | 8 |
| 3155 Lead-Related Construction Fund | - | - | 1 |
| 3157 Recreational Health Fund | - | 1 | - |
| 3163 California Health Information Technology and Exchange Fund | - | 7 | - |
| 6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund | 2 | - | - |
| 8013 Environmental Enforcement and Training Account | - | 1 | - |
| 8018 Salton Sea Restoration Fund | 7 | 6 | 3 |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
|--|------------------|------------------|------------------|
| 8034 Medically Underserved Account for Physicians, Health Professions Education Fund | 2 | - | - |
| 8039 Disaster Resistant Communities Account | - | - | 3 |
| 8041 Teachers' Deferred Compensation Fund | - | - | 1 |
| 8047 California Sea Otter Fund | - | 1 | 1 |
| 9730 Technology Services Revolving Fund | 198 | 129 | 193 |
| 9731 Legal Services Revolving Fund | 218 | 200 | 152 |
| 9734 2004 Charter School Facilities Account, 2004 State Schools Facilities Fund | - | 1 | 1 |
| 9739 State Water Pollution Control Revolving Fund Administration Fund | 2 | 2 | 2 |
| 9740 Central Services Cost Recovery Fund | 6,409 | 6,806 | 6,802 |
| Totals, State Operations | \$92,431 | \$87,287 | \$107,150 |
| PROGRAM REQUIREMENTS | | | |
| 50 UNCLAIMED PROPERTY | | | |
| State Operations: | | | |
| 0001 General Fund | \$965 | \$- | \$- |
| 0970 Unclaimed Property Fund | 26,528 | 25,755 | 31,141 |
| 0995 Reimbursements | 24 | 22 | 20 |
| Totals, State Operations | \$27,517 | \$25,777 | \$31,161 |
| PROGRAM REQUIREMENTS | | | |
| 60 ADMINISTRATION AND DISBURSEMENTS | | | |
| State Operations: | | | |
| 0001 General Fund | 8,784 | 10,341 | 7,228 |
| 0995 Reimbursements | 22,127 | 25,550 | 25,499 |
| 9740 Central Services Cost Recovery Fund | 1,776 | 1,980 | 1,988 |
| Totals, State Operations | \$32,687 | \$37,871 | \$34,715 |
| 60 ELEMENT REQUIREMENTS | | | |
| Disbursements and Support | \$70,402 | \$72,395 | \$74,795 |
| 70.01 Distributed to Other Programs | -37,715 | -34,524 | -40,080 |
| PROGRAM REQUIREMENTS | | | |
| 80 LOAN REPAYMENT PROGRAMS | | | |
| Local Assistance: | | | |
| 0001 General Fund | -206 | -194 | -183 |
| Totals, Local Assistance | -\$206 | -\$194 | -\$183 |
| TOTALS, EXPENDITURES | | | |
| State Operations | 217,109 | 223,346 | 245,963 |
| Local Assistance | -206 | -194 | -183 |
| Totals, Expenditures | \$216,903 | \$223,152 | \$245,780 |

EXPENDITURES BY CATEGORY

| | 1 State Operations | | | Expenditures | | |
|---------------------------------------|----------------------------|----------------|----------------|---------------------|-----------------|-----------------|
| | <u>Positions/Personnel</u> | <u>Years</u> | | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
| | <u>2010-11</u> | <u>2011-12</u> | <u>2012-13</u> | | | |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 1,276.9 | 1,525.8 | 1,377.8 | \$76,816 | \$91,816 | \$82,324 |
| Total Adjustments | - | 2.0 | 247.0 | - | 92 | 19,802 |
| Estimated Salary Savings | - | -76.5 | -80.3 | - | -4,339 | -4,811 |
| Net Totals, Salaries and Wages | 1,276.9 | 1,451.3 | 1,544.5 | \$76,816 | \$87,569 | \$97,315 |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|----------------|----------------|------------------|------------------|------------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Staff Benefits | - | - | - | 29,782 | 30,559 | 31,997 |
| Totals, Personal Services | 1,276.9 | 1,451.3 | 1,544.5 | \$106,598 | \$118,128 | \$129,312 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$110,511 | \$105,218 | \$116,651 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$217,109 | \$223,346 | \$245,963 |

| 2 Local Assistance | Expenditures | | |
|---|---------------|---------------|---------------|
| | 2010-11* | 2011-12* | 2012-13* |
| Loan Repayments from Local Agencies to the General Fund | -\$206 | -\$194 | -\$183 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | -\$206 | -\$194 | -\$183 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$73,226 | \$76,397 | \$88,819 |
| Allocation for employee compensation | 179 | 86 | - |
| Allocation for contingencies or emergencies | - | 224 | - |
| Adjustment per Section 3.60 | 888 | 334 | - |
| Adjustment per Section 3.90 | - | -582 | - |
| Adjustment per Section 3.91 | -2,279 | - | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -1,278 | - |
| Transfer from Item 9655-001-0001, Provision 4 | 112 | 112 | - |
| 005 Budget Act appropriation | - | 317 | - |
| TOTALS, EXPENDITURES | \$72,126 | \$75,610 | \$88,819 |
| 0002 Property Acquisition Law Money Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$2 | \$2 | \$2 |
| TOTALS, EXPENDITURES | \$2 | \$2 | \$2 |
| 0003 Motor Vehicle Parking Facilities Moneys Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$2 | \$3 | \$2 |
| TOTALS, EXPENDITURES | \$2 | \$3 | \$2 |
| 0006 Disability Access Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$7 | \$- | \$4 |
| TOTALS, EXPENDITURES | \$7 | \$- | \$4 |
| 0009 Breast Cancer Control Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$51 | \$29 | \$23 |
| TOTALS, EXPENDITURES | \$51 | \$29 | \$23 |
| 0012 Attorney General Antitrust Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$2 | \$4 | \$2 |
| TOTALS, EXPENDITURES | \$2 | \$4 | \$2 |
| 0014 Hazardous Waste Control Account | | | |
| APPROPRIATIONS | | | |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| Human Resources Management System Assessments per Section 25.25 | <u>\$87</u> | <u>\$61</u> | <u>\$58</u> |
| TOTALS, EXPENDITURES | \$87 | \$61 | \$58 |
| 0017 Fingerprint Fees Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$126</u> | <u>\$113</u> | <u>\$59</u> |
| TOTALS, EXPENDITURES | \$126 | \$113 | \$59 |
| 0020 California State Law Library Special Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$15</u> | <u>\$9</u> | <u>\$9</u> |
| TOTALS, EXPENDITURES | \$15 | \$9 | \$9 |
| 0022 State Emergency Telephone Number Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$51</u> | <u>\$165</u> | <u>\$42</u> |
| TOTALS, EXPENDITURES | \$51 | \$165 | \$42 |
| 0026 State Motor Vehicle Insurance Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$22</u> | <u>\$15</u> | <u>\$16</u> |
| TOTALS, EXPENDITURES | \$22 | \$15 | \$16 |
| 0028 Unified Program Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$15</u> | <u>\$32</u> | <u>\$15</u> |
| TOTALS, EXPENDITURES | \$15 | \$32 | \$15 |
| 0029 Nuclear Planning Assessment Special Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$12</u> | <u>\$15</u> | <u>\$17</u> |
| TOTALS, EXPENDITURES | \$12 | \$15 | \$17 |
| 0032 Firearm Safety Account | | | |
| APPROPRIATIONS | | | |
| Apportionment Payment System Assessments per Control Section 25.25 | <u>\$-</u> | <u>\$1</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$- | \$1 | \$- |
| 0033 State Energy Conservation Assistance Account | | | |
| APPROPRIATIONS | | | |
| Apportionment Payment System Assessments per Control Section 25.25 | <u>\$-</u> | <u>\$1</u> | <u>\$2</u> |
| TOTALS, EXPENDITURES | \$- | \$1 | \$2 |
| 0035 Surface Mining and Reclamation Account | | | |
| APPROPRIATIONS | | | |
| Apportionment Payment System Assessments per Control Section 25.25 | <u>\$-</u> | <u>\$2</u> | <u>\$3</u> |
| TOTALS, EXPENDITURES | \$- | \$2 | \$3 |
| 0041 Aeronautics Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$12</u> | <u>\$8</u> | <u>\$7</u> |
| TOTALS, EXPENDITURES | \$12 | \$8 | \$7 |
| 0042 State Highway Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$8,377</u> | <u>\$5,962</u> | <u>\$5,875</u> |
| TOTALS, EXPENDITURES | \$8,377 | \$5,962 | \$5,875 |
| 0044 Motor Vehicle Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$8,984</u> | <u>\$4,927</u> | <u>\$6,213</u> |
| TOTALS, EXPENDITURES | \$8,984 | \$4,927 | \$6,213 |
| 0046 Public Transportation Account, State Transportation Fund | | | |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| APPROPRIATIONS | | | |
| Apportionment Payment System Assessments per Control Section 25.5 | \$19 | \$19 | \$19 |
| Human Resources Management System Assessments per Section 25.25 | 578 | 343 | 329 |
| Totals Available | \$597 | \$362 | \$348 |
| Unexpended balance, estimated savings | -1 | - | - |
| TOTALS, EXPENDITURES | \$596 | \$362 | \$348 |
| 0054 New Motor Vehicle Board Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$- | \$- | \$6 |
| TOTALS, EXPENDITURES | \$- | \$- | \$6 |
| 0061 Motor Vehicle Fuel Account, Transportation Tax Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$4,349 | \$4,285 | \$4,239 |
| Allocation for employee compensation | 17 | 8 | - |
| Adjustment per Section 3.60 | 86 | 32 | - |
| Adjustment per Section 3.90 | - | -55 | - |
| Adjustment per Section 3.91 | -224 | - | - |
| Human Resources Management System Assessments per Section 25.25 | 92 | 160 | 193 |
| Totals Available | \$4,320 | \$4,430 | \$4,432 |
| Unexpended balance, estimated savings | -347 | - | - |
| TOTALS, EXPENDITURES | \$3,973 | \$4,430 | \$4,432 |
| 0062 Highway Users Tax Account, Transportation Tax Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$1,210 | \$1,219 | \$1,206 |
| Allocation for employee compensation | 5 | 2 | - |
| Adjustment per Section 3.60 | 24 | 9 | - |
| Adjustment per Section 3.90 | - | -16 | - |
| Adjustment per Section 3.91 | -61 | - | - |
| Apportionment Payment System Assessments per Control Section 25.5 | 306 | 305 | 305 |
| Totals Available | \$1,484 | \$1,519 | \$1,511 |
| Unexpended balance, estimated savings | -166 | - | - |
| TOTALS, EXPENDITURES | \$1,318 | \$1,519 | \$1,511 |
| 0064 Motor Vehicle License Fee Account, Transportation Tax Fund | | | |
| APPROPRIATIONS | | | |
| Apportionment Payment System Assessments per Control Section 25.5 | \$17 | \$17 | \$17 |
| Human Resources Management System Assessments per Section 25.25 | 10 | 465 | 79 |
| Totals Available | \$27 | \$482 | \$96 |
| Unexpended balance, estimated savings | -1 | - | - |
| TOTALS, EXPENDITURES | \$26 | \$482 | \$96 |
| 0066 Sale of Tobacco to Minors Control Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$5 | \$5 | \$5 |
| TOTALS, EXPENDITURES | \$5 | \$5 | \$5 |
| 0067 State Corporations Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$70 | \$43 | \$41 |
| TOTALS, EXPENDITURES | \$70 | \$43 | \$41 |
| 0069 State Board of Barbering and Cosmetology Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$27 | \$19 | \$18 |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| TOTALS, EXPENDITURES | \$27 | \$19 | \$18 |
| 0070 Occupational Lead Poisoning Prevention Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$10 | \$11 | \$12 |
| TOTALS, EXPENDITURES | \$10 | \$11 | \$12 |
| 0074 Medical Waste Management Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$5 | \$4 | \$4 |
| TOTALS, EXPENDITURES | \$5 | \$4 | \$4 |
| 0075 Radiation Control Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$60 | \$45 | \$41 |
| TOTALS, EXPENDITURES | \$60 | \$45 | \$41 |
| 0076 Tissue Bank License Fund | | | |
| APPROPRIATIONS | | | |
| Apportionment Payment System Assessments per Control Section 25.25 | \$- | \$1 | \$1 |
| TOTALS, EXPENDITURES | \$- | \$1 | \$1 |
| 0078 Graphic Design License Plate Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$2 | \$2 | \$1 |
| TOTALS, EXPENDITURES | \$2 | \$2 | \$1 |
| 0080 Childhood Lead Poisoning Prevention Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$73 | \$54 | \$46 |
| TOTALS, EXPENDITURES | \$73 | \$54 | \$46 |
| 0082 Export Document Program Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$2 | \$- | \$1 |
| TOTALS, EXPENDITURES | \$2 | \$- | \$1 |
| 0096 Cal-OSHA Targeted Inspection and Consultation Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$15 | \$11 | \$9 |
| TOTALS, EXPENDITURES | \$15 | \$11 | \$9 |
| 0098 Clinical Laboratory Improvement Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$15 | \$18 | \$17 |
| TOTALS, EXPENDITURES | \$15 | \$18 | \$17 |
| 0099 Health Statistics Special Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$58 | \$47 | \$43 |
| TOTALS, EXPENDITURES | \$58 | \$47 | \$43 |
| 0100 California Used Oil Recycling Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$5 | \$1 | \$6 |
| TOTALS, EXPENDITURES | \$5 | \$1 | \$6 |
| 0102 State Fire Marshal Licensing and Certification Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$36 | \$137 | \$26 |
| TOTALS, EXPENDITURES | \$36 | \$137 | \$26 |
| 0106 Department of Pesticide Regulation Fund | | | |
| APPROPRIATIONS | | | |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| Human Resources Management System Assessments per Section 25.25 | <u>\$82</u> | <u>\$52</u> | <u>\$57</u> |
| TOTALS, EXPENDITURES | \$82 | \$52 | \$57 |
| 0108 Acupuncture Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u> | <u>\$3</u> | <u>\$3</u> |
| TOTALS, EXPENDITURES | \$5 | \$3 | \$3 |
| 0111 Department of Agriculture Account, Department of Food and Agriculture Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$164</u> | <u>\$286</u> | <u>\$279</u> |
| TOTALS, EXPENDITURES | \$164 | \$286 | \$279 |
| 0115 Air Pollution Control Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$145</u> | <u>\$100</u> | <u>\$96</u> |
| TOTALS, EXPENDITURES | \$145 | \$100 | \$96 |
| 0117 Alcoholic Beverage Control Appeals Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$1</u> | <u>\$2</u> |
| TOTALS, EXPENDITURES | \$2 | \$1 | \$2 |
| 0121 Hospital Building Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$60</u> | <u>\$55</u> | <u>\$47</u> |
| TOTALS, EXPENDITURES | \$60 | \$55 | \$47 |
| 0133 California Beverage Container Recycling Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$138</u> | <u>\$-</u> | <u>\$36</u> |
| TOTALS, EXPENDITURES | \$138 | \$- | \$36 |
| 0139 Driving Under-the-Influence Program Licensing Trust Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u> | <u>\$6</u> | <u>\$5</u> |
| TOTALS, EXPENDITURES | \$5 | \$6 | \$5 |
| 0140 California Environmental License Plate Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$94</u> | <u>\$121</u> | <u>\$338</u> |
| TOTALS, EXPENDITURES | \$94 | \$121 | \$338 |
| 0141 Soil Conservation Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$3</u> | <u>\$3</u> |
| TOTALS, EXPENDITURES | \$- | \$3 | \$3 |
| 0142 Department of Justice Sexual Habitual Offender Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u> | <u>\$4</u> | <u>\$2</u> |
| TOTALS, EXPENDITURES | \$5 | \$4 | \$2 |
| 0143 California Health Data and Planning Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$22</u> | <u>\$21</u> | <u>\$19</u> |
| TOTALS, EXPENDITURES | \$22 | \$21 | \$19 |
| 0152 State Board of Chiropractic Examiners Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$3</u> | <u>\$3</u> |
| TOTALS, EXPENDITURES | \$2 | \$3 | \$3 |
| 0158 Travel Seller Fund | | | |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$2</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$2 | \$2 | \$1 |
| 0159 Trial Court Improvement Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u> | <u>\$12</u> | <u>\$13</u> |
| TOTALS, EXPENDITURES | \$5 | \$12 | \$13 |
| 0163 Continuing Care Provider Fee Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$111</u> | <u>\$96</u> | <u>\$30</u> |
| TOTALS, EXPENDITURES | \$111 | \$96 | \$30 |
| 0166 Certification Account, Consumer Affairs Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$1</u> | <u>\$2</u> |
| TOTALS, EXPENDITURES | \$2 | \$1 | \$2 |
| 0169 California Debt Limit Allocation Committee Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$-</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$2 | \$- | \$1 |
| 0171 California Debt and Investment Advisory Commission Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$4</u> | <u>\$3</u> |
| TOTALS, EXPENDITURES | \$2 | \$4 | \$3 |
| 0172 Developmental Disabilities Program Development Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$15</u> | <u>\$459</u> | <u>\$465</u> |
| TOTALS, EXPENDITURES | \$15 | \$459 | \$465 |
| 0177 Food Safety Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$17</u> | <u>\$13</u> | <u>\$13</u> |
| TOTALS, EXPENDITURES | \$17 | \$13 | \$13 |
| 0178 Driver Training Penalty Assessment Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$36</u> | <u>\$35</u> | <u>\$30</u> |
| TOTALS, EXPENDITURES | \$36 | \$35 | \$30 |
| 0179 Environmental Laboratory Improvement Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$7</u> | <u>\$7</u> | <u>\$6</u> |
| TOTALS, EXPENDITURES | \$7 | \$7 | \$6 |
| 0181 Registered Nurse Education Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$2</u> | <u>\$2</u> |
| TOTALS, EXPENDITURES | \$2 | \$2 | \$2 |
| 0184 Employment Development Department Benefit Audit Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$70</u> | <u>\$64</u> | <u>\$81</u> |
| TOTALS, EXPENDITURES | \$70 | \$64 | \$81 |
| 0185 Employment Development Department Contingent Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$431</u> | <u>\$213</u> | <u>\$258</u> |
| TOTALS, EXPENDITURES | \$431 | \$213 | \$258 |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| 0191 Fair and Exposition Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$19</u> | <u>\$-</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$19 | \$- | \$- |
| 0193 Waste Discharge Permit Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$48</u> | <u>\$32</u> | <u>\$34</u> |
| TOTALS, EXPENDITURES | \$48 | \$32 | \$34 |
| 0194 Emergency Medical Services Training Program Approval Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$2</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$2 | \$2 | \$- |
| 0198 California Fire and Arson Training Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$34</u> | <u>\$155</u> | <u>\$29</u> |
| TOTALS, EXPENDITURES | \$34 | \$155 | \$29 |
| 0200 Fish and Game Preservation Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$244</u> | <u>\$215</u> | <u>\$206</u> |
| TOTALS, EXPENDITURES | \$244 | \$215 | \$206 |
| 0203 Genetic Disease Testing Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$44</u> | <u>\$42</u> | <u>\$37</u> |
| TOTALS, EXPENDITURES | \$44 | \$42 | \$37 |
| 0205 Geology and Geophysics Account, Professional Engineer's and Land Surveyor's Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$1</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$2 | \$1 | \$1 |
| 0207 Fish and Wildlife Pollution Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$7</u> | <u>\$6</u> | <u>\$5</u> |
| TOTALS, EXPENDITURES | \$7 | \$6 | \$5 |
| 0208 Hearing Aid Dispensers Account of the Speech-Language Pathology and Audiology Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$1</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$2 | \$1 | \$- |
| 0209 California Hazardous Liquid Pipeline Safety Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$41</u> | <u>\$162</u> | <u>\$30</u> |
| TOTALS, EXPENDITURES | \$41 | \$162 | \$30 |
| 0212 Marine Invasive Species Control Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$10</u> | <u>\$8</u> | <u>\$8</u> |
| TOTALS, EXPENDITURES | \$10 | \$8 | \$8 |
| 0214 Restitution Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$80</u> | <u>\$47</u> | <u>\$40</u> |
| TOTALS, EXPENDITURES | \$80 | \$47 | \$40 |
| 0217 Insurance Fund | | | |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$346</u> | <u>\$189</u> | <u>\$193</u> |
| TOTALS, EXPENDITURES | \$346 | \$189 | \$193 |
| 0223 Workers' Compensation Administration Revolving Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$254</u> | <u>\$216</u> | <u>\$165</u> |
| TOTALS, EXPENDITURES | \$254 | \$216 | \$165 |
| 0226 California Tire Recycling Management Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$12</u> | <u>\$-</u> | <u>\$8</u> |
| TOTALS, EXPENDITURES | \$12 | \$- | \$8 |
| 0228 Secretary of State's Business Fees Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$73</u> | <u>\$48</u> | <u>\$63</u> |
| TOTALS, EXPENDITURES | \$73 | \$48 | \$63 |
| 0231 Health Education Account, Cigarette and Tobacco Products Surtax Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$42</u> | <u>\$35</u> | <u>\$32</u> |
| Totals Available | \$42 | \$35 | \$32 |
| Unexpended balance, estimated savings | <u>-1</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$41 | \$35 | \$32 |
| 0234 Research Account, Cigarette and Tobacco Products Surtax Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$15</u> | <u>\$10</u> | <u>\$10</u> |
| TOTALS, EXPENDITURES | \$15 | \$10 | \$10 |
| 0235 Public Resources Account, Cigarette and Tobacco Products Surtax Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$25</u> | <u>\$88</u> | <u>\$33</u> |
| Totals Available | \$25 | \$88 | \$33 |
| Unexpended balance, estimated savings | <u>-1</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$24 | \$88 | \$33 |
| 0236 Unallocated Account, Cigarette and Tobacco Products Surtax Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$-</u> | <u>\$73</u> |
| TOTALS, EXPENDITURES | \$- | \$- | \$73 |
| 0239 Private Security Services Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$22</u> | <u>\$13</u> | <u>\$20</u> |
| TOTALS, EXPENDITURES | \$22 | \$13 | \$20 |
| 0240 Local Agency Deposit Security Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$1</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$- | \$1 | \$1 |
| 0242 Court Collection Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$19</u> | <u>\$60</u> | <u>\$20</u> |
| TOTALS, EXPENDITURES | \$19 | \$60 | \$20 |
| 0243 Narcotic Treatment Program Licensing Trust Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u> | <u>\$5</u> | <u>\$4</u> |
| TOTALS, EXPENDITURES | \$5 | \$5 | \$4 |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| 0245 Mobilehome Park Revolving Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$17</u> | <u>\$11</u> | <u>\$8</u> |
| TOTALS, EXPENDITURES | \$17 | \$11 | \$8 |
| 0247 Drinking Water Operator Certification Special Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u> | <u>\$3</u> | <u>\$3</u> |
| TOTALS, EXPENDITURES | \$5 | \$3 | \$3 |
| 0260 Nursing Home Administrator's State License Examining Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$1</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$- | \$1 | \$1 |
| 0263 Off-Highway Vehicle Trust Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$60</u> | <u>\$349</u> | <u>\$169</u> |
| TOTALS, EXPENDITURES | \$60 | \$349 | \$169 |
| 0264 Osteopathic Medical Board of California Contingent Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$2</u> | <u>\$2</u> |
| TOTALS, EXPENDITURES | \$2 | \$2 | \$2 |
| 0267 Exposition Park Improvement Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$10</u> | <u>\$23</u> | <u>\$2</u> |
| TOTALS, EXPENDITURES | \$10 | \$23 | \$2 |
| 0271 Certification Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$121</u> | <u>\$100</u> | <u>\$29</u> |
| TOTALS, EXPENDITURES | \$121 | \$100 | \$29 |
| 0272 Infant Botulism Treatment and Prevention Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$17</u> | <u>\$12</u> | <u>\$11</u> |
| TOTALS, EXPENDITURES | \$17 | \$12 | \$11 |
| 0279 Child Health and Safety Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$259</u> | <u>\$220</u> | <u>\$67</u> |
| TOTALS, EXPENDITURES | \$259 | \$220 | \$67 |
| 0280 Physician Assistant Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$1</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$2 | \$1 | \$1 |
| 0281 Recycling Market Development Revolving Loan Subaccount, Integrated Waste Management Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$-</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$- | \$- | \$1 |
| 0286 Lake Tahoe Conservancy Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$1</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$2 | \$1 | \$1 |
| 0289 State HICAP Fund | | | |
| APPROPRIATIONS | | | |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| Human Resources Management System Assessments per Section 25.25 | <u>\$10</u> | <u>\$8</u> | <u>\$15</u> |
| TOTALS, EXPENDITURES | \$10 | \$8 | \$15 |
| 0290 Board of Pilot Commissioners' Special Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$-</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$- | \$- | \$1 |
| 0293 Motor Carriers Safety Improvement Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$10</u> | <u>\$6</u> | <u>\$5</u> |
| TOTALS, EXPENDITURES | \$10 | \$6 | \$5 |
| 0295 Board of Podiatric Medicine Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$1</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$2 | \$1 | \$- |
| 0298 Financial Institutions Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$44</u> | <u>\$35</u> | <u>\$33</u> |
| TOTALS, EXPENDITURES | \$44 | \$35 | \$33 |
| 0299 Credit Union Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$12</u> | <u>\$10</u> | <u>\$10</u> |
| TOTALS, EXPENDITURES | \$12 | \$10 | \$10 |
| 0300 Professional Forester Registration Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$11</u> | <u>\$2</u> |
| TOTALS, EXPENDITURES | \$2 | \$11 | \$2 |
| 0305 Private Postsecondary Education Administration Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$10</u> | <u>\$14</u> |
| TOTALS, EXPENDITURES | \$- | \$10 | \$14 |
| 0306 Safe Drinking Water Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$31</u> | <u>\$26</u> | <u>\$24</u> |
| TOTALS, EXPENDITURES | \$31 | \$26 | \$24 |
| 0309 Perinatal Insurance Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$2</u> | <u>\$2</u> |
| TOTALS, EXPENDITURES | \$2 | \$2 | \$2 |
| 0310 Psychology Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u> | <u>\$4</u> | <u>\$4</u> |
| TOTALS, EXPENDITURES | \$5 | \$4 | \$4 |
| 0312 Emergency Medical Services Personnel Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u> | <u>\$7</u> | <u>\$9</u> |
| TOTALS, EXPENDITURES | \$5 | \$7 | \$9 |
| 0313 Major Risk Medical Insurance Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$12</u> | <u>\$7</u> | <u>\$9</u> |
| TOTALS, EXPENDITURES | \$12 | \$7 | \$9 |
| 0317 Real Estate Fund | | | |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$92</u> | <u>\$62</u> | <u>\$54</u> |
| TOTALS, EXPENDITURES | \$92 | \$62 | \$54 |
| 0318 Collins-Dugan California Conservation Corps Reimbursement Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$203</u> | <u>\$151</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$203 | \$151 | \$- |
| 0319 Respiratory Care Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u> | <u>\$3</u> | <u>\$3</u> |
| TOTALS, EXPENDITURES | \$5 | \$3 | \$3 |
| 0320 Oil Spill Prevention and Administration Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$85</u> | <u>\$72</u> | <u>\$64</u> |
| TOTALS, EXPENDITURES | \$85 | \$72 | \$64 |
| 0322 Environmental Enhancement Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$1</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$- | \$1 | \$1 |
| 0325 Electronic and Appliance Repair Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u> | <u>\$3</u> | <u>\$6</u> |
| TOTALS, EXPENDITURES | \$5 | \$3 | \$6 |
| 0326 Athletic Commission Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$3</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$2 | \$3 | \$- |
| 0328 Public School Planning, Design, and Construction Review Revolving Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$44</u> | <u>\$38</u> | <u>\$31</u> |
| TOTALS, EXPENDITURES | \$44 | \$38 | \$31 |
| 0330 Local Revenue Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$614 | \$616 | \$609 |
| Allocation for employee compensation | 2 | 1 | - |
| Adjustment per Section 3.60 | 12 | 4 | - |
| Adjustment per Section 3.90 | - | -7 | - |
| Adjustment per Section 3.91 | -30 | - | - |
| Apportionment Payment System Assessments per Control Section 25.5 | <u>100</u> | <u>100</u> | <u>100</u> |
| Totals Available | \$698 | \$714 | \$709 |
| Unexpended balance, estimated savings | <u>-5</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$693 | \$714 | \$709 |
| 0335 Registered Environmental Health Specialist Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$1</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$- | \$1 | \$1 |
| 0336 Mine Reclamation Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$5</u> | <u>\$5</u> |
| TOTALS, EXPENDITURES | \$- | \$5 | \$5 |
| 0338 Strong-Motion Instrumentation and Seismic Hazards Mapping Fund | | | |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$12</u> | <u>\$11</u> |
| TOTALS, EXPENDITURES | \$2 | \$12 | \$11 |
| 0365 Historic Property Maintenance Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u> | <u>\$3</u> | <u>\$3</u> |
| TOTALS, EXPENDITURES | \$5 | \$3 | \$3 |
| 0367 Indian Gaming Special Distribution Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$56</u> | <u>\$48</u> | <u>\$34</u> |
| TOTALS, EXPENDITURES | \$56 | \$48 | \$34 |
| 0371 California Beach and Coastal Enhancement Account, California Environmental License Plate Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u> | <u>\$3</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$5 | \$3 | \$1 |
| 0376 Speech-Language Pathology and Audiology and Hearing Aid Dispensers Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$1</u> | <u>\$2</u> |
| TOTALS, EXPENDITURES | \$- | \$1 | \$2 |
| 0378 False Claims Act Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$19</u> | <u>\$18</u> | <u>\$9</u> |
| TOTALS, EXPENDITURES | \$19 | \$18 | \$9 |
| 0381 Public Interest Research, Development, and Demonstration Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$27</u> | <u>\$21</u> | <u>\$15</u> |
| TOTALS, EXPENDITURES | \$27 | \$21 | \$15 |
| 0382 Renewable Resource Trust Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$27</u> | <u>\$20</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$27 | \$20 | \$- |
| 0386 Solid Waste Disposal Site Cleanup Trust Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$-</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$2 | \$- | \$- |
| 0387 Integrated Waste Management Account, Integrated Waste Management Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$27</u> | <u>\$6</u> | <u>\$42</u> |
| TOTALS, EXPENDITURES | \$27 | \$6 | \$42 |
| 0392 State Parks and Recreation Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$140</u> | <u>\$745</u> | <u>\$379</u> |
| TOTALS, EXPENDITURES | \$140 | \$745 | \$379 |
| 0396 Self-Insurance Plans Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u> | <u>\$5</u> | <u>\$4</u> |
| TOTALS, EXPENDITURES | \$5 | \$5 | \$4 |
| 0400 Real Estate Appraisers Regulation Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$-</u> | <u>\$4</u> |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| TOTALS, EXPENDITURES | \$- | \$- | \$4 |
| 0407 Teacher Credentials Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$36 | \$21 | \$20 |
| TOTALS, EXPENDITURES | \$36 | \$21 | \$20 |
| 0408 Test Development and Administration Account, Teacher Credentials Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$15 | \$7 | \$6 |
| TOTALS, EXPENDITURES | \$15 | \$7 | \$6 |
| 0410 Transcript Reimbursement Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$- | \$- | \$1 |
| TOTALS, EXPENDITURES | \$- | \$- | \$1 |
| 0421 Vehicle Inspection and Repair Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$254 | \$146 | \$219 |
| TOTALS, EXPENDITURES | \$254 | \$146 | \$219 |
| 0425 Victim - Witness Assistance Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$10 | \$16 | \$17 |
| TOTALS, EXPENDITURES | \$10 | \$16 | \$17 |
| 0434 Air Toxics Inventory and Assessment Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$- | \$- | \$1 |
| TOTALS, EXPENDITURES | \$- | \$- | \$1 |
| 0439 Underground Storage Tank Cleanup Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$174 | \$161 | \$134 |
| TOTALS, EXPENDITURES | \$174 | \$161 | \$134 |
| 0442 California Olympic Training Account | | | |
| APPROPRIATIONS | | | |
| TOTALS, EXPENDITURES | \$- | \$- | \$- |
| Loan Interest Repayment per Government Code Section 7592 | 54 | - | - |
| NET TOTALS, EXPENDITURES | \$54 | \$- | \$- |
| 0447 Wildlife Restoration Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$5 | \$3 | \$3 |
| TOTALS, EXPENDITURES | \$5 | \$3 | \$3 |
| 0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$2 | \$2 | \$3 |
| TOTALS, EXPENDITURES | \$2 | \$2 | \$3 |
| 0452 Elevator Safety Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$31 | \$27 | \$21 |
| TOTALS, EXPENDITURES | \$31 | \$27 | \$21 |
| 0453 Pressure Vessel Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$7 | \$7 | \$5 |
| TOTALS, EXPENDITURES | \$7 | \$7 | \$5 |
| 0457 Tax Credit Allocation Fee Account | | | |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$2</u> | <u>\$2</u> |
| TOTALS, EXPENDITURES | \$2 | \$2 | \$2 |
| 0460 Dealers' Record of Sale Special Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$19</u> | <u>\$19</u> | <u>\$10</u> |
| TOTALS, EXPENDITURES | \$19 | \$19 | \$10 |
| 0461 Public Utilities Commission Transportation Reimbursement Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$1</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$2 | \$1 | \$1 |
| 0462 Public Utilities Commission Utilities Reimbursement Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$15</u> | <u>\$9</u> | <u>\$9</u> |
| TOTALS, EXPENDITURES | \$15 | \$9 | \$9 |
| 0464 California High-Cost Fund-A Administrative Committee Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$12</u> | <u>\$6</u> | <u>\$6</u> |
| TOTALS, EXPENDITURES | \$12 | \$6 | \$6 |
| 0465 Energy Resources Programs Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$29</u> | <u>\$24</u> | <u>\$29</u> |
| TOTALS, EXPENDITURES | \$29 | \$24 | \$29 |
| 0470 California High-Cost Fund-B Administrative Committee Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$10</u> | <u>\$5</u> | <u>\$5</u> |
| TOTALS, EXPENDITURES | \$10 | \$5 | \$5 |
| 0471 Universal Lifeline Telephone Service Trust Administrative Committee Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$60</u> | <u>\$44</u> | <u>\$41</u> |
| TOTALS, EXPENDITURES | \$60 | \$44 | \$41 |
| 0483 Deaf and Disabled Telecommunications Program Administrative Committee Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$12</u> | <u>\$7</u> | <u>\$7</u> |
| TOTALS, EXPENDITURES | \$12 | \$7 | \$7 |
| 0493 California Teleconnect Fund Administrative Committee Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$12</u> | <u>\$7</u> | <u>\$8</u> |
| TOTALS, EXPENDITURES | \$12 | \$7 | \$8 |
| 0494 Other - Unallocated Special Funds | | | |
| APPROPRIATIONS | | | |
| 011 Budget Act appropriation | \$96 | \$95 | \$96 |
| Adjustment per Section 3.60 | 2 | 1 | - |
| Adjustment per Section 3.90 | - | -1 | - |
| Adjustment per Section 3.91 | -5 | - | - |
| Human Resources Management System Assessments per Section 25.25 | 39 | 14 | - |
| Human Resources Management System Unallocated Assessments | <u>-</u> | <u>-</u> | <u>6,870</u> |
| Totals Available | \$132 | \$109 | \$6,966 |
| Unexpended balance, estimated savings | <u>-27</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$105 | \$109 | \$6,966 |
| 0501 California Housing Finance Fund | | | |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$68</u> | <u>\$44</u> | <u>\$53</u> |
| TOTALS, EXPENDITURES | \$68 | \$44 | \$53 |
| 0502 California Water Resources Development Bond Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$677</u> | <u>\$732</u> | <u>\$742</u> |
| TOTALS, EXPENDITURES | \$677 | \$732 | \$742 |
| 0507 Central Valley Water Project Revenue Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$268</u> | <u>\$-</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$268 | \$- | \$- |
| 0512 Compensation Insurance Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$1,954</u> | <u>\$1,213</u> | <u>\$1,394</u> |
| TOTALS, EXPENDITURES | \$1,954 | \$1,213 | \$1,394 |
| 0514 Employment Training Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$402</u> | <u>\$1,240</u> | <u>\$275</u> |
| TOTALS, EXPENDITURES | \$402 | \$1,240 | \$275 |
| 0516 Harbors and Watercraft Revolving Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$58</u> | <u>\$42</u> | <u>\$44</u> |
| TOTALS, EXPENDITURES | \$58 | \$42 | \$44 |
| 0518 Health Facility Construction Loan Insurance Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u> | <u>\$-</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$5 | \$- | \$- |
| 0528 California Alternative Energy Authority Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$-</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$- | \$- | \$1 |
| 0530 Mobilehome Park Purchase Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$1</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$2 | \$1 | \$1 |
| 0557 Toxic Substances Control Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$97</u> | <u>\$73</u> | <u>\$70</u> |
| TOTALS, EXPENDITURES | \$97 | \$73 | \$70 |
| 0564 Scholarshare Administrative Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$1</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$- | \$1 | \$- |
| 0565 State Coastal Conservancy Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$12</u> | <u>\$8</u> | <u>\$6</u> |
| TOTALS, EXPENDITURES | \$12 | \$8 | \$6 |
| 0566 Department of Justice Child Abuse Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$1</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$- | \$1 | \$- |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| 0567 Gambling Control Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$19</u> | <u>\$16</u> | <u>\$10</u> |
| TOTALS, EXPENDITURES | \$19 | \$16 | \$10 |
| 0571 Uninsured Employers Benefits Trust Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$46</u> | <u>\$32</u> | <u>\$38</u> |
| TOTALS, EXPENDITURES | \$46 | \$32 | \$38 |
| 0582 High Polluter Repair or Removal Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$143</u> | <u>\$79</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$143 | \$79 | \$- |
| 0587 Family Law Trust Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$3</u> | <u>\$4</u> |
| TOTALS, EXPENDITURES | \$2 | \$3 | \$4 |
| 0588 Unemployment Compensation Disability Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$1,171</u> | <u>\$1,104</u> | <u>\$1,430</u> |
| TOTALS, EXPENDITURES | \$1,171 | \$1,104 | \$1,430 |
| 0592 Veterans' Farm and Home Building Fund of 1943 | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$51</u> | <u>\$34</u> | <u>\$38</u> |
| TOTALS, EXPENDITURES | \$51 | \$34 | \$38 |
| 0602 Architecture Revolving Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$41</u> | <u>\$30</u> | <u>\$24</u> |
| TOTALS, EXPENDITURES | \$41 | \$30 | \$24 |
| 0622 Drinking Water Treatment and Research Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$-</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$2 | \$- | \$- |
| 0638 Administration Account, California Children and Families Trust Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$12</u> | <u>\$8</u> | <u>\$6</u> |
| TOTALS, EXPENDITURES | \$12 | \$8 | \$6 |
| 0642 Domestic Violence Training and Education Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$2</u> | <u>\$2</u> |
| TOTALS, EXPENDITURES | \$2 | \$2 | \$2 |
| 0648 Mobilehome-Manufactured Home Revolving Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$48</u> | <u>\$27</u> | <u>\$20</u> |
| TOTALS, EXPENDITURES | \$48 | \$27 | \$20 |
| 0666 Service Revolving Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$939</u> | <u>\$680</u> | <u>\$529</u> |
| TOTALS, EXPENDITURES | \$939 | \$680 | \$529 |
| 0679 State Water Quality Control Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$19</u> | <u>\$11</u> | <u>\$10</u> |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| TOTALS, EXPENDITURES | \$19 | \$11 | \$10 |
| 0687 Donated Food Revolving Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$172</u> | <u>\$152</u> | <u>\$135</u> |
| TOTALS, EXPENDITURES | \$172 | \$152 | \$135 |
| 0704 Accountancy Fund, Professions and Vocations Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$19</u> | <u>\$13</u> | <u>\$11</u> |
| TOTALS, EXPENDITURES | \$19 | \$13 | \$11 |
| 0706 California Architects Board Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u> | <u>\$4</u> | <u>\$4</u> |
| TOTALS, EXPENDITURES | \$5 | \$4 | \$4 |
| 0717 Cemetery Fund, Professions and Vocations Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u> | <u>\$3</u> | <u>\$4</u> |
| TOTALS, EXPENDITURES | \$5 | \$3 | \$4 |
| 0735 Contractors' License Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$89</u> | <u>\$64</u> | <u>\$57</u> |
| TOTALS, EXPENDITURES | \$89 | \$64 | \$57 |
| 0741 State Dentistry Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$15</u> | <u>\$12</u> | <u>\$11</u> |
| TOTALS, EXPENDITURES | \$15 | \$12 | \$11 |
| 0750 State Funeral Directors and Embalmers Fund, Professions and Vocations Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$2</u> | <u>\$3</u> |
| TOTALS, EXPENDITURES | \$2 | \$2 | \$3 |
| 0752 Bureau of Home Furnishings and Thermal Insulation Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$10</u> | <u>\$6</u> | <u>\$9</u> |
| TOTALS, EXPENDITURES | \$10 | \$6 | \$9 |
| 0757 California Board of Architectural Examiners - Landscape Architects Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$1</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$2 | \$1 | \$1 |
| 0758 Contingent Fund of the Medical Board of California | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$80</u> | <u>\$58</u> | <u>\$53</u> |
| TOTALS, EXPENDITURES | \$80 | \$58 | \$53 |
| 0759 Physical Therapy Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$3</u> | <u>\$3</u> |
| TOTALS, EXPENDITURES | \$2 | \$3 | \$3 |
| 0761 Board of Registered Nursing Fund, Professions and Vocations Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$36</u> | <u>\$31</u> | <u>\$27</u> |
| TOTALS, EXPENDITURES | \$36 | \$31 | \$27 |
| 0763 State Optometry Fund, Professions and Vocations Fund | | | |
| APPROPRIATIONS | | | |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$2</u> | <u>\$2</u> |
| TOTALS, EXPENDITURES | \$2 | \$2 | \$2 |
| 0767 Pharmacy Board Contingent Fund, Professions and Vocations Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$17</u> | <u>\$14</u> | <u>\$14</u> |
| TOTALS, EXPENDITURES | \$17 | \$14 | \$14 |
| 0769 Private Investigator Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$1</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$2 | \$1 | \$1 |
| 0770 Professional Engineers' and Land Surveyors' Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$15</u> | <u>\$10</u> | <u>\$10</u> |
| TOTALS, EXPENDITURES | \$15 | \$10 | \$10 |
| 0771 Court Reporters Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$1</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$- | \$1 | \$1 |
| 0773 Behavioral Science Examiners Fund, Professions and Vocations Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$10</u> | <u>\$9</u> | <u>\$7</u> |
| TOTALS, EXPENDITURES | \$10 | \$9 | \$7 |
| 0775 Structural Pest Control Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$7</u> | <u>\$4</u> | <u>\$4</u> |
| TOTALS, EXPENDITURES | \$7 | \$4 | \$4 |
| 0777 Veterinary Medical Board Contingent Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u> | <u>\$3</u> | <u>\$3</u> |
| TOTALS, EXPENDITURES | \$5 | \$3 | \$3 |
| 0779 Vocational Nursing & Psychiatric Technicians Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$15</u> | <u>\$13</u> | <u>\$11</u> |
| TOTALS, EXPENDITURES | \$15 | \$13 | \$11 |
| 0780 Psychiatric Technicians Account, Vocational Nursing and Psychiatric Technicians Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$3</u> | <u>\$2</u> |
| TOTALS, EXPENDITURES | \$2 | \$3 | \$2 |
| 0784 Student Loan Operating Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$39</u> | <u>\$19</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$39 | \$19 | \$- |
| 0797 Unallocated Bond Funds - Select | | | |
| APPROPRIATIONS | | | |
| 011 Budget Act appropriation | \$632 | \$636 | \$642 |
| Allocation for employee compensation | 2 | 1 | - |
| Adjustment per Section 3.60 | 12 | 4 | - |
| Adjustment per Section 3.90 | - | -8 | - |
| Adjustment per Section 3.91 | <u>-31</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$615 | \$633 | \$642 |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| 0803 State Children's Trust Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$17</u> | <u>\$14</u> | <u>\$7</u> |
| TOTALS, EXPENDITURES | \$17 | \$14 | \$7 |
| 0813 Self - Help Housing Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$7</u> | <u>\$4</u> | <u>\$2</u> |
| TOTALS, EXPENDITURES | \$7 | \$4 | \$2 |
| 0815 Judges' Retirement Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$1</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$2 | \$1 | \$1 |
| 0821 Flexelect Benefit Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$2</u> | <u>\$2</u> |
| TOTALS, EXPENDITURES | \$- | \$2 | \$2 |
| 0822 Public Employees' Health Care Fund (PEHCF) | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$29</u> | <u>\$18</u> | <u>\$18</u> |
| TOTALS, EXPENDITURES | \$29 | \$18 | \$18 |
| 0823 California Alzheimer's Disease and Related Disorders Research Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$2</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$2 | \$2 | \$1 |
| 0829 Health Professions Education Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$-</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$2 | \$- | \$- |
| 0830 Public Employees' Retirement Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$467</u> | <u>\$375</u> | <u>\$381</u> |
| TOTALS, EXPENDITURES | \$467 | \$375 | \$381 |
| 0833 Annuitants' Health Care Coverage Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$2</u> | <u>\$2</u> |
| TOTALS, EXPENDITURES | \$- | \$2 | \$2 |
| 0835 Teachers' Retirement Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$181</u> | <u>\$131</u> | <u>\$140</u> |
| TOTALS, EXPENDITURES | \$181 | \$131 | \$140 |
| 0840 California Motorcyclist Safety Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u> | <u>\$-</u> | <u>\$5</u> |
| TOTALS, EXPENDITURES | \$5 | \$- | \$5 |
| 0867 California Farmland Conservancy Program Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$1</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$- | \$1 | \$- |
| 0877 DMV Local Agency Collection Fund | | | |
| APPROPRIATIONS | | | |
| Apportionment Payment System Assessments per Control Section 25.5 | \$2 | \$2 | \$2 |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| TOTALS, EXPENDITURES | \$2 | \$2 | \$2 |
| 0884 Judges' Retirement System II Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$- | \$1 | \$1 |
| TOTALS, EXPENDITURES | \$- | \$1 | \$1 |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$795 | \$1,085 | \$1,122 |
| Allocation for employee compensation | 3 | 2 | - |
| Adjustment per Section 3.60 | 16 | 8 | - |
| Adjustment per Section 3.90 | - | -14 | - |
| Adjustment per Section 3.91 | -40 | - | - |
| Budget Adjustment | 314 | - | - |
| TOTALS, EXPENDITURES | \$1,088 | \$1,081 | \$1,122 |
| 0903 State Penalty Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$1,363 | \$1,373 | \$1,356 |
| Allocation for employee compensation | 5 | 3 | - |
| Adjustment per Section 3.60 | 27 | 10 | - |
| Adjustment per Section 3.90 | - | -18 | - |
| Adjustment per Section 3.91 | -69 | - | - |
| Totals Available | \$1,326 | \$1,368 | \$1,356 |
| Unexpended balance, estimated savings | -154 | - | - |
| TOTALS, EXPENDITURES | \$1,172 | \$1,368 | \$1,356 |
| 0904 California Health Facilities Financing Authority Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$2 | \$2 | \$2 |
| TOTALS, EXPENDITURES | \$2 | \$2 | \$2 |
| 0908 School Employees Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$5 | \$4 | \$5 |
| TOTALS, EXPENDITURES | \$5 | \$4 | \$5 |
| 0911 Educational Facilities Authority Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$- | \$- | \$1 |
| TOTALS, EXPENDITURES | \$- | \$- | \$1 |
| 0913 Industrial Relations Unpaid Wage Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$7 | \$5 | \$4 |
| TOTALS, EXPENDITURES | \$7 | \$5 | \$4 |
| 0914 Bay Fill Clean-Up and Abatement Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$- | \$2 | \$1 |
| TOTALS, EXPENDITURES | \$- | \$2 | \$1 |
| 0915 Deferred Compensation Plan Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$10 | \$26 | \$17 |
| TOTALS, EXPENDITURES | \$10 | \$26 | \$17 |
| 0916 California Housing Loan Insurance Fund | | | |
| APPROPRIATIONS | | | |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u> | <u>\$2</u> | <u>\$2</u> |
| TOTALS, EXPENDITURES | \$5 | \$2 | \$2 |
| 0925 California Community Colleges Business Resource Assistance and Innovation Network Trust Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$-</u> | <u>\$9</u> |
| TOTALS, EXPENDITURES | \$- | \$- | \$9 |
| 0927 Joe Serna, Jr. Farmworker Housing Grant Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$7</u> | <u>\$4</u> | <u>\$3</u> |
| TOTALS, EXPENDITURES | \$7 | \$4 | \$3 |
| 0928 Forest Resources Improvement Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$102</u> | <u>\$410</u> | <u>\$76</u> |
| TOTALS, EXPENDITURES | \$102 | \$410 | \$76 |
| 0929 Housing Rehabilitation Loan Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$34</u> | <u>\$21</u> | <u>\$13</u> |
| TOTALS, EXPENDITURES | \$34 | \$21 | \$13 |
| 0930 Pollution Control Financing Authority Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$-</u> | <u>\$4</u> |
| TOTALS, EXPENDITURES | \$- | \$- | \$4 |
| 0932 Trial Court Trust Fund | | | |
| APPROPRIATIONS | | | |
| Apportionment Payment System Assessments per Control Section 25.5 | \$175 | \$174 | \$174 |
| Human Resources Management System Assessments per Section 25.25 | <u>5</u> | <u>-</u> | <u>9</u> |
| Totals Available | \$180 | \$174 | \$183 |
| Unexpended balance, estimated savings | <u>-9</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$171 | \$174 | \$183 |
| 0933 Managed Care Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$73</u> | <u>\$47</u> | <u>\$44</u> |
| TOTALS, EXPENDITURES | \$73 | \$47 | \$44 |
| 0938 Rental Housing Construction Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$7</u> | <u>\$5</u> | <u>\$3</u> |
| TOTALS, EXPENDITURES | \$7 | \$5 | \$3 |
| 0940 Bosco-Keene Renewable Resources Investment Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$1</u> | <u>\$2</u> |
| TOTALS, EXPENDITURES | \$- | \$1 | \$2 |
| 0943 Land Bank Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$1</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$- | \$1 | \$1 |
| 0950 Public Employees Contingency Reserve Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$51</u> | <u>\$36</u> | <u>\$36</u> |
| TOTALS, EXPENDITURES | \$51 | \$36 | \$36 |
| 0965 Timber Tax Fund | | | |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| APPROPRIATIONS | | | |
| Apportionment Payment System Assessments per Control Section 25.5 | \$1 | \$- | \$- |
| Human Resources Management System Assessments per Section 25.25 | - | - | 19 |
| TOTALS, EXPENDITURES | \$1 | \$- | \$19 |
| 0969 Public Safety Account, Local Public Safety Fund | | | |
| APPROPRIATIONS | | | |
| Apportionment Payment System Assessments per Control Section 25.5 | \$268 | \$268 | \$268 |
| Totals Available | \$268 | \$268 | \$268 |
| Unexpended balance, estimated savings | -13 | - | - |
| TOTALS, EXPENDITURES | \$255 | \$268 | \$268 |
| 0970 Unclaimed Property Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$27,472 | \$27,899 | \$33,333 |
| Allocation for employee compensation | 91 | 58 | - |
| Allocation for contingencies or emergencies | - | 99 | - |
| Adjustment per Section 3.60 | 442 | 199 | - |
| Adjustment per Section 3.90 | - | -340 | - |
| Adjustment per Section 3.91 | -1,222 | - | - |
| Human Resources Management System Assessments per Section 25.25 | 29 | - | - |
| TOTALS, EXPENDITURES | \$26,812 | \$27,915 | \$33,333 |
| 0985 Emergency Housing and Assistance Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$5 | \$2 | \$2 |
| TOTALS, EXPENDITURES | \$5 | \$2 | \$2 |
| 0988 Other - Unallocated Non-Governmental Cost Funds | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$348 | \$350 | \$248 |
| Allocation for employee compensation | 1 | 1 | - |
| Adjustment per Section 3.60 | 6 | 2 | - |
| Adjustment per Section 3.90 | - | -4 | - |
| Adjustment per Section 3.91 | -17 | - | - |
| 011 Budget Act appropriation | 90 | 91 | 92 |
| Adjustment per Section 3.60 | 2 | 1 | - |
| Adjustment per Section 3.90 | - | -1 | - |
| Adjustment per Section 3.91 | -4 | - | - |
| Totals Available | \$426 | \$440 | \$340 |
| Unexpended balance, estimated savings | -3 | - | - |
| TOTALS, EXPENDITURES | \$423 | \$440 | \$340 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$53,052 | \$59,276 | \$58,418 |
| 1006 Rural CUPA Reimbursement Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$- | \$1 | \$- |
| TOTALS, EXPENDITURES | \$- | \$1 | \$- |
| 1008 Firearms Safety and Enforcement Special Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | \$7 | \$6 | \$3 |
| TOTALS, EXPENDITURES | \$7 | \$6 | \$3 |
| 3002 Electrician Certification Fund | | | |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u> | <u>\$3</u> | <u>\$3</u> |
| TOTALS, EXPENDITURES | \$5 | \$3 | \$3 |
| 3004 Garment Industry Regulations Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u> | <u>\$4</u> | <u>\$3</u> |
| TOTALS, EXPENDITURES | \$5 | \$4 | \$3 |
| 3007 Traffic Congestion Relief Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$82</u> | <u>\$34</u> | <u>\$26</u> |
| TOTALS, EXPENDITURES | \$82 | \$34 | \$26 |
| 3008 Transportation Investment Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$728</u> | <u>\$-</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$728 | \$- | \$- |
| 3010 Pierce's Disease Management Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$12</u> | <u>\$17</u> | <u>\$15</u> |
| TOTALS, EXPENDITURES | \$12 | \$17 | \$15 |
| 3015 Gas Consumption Surcharge Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$106</u> | <u>\$61</u> | <u>\$69</u> |
| TOTALS, EXPENDITURES | \$106 | \$61 | \$69 |
| 3016 Missing Persons DNA Data Base Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$7</u> | <u>\$6</u> | <u>\$3</u> |
| TOTALS, EXPENDITURES | \$7 | \$6 | \$3 |
| 3017 Occupational Therapy Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$-</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$- | \$- | \$1 |
| 3018 Drug and Device Safety Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$15</u> | <u>\$11</u> | <u>\$11</u> |
| TOTALS, EXPENDITURES | \$15 | \$11 | \$11 |
| 3022 Apprenticeship Training Contribution Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$10</u> | <u>\$14</u> | <u>\$11</u> |
| TOTALS, EXPENDITURES | \$10 | \$14 | \$11 |
| 3025 Abandoned Mine Reclamation and Minerals Fund Subaccount, Mine Reclamation Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$1</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$- | \$1 | \$1 |
| 3030 Workers' Occupational Safety and Health Education Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$2</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$2 | \$2 | \$1 |
| 3034 Antiterrorism Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u> | <u>\$-</u> | <u>\$-</u> |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| TOTALS, EXPENDITURES | \$5 | \$- | \$- |
| 3035 Environmental Quality Assessment Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$1</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$- | \$1 | \$- |
| 3036 Alcohol Beverages Control Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$157</u> | <u>\$105</u> | <u>\$101</u> |
| TOTALS, EXPENDITURES | \$157 | \$105 | \$101 |
| 3037 State Court Facilities Construction Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$46</u> | <u>\$86</u> | <u>\$79</u> |
| TOTALS, EXPENDITURES | \$46 | \$86 | \$79 |
| 3046 Oil, Gas, and Geothermal Administrative Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$32</u> | <u>\$36</u> |
| TOTALS, EXPENDITURES | \$2 | \$32 | \$36 |
| 3053 Public Rights Law Enforcement Special Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$10</u> | <u>\$10</u> | <u>\$5</u> |
| TOTALS, EXPENDITURES | \$10 | \$10 | \$5 |
| 3056 Safe Drinking Water and Toxic Enforcement Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$5</u> | <u>\$5</u> |
| TOTALS, EXPENDITURES | \$2 | \$5 | \$5 |
| 3057 Dam Safety Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$12</u> | <u>\$9</u> | <u>\$9</u> |
| TOTALS, EXPENDITURES | \$12 | \$9 | \$9 |
| 3058 Water Rights Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$7</u> | <u>\$9</u> | <u>\$9</u> |
| TOTALS, EXPENDITURES | \$7 | \$9 | \$9 |
| 3060 Appellate Court Trust Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$5</u> | <u>\$6</u> |
| TOTALS, EXPENDITURES | \$2 | \$5 | \$6 |
| 3061 Ratepayer Relief Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$10</u> | <u>\$-</u> | <u>\$-</u> |
| Totals Available | \$10 | \$- | \$- |
| Unexpended balance, estimated savings | <u>-10</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$- | \$- | \$- |
| 3062 Energy Facility License and Compliance Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$-</u> | <u>\$2</u> |
| TOTALS, EXPENDITURES | \$- | \$- | \$2 |
| 3063 State Responsibility Area Fire Prevention Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$-</u> | <u>\$476</u> |
| TOTALS, EXPENDITURES | \$- | \$- | \$476 |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| 3064 Mental Health Practitioner Education Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$1</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$- | \$1 | \$- |
| 3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$82</u> | <u>\$35</u> | <u>\$45</u> |
| TOTALS, EXPENDITURES | \$82 | \$35 | \$45 |
| 3067 Cigarette and Tobacco Products Compliance Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$4</u> | <u>\$6</u> |
| TOTALS, EXPENDITURES | \$2 | \$4 | \$6 |
| 3070 Nontoxic Dry Cleaning Incentive Trust Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$-</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$2 | \$- | \$- |
| 3074 Medical Marijuana Program Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$1</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$- | \$1 | \$1 |
| 3078 Labor and Workforce Development Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$2</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$- | \$2 | \$- |
| 3080 AIDS Drug Assistance Program Rebate Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$56</u> | <u>\$33</u> | <u>\$2</u> |
| TOTALS, EXPENDITURES | \$56 | \$33 | \$2 |
| 3081 Cannery Inspection Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u> | <u>\$4</u> | <u>\$4</u> |
| TOTALS, EXPENDITURES | \$5 | \$4 | \$4 |
| 3084 State Certified Unified Program Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$3</u> | <u>\$3</u> |
| TOTALS, EXPENDITURES | \$2 | \$3 | \$3 |
| 3085 Mental Health Services Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$714</u> | <u>\$1,733</u> | <u>\$1,259</u> |
| TOTALS, EXPENDITURES | \$714 | \$1,733 | \$1,259 |
| 3086 DNA Identification Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$56</u> | <u>\$124</u> | <u>\$65</u> |
| TOTALS, EXPENDITURES | \$56 | \$124 | \$65 |
| 3087 Unfair Competition Law Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$7</u> | <u>\$16</u> | <u>\$9</u> |
| TOTALS, EXPENDITURES | \$7 | \$16 | \$9 |
| 3088 Registry of Charitable Trusts Fund | | | |
| APPROPRIATIONS | | | |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u> | <u>\$5</u> | <u>\$3</u> |
| TOTALS, EXPENDITURES | \$5 | \$5 | \$3 |
| 3089 Public Utilities Commission Ratepayer Advocate Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$5</u> | <u>\$2</u> | <u>\$3</u> |
| TOTALS, EXPENDITURES | \$5 | \$2 | \$3 |
| 3098 State Department of Public Health Licensing and Certification Program Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$194</u> | <u>\$170</u> | <u>\$166</u> |
| TOTALS, EXPENDITURES | \$194 | \$170 | \$166 |
| 3100 Department of Water Resources Electric Power Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$-</u> | <u>\$22</u> |
| TOTALS, EXPENDITURES | \$- | \$- | \$22 |
| 3102 Acute Orphan Well Account, Oil, Gas, and Geothermal Administrative Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$-</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$- | \$- | \$1 |
| 3103 Hatchery and Inland Fisheries Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$51</u> | <u>\$34</u> | <u>\$43</u> |
| TOTALS, EXPENDITURES | \$51 | \$34 | \$43 |
| 3108 Professional Fiduciary Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$-</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$- | \$- | \$1 |
| 3109 Natural Gas Subaccount, Public Interest Research, Development, and Demonstration Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$10</u> | <u>\$7</u> | <u>\$8</u> |
| TOTALS, EXPENDITURES | \$10 | \$7 | \$8 |
| 3113 Residential and Outpatient Program Licensing Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$12</u> | <u>\$16</u> | <u>\$13</u> |
| TOTALS, EXPENDITURES | \$12 | \$16 | \$13 |
| 3114 Birth Defects Monitoring Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$10</u> | <u>\$7</u> | <u>\$7</u> |
| TOTALS, EXPENDITURES | \$10 | \$7 | \$7 |
| 3117 Alternative and Renewable Fuel and Vehicle Technology Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$36</u> | <u>\$145</u> | <u>\$51</u> |
| TOTALS, EXPENDITURES | \$36 | \$145 | \$51 |
| 3119 Air Quality Improvement Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$36</u> | <u>\$25</u> | <u>\$25</u> |
| TOTALS, EXPENDITURES | \$36 | \$25 | \$25 |
| 3120 State Fire Marshal Fireworks Enforcement and Disposal Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$15</u> | <u>\$3</u> |
| TOTALS, EXPENDITURES | \$- | \$15 | \$3 |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| 3121 Occupational Safety and Health Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$22</u> | <u>\$54</u> | <u>\$42</u> |
| TOTALS, EXPENDITURES | \$22 | \$54 | \$42 |
| 3122 Enhanced Fleet Modernization Subaccount, High Polluter Repair and Removal Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$21</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$- | \$21 | \$- |
| 3123 Coastal Act Services Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$4</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$2 | \$4 | \$- |
| 3131 California Bingo Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$1</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$- | \$1 | \$- |
| 3140 State Dental Hygiene Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$1</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$2 | \$1 | \$1 |
| 3141 California Advanced Services Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$10</u> | <u>\$3</u> | <u>\$3</u> |
| TOTALS, EXPENDITURES | \$10 | \$3 | \$3 |
| 3142 State Dental Assistant Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$2</u> | <u>\$2</u> |
| TOTALS, EXPENDITURES | \$2 | \$2 | \$2 |
| 3144 Building Standards Administration Special Revolving Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$8</u> | <u>\$2</u> |
| TOTALS, EXPENDITURES | \$- | \$8 | \$2 |
| 3152 Labor Enforcement and Compliance Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$47</u> | <u>\$39</u> |
| TOTALS, EXPENDITURES | \$- | \$47 | \$39 |
| 3153 Horse Racing Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$9</u> | <u>\$8</u> |
| TOTALS, EXPENDITURES | \$- | \$9 | \$8 |
| 3155 Lead-Related Construction Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$-</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$- | \$- | \$1 |
| 3157 Recreational Health Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$1</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$- | \$1 | \$- |
| 3163 California Health Information Technology and Exchange Fund | | | |
| APPROPRIATIONS | | | |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$7</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$- | \$7 | \$- |
| 6057 2006 State School Facilities Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$980 | \$987 | \$997 |
| Allocation for employee compensation | 4 | 2 | - |
| Adjustment per Section 3.60 | 19 | 7 | - |
| Adjustment per Section 3.90 | - | -12 | - |
| Adjustment per Section 3.91 | <u>-48</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$955 | \$984 | \$997 |
| 6801 Transportation Financing Subaccount, State Highway Account, State Transportation Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$-</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$2 | \$- | \$- |
| 8013 Environmental Enforcement and Training Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$1</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$- | \$1 | \$- |
| 8018 Salton Sea Restoration Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$7</u> | <u>\$6</u> | <u>\$3</u> |
| TOTALS, EXPENDITURES | \$7 | \$6 | \$3 |
| 8034 Medically Underserved Account for Physicians, Health Professions Education Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$-</u> | <u>\$-</u> |
| TOTALS, EXPENDITURES | \$2 | \$- | \$- |
| 8039 Disaster Resistant Communities Account | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$-</u> | <u>\$3</u> |
| TOTALS, EXPENDITURES | \$- | \$- | \$3 |
| 8041 Teachers' Deferred Compensation Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$-</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$- | \$- | \$1 |
| 8047 California Sea Otter Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$1</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$- | \$1 | \$1 |
| 9730 Technology Services Revolving Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$198</u> | <u>\$129</u> | <u>\$193</u> |
| TOTALS, EXPENDITURES | \$198 | \$129 | \$193 |
| 9731 Legal Services Revolving Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$218</u> | <u>\$200</u> | <u>\$152</u> |
| TOTALS, EXPENDITURES | \$218 | \$200 | \$152 |
| 9734 2004 Charter School Facilities Account, 2004 State School Facilities Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$-</u> | <u>\$1</u> | <u>\$1</u> |
| TOTALS, EXPENDITURES | \$- | \$1 | \$1 |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|------------------|------------------|------------------|
| 9739 State Water Pollution Control Revolving Fund Administration Fund | | | |
| APPROPRIATIONS | | | |
| Human Resources Management System Assessments per Section 25.25 | <u>\$2</u> | <u>\$2</u> | <u>\$2</u> |
| TOTALS, EXPENDITURES | \$2 | \$2 | \$2 |
| 9740 Central Service Cost Recovery Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$19,554 | \$20,305 | \$20,405 |
| Allocation for employee compensation | 67 | 33 | - |
| Allocation for contingencies or emergencies | - | 182 | - |
| Adjustment per Section 3.60 | 343 | 124 | - |
| Adjustment per Section 3.90 | - | -215 | - |
| Adjustment per Section 3.91 | -906 | - | - |
| Transfer from Item 9655-001-9740, Provision 1 | 85 | 89 | - |
| 002 Budget Act appropriation | 1,311 | - | - |
| Allocation for employee compensation | 5 | - | - |
| Adjustment per Section 3.60 | 26 | - | - |
| Adjustment per Section 3.91 | <u>-66</u> | <u>-</u> | <u>-</u> |
| Totals Available | \$20,419 | \$20,518 | \$20,405 |
| Unexpended balance, estimated savings | <u>-336</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$20,083 | \$20,518 | \$20,405 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$217,109 | \$223,346 | \$245,963 |
| 2 LOCAL ASSISTANCE | 2010-11* | 2011-12* | 2012-13* |
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| TOTALS, EXPENDITURES | \$- | \$- | \$- |
| Loan repayment per Government Code Section 15373.2(b) | -78 | -69 | -51 |
| Loan repayment per Government Code Section 15373 | <u>-128</u> | <u>-125</u> | <u>-132</u> |
| NET TOTALS, EXPENDITURES | \$-206 | \$-194 | \$-183 |
| 0979 California Firefighters' Memorial Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$500 | \$500 | \$500 |
| Allocation to California Firefighters' Memorial Fund | -500 | -500 | - |
| Allocation to California Firefighters' Memorial Fund | <u>-</u> | <u>-</u> | <u>-500</u> |
| TOTALS, EXPENDITURES | \$- | \$- | \$- |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$-206 | \$-194 | \$-183 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | \$216,903 | \$223,152 | \$245,780 |

FUND CONDITION STATEMENTS

| | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| 0442 California Olympic Training Account ^s | | | |
| BEGINNING BALANCE | \$25 | \$36 | \$36 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 143000 Personalized License Plates | 79 | 92 | 92 |
| Transfers and Other Adjustments: | | | |
| TO0001 To General Fund Transfer per Govt Code 7592 | <u>-14</u> | <u>-92</u> | <u>-92</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$65</u> | <u>-</u> | <u>-</u> |
| Total Resources | <u>\$90</u> | <u>\$36</u> | <u>\$36</u> |

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|---|----------|----------|----------|
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditure Adjustments: | | | |
| 0840 State Controller | | | |
| Loan Interest Repayment per Government Code Section 7592 (State Operations) | 54 | - | - |
| Total Expenditures and Expenditure Adjustments | \$54 | - | - |
| FUND BALANCE | \$36 | \$36 | \$36 |
| Reserve for economic uncertainties | 36 | 36 | 36 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|---------|---------|---------------------|----------|----------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Totals, Authorized Positions | 1,276.9 | 1,525.8 | 1,377.8 | \$76,816 | \$91,816 | \$82,324 |
| Proposed New Positions: | | | | Salary Range | | |
| AR Workgroup | | | | | | |
| Acctg Administrator I Spec | - | 2.0 | - | 4,833-5,874 | 92 | - |
| Increased A & R Workload | | | | | | |
| Acctg Administrator I Spec (2 yr LT - Cash Mgmt) | - | - | 2.0 | 4,833-5,874 | - | 128 |
| Assoc Acctg Analyst - County Cost Plans | - | - | 1.0 | 4,619-5,616 | - | 61 |
| ADD - Increased A & R Workload | | | | | | |
| Assoc Govtl Prog Analyst (2 yr LT - Cash Mgmt) | - | - | 0.1 | 4,400-5,348 | - | 6 |
| Assoc Govtl Prog Analyst - County Cost Plans | - | - | 0.1 | 4,400-5,348 | - | 6 |
| Unclaimed Property Insurance Workload | | | | | | |
| Staff Services Mgr I (2 yr LT) | - | - | 1.0 | 5,079-6,127 | - | 67 |
| Assoc Govtl Prog Analyst (2 yr LT) | - | - | 9.0 | 4,400-5,348 | - | 529 |
| Prog Tech II (2 positions 1 yr LT & 1 position 2 yr LT) | - | - | 3.0 | 2,638-3,209 | - | 105 |
| ADD - Unclaimed Property Acct. Workld | | | | | | |
| Assoc Govtl Prog Analyst (2 yr LT) | - | - | 0.7 | 4,400-5,348 | - | 41 |
| UPD Fraudulent Claims Prevention & Detection | | | | | | |
| Staff Services Mgr II | - | - | 1.0 | 5,576-6,727 | - | 74 |
| Sr Programmer Analyst - Spec | - | - | 2.0 | 5,571-7,109 | - | 152 |
| Sr Info Sys Analyst - Spec | - | - | 1.0 | 5,571-7,109 | - | 76 |
| Systems Software Specialist II - Tech | - | - | 2.0 | 5,561-7,097 | - | 152 |
| Staff Services Mgr I | - | - | 1.0 | 5,079-6,127 | - | 67 |
| Assoc Govtl Prog Analyst | - | - | 10.0 | 4,400-5,348 | - | 585 |
| ADD - Fraudulent Claims Prevention & Detection | | | | | | |
| Assoc Govtl Prog Analyst | - | - | 0.9 | 4,400-5,348 | - | 53 |
| Increased Audit Workload | | | | | | |
| Sr Mgt Auditor | - | - | (1.0) | 5,576-7,063 | - | 76 |
| Sr Mgt Auditor (1 yr LT) | - | - | 1.0 | 5,576-7,063 | - | 76 |
| Staff Mgmt Auditor-Spec | - | - | (1.0) | 4,833-6,168 | - | 66 |
| Staff Mgmt Auditor-Spec (1 yr LT) | - | - | 1.0 | 4,833-6,168 | - | 66 |
| Assoc Mgt Auditor | - | - | (10.0) | 4,619-5,897 | - | 631 |
| Assoc Mgt Auditor (1 yr LT) | - | - | 4.0 | 4,619-5,897 | - | 252 |
| Staff Services Mgmt Auditor | - | - | 1.0 | 3,106-4,903 | - | 48 |
| ADD - Increased Audit Workload | | | | | | |
| Assoc Govtl Prog Analyst | - | - | (0.6) | 4,400-5,348 | - | 35 |
| Assoc Govtl Prog Analyst | - | - | 0.1 | 4,400-5,348 | - | 6 |
| Assoc Govtl Prog Analyst (1 yr LT) | - | - | 0.3 | 4,400-5,348 | - | 18 |

21st Century- MyCalPAYS

* Dollars in thousands, except in Salary Range.

0840 State Controller - Continued

| | Positions/Personnel Years | | | Expenditures | | |
|--|---------------------------|----------------|----------------|-----------------|-----------------|------------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| DP Mgr IV (1 yr LT) | - | - | 1.0 | 7,825-9,059 | - | 101 |
| DP Mgr III (1 yr LT) | - | - | 2.0 | 7,118-8,239 | - | 184 |
| Staff Services Mgr III (1 yr LT) | - | - | 3.0 | 6,779-7,474 | - | 258 |
| C.E.A. V (1 yr LT) | - | - | 1.0 | 6,173-13,381 | - | 117 |
| C.E.A. II (1 yr LT) | - | - | 2.0 | 6,173-13,381 | - | 235 |
| DP Mgr II (1 yr LT) | - | - | 4.0 | 5,849-7,464 | - | 320 |
| Staff Services Mgr II-Supvry (1 yr LT) | - | - | 5.0 | 5,576-6,727 | - | 370 |
| Sr Info Sys Analyst-Spec (1 yr LT) | - | - | 5.0 | 5,571-7,109 | - | 380 |
| Sr Programmer Analyst-Spec (1 yr LT) | - | - | 5.0 | 5,571-7,109 | - | 380 |
| System Software Specialist II-Tech (1 yr LT) | - | - | 5.0 | 5,561-7,097 | - | 380 |
| Staff Services Mgr I (1 yr LT) | - | - | 20.0 | 5,079-6,127 | - | 1,345 |
| Staff Info Systems Analyst-Spec (1 yr LT) | - | - | 14.0 | 5,065-6,466 | - | 969 |
| Staff Programmer Analyst-Spec (1 yr LT) | - | - | 12.0 | 5,065-6,466 | - | 830 |
| Acctg Administrator I-Spec (1 yr LT) | - | - | 3.0 | 4,833-5,874 | - | 192 |
| Assoc Info Systems Analyst-Spec (1 yr LT) | - | - | 10.0 | 4,619-5,897 | - | 631 |
| Assoc Prog Analyst-Spec (1 yr LT) | - | - | 2.0 | 4,619-5,897 | - | 126 |
| Assoc Acctg Analyst (1 yr LT) | - | - | 1.0 | 4,619-5,616 | - | 61 |
| Assoc Govtl Prog Analyst (1 yr LT) | - | - | 63.0 | 4,400-5,348 | - | 3,684 |
| Sr Payroll Spec (1 yr LT) | - | - | 5.0 | 3,658-4,446 | - | 243 |
| Sr Pers Spec (1 yr LT) | - | - | 15.0 | 3,658-4,446 | - | 729 |
| Adm Asst I (1 yr LT) | - | - | 1.0 | 3,658-4,652 | - | 50 |
| Info Systems Techn Spec I (1 yr LT) | - | - | 1.0 | 3,495-4,460 | - | 48 |
| Office Techn-Typing (1 yr LT) | - | - | 1.0 | 2,686-3,264 | - | 36 |
| Temp Help & Overtime (1 yr LT) | - | - | 23.8 | - | - | 3,978 |
| Retention Pay | - | - | - | - | - | 779 |
| Totals Proposed New Positions | - | 2.0 | 247.0 | \$- | \$92 | \$19,802 |
| Total Adjustments | - | 2.0 | 247.0 | \$- | \$92 | \$19,802 |
| TOTALS, SALARIES AND WAGES | 1,276.9 | 1,527.8 | 1,624.8 | \$76,816 | \$91,908 | \$102,126 |

0845 Department of Insurance

The Insurance Commissioner regulates the largest insurance market in the United States, and the fourth largest insurance market in the world, with more than \$119 billion in direct premiums; enforces the California Insurance Code; and oversees the Department of Insurance.

The Department of Insurance conducts examinations and investigations of insurance companies and producers and works to ensure the financial solvency of companies so that they will meet their obligations to policyholders and claimants.

The Department of Insurance receives and investigates more than 300,000 consumer inquiries and complaints annually. The department reviews and approves insurance rates to enforce the requirement of California law that rates are not excessive or unfair. The department also administers the conservation and liquidation of insolvent and delinquent insurance companies and fights insurance fraud in conjunction with local and state law enforcement agencies.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | | Personnel Years | | | Expenditures | | |
|----|---|-----------------|---------|---------|--------------|----------|----------|
| | | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 | Regulation of Insurance Companies and Insurance Producers | 394.8 | 460.5 | 458.7 | \$63,316 | \$71,496 | \$71,441 |
| 12 | Consumer Protection | 270.4 | 302.7 | 302.6 | 48,257 | 50,985 | 51,584 |
| 20 | Fraud Control | 234.1 | 280.3 | 280.3 | 91,702 | 99,970 | 100,406 |

* Dollars in thousands, except in Salary Range.

0845 Department of Insurance - Continued

| | Personnel Years | | | Expenditures | | |
|--|-----------------|----------------|----------------|------------------|------------------|------------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 30 General Fund Tax Collection and Audits | 13.9 | 13.9 | 13.9 | 1,518 | 1,826 | 1,845 |
| 50.01 Administration | 193.2 | 214.0 | 214.0 | 27,792 | 30,358 | 28,569 |
| 50.02 Distributed Administration | - | - | - | -27,792 | -30,358 | -28,569 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 1,106.4 | 1,271.4 | 1,269.5 | \$204,793 | \$224,277 | \$225,276 |
| FUNDING | | | | 2010-11* | 2011-12* | 2012-13* |
| 0217 Insurance Fund | | | | \$204,424 | \$223,468 | \$224,305 |
| 0890 Federal Trust Fund | | | | - | 559 | 721 |
| 0995 Reimbursements | | | | 369 | 250 | 250 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$204,793 | \$224,277 | \$225,276 |

LEGAL CITATIONS AND AUTHORITY**PROGRAM AUTHORITY**

10-Regulation of Insurance Companies and Insurance Producers:

Insurance Code, Sections 1-16030, except as noted below.

12-Consumer Protection:

Insurance Code, Division 1, Part 2, Chapter 1, Articles 4, 5.1, 6, 6.3, and 6.5, Chapter 5, Article 12, Sections 1872.81, 10127.17, 10273.7, 12921.1-12921.5, 12928, and 12930.

20-Fraud Control:

Insurance Code, Division 1, Part 2, Chapter 12 (except Section 1872.81 cited above).

30-General Fund Tax Collection and Audit:

Insurance Code, Sections 1774-1780; and Revenue and Taxation Code, Part 7 of Division 2.

MAJOR PROGRAM CHANGES

- The Governor's Budget includes \$302,000 and 2.0 positions for the full year cost of maintenance and operations of the Paperless Workflow System (PWS). The PWS is an information technology system to allow the California Department of Insurance to operate in a paperless environment.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|--|--------------|-----------------|-----------------|--------------|-----------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Workload Budget Change Proposals | | | | | | |
| • Paperless Workflow Post Implementation | \$- | \$- | - | \$- | \$302 | 1.9 |
| Totals, Workload Budget Change Proposals | \$- | \$- | - | \$- | \$302 | 1.9 |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation | \$- | -\$1,766 | - | \$- | \$692 | - |
| • Retirement Rate Adjustment | - | 29 | - | - | 29 | - |
| • Limited Term Positions/Expiring Programs | - | - | - | - | -304 | -2.9 |
| • One Time Cost Reductions | - | - | - | - | -3,334 | -0.9 |
| • Operational Efficiency Plan | - | -943 | - | - | -943 | - |
| • Rental Rate Reductions | - | -877 | - | - | -606 | - |
| • Miscellaneous Adjustments | - | 559 | - | - | 2,165 | - |
| Totals, Other Workload Budget Adjustments | \$- | -\$2,998 | - | \$- | -\$2,301 | -3.8 |
| Totals, Workload Budget Adjustments | \$- | -\$2,998 | - | \$- | -\$1,999 | -1.9 |
| Totals, Budget Adjustments | \$- | -\$2,998 | - | \$- | -\$1,999 | -1.9 |

* Dollars in thousands, except in Salary Range.

0845 Department of Insurance - Continued

PROGRAM DESCRIPTIONS

10 - REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS

The objectives of this program are: (1) to prevent losses to policyholders, beneficiaries or the public due to the insolvency of insurers; (2) to prevent unlawful or unfair practices by insurers as defined by the Insurance Code; (3) to ensure that insurance rates are not excessive, inadequate, unfairly discriminatory, or otherwise in violation of the Insurance Code; and (4) to ensure that applicants for insurance licenses, and holders of insurance licenses, satisfy and maintain the qualifications for licensure.

12 - CONSUMER PROTECTION

The objective of this program is to provide direct service to California consumers by protecting insurance policyholders and other parties involved in insurance transactions against unfair or illegal practices with respect to claims handling, rating or underwriting by insurers. The objectives also include protecting applicants and policyholders from discriminatory, unlawful or fraudulent practices or incompetence relating to the sale of insurance.

20 - FRAUD CONTROL

The objective of this program is to protect the public and prevent economic loss through the detection, investigation, and arrest of insurance fraud offenders. The program is staffed by sworn peace officers who conduct criminal investigations of insurance fraud and related criminal cases.

30 - GENERAL FUND TAX COLLECTION AND AUDIT

This General Fund tax collection program performs tax collection, accounting, and tax audits of insurance companies and surplus line brokers. The program staff audits insurers' tax returns to determine compliance with the laws contained in both the California Insurance and Revenue and Taxation Codes and assists the Board of Equalization and the State Controller's Office with various refund, assessment, and accounting matters relative to the premium program. Tax collections from this program are deposited in the State General Fund.

50 - ADMINISTRATION

This program provides the overall policy direction for the Department, as well as all administrative functions including human resources, financial and business management, information technology, legislative, and legal services.

DETAILED EXPENDITURES BY PROGRAM

| | | 2010-11* | 2011-12* | 2012-13* |
|-----------------------------|--|-----------------|-----------------|-----------------|
| PROGRAM REQUIREMENTS | | | | |
| 10 | REGULATION OF INSURANCE COMPANIES AND INSURANCE PRODUCERS | | | |
| | State Operations: | | | |
| 0217 | Insurance Fund | \$62,947 | \$70,687 | \$70,470 |
| 0890 | Federal Trust Fund | - | 559 | 721 |
| 0995 | Reimbursements | 369 | 250 | 250 |
| | Totals, State Operations | \$63,316 | \$71,496 | \$71,441 |
| ELEMENT REQUIREMENTS | | | | |
| 10.30 | Rate Regulation | \$17,701 | \$21,026 | \$21,199 |
| | State Operations: | | | |
| 0217 | Insurance Fund | 17,332 | 20,217 | 20,228 |
| 0890 | Federal Trust Fund | - | 559 | 721 |
| 0995 | Reimbursements | 369 | 250 | 250 |
| 10.40 | Regulatory | \$16,237 | \$22,690 | \$23,010 |
| | State Operations: | | | |
| 0217 | Insurance Fund | 16,237 | 22,690 | 23,010 |
| 10.51 | Licensing | \$23,462 | \$21,954 | \$21,410 |
| | State Operations: | | | |
| 0217 | Insurance Fund | 23,462 | 21,954 | 21,410 |
| 10.70 | Special Programs | \$5,916 | \$5,826 | \$5,822 |

* Dollars in thousands, except in Salary Range.

0845 Department of Insurance - Continued

| | | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
|--------------|---|-----------------|-----------------|-----------------|
| | State Operations: | | | |
| 0217 | Insurance Fund | 5,916 | 5,826 | 5,822 |
| | PROGRAM REQUIREMENTS | | | |
| 12 | CONSUMER PROTECTION | | | |
| | State Operations: | | | |
| 0217 | Insurance Fund | <u>\$47,008</u> | <u>\$49,485</u> | <u>\$50,084</u> |
| | Totals, State Operations | \$47,008 | \$49,485 | \$50,084 |
| | Local Assistance: | | | |
| 0217 | Insurance Fund | <u>\$1,249</u> | <u>\$1,500</u> | <u>\$1,500</u> |
| | Totals, Local Assistance | \$1,249 | \$1,500 | \$1,500 |
| | ELEMENT REQUIREMENTS | | | |
| 12.10 | Legal Compliance | \$6,720 | \$9,322 | \$9,818 |
| | State Operations: | | | |
| 0217 | Insurance Fund | 6,720 | 9,322 | 9,818 |
| 12.20 | Investigations | \$14,218 | \$15,913 | \$15,825 |
| | State Operations: | | | |
| 0217 | Insurance Fund | 12,969 | 14,413 | 14,325 |
| | Local Assistance: | | | |
| 0217 | Insurance Fund | 1,249 | 1,500 | 1,500 |
| 12.30 | Consumer Services and Market Conduct | \$27,319 | \$25,750 | \$25,941 |
| | State Operations: | | | |
| 0217 | Insurance Fund | 27,319 | 25,750 | 25,941 |
| | PROGRAM REQUIREMENTS | | | |
| 20 | FRAUD CONTROL | | | |
| | State Operations: | | | |
| 0217 | Insurance Fund | <u>\$38,244</u> | <u>\$44,433</u> | <u>\$44,869</u> |
| | Totals, State Operations | \$38,244 | \$44,433 | \$44,869 |
| | Local Assistance: | | | |
| 0217 | Insurance Fund | <u>\$53,458</u> | <u>\$55,537</u> | <u>\$55,537</u> |
| | Totals, Local Assistance | \$53,458 | \$55,537 | \$55,537 |
| | ELEMENT REQUIREMENTS | | | |
| 20.10 | Fraud - Auto | \$38,999 | \$42,991 | \$43,197 |
| | State Operations: | | | |
| 0217 | Insurance Fund | 17,154 | 21,040 | 21,246 |
| | Local Assistance: | | | |
| 0217 | Insurance Fund | 21,845 | 21,951 | 21,951 |
| 20.20 | Fraud - Workers' Compensation | \$46,582 | \$51,133 | \$51,322 |
| | State Operations: | | | |
| 0217 | Insurance Fund | 16,681 | 19,259 | 19,448 |
| | Local Assistance: | | | |
| 0217 | Insurance Fund | 29,901 | 31,874 | 31,874 |
| 20.30 | Fraud - General Assessment | \$2,645 | \$2,329 | \$2,352 |
| | State Operations: | | | |
| 0217 | Insurance Fund | 2,645 | 2,329 | 2,352 |
| 20.40 | Fraud - Disability and Healthcare | \$3,476 | \$3,517 | \$3,535 |
| | State Operations: | | | |
| 0217 | Insurance Fund | 1,764 | 1,805 | 1,823 |
| | Local Assistance: | | | |
| 0217 | Insurance Fund | 1,712 | 1,712 | 1,712 |

* Dollars in thousands, except in Salary Range.

0845 Department of Insurance - Continued

| | | 2010-11* | 2011-12* | 2012-13* |
|--|--|------------------|------------------|------------------|
| PROGRAM REQUIREMENTS | | | | |
| 30 GENERAL FUND TAX COLLECTION AND AUDITS | | | | |
| State Operations: | | | | |
| 0217 Insurance Fund | | 1,518 | 1,826 | 1,845 |
| Totals, State Operations | | \$1,518 | \$1,826 | \$1,845 |
| TOTALS, EXPENDITURES | | | | |
| State Operations | | 150,086 | 167,240 | 168,239 |
| Local Assistance | | 54,707 | 57,037 | 57,037 |
| Totals, Expenditures | | \$204,793 | \$224,277 | \$225,276 |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|----------------|----------------|------------------|------------------|------------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 1,106.4 | 1,338.3 | 1,334.3 | \$76,314 | \$89,636 | \$92,483 |
| Total Adjustments | - | - | 2.0 | - | - | 145 |
| Estimated Salary Savings | - | -66.9 | -66.8 | - | -4,482 | -4,624 |
| Net Totals, Salaries and Wages | 1,106.4 | 1,271.4 | 1,269.5 | \$76,314 | \$85,154 | \$88,004 |
| Staff Benefits | - | - | - | 29,950 | 32,686 | 32,616 |
| Totals, Personal Services | 1,106.4 | 1,271.4 | 1,269.5 | \$106,264 | \$117,840 | \$120,620 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$43,822 | \$49,400 | \$47,619 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$150,086 | \$167,240 | \$168,239 |

| 2 Local Assistance | Expenditures | | |
|---|-----------------|-----------------|-----------------|
| | 2010-11* | 2011-12* | 2012-13* |
| Counties-District Attorneys | \$54,707 | \$57,037 | \$57,037 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$54,707 | \$57,037 | \$57,037 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | | 2010-11* | 2011-12* | 2012-13* |
|---|--|------------------|------------------|------------------|
| 0217 Insurance Fund | | | | |
| APPROPRIATIONS | | | | |
| 001 Budget Act appropriation | | \$156,294 | \$169,988 | \$167,268 |
| Allocation for employee compensation | | 345 | 523 | - |
| Allocation for contingencies or emergencies | | 2,840 | - | - |
| Adjustment per Section 3.60 | | 2,479 | 29 | - |
| Adjustment per Section 3.90 | | - | -2,289 | - |
| Adjustment per Section 3.91 | | -8,492 | - | - |
| Adjustment per Section 3.91 (b) Rental Rate Reductions | | - | -877 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | | - | -943 | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | | -457 | - | - |
| Totals Available | | \$153,009 | \$166,431 | \$167,268 |
| Unexpended balance, estimated savings | | -3,292 | - | - |
| TOTALS, EXPENDITURES | | \$149,717 | \$166,431 | \$167,268 |
| 0890 Federal Trust Fund | | | | |

* Dollars in thousands, except in Salary Range.

0845 Department of Insurance - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|------------------|------------------|------------------|
| APPROPRIATIONS | | | |
| 001 Budget Act Appropriation | \$- | \$- | \$721 |
| Federal Funds | - | 559 | - |
| TOTALS, EXPENDITURES | \$- | \$559 | \$721 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$369 | \$250 | \$250 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$150,086 | \$167,240 | \$168,239 |
| 2 LOCAL ASSISTANCE | 2010-11* | 2011-12* | 2012-13* |
| 0217 Insurance Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$55,391 | \$57,037 | \$57,037 |
| Totals Available | \$55,391 | \$57,037 | \$57,037 |
| Unexpended balance, estimated savings | -684 | - | - |
| TOTALS, EXPENDITURES | \$54,707 | \$57,037 | \$57,037 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$54,707 | \$57,037 | \$57,037 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | \$204,793 | \$224,277 | \$225,276 |

FUND CONDITION STATEMENTS

| | 2010-11* | 2011-12* | 2012-13* |
|---|------------------|------------------|------------------|
| 0217 Insurance Fund^s | | | |
| BEGINNING BALANCE | \$62,734 | \$54,825 | \$32,385 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 123100 Insurance Co License Fees & Penalties | 33,262 | 33,927 | 34,945 |
| 123200 Insurance Company Examination Fees | 19,524 | 20,206 | 21,928 |
| 127100 Insurance Department Fees, Prop 103 | 23,758 | 23,800 | 26,293 |
| 127200 Insurance Department Fees, General | 19,252 | 23,144 | 24,524 |
| 127300 Insurance Fraud Assessment, Workers Comp | 47,576 | 47,248 | 49,577 |
| 127400 Insurance Fraud Assessment, Auto | 46,247 | 46,478 | 46,942 |
| 127500 Insurance Fraud Assessment, General | 6,508 | 6,235 | 11,992 |
| 141200 Sales of Documents | 106 | 106 | 106 |
| 142500 Miscellaneous Services to the Public | 14 | 14 | 14 |
| 150300 Income From Surplus Money Investments | 244 | 244 | 244 |
| 161000 Escheat of Unclaimed Checks & Warrants | 20 | 20 | 20 |
| 161400 Miscellaneous Revenue | 192 | 192 | 192 |
| 161900 Other Revenue - Cost Recoveries | 1,221 | 1,222 | 1,222 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$197,924</u> | <u>\$202,836</u> | <u>\$217,999</u> |
| Total Resources | \$260,658 | \$257,661 | \$250,384 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 346 | 189 | 193 |
| 0845 Department of Insurance | | | |
| State Operations | 149,717 | 166,431 | 167,268 |
| Local Assistance | 54,707 | 57,037 | 57,037 |
| 1690 Alfred E. Alquist Seismic Safety Commission (State Operations) | 957 | 1,133 | 1,163 |
| 8880 Financial Information System for California (State Operations) | 106 | 486 | 128 |
| Total Expenditures and Expenditure Adjustments | <u>\$205,833</u> | <u>\$225,276</u> | <u>\$225,789</u> |

* Dollars in thousands, except in Salary Range.

0845 Department of Insurance - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|------------------------------------|----------|----------|----------|
| FUND BALANCE | \$54,825 | \$32,385 | \$24,595 |
| Reserve for economic uncertainties | 54,825 | 32,385 | 24,595 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|--------------------------------------|---------------------------|----------------|----------------|-----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Totals, Authorized Positions | 1,106.4 | 1,338.3 | 1,334.3 | \$76,314 | \$89,636 | \$92,483 |
| Proposed New Positions: | | | | | | |
| Senior Programmer Analyst-Spec | - | - | 1.0 | 5,571-7,109 | - | 76 |
| Staff Programmer Analyst-Spec | - | - | 1.0 | 5,065-6,466 | - | 69 |
| Totals Proposed New Positions | - | - | 2.0 | \$- | \$- | \$145 |
| Total Adjustments | - | - | 2.0 | \$- | \$- | \$145 |
| TOTALS, SALARIES AND WAGES | 1,106.4 | 1,338.3 | 1,336.3 | \$76,314 | \$89,636 | \$92,628 |

0850 California State Lottery Commission

In 1984, Proposition 37 amended the California Constitution to authorize the establishment of a statewide lottery. As an initiative statute, the California State Lottery Act (Act) of 1984 created the California State Lottery Commission and gave it broad powers to oversee the operations of a statewide lottery. The purpose of the Act was to provide supplemental monies to benefit public education without the imposition of additional or increased taxes. The Lottery is overseen by a five-person Commission appointed by the Governor and confirmed by the State Senate.

The Act initially required that 50 percent of total annual revenues be returned to the public in the form of prizes and at least 34 percent of total revenues be allocated to the benefit of public education. No more than 16 percent of total revenues were to be used for administrative costs.

In 2010, the Act was changed to allow the Lottery flexibility to pay out more money in prizes and reduce the administrative cost limit to 13 percent of total revenues. Along with that flexibility, the new law requires the Lottery to meet minimum levels of contribution to public education. Revenues to education are placed in a special fund, known as the California State Lottery Education Fund, which holds revenues until they are allocated on a per capita basis, using prior year certified Average Daily Attendance data, to the following categories: K-12 education, Community Colleges, the California State University, the University of California, and other educational entities.

In the 26 years since sales began in October 1985 through June 30, 2011, the California State Lottery has raised more than \$24 billion for public education, including over \$1 billion in FY 2010-11.

Because of the inherently variable nature of lottery ticket sales, revenue estimates for 2011-12 and 2012-13 cannot be made with certainty.

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

Government Code, Title 2, Division 1, Chapter 12.5 (Sections 8880-8880.72).

* Dollars in thousands, except in Salary Range.

0850 California State Lottery Commission - Continued**Statement of Operations**

| | 2010-11* | 2011-12* | 2012-13* |
|---|--------------------|--------------------|--------------------|
| Lottery sales | \$3,438,578 | \$4,090,000 | \$4,090,000 |
| Less prizes | <u>1,904,787</u> | <u>2,390,010</u> | <u>2,390,010</u> |
| Sales after prizes | 1,533,791 | 1,699,990 | 1,699,990 |
| Less Game Costs: | | | |
| Retailer costs | 233,571 | 280,714 | 280,714 |
| Draw game costs | 35,428 | 51,998 | 51,998 |
| Instant ticket game costs | <u>20,706</u> | <u>24,340</u> | <u>24,340</u> |
| Total, Game Costs | \$289,705 | \$357,052 | \$357,052 |
| Resources before operating expenses | \$1,244,086 | \$1,342,938 | \$1,342,938 |
| Operating Expenses: | | | |
| Salaries, wages and benefits | 52,085 | 56,437 | 56,437 |
| Advertising | 52,267 | 55,497 | 55,497 |
| Promotion, public relations and point-of-sale | 7,352 | 10,528 | 10,528 |
| Other professional services | 10,678 | 13,996 | 13,996 |
| Depreciation and amortization | 6,494 | 6,325 | 6,325 |
| Other general and administrative expenses | <u>14,392</u> | <u>31,865</u> | <u>31,865</u> |
| Total, Operating Expenses | \$143,268 | \$174,648 | \$174,648 |
| Income and Proceeds to Education | 1,100,818 | 1,168,290 | 1,168,290 |
| Interest Income | <u>2,043</u> | <u>2,000</u> | <u>2,000</u> |
| Net Resources | \$1,102,861 | \$1,170,290 | \$1,170,290 |
| Unclaimed Prizes | <u>25,690</u> | <u>21,000</u> | <u>21,000</u> |
| TOTAL RESOURCES DUE TO EDUCATION FUND | \$1,128,551 | \$1,191,290 | \$1,191,290 |

* Dollars in thousands, except in Salary Range.

0850 California State Lottery Commission - Continued**Distribution of State Lottery Education Fund Revenues**

| | 2010-11* | 2011-12* | 2012-13* |
|---|--------------------|--------------------|--------------------|
| Department of Education (K-12) | \$881,902 | \$930,931 | \$930,931 |
| California Community Colleges | 169,216 | 178,623 | 178,623 |
| California State University/California Maritime Academy | 45,320 | 47,839 | 47,839 |
| University of California | 31,148 | 32,880 | 32,880 |
| Other Public Colleges and Universities | 171 | 180 | 180 |
| Miscellaneous Educational Institutions | 794 | 837 | 837 |
| TOTALS | \$1,128,551 | \$1,191,290 | \$1,191,290 |

* Dollars in thousands, except in Salary Range.

0850 California State Lottery Commission - Continued

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-------------|-------------|-------------|
| 0562 State Lottery Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | (\$403,375) | (\$408,000) | (\$531,700) |
| Revised estimated expenditures | (29,598) | (123,700) | - |
| TOTALS, EXPENDITURES | \$- | \$- | \$- |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$- | \$- | \$- |

0855 California Gambling Control Commission

The California Gambling Control Commission (Commission) has jurisdiction over gambling establishments (cardrooms), Tribal casinos, and charitable organizations that offer remote caller bingo, pursuant to its authority under State law and Tribal-State Gaming Compacts (Compacts).

There are 89 licensed cardrooms in California over which the Commission has regulatory authority. This authority extends to the operation, concentration, and supervision of the cardrooms and all persons and things related to each licensed establishment.

The Commission has fiduciary, regulatory, and administrative responsibilities related to Tribal gaming that include: (1) oversight of Class III gaming operations, which are primarily casino-type games, (2) distribution of Tribal gaming revenues to various State funds and to authorized, federally-recognized, non-Compact Tribes, (3) monitoring of Tribal gaming through periodic background checks of Tribal key employees, vendors, and financial sources, (4) validation of gaming operation standards through testing, auditing, and review, and (5) fiscal auditing of Tribal payments to the State pursuant to Compact provisions.

The Commission has fiduciary, regulatory, and administrative responsibilities related to remote caller bingo.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|-------------|-------------|------------------|------------------|------------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 California Gambling Control Commission | 85.0 | 70.0 | 74.0 | \$112,363 | \$117,416 | \$108,857 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 85.0 | 70.0 | 74.0 | \$112,363 | \$117,416 | \$108,857 |
| FUNDING | | | | 2010-11* | 2011-12* | 2012-13* |
| 0366 Indian Gaming Revenue Share Trust Fund | | | | \$73,975 | \$96,500 | \$96,500 |
| 0367 Indian Gaming Special Distribution Fund | | | | 36,086 | 17,770 | 9,038 |
| 0567 Gambling Control Fund | | | | 2,163 | 3,146 | 3,319 |
| 3131 California Bingo Fund | | | | 139 | - | - |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$112,363 | \$117,416 | \$108,857 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Business and Professions Code Sections 19811-19825 and 19840-19984

Government Code Sections 12012 et seq, 12012.25, 12012.35, 12012.40, 12012.45, 12710, 12711, 12712, 12713, 12714, 12715, 12716, 12717, 12718, and 63048.65

Penal Code Sections 326.3-326.5, and 337j

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|-----------------------------|--------------|-------------|-----------------|--------------|-------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |

* Dollars in thousands, except in Salary Range.

0855 California Gambling Control Commission - Continued

| | 2011-12* | | | 2012-13* | | |
|--|--------------|----------------|-----------------|--------------|--------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation Adjustments | \$- | -\$72 | - | \$- | \$30 | - |
| • Retirement Rate Adjustment | - | 63 | - | - | 63 | - |
| • Carryover/Reappropriation | - | 141 | - | - | - | - |
| • Legislation With An Appropriation | - | 9,100 | - | - | - | - |
| • Operational Efficiency Plan | - | -82 | - | - | -82 | - |
| • Miscellaneous Adjustments | - | - | - | - | 580 | - |
| Totals, Other Workload Budget Adjustments | \$- | \$9,150 | - | \$- | \$591 | - |
| Totals, Workload Budget Adjustments | \$- | \$9,150 | - | \$- | \$591 | - |
| Totals, Budget Adjustments | \$- | \$9,150 | - | \$- | \$591 | - |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|-------------|-------------|----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 85.0 | 78.0 | 78.0 | \$4,711 | \$5,355 | \$5,542 |
| Estimated Salary Savings | - | -8.0 | -4.0 | - | -449 | -277 |
| Net Totals, Salaries and Wages | 85.0 | 70.0 | 74.0 | \$4,711 | \$4,906 | \$5,265 |
| Staff Benefits | - | - | - | 1,724 | 2,102 | 2,131 |
| Totals, Personal Services | 85.0 | 70.0 | 74.0 | \$6,435 | \$7,008 | \$7,396 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$2,094 | \$4,667 | \$4,961 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$8,529 | \$11,675 | \$12,357 |

| 2 Local Assistance | Expenditures | | |
|---|------------------|------------------|-----------------|
| | 2010-11* | 2011-12* | 2012-13* |
| Grants and Subventions | \$103,834 | \$105,741 | \$96,500 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$103,834 | \$105,741 | \$96,500 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|----------------|----------------|----------------|
| 0367 Indian Gaming Special Distribution Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$8,477 | \$8,596 | \$9,038 |
| Allocation for employee compensation | 23 | 14 | - |
| Adjustment per Section 3.60 | 130 | 47 | - |
| Adjustment per Section 3.90 | -243 | -67 | - |
| Adjustment per Section 3.91 | -410 | - | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -13 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -48 | - |
| Totals Available | \$7,977 | \$8,529 | \$9,038 |
| Unexpended balance, estimated savings | -1,750 | - | - |
| TOTALS, EXPENDITURES | \$6,227 | \$8,529 | \$9,038 |
| 0567 Gambling Control Fund | | | |

* Dollars in thousands, except in Salary Range.

0855 California Gambling Control Commission - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|------------------|------------------|------------------|
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$3,136 | \$3,170 | \$3,319 |
| Allocation for employee compensation | 8 | 5 | - |
| Adjustment per Section 3.60 | 46 | 16 | - |
| Adjustment per Section 3.90 | -86 | -24 | - |
| Adjustment per Section 3.91 | -144 | - | - |
| Adjustment per Section 3.91 (b) Cell Phone Reductions | - | -4 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -17 | - |
| 011 Budget Act appropriation (Loan to General Fund) | - | (19,000) | - |
| Totals Available | \$2,960 | \$3,146 | \$3,319 |
| Unexpended balance, estimated savings | -797 | - | - |
| TOTALS, EXPENDITURES | \$2,163 | \$3,146 | \$3,319 |
| 3131 California Bingo Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$769 | - | - |
| Totals Available | \$769 | \$- | \$- |
| Unexpended balance, estimated savings | -630 | - | - |
| TOTALS, EXPENDITURES | \$139 | \$- | \$- |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$8,529 | \$11,675 | \$12,357 |
| 2 LOCAL ASSISTANCE | 2010-11* | 2011-12* | 2012-13* |
| 0366 Indian Gaming Revenue Share Trust Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$96,500 | \$96,500 | \$96,500 |
| Totals Available | \$96,500 | \$96,500 | \$96,500 |
| Unexpended balance, estimated savings | -22,525 | - | - |
| TOTALS, EXPENDITURES | \$73,975 | \$96,500 | \$96,500 |
| 0367 Indian Gaming Special Distribution Fund | | | |
| APPROPRIATIONS | | | |
| 111 Budget Act appropriation (Trsf to Indian Gaming Revenue Sharing Trust Fd) | (\$50,000) | (\$50,000) | (\$50,000) |
| Chapter 736, Statutes of 2011 | - | 9,100 | - |
| Chapter 719, Statutes of 2010 | 30,000 | - | - |
| Prior year balances available: | | | |
| Chapter 754, Statutes of 2008 | 98 | - | - |
| Chapter 719, Statutes of 2010 | - | 141 | - |
| Totals Available | \$30,098 | \$9,241 | \$- |
| Unexpended balance, estimated savings | -98 | - | - |
| Balance available in subsequent years | -141 | - | - |
| TOTALS, EXPENDITURES | \$29,859 | \$9,241 | \$- |
| 3132 Charity Bingo Mitigation Fund | | | |
| APPROPRIATIONS | | | |
| 111 Budget Act appropriation (Transfer to the Indian Gaming Special Distribution Fund) | (\$3,467) | - | - |
| TOTALS, EXPENDITURES | \$- | \$- | \$- |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$103,834 | \$105,741 | \$96,500 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | \$112,363 | \$117,416 | \$108,857 |

FUND CONDITION STATEMENTS

2010-11* 2011-12* 2012-13*

0367 Indian Gaming Special Distribution Fund ^s

* Dollars in thousands, except in Salary Range.

0855 California Gambling Control Commission - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| BEGINNING BALANCE | \$113,418 | \$71,476 | \$46,003 |
| Prior year adjustments | <u>1,932</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$115,350 | \$71,476 | \$46,003 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 125700 Other Regulatory Licenses and Permits | 55 | 50 | 50 |
| 125800 Renewal Fees | 46 | 45 | 45 |
| 142500 Miscellaneous Services to the Public | 555 | 550 | 550 |
| 150300 Income From Surplus Money Investments | 515 | 500 | 500 |
| 150500 Interest Income From Interfund Loans | 197 | - | - |
| 162000 Tribal Gaming Revenues | 40,653 | 40,975 | 40,600 |
| Transfers and Other Adjustments: | | | |
| FO3132 From Charity Bingo Mitigation Fund per Item 0855-111-3132, Budget Act of 2010 | 3,467 | - | - |
| TO0366 To Indian Gaming Revenue Share Trust Fund per Item 0855-111-0367, Budget Acts | <u>-31,200</u> | <u>-27,100</u> | <u>-50,000</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$14,288</u> | <u>\$15,020</u> | <u>-\$8,255</u> |
| Total Resources | \$129,638 | \$86,496 | \$37,748 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0820 Department of Justice (State Operations) | 13,602 | 14,142 | 15,224 |
| 0840 State Controller (State Operations) | 56 | 48 | 34 |
| 0855 California Gambling Control Commission | | | |
| State Operations | 6,227 | 8,529 | 9,038 |
| Local Assistance | 29,859 | 9,241 | - |
| 4200 Department of Alcohol and Drug Programs | | | |
| State Operations | 4,400 | 4,449 | - |
| Local Assistance | 4,000 | 4,000 | - |
| 4265 Department of Public Health | | | |
| State Operations | - | - | 4,382 |
| Local Assistance | - | - | 4,000 |
| 8880 Financial Information System for California (State Operations) | <u>18</u> | <u>84</u> | <u>22</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$58,162</u> | <u>\$40,493</u> | <u>\$32,700</u> |
| FUND BALANCE | \$71,476 | \$46,003 | \$5,048 |
| Reserve for economic uncertainties | 71,476 | 46,003 | 5,048 |

0567 Gambling Control Fund ^s

| | | | |
|--|--------------|----------|----------|
| BEGINNING BALANCE | \$14,511 | \$23,990 | \$10,317 |
| Prior year adjustments | <u>2,394</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$16,905 | \$23,990 | \$10,317 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 125600 Other Regulatory Fees | 13,359 | 13,472 | 13,472 |
| 125700 Other Regulatory Licenses and Permits | 937 | 811 | 811 |
| 125800 Renewal Fees | 888 | 796 | 796 |
| 125900 Delinquent Fees | 15 | 15 | 15 |
| 142500 Miscellaneous Services to the Public | 1,076 | 982 | 982 |
| 150300 Income From Surplus Money Investments | 83 | 50 | 50 |
| 161000 Escheat of Unclaimed Checks & Warrants | 1 | 1 | 1 |
| Transfers and Other Adjustments: | | | |
| TO0001 To General Fund Loan per Item 0855-011-0567, Budget Act of 2008 | - | -19,000 | - |

* Dollars in thousands, except in Salary Range.

0855 California Gambling Control Commission - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|---|----------|----------|----------|
| Total Revenues, Transfers, and Other Adjustments | \$16,359 | -\$2,873 | \$16,127 |
| Total Resources | \$33,264 | \$21,117 | \$26,444 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0820 Department of Justice (State Operations) | 7,085 | 7,605 | 7,822 |
| 0840 State Controller (State Operations) | 19 | 16 | 10 |
| 0855 California Gambling Control Commission (State Operations) | 2,163 | 3,146 | 3,319 |
| 8880 Financial Information System for California (State Operations) | 7 | 33 | 9 |
| Total Expenditures and Expenditure Adjustments | \$9,274 | \$10,800 | \$11,160 |
| FUND BALANCE | \$23,990 | \$10,317 | \$15,284 |
| Reserve for economic uncertainties | 23,990 | 10,317 | 15,284 |

3131 California Bingo Fund ^s

| | | | |
|--|-------|-------|-------|
| BEGINNING BALANCE | \$733 | \$629 | \$628 |
| Prior year adjustments | 7 | - | - |
| Adjusted Beginning Balance | \$740 | \$629 | \$628 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 125700 Other Regulatory Licenses and Permits | 13 | - | - |
| 125800 Renewal Fees | 15 | - | - |
| Total Revenues, Transfers, and Other Adjustments | \$28 | - | - |
| Total Resources | \$768 | \$629 | \$628 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | - | 1 | - |
| 0855 California Gambling Control Commission (State Operations) | 139 | - | - |
| Total Expenditures and Expenditure Adjustments | \$139 | \$1 | - |
| FUND BALANCE | \$629 | \$628 | \$628 |
| Reserve for economic uncertainties | 629 | 628 | 628 |

3132 Charity Bingo Mitigation Fund ^s

| | | | |
|--|----------|------|------|
| BEGINNING BALANCE | \$3,467 | \$1 | \$11 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 161400 Miscellaneous Revenue | 1 | 10 | 10 |
| Transfers and Other Adjustments: | | | |
| TO0367 To Indian Gaming Special Distribution Fund per Item 0855-111-3132, Budget Act of 2010 | -3,467 | - | - |
| Total Revenues, Transfers, and Other Adjustments | -\$3,466 | \$10 | \$10 |
| Total Resources | \$1 | \$11 | \$21 |
| FUND BALANCE | \$1 | \$11 | \$21 |
| Reserve for economic uncertainties | 1 | 11 | 21 |

0860 State Board of Equalization

The mission of the State Board of Equalization (BOE) is to serve the public through fair, effective, and efficient tax administration. The BOE administers agency tax and fee programs, adopts rules and regulations to clarify tax laws, acts as an appellate body for the review of property, business and income tax assessments, assesses and allocates property values of railroads and specified utilities, and oversees the property tax assessment practices of all 58 county assessors.

3-YR EXPENDITURES AND PERSONNEL YEARS

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

| | | Personnel Years | | | Expenditures | | |
|--|---|-----------------|----------------|----------------|------------------|------------------|------------------|
| | | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 15 | County Assessment Standards Program | 74.1 | 81.1 | 81.1 | \$8,021 | \$8,973 | \$9,262 |
| 20 | State-Assessed Property Program | 70.4 | 81.5 | 81.5 | 7,403 | 8,871 | 9,201 |
| 25 | Timber Tax Program | 13.5 | 13.9 | 13.9 | 1,551 | 2,338 | 2,387 |
| 30 | Sales and Use Tax Program | 3,321.8 | 3,711.9 | 3,768.6 | 338,768 | 387,975 | 407,041 |
| 35 | Hazardous Substances Tax Program | 37.3 | 46.9 | 46.9 | 3,312 | 4,431 | 4,602 |
| 40 | Alcoholic Beverage Tax Program | 21.4 | 25.6 | 25.6 | 2,120 | 2,745 | 2,839 |
| 41 | Tire Recycling Fee Program | 12.5 | 14.8 | 14.8 | 1,179 | 1,566 | 1,618 |
| 45 | Cigarette and Tobacco Products Tax Program | 93.5 | 98.6 | 98.6 | 19,740 | 24,070 | 24,721 |
| 46 | Cigarette and Tobacco Products Licensing Program | 75.3 | 62.6 | 62.6 | 8,462 | 7,610 | 8,043 |
| 50 | Transportation Fund Tax Program | 182.6 | 198.8 | 198.8 | 22,130 | 24,346 | 25,438 |
| 56 | Occupational Lead Poisoning Prevention Fee Program | 7.2 | 8.3 | 8.3 | 603 | 748 | 799 |
| 57 | Integrated Waste Management Program | 3.2 | 4.4 | 4.4 | 365 | 484 | 511 |
| 58 | Underground Storage Tank Fee Program | 21.9 | 28.6 | 28.6 | 2,496 | 3,213 | 3,319 |
| 59 | Oil Spill Prevention Program | 1.3 | 1.7 | 1.7 | 180 | 268 | 280 |
| 60 | Energy Resources Surcharge Program | 2.3 | 2.5 | 2.5 | 247 | 257 | 271 |
| 61 | Annual Water Rights Fee Program | 3.0 | 4.6 | 4.6 | 276 | 436 | 458 |
| 62 | Childhood Lead Poisoning Prevention Fee Program | 3.6 | 5.5 | 5.5 | 399 | 518 | 558 |
| 63 | Marine Invasive Species Program | 3.0 | 4.2 | 4.2 | 304 | 436 | 453 |
| 64 | Fire Prevention Fee Program | - | 10.7 | 53.8 | - | 3,289 | 6,597 |
| 65 | Emergency Telephone Users Surcharge Program | 10.6 | 13.3 | 13.3 | 1,041 | 1,551 | 1,531 |
| 66 | E-Waste Recycling Fee Program | 40.4 | 44.6 | 44.6 | 4,179 | 4,710 | 4,844 |
| 70 | Insurance Tax Program | 2.1 | 2.2 | 2.2 | 226 | 268 | 277 |
| 75 | Natural Gas Surcharge Program | 3.5 | 4.9 | 4.9 | 617 | 675 | 733 |
| 80 | Appeals from Other Governmental Programs | 17.3 | 15.2 | 15.2 | 1,860 | 1,871 | 1,942 |
| 85.01 | Administration | 395.4 | 435.8 | 435.8 | 42,214 | 50,010 | 50,010 |
| 85.02 | Distributed Administration | -395.4 | -435.8 | -435.8 | -42,053 | -49,593 | -49,593 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | | 4,021.8 | 4,486.4 | 4,586.2 | \$425,640 | \$492,066 | \$518,142 |
| FUNDING | | | | | 2010-11* | 2011-12* | 2012-13* |
| 0001 | General Fund | | | | \$232,603 | \$278,690 | \$291,646 |
| 0004 | Breast Cancer Fund | | | | 651 | 769 | 794 |
| 0022 | State Emergency Telephone Number Account | | | | 1,041 | 1,551 | 1,531 |
| 0061 | Motor Vehicle Fuel Account, Transportation Tax Fund | | | | 22,007 | 23,911 | 24,999 |
| 0070 | Occupational Lead Poisoning Prevention Account | | | | 603 | 748 | 799 |
| 0080 | Childhood Lead Poisoning Prevention Fund | | | | 399 | 518 | 558 |
| 0230 | Cigarette and Tobacco Products Surtax Fund | | | | 8,094 | 9,567 | 9,853 |
| 0320 | Oil Spill Prevention and Administration Fund | | | | 180 | 268 | 280 |
| 0387 | Integrated Waste Management Account, Integrated Waste Management Fund | | | | 365 | 484 | 511 |
| 0439 | Underground Storage Tank Cleanup Fund | | | | 2,496 | 3,213 | 3,319 |
| 0465 | Energy Resources Programs Account | | | | 247 | 257 | 271 |
| 0623 | California Children and Families First Trust Fund | | | | 14,230 | 16,779 | 17,350 |
| 0890 | Federal Trust Fund | | | | 123 | 435 | 439 |
| 0965 | Timber Tax Fund | | | | 1,551 | 2,338 | 2,387 |
| 0995 | Reimbursements | | | | 135,201 | 145,871 | 149,716 |
| 3015 | Gas Consumption Surcharge Fund | | | | 617 | 675 | 733 |
| 3058 | Water Rights Fund | | | | 276 | 436 | 458 |
| 3063 | State Responsibility Area Fire Prevention Fund | | | | - | - | 6,597 |

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

| FUNDING | 2010-11* | 2011-12* | 2012-13* |
|--|------------------|------------------|------------------|
| 3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund | 4,179 | 4,710 | 4,844 |
| 3067 Cigarette and Tobacco Products Compliance Fund | 777 | 846 | 1,057 |
| TOTALS, EXPENDITURES, ALL FUNDS | \$425,640 | \$492,066 | \$518,142 |

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

Article XIII, Section 17 of the California Constitution.

PROGRAM AUTHORITY

15-County Assessment Standards Program:

California Constitution-Article XIII, Section 18; Government Code sections 15606, 15608, 15611, 15612, 15624, and 15640-15646; Revenue and Taxation Code sections 63.1, 64, 69.5, 75.60, 169, 218.5, 251, 401.5, 407, 452, 480, 480.1, 482, 601, 602, 670-674, 1153, 1254, 1603, 5364, 5781, and 5840; California Administrative Code-Rules 101, 171, 202, 252, 282-283, 370, 371, 1045, and 1051.

20-State-Assessed Property Program:

California Constitution-Article XIII, Section 19; Revenue and Taxation Code-Division 1, Part 2; Division 2, Part 6.

25-Timber Tax Program:

Government Code Chapter 6.7 of Part 1 of Division 1 of Title 5; Revenue and Taxation Code Articles 1.5 and 1.7 of Chapter 3 of Part 2 of Division 1 and Part 18.5 of Division 2.

30-Sales and Use Tax Program:

Revenue and Taxation Code, Division 2, Parts 1, 1.5 and 1.6.

35-Hazardous Substances Tax Program:

Health and Safety Code, Sections 25173.6, 25173.7, 25174, 25174.1, 25174.2, 25205.2, 25205.4, 25205.5, 25205.6, 25205.7, 25205.9, 25205.14, 25205.21 and 25205.22, Revenue and Taxation Code, Part 22, Division 2.

40-Alcoholic Beverage Tax Program:

Revenue and Taxation Code-Part 14, Division 2.

41-Tire Recycling Fee Program:

Public Resources Code-Sections 42860 through 42895.

45-Cigarette and Tobacco Products Tax Program:

Revenue and Taxation Code, Part 13, Division 2.

46-Cigarette and Tobacco Products Licensing Program:

Business and Professions Code, Division 8.6 (commencing with Section 22970), and Revenue and Taxation Code, Part 30, Division 2.

50-Transportation Tax Program:

Revenue and Taxation Code, Parts 2, 3, and 31, Division 2.

56-Occupational Lead Poisoning Prevention Fee Program:

Health and Safety Code Sections 105175, 105185, 105190 and Revenue and Taxation Code, Part 22, Division 2.

57-Integrated Waste Management Program:

Public Resources Code, Division 30, Part 1, and Revenue and Taxation Code, Part 23, Division 2.

58-Underground Storage Tank Maintenance Fee Program:

Health and Safety Code, Sections 25299.10 and 25299.43.

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

59-Oil Spill Prevention Program:

Government Code, Sections 8670.40 and 8670.48 and Revenue and Taxation Code, Part 24, Division 2.

60-Energy Resources Surcharge Program:

Revenue and Taxation Code, Part 19, Division 2.

61-Annual Water Rights Fee Program:

Water Code, Part 2, Division 2 and Revenue and Taxation Code, Part 30, Division 2.

62-Childhood Lead Poisoning Prevention Fee Program:

Health and Safety Code Chapter 5, Division 103, Section 105310, and Revenue and Taxation Code Sections 43507, 43152.14, and 43554.

63-Marine Invasive Species Fee Program:

Public Resources Code-Division 36 (commencing with Section 71200), and Revenue and Taxation Code, Part 22.5, Division 2.

64-State Responsibility Area Fire Prevention Fee

Public Resources Code- Chapter 1.5 (commencing with Section 4210), Part 2, Division 4, and Revenue Taxation Code, Part 30, Division 2.

65-Emergency Telephone Users Surcharge Program:

Revenue and Taxation Code, Part 20, Division 2.

66-E-Waste Recycling Fee Program:

Health and Safety Code Sections 25214.9-25214.10.2, Public Resource Code Sections 42464 and 42475, and Revenue Taxation Code, Part 30, Division 2.

70-Insurance Tax Program:

California Constitution, Article XIII, Section 28, and Revenue and Taxation Code, Part 7, Division 2.

75-Natural Gas Surcharge Program:

Public Utilities Code, Chapter 4, Part 1, Division 1, Article X.

80-Appeals From Other Governmental Programs:

- Administration of Income and Franchise Tax Laws: Revenue and Taxation Code Section 18401-19802.
- Senior Citizens Homeowners and Renters Property Tax Assistance: Revenue and Taxation Code Section 20501-20646.
- Publicly Owned Property: Section 11 Article XIII of the California Constitution and Section 1840 and 1841 of the Revenue and Taxation Code. Procedural Regulations: Title 18, California Code of Regulations at section 5010 et seq.

MAJOR PROGRAM CHANGES

- The Budget provides \$6.4 million in special funds and 57 positions for the BOE to collect the State Responsibility Area Fire Prevention Fee in accordance with Assembly Bill 29, First Extraordinary Session (Chapter 8, Statutes of 2011).
- The Budget provides \$4.4 million (\$2.9 million General Fund) and 18 positions for various initiatives that will narrow the tax gap by collecting revenues that are owed but not currently being remitted. These are expected to generate \$10 million in 2012-13 General Fund revenues.
- The Budget provides \$3.2 million (\$2.1 million General Fund) and 28 positions for the BOE to implement the use tax collection requirements imposed on out-of-state retailers by Assembly Bill 155 (Chapter 313, Statutes of 2011). This is expected to generate \$50 million in 2012-13 General Fund revenues.
- The Budget provides \$3 million (\$2 million General Fund) and 15 positions for the BOE to process anticipated taxpayer refunds related to the disallowed collection of sales and use tax on Dell Computers' extended warranty service contracts.
- The Budget provides \$523,000 (\$376,000 General Fund) and one position for BOE activities related to its participation in the Financial Institute Record Match (FIRM) Program currently operated by the Franchise Tax Board. Expanding FIRM to BOE and the Employment Development Department, as the Budget proposes, will generate \$14 million in 2012-13 General Fund revenues.

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DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|---|---------------|----------------|-----------------|-----------------|-----------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Workload Budget Change Proposals | | | | | | |
| • BOE Rent Increase | \$- | \$- | - | \$3,127 | \$3,054 | - |
| • AB 155: Use Tax Nexus | - | - | - | 2,083 | 1,097 | 27.0 |
| • Permanent establishment - Natural Gas Public Purpose Programs Surcharge | - | - | - | - | 227 | 1.9 |
| Totals, Workload Budget Change Proposals | \$- | \$- | - | \$5,210 | \$4,378 | 28.9 |
| Other Workload Budget Adjustments | | | | | | |
| • Department of Justice Legal Services | \$- | \$- | - | \$1,522 | \$- | - |
| • Control Section 3.60 Adjustment | 1,467 | 1,287 | - | 1,467 | 1,287 | - |
| • Health Care Adjustments per BL 11-30 | 616 | 401 | - | 1,018 | 663 | - |
| • 2012-13 Pro Rata | - | - | - | - | 5,996 | - |
| • 2012-13 SWCAP | - | - | - | - | 12 | - |
| • Control Section 3.90 Adjustment | -2,792 | -2,211 | - | - | - | - |
| • Removal of 2011-12 SWCAP | - | - | - | - | -20 | - |
| • Control Section 3.91 Operational Efficiency Plan | - | -117 | - | - | -117 | - |
| • Removal of 2011-12 Pro Rata | - | - | - | - | -5,063 | - |
| • One-Time Cost Reductions from Expiring BCPs & SFLs | - | - | - | -339 | -414 | - |
| • Delete Legal Services Item 0860-005-0001 | - | - | - | -1,994 | - | - |
| Totals, Other Workload Budget Adjustments | -\$709 | -\$640 | - | \$1,674 | \$2,344 | - |
| Totals, Workload Budget Adjustments | -\$709 | -\$640 | - | \$6,884 | \$6,722 | 28.9 |
| Policy Adjustments | | | | | | |
| • Tax Gap II | \$- | \$- | - | \$2,928 | \$1,458 | 17.1 |
| • Dell Computers Settlement | - | - | - | 2,061 | 1,086 | 13.8 |
| • Extend FIRM Program to BOE | - | - | - | 376 | 147 | 0.9 |
| • State Responsibility Area Fire Prevention Fee | - | 3,289 | 10.7 | - | 6,356 | 53.8 |
| • AB X1 28 (Chapter 7, Statutes of 2011) Appropriation for Administrative Costs | 1 | - | - | - | - | - |
| • SB 86 (Chapter 14, Statutes of 2011) Appropriation for Administrative Costs | 1 | - | - | - | - | - |
| Totals, Policy Adjustments | \$2 | \$3,289 | 10.7 | \$5,365 | \$9,047 | 85.6 |
| Totals, Budget Adjustments | -\$707 | \$2,649 | 10.7 | \$12,249 | \$15,769 | 114.5 |

PROGRAM DESCRIPTIONS

15 - COUNTY ASSESSMENT STANDARDS PROGRAM

The objective of the County Assessment Standards program is to ensure that taxable properties are assessed and enrolled by county assessors in full conformity with the law.

20 - STATE-ASSESSED PROPERTY PROGRAM

The State-Assessed Property program is responsible for valuing and assessing inter-county pipelines and properties owned or used by railroads, regulated telephone companies, companies transmitting or selling gas or electricity, and private railroad car companies.

25 - TIMBER TAX PROGRAM

The objective of this program is to administer the Timber Yield Tax Law by (1) collecting revenue, (2) establishing timber harvest value areas and the immediate harvest values for species of timber used to determine tax liability, (3) developing a standard method of timber measurement and conversion factors where the standard cannot be used, (4) controlling and

* Dollars in thousands, except in Salary Range.

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auditing the reporting and self-assessment of the yield tax liability, and (5) providing the data for allocating revenues to the county of harvest.

30 - SALES AND USE TAX PROGRAM

The program objective is to administer the Sales and Use Tax Law and the Bradley-Burns Uniform Local Sales and Use Tax Law. The program also collects and distributes district transactions (sales) and use taxes on behalf of voter-approved special tax districts. The Sales and Use Tax Program ensures that all sales and use tax revenues are collected in an equitable and effective manner through the timely reporting of tax liability, detection and correction of errors in self-assessments, and the prompt collection of amounts determined to be due and economically recoverable.

35 - HAZARDOUS SUBSTANCES TAX PROGRAM

This program collects fees for the Department of Toxic Substances Control from generators of hazardous wastes, hazardous waste facilities, and certain California employers as identified by statute. Revenues are deposited into the Hazardous Waste Control Account and the Toxic Substances Control Account.

40 - ALCOHOLIC BEVERAGE TAX PROGRAM

This program collects excise taxes imposed on the manufacturing, importation, and sale of alcoholic beverages.

41 - TIRE RECYCLING FEE PROGRAM

The Tire Recycling Fee program collects fees that pay for recycling and reclaiming used tires and tire components in order to reduce landfill waste and tire stockpiles.

45 - CIGARETTE AND TOBACCO PRODUCTS TAX PROGRAM

The objective of this program is to collect the tax on cigarette and tobacco products to provide revenue for the General Fund, the Cigarette and Tobacco Products Surtax Fund, the Breast Cancer Fund, and the California Children and Families First Trust Fund.

46 - CIGARETTE AND TOBACCO PRODUCTS LICENSING PROGRAM

The objective of the program, established by the California Cigarette and Tobacco Products Licensing Act of 2003, is to reduce tax evasion, smuggling, and counterfeiting of cigarette and tobacco products and tax stamps. It requires that manufacturers and importers, distributors, wholesalers, and retailers of cigarettes and tobacco products be licensed.

50 - TRANSPORTATION TAX PROGRAM

This program collects revenue for the Transportation Tax Fund, the Aeronautics Fund, the Harbors and Watercraft Revolving Fund, the Off-Highway Vehicle Trust Fund, and the Department of Agriculture Fund. Responsibility for the Motor Vehicle Fuel Program is shared with the State Controller who collects delinquent taxes and makes refunds to consumers who use tax-paid motor vehicle fuel in an exempt manner. Additionally, this program addresses the collection of motor vehicle fuel, use fuel and diesel fuel taxes.

56 - OCCUPATIONAL LEAD POISONING PREVENTION FEE PROGRAM

The objective of the program is to collect fees from employers in industries that the Department of Public Health has determined to have a potential for lead poisoning. The fee revenues fund the Occupational Lead Poisoning Prevention Program administered by the Department of Public Health.

57 - INTEGRATED WASTE MANAGEMENT PROGRAM

The objective of this program is to administer the collection of fees on all solid waste disposed of at solid waste landfills. These fees provide funding to respond to potential health and environmental problems at on-site and off-site solid waste landfills. In addition, these fees support state and local landfill permit enforcement programs.

58 - UNDERGROUND STORAGE TANK MAINTENANCE FEE PROGRAM

This program collects a fee for each gallon of petroleum placed in an underground storage tank. This revenue provides funding to monitor and regulate underground storage tanks containing petroleum and to protect human health and the environment.

59 - OIL SPILL PREVENTION PROGRAM

This program collects two fees on all crude oil and petroleum products received in California via marine pipelines and terminals. The prevention and administration fees provide funding to implement oil spill prevention programs and to reimburse the member agencies of the State Inter-agency Oil Spill Committee for costs arising from the implementation of this program. Funding is also provided to implement, install, and maintain emergency programs, equipment, and facilities to respond to, contain, and clean up oil spills.

60 - ENERGY RESOURCES SURCHARGE PROGRAM

The energy resources surcharge is a tax on the consumption of electrical energy to provide revenue for support of the State

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Energy Resources Conservation and Development Commission.

61 - ANNUAL WATER RIGHTS FEE PROGRAM

The objective of the program is to collect an annual fee from owners of water rights based upon a schedule of fees adopted by the State Water Resources Control Board (SWRCB). This revenue is deposited into the Water Rights Fund and supports the activities of the SWRCB's Division of Water Rights.

62 - CHILDHOOD LEAD POISONING PREVENTION FEE PROGRAM

This program collects an annual fee from architectural coatings distributors, motor vehicle fuel distributors, and facilities currently reporting releases of lead into ambient air in California. This revenue supports the Childhood Lead Poisoning Prevention Program administered by the Department of Public Health.

63 - MARINE INVASIVE SPECIES FEE PROGRAM

This program collects fees assessed upon the owner or operator of qualifying vessels that enter California ports from outside California. This fee provides funding to carry out the Marine Invasive Species Program's ballast water management program.

64 - STATE RESPONSIBILITY AREA FIRE PREVENTION FEE

This program collects a fire prevention fee on behalf of the Department of Forestry and Fire Protection. The fee is assessed on owners of structures located within State Responsibility Areas (SRA) and pays for fire prevention activities that specifically benefit owners of the structures in the SRA.

65 - EMERGENCY TELEPHONE USERS SURCHARGE PROGRAM

The objective of the Emergency Telephone Users Surcharge program is to provide revenue to fund a portion of the "911" emergency telephone number system by administering a surcharge on specified intrastate telephone communication services. The Office of the Chief Information Officer administers this program.

66 - E-WASTE RECYCLING FEE PROGRAM

This program provides revenue to fund the Covered Electronic Waste Recycling Fee Program by administering a recycling fee upon the purchase of a new or refurbished covered electronic device. The BOE is required to collect this fee from the retailers and consumers. The funds collected are deposited into the Electronic Waste Recovery and Recycling Account in the Integrated Waste Management Fund.

70 - INSURANCE TAX PROGRAM

This program assesses taxes on insurance premiums and marine profits of underwriters, and levies retaliatory assessments against "foreign" insurers. The BOE, the Controller, and the Insurance Commissioner administer the program jointly. The BOE is responsible for assessing the tax and adjudicating petitions for redetermination, claims for refund, and requests for relief from penalties.

75 - NATURAL GAS SURCHARGE PROGRAM

The objective of this program is to collect the surcharge from public utility gas corporations and consumers who receive natural gas through interstate pipelines in order to provide revenue for the Gas Consumption Surcharge Fund. That revenue is used to fund low-income assistance programs, energy efficiency programs, conservation activities and public interest research and development.

80 - APPEALS FROM OTHER GOVERNMENTAL PROGRAMS

This program reviews assessments of income taxes and corporation taxes and eligibility determinations made in administering the Senior Citizens' Property Tax Assistance Program upon the filing of a written request by a taxpayer.

The program also acts as an impartial tribunal to settle disputes between entities of local government concerning property tax assessments of property owned by one local government entity but located outside its boundaries and within boundaries of another entity. Property owned by a local government outside its boundaries is subject to tax by the entity within whose boundaries the property is located.

85 - ADMINISTRATION PROGRAM

The Administration Program's objectives are to effectively and efficiently implement the policies and directives of the Board Members and to provide direction, leadership, planning, and support services for all Board programs.

DETAILED EXPENDITURES BY PROGRAM

| | 2010-11* | 2011-12* | 2012-13* |
|---|----------|----------|----------|
| PROGRAM REQUIREMENTS | | | |
| 15 COUNTY ASSESSMENT STANDARDS PROGRAM | | | |
| State Operations: | | | |

* Dollars in thousands, except in Salary Range.

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| | | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
|--------------|--|-----------------|-----------------|-----------------|
| 0001 | General Fund | \$8,021 | \$8,794 | \$9,262 |
| 0001 | General Fund-DOJ Billable Hours | - | 179 | - |
| | Totals, State Operations | \$8,021 | \$8,973 | \$9,262 |
| | ELEMENT REQUIREMENTS | | | |
| 15.10 | County Surveys | \$3,339 | \$4,504 | \$4,677 |
| | State Operations: | | | |
| 0001 | General Fund | 3,339 | 4,504 | 4,677 |
| 15.20 | Technical Advisory Services | \$2,444 | \$2,314 | \$2,361 |
| | State Operations: | | | |
| 0001 | General Fund | 2,444 | 2,135 | 2,361 |
| 0001 | General Fund-DOJ Billable Hours | - | 179 | - |
| 15.30 | Technical Services | \$2,238 | \$2,155 | \$2,224 |
| | State Operations: | | | |
| 0001 | General Fund | 2,238 | 2,155 | 2,224 |
| | PROGRAM REQUIREMENTS | | | |
| 20 | STATE-ASSESSED PROPERTY PROGRAM | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$6,791 | \$8,264 | \$8,594 |
| 0995 | Reimbursements | 612 | 607 | 607 |
| | Totals, State Operations | \$7,403 | \$8,871 | \$9,201 |
| | ELEMENT REQUIREMENTS | | | |
| 20.10 | Assessment of Public Utilities | \$6,630 | \$8,003 | \$8,312 |
| | State Operations: | | | |
| 0001 | General Fund | 6,018 | 7,396 | 7,705 |
| 0995 | Reimbursements | 612 | 607 | 607 |
| 20.20 | Private Railroad Car Tax | \$773 | \$868 | \$889 |
| | State Operations: | | | |
| 0001 | General Fund | 773 | 868 | 889 |
| | PROGRAM REQUIREMENTS | | | |
| 25 | TIMBER TAX PROGRAM | | | |
| | State Operations: | | | |
| 0965 | Timber Tax Fund | \$1,551 | \$2,338 | \$2,387 |
| | Totals, State Operations | \$1,551 | \$2,338 | \$2,387 |
| | ELEMENT REQUIREMENTS | | | |
| 25.10 | Timber Valuation | \$690 | \$973 | \$992 |
| | State Operations: | | | |
| 0965 | Timber Tax Fund | 690 | 973 | 992 |
| 25.20 | Taxpayer Registration, Return Processing and Collection | \$560 | \$867 | \$886 |
| | State Operations: | | | |
| 0965 | Timber Tax Fund | 560 | 867 | 886 |
| 25.30 | Auditing | \$301 | \$498 | \$509 |
| | State Operations: | | | |
| 0965 | Timber Tax Fund | 301 | 498 | 509 |
| | PROGRAM REQUIREMENTS | | | |
| 30 | SALES AND USE TAX PROGRAM | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$209,135 | \$251,296 | \$265,022 |
| 0001 | General Fund Non Budget Act Admin (SB 86) | - | 1 | - |

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

| | | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
|--------------|---|------------------|------------------|------------------|
| 0001 | General Fund Non Budget Act Admin (ABX1 55) | - | 1 | - |
| 0001 | General Fund-DOJ Billable Hours | - | 1,552 | - |
| 0995 | Reimbursements | <u>129,633</u> | <u>135,125</u> | <u>142,019</u> |
| | Totals, State Operations | \$338,768 | \$387,975 | \$407,041 |
| | ELEMENT REQUIREMENTS | | | |
| 30.10 | Registration of Taxpayers | \$69,482 | \$74,894 | \$78,691 |
| | State Operations: | | | |
| 0001 | General Fund | 48,001 | 53,647 | 56,187 |
| 0995 | Reimbursements | 21,481 | 21,247 | 22,504 |
| 30.20 | Processing Tax Returns | \$70,144 | \$77,431 | \$81,235 |
| | State Operations: | | | |
| 0001 | General Fund | 28,244 | 35,130 | 37,154 |
| 0001 | General Fund Non Budget Act Admin (SB 86) | - | 1 | - |
| 0001 | General Fund Non Budget Act Admin (ABX1 55) | - | 1 | - |
| 0995 | Reimbursements | 41,900 | 42,301 | 44,081 |
| 30.30 | Auditing Accounts | \$130,085 | \$143,041 | \$151,267 |
| | State Operations: | | | |
| 0001 | General Fund | 84,897 | 96,951 | 102,853 |
| 0001 | General Fund-DOJ Billable Hours | - | 1,552 | - |
| 0995 | Reimbursements | 45,188 | 46,090 | 48,414 |
| 30.40 | Collecting Taxes Receivable | \$69,057 | \$91,055 | \$95,848 |
| | State Operations: | | | |
| 0001 | General Fund | 47,993 | 65,568 | 68,828 |
| 0995 | Reimbursements | 21,064 | 25,487 | 27,020 |
| | PROGRAM REQUIREMENTS | | | |
| 35 | HAZARDOUS SUBSTANCES TAX PROGRAM | | | |
| | State Operations: | | | |
| 0995 | Reimbursements | <u>\$3,312</u> | <u>\$4,431</u> | <u>\$4,602</u> |
| | Totals, State Operations | \$3,312 | \$4,431 | \$4,602 |
| | PROGRAM REQUIREMENTS | | | |
| 40 | ALCOHOLIC BEVERAGE TAX PROGRAM | | | |
| | State Operations: | | | |
| 0001 | General Fund | <u>\$2,120</u> | <u>\$2,745</u> | <u>\$2,839</u> |
| | Totals, State Operations | \$2,120 | \$2,745 | \$2,839 |
| | ELEMENT REQUIREMENTS | | | |
| 40.10 | Registration of Taxpayers | \$532 | \$437 | \$452 |
| | State Operations: | | | |
| 0001 | General Fund | 532 | 437 | 452 |
| 40.20 | Processing Tax Returns and Reports | \$408 | \$621 | \$644 |
| | State Operations: | | | |
| 0001 | General Fund | 408 | 621 | 644 |
| 40.30 | Auditing Accounts | \$1,001 | \$1,420 | \$1,466 |
| | State Operations: | | | |
| 0001 | General Fund | 1,001 | 1,420 | 1,466 |
| 40.40 | Collecting Taxes Receivable | \$179 | \$267 | \$277 |
| | State Operations: | | | |
| 0001 | General Fund | 179 | 267 | 277 |
| | PROGRAM REQUIREMENTS | | | |
| 41 | TIRE RECYCLING FEE PROGRAM | | | |

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

| | | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
|--------------|---|-----------------|-----------------|-----------------|
| | State Operations: | | | |
| 0995 | Reimbursements | \$1,179 | \$1,566 | \$1,618 |
| | Totals, State Operations | \$1,179 | \$1,566 | \$1,618 |
| | PROGRAM REQUIREMENTS | | | |
| 45 | CIGARETTE AND TOBACCO PRODUCTS TAX PROGRAM | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$3,566 | \$2,678 | \$2,907 |
| 0001 | General Fund-DOJ Billable Hours | - | 263 | - |
| 0004 | Breast Cancer Fund | 475 | 614 | 633 |
| 0230 | Cigarette and Tobacco Products Surtax Fund | 5,886 | 7,623 | 7,846 |
| 0623 | California Childhood and Families First Trust Fund | 9,813 | 12,892 | 13,335 |
| | Totals, State Operations | \$19,740 | \$24,070 | \$24,721 |
| | ELEMENT REQUIREMENTS | | | |
| 45.10 | Registration of Taxpayers | \$3,485 | \$2,365 | \$2,446 |
| | State Operations: | | | |
| 0001 | General Fund | 630 | 289 | 288 |
| 0004 | Breast Cancer Fund | 84 | 60 | 63 |
| 0230 | Cigarette and Tobacco Products Surtax Fund | 1,039 | 749 | 776 |
| 0623 | California Childhood and Families First Trust Fund | 1,732 | 1,267 | 1,319 |
| 45.20 | Processing Tax Returns | \$3,873 | \$2,045 | \$2,114 |
| | State Operations: | | | |
| 0001 | General Fund | 700 | 250 | 249 |
| 0004 | Breast Cancer Fund | 93 | 52 | 54 |
| 0230 | Cigarette and Tobacco Products Surtax Fund | 1,155 | 648 | 671 |
| 0623 | California Childhood and Families First Trust Fund | 1,925 | 1,095 | 1,140 |
| 45.30 | Auditing Accounts | \$5,598 | \$5,267 | \$5,555 |
| | State Operations: | | | |
| 0001 | General Fund | 1,011 | 413 | 654 |
| 0001 | General Fund-DOJ Billable Hours | - | 263 | - |
| 0004 | Breast Cancer Fund | 135 | 141 | 142 |
| 0230 | Cigarette and Tobacco Products Surtax Fund | 1,669 | 1,751 | 1,763 |
| 0623 | California Childhood and Families First Trust Fund | 2,783 | 2,962 | 2,996 |
| 45.40 | Enforcement Activities | \$5,055 | \$13,264 | \$13,711 |
| | State Operations: | | | |
| 0001 | General Fund | 913 | 1,621 | 1,611 |
| 0004 | Breast Cancer Fund | 122 | 339 | 352 |
| 0230 | Cigarette and Tobacco Products Surtax Fund | 1,507 | 4,201 | 4,353 |
| 0623 | California Childhood and Families First Trust Fund | 2,513 | 7,103 | 7,395 |
| 45.50 | Collecting Taxes Receivable | \$1,729 | \$866 | \$895 |
| | State Operations: | | | |
| 0001 | General Fund | 312 | 105 | 105 |
| 0004 | Breast Cancer Fund | 41 | 22 | 22 |
| 0230 | Cigarette and Tobacco Products Surtax Fund | 516 | 274 | 283 |
| 0623 | California Childhood and Families First Trust Fund | 860 | 465 | 485 |
| | PROGRAM REQUIREMENTS | | | |
| 46 | CIGARETTE AND TOBACCO PRODUCTS LICENSING PROGRAM | | | |
| | State Operations: | | | |

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

| | | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
|--------------|---|-----------------|-----------------|-----------------|
| 0001 | General Fund | \$884 | \$778 | \$803 |
| 0004 | Breast Cancer Fund | 176 | 155 | 161 |
| 0230 | Cigarette and Tobacco Products Surtax Fund | 2,208 | 1,944 | 2,007 |
| 0623 | California Childhood and Families First Trust Fund | 4,417 | 3,887 | 4,015 |
| 3067 | Cigarette and Tobacco Products Compliance Fund | <u>777</u> | <u>846</u> | <u>1,057</u> |
| | Totals, State Operations | \$8,462 | \$7,610 | \$8,043 |
| | PROGRAM REQUIREMENTS | | | |
| 50 | TRANSPORTATION FUND TAX PROGRAM | | | |
| | State Operations: | | | |
| 0061 | Motor Vehicle Fuel Account, Transportation Tax Fund | \$22,007 | \$23,911 | \$24,999 |
| 0890 | Federal Trust Fund | <u>123</u> | <u>435</u> | <u>439</u> |
| | Totals, State Operations | \$22,130 | \$24,346 | \$25,438 |
| | ELEMENT REQUIREMENTS | | | |
| 50.10 | Registration of Taxpayers | \$3,902 | \$2,416 | \$2,525 |
| | State Operations: | | | |
| 0061 | Motor Vehicle Fuel Account, Transportation Tax Fund | 3,880 | 2,373 | 2,481 |
| 0890 | Federal Trust Fund | 22 | 43 | 44 |
| 50.20 | Processing Tax Returns | \$7,470 | \$6,009 | \$6,271 |
| | State Operations: | | | |
| 0061 | Motor Vehicle Fuel Account, Transportation Tax Fund | 7,428 | 5,901 | 6,162 |
| 0890 | Federal Trust Fund | 42 | 108 | 109 |
| 50.30 | Auditing Accounts | \$9,422 | \$13,436 | \$14,047 |
| | State Operations: | | | |
| 0061 | Motor Vehicle Fuel Account, Transportation Tax Fund | 9,370 | 13,196 | 13,805 |
| 0890 | Federal Trust Fund | 52 | 240 | 242 |
| 50.40 | Enforcement | \$1,336 | \$1,141 | \$1,191 |
| | State Operations: | | | |
| 0061 | Motor Vehicle Fuel Account, Transportation Tax Fund | 1,329 | 1,120 | 1,170 |
| 0890 | Federal Trust Fund | 7 | 21 | 21 |
| 50.50 | Collecting Taxes Receivable | \$- | \$1,344 | \$1,404 |
| | State Operations: | | | |
| 0061 | Motor Vehicle Fuel Account, Transportation Tax Fund | - | 1,321 | 1,381 |
| 0890 | Federal Trust Fund | - | 23 | 23 |
| | PROGRAM REQUIREMENTS | | | |
| 56 | OCCUPATIONAL LEAD POISONING PREVENTION FEE PROGRAM | | | |
| | State Operations: | | | |
| 0070 | Occupational Lead Poisoning Prevention Account | <u>\$603</u> | <u>\$748</u> | <u>\$799</u> |
| | Totals, State Operations | \$603 | \$748 | \$799 |
| | PROGRAM REQUIREMENTS | | | |
| 57 | INTEGRATED WASTE MANAGEMENT PROGRAM | | | |
| | State Operations: | | | |
| 0387 | Integrated Waste Management Account, Integrated Waste Management Fund | \$365 | \$484 | \$511 |
| | Totals, State Operations | \$365 | \$484 | \$511 |
| | PROGRAM REQUIREMENTS | | | |
| 58 | UNDERGROUND STORAGE TANK FEE PROGRAM | | | |
| | State Operations: | | | |
| 0439 | Underground Storage Tank Cleanup Fund | \$2,496 | \$3,213 | \$3,319 |

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

| | | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
|-----------|--|-----------------|-----------------|-----------------|
| | Totals, State Operations | \$2,496 | \$3,213 | \$3,319 |
| | PROGRAM REQUIREMENTS | | | |
| 59 | OIL SPILL PREVENTION PROGRAM | | | |
| | State Operations: | | | |
| 0320 | Oil Spill Prevention and Administration Fund | <u>\$180</u> | <u>\$268</u> | <u>\$280</u> |
| | Totals, State Operations | \$180 | \$268 | \$280 |
| | PROGRAM REQUIREMENTS | | | |
| 60 | ENERGY RESOURCES SURCHARGE PROGRAM | | | |
| | State Operations: | | | |
| 0465 | Energy Resources Programs Account | <u>\$247</u> | <u>\$257</u> | <u>\$271</u> |
| | Totals, State Operations | \$247 | \$257 | \$271 |
| | PROGRAM REQUIREMENTS | | | |
| 61 | ANNUAL WATER RIGHTS FEE PROGRAM | | | |
| | State Operations: | | | |
| 3058 | Water Rights Fund | <u>\$276</u> | <u>\$436</u> | <u>\$458</u> |
| | Totals, State Operations | \$276 | \$436 | \$458 |
| | PROGRAM REQUIREMENTS | | | |
| 62 | CHILDHOOD LEAD POISONING PREVENTION FEE PROGRAM | | | |
| | State Operations: | | | |
| 0080 | Childhood Lead Poisoning Prevention Fund | <u>\$399</u> | <u>\$518</u> | <u>\$558</u> |
| | Totals, State Operations | \$399 | \$518 | \$558 |
| | PROGRAM REQUIREMENTS | | | |
| 63 | MARINE INVASIVE SPECIES PROGRAM | | | |
| | State Operations: | | | |
| 0995 | Reimbursements | <u>\$304</u> | <u>\$436</u> | <u>\$453</u> |
| | Totals, State Operations | \$304 | \$436 | \$453 |
| | PROGRAM REQUIREMENTS | | | |
| 64 | FIRE PREVENTION FEE PROGRAM | | | |
| | State Operations: | | | |
| 3063 | State Responsibility Area Fire Prevention Fund | <u>\$-</u> | <u>\$-</u> | <u>\$6,597</u> |
| 0995 | Reimbursements | <u>-</u> | <u>3,289</u> | <u>-</u> |
| | Totals, State Operations | \$- | \$3,289 | \$6,597 |
| | PROGRAM REQUIREMENTS | | | |
| 65 | EMERGENCY TELEPHONE USERS SURCHARGE PROGRAM | | | |
| | State Operations: | | | |
| 0022 | State Emergency Telephone Number Account | <u>\$1,041</u> | <u>\$1,551</u> | <u>\$1,531</u> |
| | Totals, State Operations | \$1,041 | \$1,551 | \$1,531 |
| | PROGRAM REQUIREMENTS | | | |
| 66 | E-WASTE RECYCLING FEE PROGRAM | | | |
| | State Operations: | | | |
| 3065 | Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund | <u>\$4,179</u> | <u>\$4,710</u> | <u>\$4,844</u> |
| | Totals, State Operations | \$4,179 | \$4,710 | \$4,844 |
| | PROGRAM REQUIREMENTS | | | |
| 70 | INSURANCE TAX PROGRAM | | | |
| | State Operations: | | | |
| 0001 | General Fund | <u>\$226</u> | <u>\$268</u> | <u>\$277</u> |

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

| | | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
|--------------|---|------------------|------------------|------------------|
| | Totals, State Operations | \$226 | \$268 | \$277 |
| | PROGRAM REQUIREMENTS | | | |
| 75 | NATURAL GAS SURCHARGE PROGRAM | | | |
| | State Operations: | | | |
| 3015 | Gas Consumption Surcharge Fund | \$617 | \$675 | \$733 |
| | Totals, State Operations | \$617 | \$675 | \$733 |
| | PROGRAM REQUIREMENTS | | | |
| 80 | APPEALS FROM OTHER GOVERNMENTAL PROGRAMS | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$1,860 | \$1,871 | \$1,942 |
| | Totals, State Operations | \$1,860 | \$1,871 | \$1,942 |
| | ELEMENT REQUIREMENTS | | | |
| 80.10 | Franchise and Income Tax Appeals | \$1,860 | \$1,871 | \$1,942 |
| | State Operations: | | | |
| 0001 | General Fund | 1,860 | 1,871 | 1,942 |
| | PROGRAM REQUIREMENTS | | | |
| 85 | ADMINISTRATION | | | |
| | State Operations: | | | |
| 0995 | Reimbursements | \$161 | \$417 | \$417 |
| | Totals, State Operations | \$161 | \$417 | \$417 |
| | ELEMENT REQUIREMENTS | | | |
| 85.01 | Administration | 42,053 | 50,010 | 50,010 |
| 85.02 | Distributed Administration | -42,053 | -49,593 | -49,593 |
| | TOTALS, EXPENDITURES | | | |
| | State Operations | 425,640 | 492,066 | 518,142 |
| | Totals, Expenditures | \$425,640 | \$492,066 | \$518,142 |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|---------|---------|--------------|-----------|-----------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 4,021.8 | 4,708.5 | 4,702.5 | \$231,191 | \$270,535 | \$280,876 |
| Total Adjustments | - | 11.2 | 120.3 | - | 655 | 6,133 |
| Estimated Salary Savings | - | -233.3 | -236.6 | - | -13,559 | -14,161 |
| Net Totals, Salaries and Wages | 4,021.8 | 4,486.4 | 4,586.2 | \$231,191 | \$257,631 | \$272,848 |
| Staff Benefits | - | - | - | 93,012 | 107,170 | 104,534 |
| Totals, Personal Services | 4,021.8 | 4,486.4 | 4,586.2 | \$324,203 | \$364,801 | \$377,382 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$101,437 | \$127,265 | \$140,760 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS | | | | \$425,640 | \$492,066 | \$518,142 |
| (State Operations) | | | | | | |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | | 2010-11* | 2011-12* | 2012-13* |
|---------------------------|------------------------------|-----------------|-----------------|-----------------|
| | 0001 General Fund | | | |
| APPROPRIATIONS | | | | |
| | 001 Budget Act appropriation | \$272,905 | \$277,403 | \$291,646 |

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|------------------|------------------|------------------|
| Allocation for employee compensation | 930 | 616 | - |
| Adjustment per Section 3.60 | 4,683 | 1,467 | - |
| Adjustment per Section 3.90 | - | -2,792 | - |
| Adjustment per Section 3.91 | -6,601 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -2,995 | - | - |
| 005 Budget Act appropriation (Billable Legal Services Conversion) | - | 1,994 | - |
| Chapter 14, Statutes of 2011 | - | 1 | - |
| Chapter 7, Statutes of 2011 | - | 1 | - |
| Totals Available | \$268,922 | \$278,690 | \$291,646 |
| Unexpended balance, estimated savings | -36,319 | - | - |
| TOTALS, EXPENDITURES | \$232,603 | \$278,690 | \$291,646 |
| 0004 Breast Cancer Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$768 | \$772 | \$794 |
| Allocation for employee compensation | 1 | 1 | - |
| Adjustment per Section 3.60 | 4 | 1 | - |
| Adjustment per Section 3.90 | - | -5 | - |
| Adjustment per Section 3.91 | -12 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -10 | - | - |
| Totals Available | \$751 | \$769 | \$794 |
| Unexpended balance, estimated savings | -100 | - | - |
| TOTALS, EXPENDITURES | \$651 | \$769 | \$794 |
| 0022 State Emergency Telephone Number Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$1,410 | \$1,546 | \$1,531 |
| Allocation for employee compensation | 5 | 3 | - |
| Adjustment per Section 3.60 | 24 | 17 | - |
| Adjustment per Section 3.90 | - | -15 | - |
| Adjustment per Section 3.91 | -37 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -15 | - | - |
| Totals Available | \$1,387 | \$1,551 | \$1,531 |
| Unexpended balance, estimated savings | -346 | - | - |
| TOTALS, EXPENDITURES | \$1,041 | \$1,551 | \$1,531 |
| 0061 Motor Vehicle Fuel Account, Transportation Tax Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$23,677 | \$23,973 | \$24,999 |
| Allocation for employee compensation | 73 | 46 | - |
| Adjustment per Section 3.60 | 368 | 126 | - |
| Adjustment per Section 3.90 | - | -223 | - |
| Adjustment per Section 3.91 | -569 | - | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -11 | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -475 | - | - |
| Totals Available | \$23,074 | \$23,911 | \$24,999 |
| Unexpended balance, estimated savings | -1,067 | - | - |
| TOTALS, EXPENDITURES | \$22,007 | \$23,911 | \$24,999 |
| 0070 Occupational Lead Poisoning Prevention Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$742 | \$750 | \$799 |
| Allocation for employee compensation | 3 | 2 | - |

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| Adjustment per Section 3.60 | 14 | 4 | - |
| Adjustment per Section 3.90 | - | -8 | - |
| Adjustment per Section 3.91 | -21 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -17 | - | - |
| Totals Available | \$721 | \$748 | \$799 |
| Unexpended balance, estimated savings | -118 | - | - |
| TOTALS, EXPENDITURES | \$603 | \$748 | \$799 |
| 0080 Childhood Lead Poisoning Prevention Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$518 | \$520 | \$558 |
| Allocation for employee compensation | 2 | 1 | - |
| Adjustment per Section 3.60 | 9 | 3 | - |
| Adjustment per Section 3.90 | - | -6 | - |
| Adjustment per Section 3.91 | -14 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -9 | - | - |
| Totals Available | \$506 | \$518 | \$558 |
| Unexpended balance, estimated savings | -107 | - | - |
| TOTALS, EXPENDITURES | \$399 | \$518 | \$558 |
| 0230 Cigarette and Tobacco Products Surtax Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$9,544 | \$9,604 | \$9,853 |
| Allocation for employee compensation | 10 | 7 | - |
| Adjustment per Section 3.60 | 53 | 18 | - |
| Adjustment per Section 3.90 | - | -58 | - |
| Adjustment per Section 3.91 | -148 | - | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -4 | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -127 | - | - |
| Totals Available | \$9,332 | \$9,567 | \$9,853 |
| Unexpended balance, estimated savings | -1,238 | - | - |
| TOTALS, EXPENDITURES | \$8,094 | \$9,567 | \$9,853 |
| 0320 Oil Spill Prevention and Administration Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$267 | \$268 | \$280 |
| Allocation for employee compensation | 1 | 1 | - |
| Adjustment per Section 3.60 | 5 | 1 | - |
| Adjustment per Section 3.90 | - | -2 | - |
| Adjustment per Section 3.91 | -8 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -1 | - | - |
| Totals Available | \$264 | \$268 | \$280 |
| Unexpended balance, estimated savings | -84 | - | - |
| TOTALS, EXPENDITURES | \$180 | \$268 | \$280 |
| 0387 Integrated Waste Management Account, Integrated Waste Management Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$483 | \$485 | \$511 |
| Allocation for employee compensation | 2 | 1 | - |
| Adjustment per Section 3.60 | 8 | 3 | - |
| Adjustment per Section 3.90 | - | -5 | - |
| Adjustment per Section 3.91 | -13 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -19 | - | - |

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| Totals Available | \$461 | \$484 | \$511 |
| Unexpended balance, estimated savings | -96 | - | - |
| TOTALS, EXPENDITURES | \$365 | \$484 | \$511 |
| 0439 Underground Storage Tank Cleanup Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$3,254 | \$3,218 | \$3,319 |
| Allocation for employee compensation | 10 | 5 | - |
| Adjustment per Section 3.60 | 49 | 14 | - |
| Adjustment per Section 3.90 | - | -24 | - |
| Adjustment per Section 3.91 | -71 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -56 | - | - |
| Totals Available | \$3,186 | \$3,213 | \$3,319 |
| Unexpended balance, estimated savings | -690 | - | - |
| TOTALS, EXPENDITURES | \$2,496 | \$3,213 | \$3,319 |
| 0465 Energy Resources Programs Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$257 | \$258 | \$271 |
| Allocation for employee compensation | 1 | 1 | - |
| Adjustment per Section 3.60 | 4 | 1 | - |
| Adjustment per Section 3.90 | - | -3 | - |
| Adjustment per Section 3.91 | -7 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -6 | - | - |
| Totals Available | \$249 | \$257 | \$271 |
| Unexpended balance, estimated savings | -2 | - | - |
| TOTALS, EXPENDITURES | \$247 | \$257 | \$271 |
| 0623 California Children and Families First Trust Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$16,782 | \$16,851 | \$17,350 |
| Allocation for employee compensation | 20 | 13 | - |
| Adjustment per Section 3.60 | 105 | 36 | - |
| Adjustment per Section 3.90 | - | -115 | - |
| Adjustment per Section 3.91 | -298 | - | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -6 | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -253 | - | - |
| Totals Available | \$16,356 | \$16,779 | \$17,350 |
| Unexpended balance, estimated savings | -2,126 | - | - |
| TOTALS, EXPENDITURES | \$14,230 | \$16,779 | \$17,350 |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$442 | \$453 | \$439 |
| Adjustment per Section 3.90 | - | -12 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -6 | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -19 | - | - |
| Budget Adjustment | -300 | - | - |
| TOTALS, EXPENDITURES | \$123 | \$435 | \$439 |
| 0965 Timber Tax Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$2,333 | \$2,356 | \$2,387 |
| Allocation for employee compensation | 6 | 4 | - |

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| Adjustment per Section 3.60 | 32 | 1 | - |
| Adjustment per Section 3.90 | - | -20 | - |
| Adjustment per Section 3.91 | -48 | - | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -3 | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -52 | - | - |
| Totals Available | \$2,271 | \$2,338 | \$2,387 |
| Unexpended balance, estimated savings | -720 | - | - |
| TOTALS, EXPENDITURES | \$1,551 | \$2,338 | \$2,387 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$135,201 | \$145,871 | \$149,716 |
| 3015 Gas Consumption Surcharge Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$634 | \$677 | \$733 |
| Allocation for employee compensation | 2 | 2 | - |
| Adjustment per Section 3.60 | 9 | 4 | - |
| Adjustment per Section 3.90 | - | -8 | - |
| Adjustment per Section 3.91 | -14 | - | - |
| Totals Available | \$631 | \$675 | \$733 |
| Unexpended balance, estimated savings | -14 | - | - |
| TOTALS, EXPENDITURES | \$617 | \$675 | \$733 |
| 3058 Water Rights Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$429 | \$437 | \$458 |
| Allocation for employee compensation | 1 | 1 | - |
| Adjustment per Section 3.60 | 7 | 2 | - |
| Adjustment per Section 3.90 | - | -4 | - |
| Adjustment per Section 3.91 | -10 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -10 | - | - |
| Totals Available | \$417 | \$436 | \$458 |
| Unexpended balance, estimated savings | -141 | - | - |
| TOTALS, EXPENDITURES | \$276 | \$436 | \$458 |
| 3063 State Responsibility Area Fire Prevention Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$- | \$- | \$6,597 |
| TOTALS, EXPENDITURES | \$- | \$- | \$6,597 |
| 3065 Electronic Waste Recovery and Recycling Account, Integrated Waste Management Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$4,767 | \$4,720 | \$4,844 |
| Allocation for employee compensation | 15 | 9 | - |
| Adjustment per Section 3.60 | 76 | 24 | - |
| Adjustment per Section 3.90 | - | -40 | - |
| Adjustment per Section 3.91 | -115 | - | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -3 | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -144 | - | - |
| Totals Available | \$4,599 | \$4,710 | \$4,844 |
| Unexpended balance, estimated savings | -420 | - | - |
| TOTALS, EXPENDITURES | \$4,179 | \$4,710 | \$4,844 |

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|---------------------|---------------------|-----------------------|
| 3067 Cigarette and Tobacco Products Compliance Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$598 | \$788 | \$1,057 |
| Allocation for employee compensation | 26 | 16 | - |
| Adjustment per Section 3.60 | <u>153</u> | <u>42</u> | <u>-</u> |
| TOTALS, EXPENDITURES | <u>\$777</u> | <u>\$846</u> | <u>\$1,057</u> |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$425,640 | \$492,066 | \$518,142 |

FUND CONDITION STATEMENTS

| | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| 0965 Timber Tax Fund ^N | | | |
| BEGINNING BALANCE | \$1,147 | \$2,289 | \$3 |
| Prior year adjustments | <u>4</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | \$1,151 | \$2,289 | \$3 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 213000 Property and Natural Resources (Timber Yield Tax) | 6,660 | 6,000 | 6,000 |
| 215000 Income from Investments | <u>3</u> | <u>-</u> | <u>-</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$6,663</u> | <u>\$6,000</u> | <u>\$6,000</u> |
| Total Resources | \$7,814 | \$8,289 | \$6,003 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 1 | - | 19 |
| 0860 State Board of Equalization (State Operations) | 1,551 | 2,338 | 2,387 |
| 3540 Department of Forestry and Fire Protection (State Operations) | 2 | 35 | 35 |
| Allocation to Counties (Local Assistance Expenditure not Reflected in Departmental Budget) | <u>3,971</u> | <u>5,913</u> | <u>3,560</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$5,525</u> | <u>\$8,286</u> | <u>\$6,001</u> |
| FUND BALANCE | \$2,289 | \$3 | \$2 |
| 3067 Cigarette and Tobacco Products Compliance Fund ^S | | | |
| BEGINNING BALANCE | \$3,689 | \$4,603 | \$5,402 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 125600 Other Regulatory Fees | <u>1,694</u> | <u>1,649</u> | <u>1,649</u> |
| Total Revenues, Transfers, and Other Adjustments | <u>\$1,694</u> | <u>\$1,649</u> | <u>\$1,649</u> |
| Total Resources | \$5,383 | \$6,252 | \$7,051 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 2 | 4 | 6 |
| 0860 State Board of Equalization (State Operations) | 777 | 846 | 1,057 |
| 8880 Financial Information System for California (State Operations) | <u>1</u> | <u>-</u> | <u>-</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$780</u> | <u>\$850</u> | <u>\$1,063</u> |
| FUND BALANCE | \$4,603 | \$5,402 | \$5,988 |
| Reserve for economic uncertainties | 4,603 | 5,402 | 5,988 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|------------------------------|----------------------------------|----------------|----------------|---------------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Totals, Authorized Positions | 4,021.8 | 4,708.5 | 4,702.5 | \$231,191 | \$270,535 | \$280,876 |
| Salary Adjustments | - | - | - | - | 5 | 9 |

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

| | Positions/Personnel Years | | | Expenditures | | |
|--|----------------------------------|----------------|----------------|---------------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Proposed New Positions: | | | | Salary Range | | |
| Executive Department | | | | | | |
| Legislative & Research Division | | | | | | |
| Business Taxes Specialist III | - | - | 2.0 | 6,775-7,842 | - | 175 |
| Technology Services Department | | | | | | |
| Staff Programmer Analyst (Spec) | - | 1.0 | 3.0 | 5,065-6,466 | 69 | 207 |
| Staff Info Systems Analyst (Spec) | - | 0.3 | 1.5 | 5,065-6,466 | 21 | 104 |
| External Affairs Department | | | | | | |
| Customer Service & Publishing | | | | | | |
| Supervising Tax Technician III | - | - | 1.0 | 3,358-4,085 | - | 45 |
| Business Taxes Representative | - | - | 1.0 | 3,106-4,670 | - | 47 |
| Tax Technician III | - | 0.2 | 4.5 | 2,951-3,588 | 8 | 177 |
| Tax Technician II | - | 0.7 | 15.0 | 2,638-3,209 | 25 | 526 |
| Outreach Services Division | | | | | | |
| Business Taxes Specialist I | - | - | 7.5 | 5,328-6,476 | - | 531 |
| Audio Visual Specialist | - | - | 1.0 | 4,400-5,348 | - | 58 |
| Legal Department | | | | | | |
| Legal Administration | | | | | | |
| Tax Counsel III (Spec) | - | - | 1.0 | 7,682-9,478 | - | 103 |
| Staff Services Analyst | - | - | 1.0 | 2,817-4,446 | - | 44 |
| Special Operations Branch | | | | | | |
| Business Taxes Compliance Specialist | - | - | 3.0 | 4,619-5,616 | - | 184 |
| Administration Department | | | | | | |
| Accounting | | | | | | |
| Associate Accounting Analyst | - | - | 1.0 | 4,619-5,616 | - | 61 |
| Associate Admin. Analyst-Accounting System | - | - | 1.0 | 4,619-5,616 | - | 61 |
| Accountant Trainee | - | 0.1 | 0.5 | 3,240-3,751 | 2 | 21 |
| Tax Revenue Branch | | | | | | |
| Key Data Operator | - | 0.3 | 3.0 | 2,153-2,975 | 9 | 92 |
| Office Assistant (General) | - | 0.2 | 2.0 | 2,074-2,770 | 6 | 58 |
| Mail Services Department | | | | | | |
| Mailing Machine Operator II | - | - | 0.5 | 2,649-3,216 | 1 | 18 |
| Office Assistant (General) | - | - | 0.5 | 2,074-2,770 | 1 | 15 |
| Sales and Use Tax Department | | | | | | |
| Business Taxes Specialist I | - | - | 2.0 | 5,328-6,476 | - | 106 |
| Associate Tax Auditor | - | - | 2.0 | 4,619-5,897 | - | 189 |
| Business Taxes Representative | - | - | 2.0 | 3,106-4,670 | - | 93 |
| Office Technician (Typing) | - | - | - | 2,686-3,264 | - | - |
| Tax Technician I | - | - | 3.0 | 2,280-2,975 | - | 95 |
| Data Analysis Section | | | | | | |
| Business Taxes Specialist III | - | - | 1.0 | 6,775-7,842 | - | 88 |
| Business Taxes Specialist II | - | - | 0.8 | 5,573-7,113 | - | 61 |
| Audit Determination & Refunds | | | | | | |
| Business Taxes Specialist I | - | - | 1.5 | 5,328-6,476 | - | 142 |
| Supervising Tax Auditor II | - | - | 1.0 | 5,076-6,476 | - | 76 |
| Associate Tax Auditor | - | - | 3.0 | 4,619-5,897 | - | 126 |
| Tax Technician III | - | - | 6.5 | 2,951-3,588 | - | 255 |
| Property and Special Taxes Department | | | | | | |

* Dollars in thousands, except in Salary Range.

0860 State Board of Equalization - Continued

| | Positions/Personnel Years | | | Expenditures | | |
|--------------------------------------|---------------------------|----------------|----------------|------------------|------------------|------------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Business Taxes Specialist II | - | 0.7 | 1.0 | 5,573-7,113 | 53 | 76 |
| Supervising Tax Auditor II | - | 0.1 | 1.0 | 5,573-7,113 | 8 | 76 |
| Business Taxes Specialist I | - | 0.9 | 3.0 | 5,328-6,476 | 64 | 213 |
| Business Taxes Administrator I | - | 1.4 | 3.0 | 5,076-6,476 | 97 | 208 |
| Associate Tax Auditor | - | 0.1 | 1.0 | 4,619-5,897 | 6 | 63 |
| Business Taxes Compliance Specialist | - | 2.0 | 3.0 | 4,619-5,616 | 123 | 184 |
| Business Taxes Representative | - | 1.1 | 13.5 | 3,106-4,670 | 51 | 630 |
| Tax Technician III | - | 0.9 | 12.0 | 2,951-3,588 | 35 | 471 |
| Tax Technician II | - | 0.3 | 4.0 | 2,638-3,209 | 11 | 140 |
| Office Assistant (General) | - | 0.2 | 2.0 | 2,074-2,770 | 6 | 58 |
| Blanket Funds | | | | | | |
| Overtime (Various) | - | 0.3 | 0.7 | - | 19 | 52 |
| Temporary Help | - | 0.7 | 4.0 | - | 35 | 195 |
| Totals Proposed New Positions | - | 11.2 | 120.3 | \$- | \$650 | \$6,124 |
| Total Adjustments | - | 11.2 | 120.3 | \$- | \$655 | \$6,133 |
| TOTALS, SALARIES AND WAGES | 4,021.8 | 4,719.7 | 4,822.8 | \$231,191 | \$271,190 | \$287,009 |

0890 Secretary of State

The Secretary of State, a constitutionally established office, is the chief elections officer of the state and is responsible for the administration and enforcement of election laws. The Office is also responsible for administering and enforcing laws pertaining to filing documents associated with corporations, limited liability companies, partnerships, limited partnerships, unincorporated associations and pertaining to filing bonds and perfecting security agreements. In addition, the Office is responsible for commissioning notaries public, enforcing the notary laws, and in conjunction with being the home of the State Archives, preserving documents and records having historical significance. The Secretary of State is the filing officer for lobbying and campaign registration and disclosure documents filed under the Political Reform Act. The Secretary of State also operates the Safe At Home program, maintains the Domestic Partners and Advanced Health Care Directives Registries, provides support functions for the Voting Modernization Board, and is home to the California Museum for History, Women and the Arts.

Policy associated with the administration of the Office is accomplished through the divisions of Elections, Political Reform, Business Programs, Archives, Information Technology, and Management Services.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|--------------|--------------|------------------|------------------|------------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 Filings and Registrations | 258.5 | 260.6 | 268.8 | \$44,109 | \$51,735 | \$52,579 |
| 20 Elections | 60.3 | 60.2 | 58.6 | 81,332 | 101,384 | 38,055 |
| 30 Archives | 25.7 | 26.7 | 25.8 | 10,530 | 10,857 | 10,897 |
| 40 DOJ Legal Services | - | - | - | - | - | 333 |
| 50.01 Administration and Technology | 117.8 | 100.7 | 97.8 | 16,351 | 23,793 | 23,962 |
| 50.02 Distributed Administration and Technology | - | - | - | -16,351 | -23,793 | -23,962 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 462.3 | 448.2 | 451.0 | \$135,971 | \$163,976 | \$101,864 |
| FUNDING | | | | 2010-11* | 2011-12* | 2012-13* |
| 0001 General Fund | | | | \$70,063 | \$30,989 | \$31,558 |
| 0228 Secretary of State's Business Fees Fund | | | | 36,887 | 38,653 | 40,231 |
| 0890 Federal Trust Fund | | | | 4,787 | 82,315 | 18,849 |
| 0995 Reimbursements | | | | 24,204 | 9,520 | 9,628 |
| 3042 Victims of Corporate Fraud Compensation Fund | | | | 30 | 2,499 | 1,598 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$135,971 | \$163,976 | \$101,864 |

* Dollars in thousands, except in Salary Range.

0890 Secretary of State - Continued**LEGAL CITATIONS AND AUTHORITY****DEPARTMENT AUTHORITY**

The California Constitution, Article 5, Section 11, Government Code Sections 12159-12179.1.

PROGRAM AUTHORITY**10-Filings and Registrations:**

Corporations Code, Titles 1-3; Government Code, Sections 1363., 6206, 6206.4, 6206.5, 6208, 6208.5, 6215.2, 6215.3, 6215.7, 6215.9, 6503.5, 6503.7, 6518, 7220, 7222, 7223, 7225, 7226, 7227, 7229, 8200, 8201, 8201.1, 8201.2, 8201.5, 8202.5, 8203.1-8203.4, 8204.1, 8205, 8206, 8207, 8207.1, 8207.2, 8207.3, 8213, 8213.6, 8214.1, 8214.15, 8214.21, 8214.23, 8214.4, 8214.5, 8214.8, 8216, 8219.5, 8220, 8222, 8228, 12160, 12163, 12168, 12168.5, 12168.7, 12176, 12177, 12178.1, 12179.1, 12180, 12181, 12182, 12182.1, 12183-12197, 12261, 12262, 12263, 23712-23714, 34459-34461, and 53051; Business and Professions Code, Sections 14207, 14209, 14215, 14220, 14225, 14230, 14235, 14240, 14417, 14427, 14461, 14482, 14483, 14492, 17533.6, 17537.9, 17550 et seq., 18896 et seq., 22370 et seq., 22441.11, 22442.4, 22443.1, 22908-22912, 22914, 22916, 22917, 22920, 22921, and 22923, ; Civil Code, Sections 912, 1195, 1363.5, 1363.6, 1789.10 et seq., 1812.50 et seq., 1812.80 et seq., 1812.100 et seq., 1812.503., 1812.510, 1812.515, 1812.525, 1812.600, and 3344.1; Commercial Code, Sections 9501, 9509, 9512-9516, 9518, 9519-9523, and 9525-9528; Code of Civil Procedure, Sections 488.375, 488.405, 697.510, 697.550, 697.570, 697.580, 697.640-697.670, 2101, and 2103-2105; Family Codes, Section 297, 298, 298.5, 299; Section 14101.6, 14315; Insurance Code, Section 709.5; Food and Agriculture Code, Sections 54040, 54081-54083, 55702, 57405, 57408-57411, 57414, 57512, 57516-57517, 57519, 57530, 57531, and 57561 et seq.; Probate Code, Section 4800-4806; Vehicle Code, Section 40305.5; California Code of Regulations, Title 2, Sections 20800 et seq., 21000 et seq., 21900 et seq., 22100 et seq., 22500 et seq., 22600 et seq., and 22610.1 et seq.

20-Elections:

California Constitution, Article XVI, Section 1; California Elections Code, Section 10; California Government Code, Section 12172.5; Help America Vote Act of 2002 (Public Law 107-252) and other federal elections law; Government Code, Title 9.

30-Archives:

Chapter 1, Statutes of 1850; Government Code, Sections 6204, 6268, 9080, 9149.9, 11347.3, 12153, 12174, 12220-12237, 14755-14756, and 14765-14768; Civil Code, Sections 1798.24, and 1798.64; Evidence Code, Section 1605, and Military and Veterans Code, Section 1360.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|--|---------------|----------------|-----------------|---------------|------------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Workload Budget Change Proposals | | | | | | |
| • SB 636: Internet Disclosure Prohibition (Safe at Home Program) | \$- | \$- | - | \$42 | \$- | 0.5 |
| • HAVA VoteCal | - | - | - | - | 14,428 | - |
| • HAVA Amended Spending Plan | - | - | - | - | 4,421 | - |
| • SB 201: Creation of Flexible Purpose Corporations | - | - | - | - | 64 | 0.5 |
| Totals, Workload Budget Change Proposals | \$- | \$- | - | \$42 | \$18,913 | 1.0 |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation Adjustments | -\$109 | -\$256 | - | \$54 | \$139 | - |
| • Retirement Rate Adjustment | 50 | 143 | - | 50 | 143 | - |
| • One Time Cost Reductions | - | - | - | - | -83,055 | - |
| • Operational Efficiency Plan | -775 | -310 | - | -775 | -310 | - |
| • Miscellaneous Adjustments | 703 | 1,852 | 6.7 | 250 | -904 | 8.5 |
| Totals, Other Workload Budget Adjustments | -\$131 | \$1,429 | 6.7 | -\$421 | -\$83,987 | 8.5 |
| Totals, Workload Budget Adjustments | -\$131 | \$1,429 | 6.7 | -\$379 | -\$65,074 | 9.5 |
| Policy Adjustments | | | | | | |
| • California Business Connect IT Project | \$- | \$- | - | \$- | \$2,414 | - |
| • Business Fees Fund Augmentation | - | - | - | - | 1,149 | - |
| • DGS Rate Increases | - | - | - | 817 | 259 | - |
| Totals, Policy Adjustments | \$- | \$- | - | \$817 | \$3,822 | - |

* Dollars in thousands, except in Salary Range.

0890 Secretary of State - Continued

| | 2011-12* | | | 2012-13* | | |
|----------------------------|--------------|-------------|-----------------|--------------|-------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Totals, Budget Adjustments | -\$131 | \$1,429 | 6.7 | \$438 | -\$61,252 | 9.5 |

PROGRAM DESCRIPTIONS**10 - FILINGS AND REGISTRATIONS**

The Business Programs Division is the first stop for anyone wanting to do business in California. The California Business Portal provides online resources and services to businesses, connecting people with electronic versions of important documents and handbooks, searchable lists of registered businesses, a step-by-step guide to starting a business, and assistance for international businesses wanting to operate in California.

The Business Entities Section files articles of incorporation, foreign qualifications, and other related documents to ensure that corporations and other business entities are properly formed, merged, amended, and dissolved in compliance with California law.

The Uniform Commercial Code Section provides for the filing of documents that allow lending institutions to perfect a security interest in named collateral and to establish a priority scheme for property repossession in case of debtor default or bankruptcy.

The Notary Public and Special Filings Section appoints and commissions eligible notaries public. A notary public is a public official who performs services to the legal, business, financial and real estate communities by certifying or witnessing signatures on official documents. Special Filings include trademarks and service marks as well as bonds that certain business entities are required to file, joint power agreements, city and county charters, and claims for successor-in-interest. This Section also has disciplinary functions with regard to notaries public and immigration consultants.

The Safe at Home Program provides address protection, name change confidentiality, and confidential voter registration for survivors of domestic violence and stalking and their family members, and providers, employees, volunteers, and patients of reproductive health care facilities.

The Advance Health Care Directive (AHCD) Registry maintains AHCDs, which allow a person to indicate to their medical treatment preferences if they cannot speak or make decisions for themselves. The AHCD also may designate someone else to make decisions regarding medical treatment. AHCDs and related information can be provided upon request to the registrant's health care provider, public guardian or legal representative.

The Domestic Partners Registry registers same-sex couples regardless of the age of the partners, and opposite-sex couples in which one partner is at least 62 years old, as domestic partners in California when they file a Declaration of Domestic Partnership.

The Victims of Corporate Fraud Compensation Fund provides restitution to victims of corporate fraud who are unable to collect through other means on a judgment against a corporation for corporate fraud.

20 - ELECTIONS

The Secretary of State, as California's chief elections officer, has broad responsibility to administer the election process. This program oversees the modernization of voting equipment and the improvement of current electoral database systems, enhancing the voting processes, providing accessibility to voters, working to increase people's knowledge of the electoral system, and establishing a procedure to allow voters to file and have complaints resolved about voting-related issues.

This program includes the campaign and lobbying registration and disclosure provisions of the Political Reform Act of 1974. Under this law, the Office registers all levels of campaign committees, and reviews for compliance with statutory requirements all state-level campaign recipient, major donor, slate mailer, political party, and independent expenditure committees. The program also registers lobbyists, lobbying firms and lobbyist employers, reviews the periodic financial disclosure reports filed by lobbying entities, and publishes a Lobbying Directory both on paper and on the Internet. This program also supports the electronic filing requirements of the Political Reform Act and posts relevant registration and disclosure information to the Office's web site so voters can track where campaign money is raised and spent and what lobbying activity is occurring in state government. A searchable database of campaign and lobbying financial activity is also provided through the web site.

30 - ARCHIVES

The California State Archives acquires, indexes, preserves, and provides reference access to irreplaceable historic materials from the three branches of state government. The Archives acquires records that are legally required to be placed there, that possess legal, administrative or evidentiary value, or that have long-term historical significance. Materials of durable value are transferred to the Archives for long-term preservation.

50 - ADMINISTRATION AND TECHNOLOGY

This program develops and manages overall policy. It serves as the liaison to other state agencies, the Legislature, the federal government, and other states' Secretaries of State. This program responds to constituent correspondence and

* Dollars in thousands, except in Salary Range.

0890 Secretary of State - Continued

requests from the public and advocates in the Legislature, administers the Secretary's role as ex officio member of the World Trade Commission and as a member of the National Association of Secretaries of State, in the management of task forces and advisory committees, in the implementation of new federal and state statutory requirements, and coordinates and disseminates information about the Office and its initiatives to the public. It also provides the Office with the necessary personnel, general administrative, budgeting, fiscal services, and information technology expertise to plan, develop, implement, and operate innovative and effective business and information systems solutions.

DETAILED EXPENDITURES BY PROGRAM

| | | 2010-11* | 2011-12* | 2012-13* |
|-----------------------------|--|------------------|------------------|------------------|
| PROGRAM REQUIREMENTS | | | | |
| 10 | FILINGS AND REGISTRATIONS | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$222 | \$1,071 | \$1,122 |
| 0228 | Secretary of State's Business Fees Fund | 36,888 | 38,653 | 40,231 |
| 0995 | Reimbursements | 6,969 | 9,512 | 9,628 |
| 3042 | Victims of Corporate Fraud Compensation Fund | 30 | 2,499 | 1,598 |
| | Totals, State Operations | \$44,109 | \$51,735 | \$52,579 |
| PROGRAM REQUIREMENTS | | | | |
| 20 | ELECTIONS | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$687 | \$19,061 | \$19,206 |
| 0890 | Federal Trust Fund | 3,332 | 15,238 | 16,433 |
| 0995 | Reimbursements | 17,235 | 8 | - |
| | Totals, State Operations | \$21,254 | \$34,307 | \$35,639 |
| | Local Assistance: | | | |
| 0001 | General Fund | \$58,623 | \$- | \$- |
| 0890 | Federal Trust Fund | 1,455 | 67,077 | 2,416 |
| | Totals, Local Assistance | \$60,078 | \$67,077 | \$2,416 |
| PROGRAM REQUIREMENTS | | | | |
| 30 | ARCHIVES | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$10,530 | \$10,857 | \$10,897 |
| | Totals, State Operations | \$10,530 | \$10,857 | \$10,897 |
| PROGRAM REQUIREMENTS | | | | |
| 40 | DOJ LEGAL SERVICES | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$- | \$- | \$333 |
| | Totals, State Operations | \$- | \$- | \$333 |
| TOTALS, EXPENDITURES | | | | |
| | State Operations | 75,893 | 96,899 | 99,448 |
| | Local Assistance | 60,078 | 67,077 | 2,416 |
| | Totals, Expenditures | \$135,971 | \$163,976 | \$101,864 |

EXPENDITURES BY CATEGORY

| | 1 State Operations | | | Expenditures | | |
|---------------------------------------|--------------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 462.3 | 505.0 | 505.0 | \$24,015 | \$26,475 | \$27,170 |
| Total Adjustments | - | - | 1.0 | 253 | -1,761 | -1,084 |
| Estimated Salary Savings | - | -56.8 | -55.0 | - | -3,018 | -3,939 |
| Net Totals, Salaries and Wages | 462.3 | 448.2 | 451.0 | \$24,268 | \$21,696 | \$22,147 |

* Dollars in thousands, except in Salary Range.

0890 Secretary of State - Continued

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Staff Benefits | - | - | - | 10,633 | 9,942 | 10,214 |
| Totals, Personal Services | 462.3 | 448.2 | 451.0 | \$34,901 | \$31,638 | \$32,361 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$33,116 | \$57,027 | \$58,295 |
| SPECIAL ITEMS OF EXPENSE | | | | | | |
| Parallel Monitoring | | | | \$- | \$95 | \$95 |
| Printing ballot pamphlets | | | | 4,680 | 2,362 | 2,769 |
| Mailing ballot pamphlets | | | | 838 | 2,813 | 2,964 |
| Printing registration cards | | | | 848 | 1,664 | 1,664 |
| Mailing registration cards | | | | 1,362 | 1,095 | 1,095 |
| Election night reporting | | | | 148 | 205 | 205 |
| Totals, Special Items of Expense | | | | \$7,876 | \$8,234 | \$8,792 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$75,893 | \$96,899 | \$99,448 |

| 2 Local Assistance | Expenditures | | |
|---|-----------------|-----------------|----------------|
| | 2010-11* | 2011-12* | 2012-13* |
| May 19, 2009 Statewide Special Election | \$58,623 | \$- | \$- |
| P.L. 107-252 - Help America Vote Act of 2002 | 1,455 | 67,077 | 2,416 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$60,078 | \$67,077 | \$2,416 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$30,158 | \$31,037 | \$31,558 |
| Allocation for employee compensation | 49 | 36 | - |
| Adjustment per Section 3.60 | 221 | 50 | - |
| Adjustment per Section 3.90 | - | -145 | - |
| Adjustment per Section 3.91 | -673 | - | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -775 | - |
| Adjustment per Section 4.30 | 764 | - | - |
| Transfer from Item 0911-001-0001, per Provision 1 | 125 | - | - |
| Transfer from Item 8640-001-0001 (Political Reform Act) | 703 | 703 | - |
| 005 Budget Act appropriation | - | 83 | - |
| Totals Available | \$31,347 | \$30,989 | \$31,558 |
| Unexpended balance, estimated savings | -19,907 | - | - |
| TOTALS, EXPENDITURES | \$11,440 | \$30,989 | \$31,558 |
| 0228 Secretary of State's Business Fees Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$37,720 | \$39,056 | \$40,231 |
| Allocation for employee compensation | 119 | 72 | - |
| Adjustment per Section 3.60 | 437 | 114 | - |
| Adjustment per Section 3.90 | - | -279 | - |
| Adjustment per Section 3.91 | -1,373 | - | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -310 | - |
| Adjustment per Section 4.30 | 446 | - | - |

* Dollars in thousands, except in Salary Range.

0890 Secretary of State - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|------------------|------------------|------------------|
| Totals Available | \$37,349 | \$38,653 | \$40,231 |
| Unexpended balance, estimated savings | -462 | - | - |
| TOTALS, EXPENDITURES | \$36,887 | \$38,653 | \$40,231 |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$24,361 | \$14,681 | \$16,433 |
| Allocation for employee compensation | 2 | 1 | - |
| Adjustment per Section 3.60 | 18 | 7 | - |
| Adjustment per Section 3.90 | - | -10 | - |
| Adjustment per Section 3.91 | -63 | - | - |
| Revised expenditure authority per Provision 4 | - | 559 | - |
| Budget Adjustment | -20,986 | - | - |
| TOTALS, EXPENDITURES | \$3,332 | \$15,238 | \$16,433 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$24,204 | \$9,520 | \$9,628 |
| 3042 Victims of Corporate Fraud Compensation Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$1,561 | \$2,499 | \$- |
| 011 Budget Act appropriation (Loan to the General Fund) | (10,000) | - | - |
| Corporations Code Section 1502.5 | - | - | 1,598 |
| Totals Available | \$1,561 | \$2,499 | \$1,598 |
| Unexpended balance, estimated savings | -1,531 | - | - |
| TOTALS, EXPENDITURES | \$30 | \$2,499 | \$1,598 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$75,893 | \$96,899 | \$99,448 |
| 2 LOCAL ASSISTANCE | | | |
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 119 Budget Act appropriation | \$68,228 | \$- | \$- |
| Totals Available | \$68,228 | \$- | \$- |
| Unexpended balance, estimated savings | -9,605 | - | - |
| TOTALS, EXPENDITURES | \$58,623 | \$- | \$- |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$2,860 | \$66,992 | \$2,416 |
| Budget Adjustment | -1,405 | 85 | - |
| TOTALS, EXPENDITURES | \$1,455 | \$67,077 | \$2,416 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$60,078 | \$67,077 | \$2,416 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | \$135,971 | \$163,976 | \$101,864 |

FUND CONDITION STATEMENTS

| | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| 0228 Secretary of State's Business Fees Fund ^s | | | |
| BEGINNING BALANCE | - | \$1,000 | \$1,000 |
| Prior year adjustments | \$396 | - | - |
| Adjusted Beginning Balance | \$396 | \$1,000 | \$1,000 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |

* Dollars in thousands, except in Salary Range.

0890 Secretary of State - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| 124100 Domestic Corporation Fees | 8,299 | 8,497 | 7,121 |
| 124200 Foreign Corporation Fees | 965 | 988 | 828 |
| 124300 Notary Public License Fees | 1,151 | 1,015 | 1,015 |
| 124400 Filing Financing Statements | 2,191 | 2,191 | 2,191 |
| 125600 Other Regulatory Fees | 6,205 | 6,353 | 5,325 |
| 142000 General Fees--Secretary of State | 22,985 | 23,931 | 24,675 |
| 150300 Income From Surplus Money Investments | 15 | 10 | 10 |
| 161400 Miscellaneous Revenue | 54 | 47 | 47 |
| 163000 Settlements/Judgments(not Anti-trust) | 109 | - | - |
| Transfers and Other Adjustments: | | | |
| TO0001 To General Fund per Government Code Section 12176 | -4,387 | -4,331 | -918 |
| Total Revenues, Transfers, and Other Adjustments | <u>\$37,587</u> | <u>\$38,701</u> | <u>\$40,294</u> |
| Total Resources | \$37,983 | \$39,701 | \$41,294 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 73 | 48 | 63 |
| 0890 Secretary of State (State Operations) | 36,887 | 38,653 | 40,231 |
| 8880 Financial Information System for California (State Operations) | 23 | - | - |
| Total Expenditures and Expenditure Adjustments | <u>\$36,983</u> | <u>\$38,701</u> | <u>\$40,294</u> |
| FUND BALANCE | \$1,000 | \$1,000 | \$1,000 |
| Reserve for economic uncertainties | 1,000 | 1,000 | 1,000 |
| 3042 Victims of Corporate Fraud Compensation Fund ^s | | | |
| BEGINNING BALANCE | \$13,094 | \$4,612 | \$3,556 |
| Prior year adjustments | 8 | - | - |
| Adjusted Beginning Balance | \$13,102 | \$4,612 | \$3,556 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 142000 General Fees--Secretary of State | 1,473 | 1,443 | 1,426 |
| 150300 Income From Surplus Money Investments | 33 | - | - |
| 150500 Interest Income From Interfund Loans | 34 | - | - |
| Transfers and Other Adjustments: | | | |
| TO0001 To General Fund Loan per Item 0890-011-3042, Budget Act of 2010 | -10,000 | - | - |
| Total Revenues, Transfers, and Other Adjustments | <u>-\$8,460</u> | <u>\$1,443</u> | <u>\$1,426</u> |
| Total Resources | \$4,642 | \$6,055 | \$4,982 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0890 Secretary of State (State Operations) | 30 | 2,499 | 1,598 |
| Total Expenditures and Expenditure Adjustments | <u>\$30</u> | <u>\$2,499</u> | <u>\$1,598</u> |
| FUND BALANCE | \$4,612 | \$3,556 | \$3,384 |
| Reserve for economic uncertainties | 4,612 | 3,556 | 3,384 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|--------------------------------|---------------------------|---------|---------|--------------|----------|----------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Totals, Authorized Positions | 462.3 | 505.0 | 505.0 | \$24,015 | \$26,475 | \$27,170 |
| Salary Adjustments | - | - | - | 253 | -1,761 | -1,140 |
| Proposed New Positions: | | | | | | |
| Safe at Home Program: | | | | | | |
| Program Technician II | - | - | 0.5 | 2,638-3,209 | - | 18 |
| Business Programs Division: | | | | | | |

* Dollars in thousands, except in Salary Range.

0890 Secretary of State - Continued

| | Positions/Personnel Years | | | Expenditures | | |
|--------------------------------------|---------------------------|---------|---------|--------------|----------|----------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Staff Counsel | - | - | 0.5 | 4,674-7,828 | - | 38 |
| Totals Proposed New Positions | - | - | 1.0 | \$- | \$- | \$56 |
| Total Adjustments | - | - | 1.0 | \$253 | -\$1,761 | -\$1,084 |
| TOTALS, SALARIES AND WAGES | 462.3 | 505.0 | 506.0 | \$24,268 | \$24,714 | \$26,086 |

0911 Citizens Redistricting Initiative

The "Voters First Act" (Proposition 11) and the "Voters First Act for Congress" (Proposition 20) reformed the redistricting process and established an independent 14-member Citizens Redistricting Commission to draw the decennial district boundaries for California's Congressional delegation, state Senate, state Assembly, and Board of Equalization.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|---------|---------|--------------|----------|----------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 Support | 4.4 | 4.0 | - | \$2,561 | \$6,214 | \$- |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 4.4 | 4.0 | - | \$2,561 | \$6,214 | \$- |
| FUNDING | | | | 2010-11* | 2011-12* | 2012-13* |
| 0001 General Fund | | | | \$2,561 | \$6,214 | \$- |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$2,561 | \$6,214 | \$- |

LEGAL CITATIONS AND AUTHORITY

Government Code Title 2, Division 1, Chapter 3.2, Sections 8251 to 8253.6, as added by Proposition 11, with amendments as a result of Proposition 20.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|--|----------------|-------------|-----------------|---------------|-------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • One Time Cost Reductions | \$- | \$- | - | -\$400 | \$- | -4.0 |
| • Carryover | 814 | - | - | - | - | - |
| • Miscellaneous Adjustments | 5,000 | - | - | - | - | - |
| Totals, Other Workload Budget Adjustments | \$5,814 | \$- | - | -\$400 | \$- | -4.0 |
| Totals, Workload Budget Adjustments | \$5,814 | \$- | - | -\$400 | \$- | -4.0 |
| Totals, Budget Adjustments | \$5,814 | \$- | - | -\$400 | \$- | -4.0 |

PROGRAM DESCRIPTIONS

10 - The Citizens Redistricting Commission shall draw California's new congressional and state Assembly, Senate, and Board of Equalization districts following the completion of the decennial census. The districts shall be based on strict, non-partisan rules delineated in California's Constitution that are designed to ensure fair representation and shall be defended by the commission as the sole legal defender.

DETAILED EXPENDITURES BY PROGRAM

| | 2010-11* | 2011-12* | 2012-13* |
|-----------------------------|----------|----------|----------|
| PROGRAM REQUIREMENTS | | | |
| 10 SUPPORT | | | |
| State Operations: | | | |

* Dollars in thousands, except in Salary Range.

0911 Citizens Redistricting Initiative - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|---------------------------------|----------------|----------------|------------|
| 0001 General Fund | \$2,561 | \$6,214 | \$- |
| Totals, State Operations | \$2,561 | \$6,214 | \$- |
| TOTALS, EXPENDITURES | | | |
| State Operations | 2,561 | 6,214 | - |
| Totals, Expenditures | \$2,561 | \$6,214 | \$- |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|------------|----------|----------------|----------------|------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 4.4 | 8.0 | - | \$826 | \$960 | \$- |
| Estimated Salary Savings | - | -4.0 | - | - | -289 | - |
| Net Totals, Salaries and Wages | 4.4 | 4.0 | - | \$826 | \$671 | \$- |
| Staff Benefits | - | - | - | 112 | 463 | - |
| Totals, Personal Services | 4.4 | 4.0 | - | \$938 | \$1,134 | \$- |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$1,623 | \$5,080 | \$- |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$2,561 | \$6,214 | \$- |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|----------------|----------------|------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | 0 | \$400 | \$- |
| Allocation for contingencies or emergencies | \$- | 570 | - |
| Unanticipated costs from special appropriations bill | - | 2,930 | - |
| Revised expenditure authority per Provision 2 | 1,000 | - | - |
| Revised expenditure authority per Provision 1 | - | 1,500 | - |
| Prior year balances available: | | | |
| Item 0911-001-0001, Budget Act of 2009 | 2,500 | 183 | - |
| Revised expenditure authority per Provision 2 | -125 | - | - |
| Item 0911-001-0001, Budget Act of 2010 | - | 631 | - |
| Totals Available | \$3,375 | \$6,214 | \$- |
| Balance available in subsequent years | -814 | - | - |
| TOTALS, EXPENDITURES | \$2,561 | \$6,214 | \$- |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$2,561 | \$6,214 | \$- |

0950 State Treasurer

The State Treasurer, a constitutionally established office, provides banking services for state government with goals to minimize interest and service costs and to maximize yield on investments. The Treasurer is responsible for the custody of all monies and securities belonging to or held in trust by the state; investment of temporarily idle state monies; administration of the sale of state bonds, their redemption and interest payments; and payment of warrants or checks drawn by the State Controller and other state agencies.

3-YR EXPENDITURES AND PERSONNEL YEARS

* Dollars in thousands, except in Salary Range.

0950 State Treasurer - Continued

| | | Personnel Years | | | Expenditures | | |
|--|---|-----------------|--------------|--------------|-----------------|-----------------|-----------------|
| | | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 | Investment Services | 16.2 | 17.1 | 17.1 | \$2,724 | \$3,069 | \$3,119 |
| 20 | Cash Management | 35.8 | 44.9 | 44.9 | 6,980 | 8,127 | 8,270 |
| 30 | Public Finance | 46.7 | 51.3 | 51.3 | 7,083 | 7,579 | 7,730 |
| 35 | Securities Management | 27.2 | 28.1 | 28.1 | 4,682 | 4,943 | 5,023 |
| 50.01 | Administration and Information Services | 85.6 | 89.2 | 89.2 | 10,871 | 12,510 | 12,677 |
| 50.02 | Distributed Administration | - | - | - | -7,982 | -8,750 | -8,907 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | | 211.5 | 230.6 | 230.6 | \$24,358 | \$27,478 | \$27,912 |
| FUNDING | | | | | 2010-11* | 2011-12* | 2012-13* |
| 0001 | General Fund | | | | \$272 | \$4,547 | \$4,601 |
| 0995 | Reimbursements | | | | 21,948 | 20,594 | 20,919 |
| 9740 | Central Service Cost Recovery Fund | | | | 2,138 | 2,337 | 2,392 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | | \$24,358 | \$27,478 | \$27,912 |

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

California Constitution Article XVI, Sections 1.5 and 7; and Government Code Sections 12300-12333, 16300-16600, 53661.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|--|---------------|---------------|-----------------|---------------|-------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation Adjustments | -\$42 | -\$207 | - | \$13 | \$64 | - |
| • Retirement Rate Adjustment | 28 | 138 | - | 28 | 138 | - |
| • Operational Efficiency Plan | -142 | -129 | -3.8 | -142 | -129 | -3.8 |
| • Rental Rate Reductions | -18 | -89 | - | - | - | - |
| • Miscellaneous Adjustments | - | - | - | -19 | 19 | - |
| Totals, Other Workload Budget Adjustments | -\$174 | -\$287 | -3.8 | -\$120 | \$92 | -3.8 |
| Totals, Workload Budget Adjustments | -\$174 | -\$287 | -3.8 | -\$120 | \$92 | -3.8 |
| Totals, Budget Adjustments | -\$174 | -\$287 | -3.8 | -\$120 | \$92 | -3.8 |

PROGRAM DESCRIPTIONS**10 - INVESTMENT SERVICES**

The Investment Division is responsible for investment of state monies from the date of receipt through the date of redemption. During the 2010-11 fiscal year, this Division handled 8,841 security investment transactions totaling \$348.3 billion. The Pooled Money Investment Board program accounted for 6,209 of these transactions totaling \$306.1 billion; time deposits accounted for 1,469 transactions totaling \$31.9 billion. The remaining \$10.3 billion is invested on behalf of the state's special funds, such as those associated with the California Housing Finance Agency, the Department of Fish and Game, the state's retirement system, etc. The Division also administers the Local Agency Investment Fund (LAIF), a voluntary investment program created to offer California local agencies greater access to the financial markets through the Pooled Money Investment Board program. In the 2010-11 fiscal year, 2,783 local agencies participated in LAIF, with deposits averaging \$22.7 billion for the fiscal year.

20 - CASH MANAGEMENT

The Cash Management Division is responsible for managing the state's cash resources as mandated by the Pooled Money Investment Board and Government Code Sections 16500 through 16510. The Division is also responsible for administering the Centralized Treasury System (CTS), which encompasses the Treasurer's demand bank accounts. The State Treasurer maintains demand bank accounts with eight statewide banks for the purpose of providing the necessary depository coverage for the remittance of funds collected by various state agencies.

* Dollars in thousands, except in Salary Range.

0950 State Treasurer - Continued

The goal of the CTS is to have all idle State money invested each day. This goal is accomplished by: (1) completing daily, weekly and monthly forecasts of agency revenue collections and disbursements and analyzing their impact on the Treasury's Pooled Money Investment Portfolio, (2) maintaining balances with each demand account bank to compensate the banks for banking services and to meet warrant redemption requirements, and (3) using compensating balances to allow for the variances in cash flow that are a natural consequence when forecasting the movement of cash.

The Division is responsible for processing, redeeming, and reconciling state warrants and agency checks presented by the banks for payment, processing stop payment and forgery items, and reconciling all of the state's deposits within the Treasury System. The Division: (1) administers the Electronic Fund Transfer (EFT) and Pre-Sort Deposit Contracts, both of which involve the collection of approximately \$136 billion in state revenues, (2) administers the Remote Site Deposits and Image Cash Letter Programs, and (3) advises state agencies and departments on all banking related matters.

30 - PUBLIC FINANCE

The Public Finance Division is responsible for selling all State of California general obligation bonds, revenue anticipation notes, commercial paper notes, revenue bonds, and any other indebtedness including securitization of assets. The Division also provides trust services for most state bonds and is responsible for disseminating information to bondholders through the Investor Relations Program.

The Division also assures compliance with federal tax laws applicable to state debt by investing and reinvesting bond sale proceeds as needed to meet federal yield restriction requirements, tracking expenditures, and computing and rebating arbitrage profit or other payments to the federal government.

35 - SECURITIES MANAGEMENT

The Securities Management Division consists of the Securities Clearance Section and the Debt and Collateral Management Section.

The Division is responsible for the clearance, settlement, income collection, and accountability of all securities: (1) purchased or sold for investment by the State Treasurer, (2) pledged to the State Treasurer to secure the performance of an act or duty, and (3) held as collateral for the Treasurer's Time and Demand Deposit Programs. Securities are held in outside depositories as well as the state vault.

The Division manages the State's fiscal agent accounts, including debt service payments on Book-Entry bonds to the Trust Depository and certificated bonds to the fiscal agent bank, payments for Revenue Anticipation Notes (RANs) and Warrants (RAWs), and performs the accountability and reconciliation on Debt Service Reporting, Un-presented Accountability and Cash Accountability.

The Division is also responsible for: (1) safekeeping personal property and other items in the state vault at the request of state agencies, (2) providing custody and protection of securities inside the vault, and (3) providing vault tours for students, dignitaries and legislators.

50 - ADMINISTRATION AND INFORMATION SERVICES

The Administration Division, Executive Office, and the Information Services Division provide executive direction and support services to programs in the State Treasurer's Office. Services include budgeting, personnel, accounting, information systems, business services, technical support, and production operations.

DETAILED EXPENDITURES BY PROGRAM

| | | 2010-11* | 2011-12* | 2012-13* |
|-----------|------------------------------------|----------------|----------------|----------------|
| | PROGRAM REQUIREMENTS | | | |
| 10 | INVESTMENT SERVICES | | | |
| | State Operations: | | | |
| 0001 | General Fund | \$381 | \$413 | \$419 |
| 0995 | Reimbursements | 2,113 | 2,405 | 2,444 |
| 9740 | Central Service Cost Recovery Fund | 230 | 251 | 256 |
| | Totals, State Operations | \$2,724 | \$3,069 | \$3,119 |
| | PROGRAM REQUIREMENTS | | | |
| 20 | CASH MANAGEMENT | | | |
| | State Operations: | | | |
| 0001 | General Fund | -\$2,946 | \$1,234 | \$1,252 |
| 0995 | Reimbursements | 9,213 | 6,114 | 6,221 |
| 9740 | Central Service Cost Recovery Fund | 713 | 779 | 797 |
| | Totals, State Operations | \$6,980 | \$8,127 | \$8,270 |
| | PROGRAM REQUIREMENTS | | | |
| 30 | PUBLIC FINANCE | | | |

* Dollars in thousands, except in Salary Range.

0950 State Treasurer - Continued

| | | 2010-11* | 2011-12* | 2012-13* |
|-----------------------------|--|-----------------|-----------------|-----------------|
| State Operations: | | | | |
| 0001 | General Fund | \$950 | \$1,001 | \$1,017 |
| 0995 | Reimbursements | 5,546 | 5,938 | 6,056 |
| 9740 | Central Service Cost Recovery Fund | <u>587</u> | <u>640</u> | <u>657</u> |
| | Totals, State Operations | \$7,083 | \$7,579 | \$7,730 |
| PROGRAM REQUIREMENTS | | | | |
| 35 | SECURITIES MANAGEMENT | | | |
| State Operations: | | | | |
| 0001 | General Fund | \$1,482 | \$1,168 | \$1,183 |
| 0995 | Reimbursements | 2,592 | 3,109 | 3,159 |
| 9740 | Central Service Cost Recovery Fund | <u>608</u> | <u>666</u> | <u>681</u> |
| | Totals, State Operations | \$4,682 | \$4,943 | \$5,023 |
| PROGRAM REQUIREMENTS | | | | |
| 50 | ADMINISTRATION AND INFORMATION SERVICES | | | |
| State Operations: | | | | |
| 0001 | General Fund | \$405 | \$730 | \$730 |
| 0995 | Reimbursements | <u>2,484</u> | <u>3,030</u> | <u>3,040</u> |
| | Totals, State Operations | \$2,889 | \$3,760 | \$3,770 |
| ELEMENT REQUIREMENTS | | | | |
| 50.01 | Administration and Information Services | 10,871 | 12,510 | 12,677 |
| 50.02 | Distributed Administration | <u>-7,982</u> | <u>-8,750</u> | <u>-8,907</u> |
| | TOTALS, EXPENDITURES | | | |
| | State Operations | <u>24,358</u> | <u>27,478</u> | <u>27,912</u> |
| | Totals, Expenditures | \$24,358 | \$27,478 | \$27,912 |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|--------------|--------------|-----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 211.5 | 246.8 | 246.8 | \$13,422 | \$15,928 | \$16,499 |
| Total Adjustments | - | -4.0 | -4.0 | - | -174 | -174 |
| Estimated Salary Savings | <u>-</u> | <u>-12.2</u> | <u>-12.2</u> | <u>-</u> | <u>-788</u> | <u>-816</u> |
| Net Totals, Salaries and Wages | 211.5 | 230.6 | 230.6 | \$13,422 | \$14,966 | \$15,509 |
| Staff Benefits | <u>-</u> | <u>-</u> | <u>-</u> | <u>5,296</u> | <u>5,781</u> | <u>5,564</u> |
| Totals, Personal Services | 211.5 | 230.6 | 230.6 | \$18,718 | \$20,747 | \$21,073 |
| OPERATING EXPENSES AND EQUIPMENT | | | | <u>\$5,640</u> | <u>\$6,731</u> | <u>\$6,839</u> |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$24,358 | \$27,478 | \$27,912 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--------------------------------------|----------|----------|----------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$4,334 | \$4,721 | \$4,601 |
| Allocation for employee compensation | 14 | 8 | - |
| Adjustment per Section 3.60 | 77 | 28 | - |
| Adjustment per Section 3.90 | - | -50 | - |

* Dollars in thousands, except in Salary Range.

0950 State Treasurer - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| Adjustment per Section 3.91 | -214 | - | - |
| Adjustment per Section 3.91 (b) Rental Rate Reductions | - | -18 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -142 | - |
| Adjustment per Section 4.30 | 475 | - | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -56 | - | - |
| Totals Available | \$4,630 | \$4,547 | \$4,601 |
| Unexpended balance, estimated savings | -4,358 | - | - |
| TOTALS, EXPENDITURES | \$272 | \$4,547 | \$4,601 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$21,948 | \$20,594 | \$20,919 |
| 9740 Central Service Cost Recovery Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$2,227 | \$2,353 | \$2,392 |
| Allocation for employee compensation | 7 | 4 | - |
| Adjustment per Section 3.60 | 38 | 13 | - |
| Adjustment per Section 3.90 | - | -24 | - |
| Adjustment per Section 3.91 | -109 | - | - |
| Adjustment per Section 3.91 (b) Rental Rate Reductions | - | -9 | - |
| Chapter 11, Statutes of 2011, Rental Rate Reduction | -25 | - | - |
| TOTALS, EXPENDITURES | \$2,138 | \$2,337 | \$2,392 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$24,358 | \$27,478 | \$27,912 |

FUND CONDITION STATEMENTS

| | 2010-11* | 2011-12* | 2012-13* |
|--|--------------------|--------------------|--------------------|
| 3059 Fiscal Recovery Fund ^s | | | |
| BEGINNING BALANCE | \$328,001 | \$205,556 | \$205,187 |
| Prior year adjustments | -67,556 | - | - |
| Adjusted Beginning Balance | \$260,445 | \$205,556 | \$205,187 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 115100 Retail Sales and Use Tax-Fiscal Recovery | 1,216,641 | 1,311,000 | 1,394,000 |
| 150300 Income From Surplus Money Investments | 2,803 | 2,000 | 2,000 |
| 161900 Other Revenue - Cost Recoveries | 167 | - | - |
| Total Revenues, Transfers, and Other Adjustments | <u>\$1,219,611</u> | <u>\$1,313,000</u> | <u>\$1,396,000</u> |
| Total Resources | \$1,480,056 | \$1,518,556 | \$1,601,187 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 9618 Economic Recovery Financing Committee | | | |
| State Operations | 13,223 | 13,015 | 13,942 |
| Unclassified | <u>1,261,277</u> | <u>1,300,354</u> | <u>1,382,302</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$1,274,500</u> | <u>\$1,313,369</u> | <u>\$1,396,244</u> |
| FUND BALANCE | \$205,556 | \$205,187 | \$204,943 |
| Reserve for Debt Service | 205,556 | 205,187 | 204,943 |

3090 Deficit Recovery Bond Retirement Sinking Fund Subaccount, Budget

| | | | |
|---|----------|---|---|
| Stabilization Account ^s | | | |
| BEGINNING BALANCE | - | - | - |
| Prior year adjustments | -\$6,764 | - | - |

* Dollars in thousands, except in Salary Range.

0950 State Treasurer - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|---|----------|----------|----------|
| Adjusted Beginning Balance | -\$6,764 | - | - |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 150300 Income From Surplus Money Investments | 4 | \$4 | \$4 |
| 160400 Sale of Fixed Assets | 8,617 | 40,395 | 82,793 |
| Total Revenues, Transfers, and Other Adjustments | \$8,621 | \$40,399 | \$82,797 |
| Total Resources | \$1,857 | \$40,399 | \$82,797 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 9618 Economic Recovery Financing Committee (Unclassified) | 1,857 | 40,399 | 82,797 |
| Total Expenditures and Expenditure Adjustments | \$1,857 | \$40,399 | \$82,797 |
| FUND BALANCE | - | - | - |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|--------------|--------------|---------------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Totals, Authorized Positions | 211.5 | 246.8 | 246.8 | \$13,422 | \$15,928 | \$16,499 |
| Workload and Administrative Adjustments: | | | | Salary Range | | |
| Reductions in Authorized Positions: | | | | | | |
| Cash Management Division: | | | | | | |
| Program Technician II | - | -1.0 | -1.0 | 2,638-3,209 | -48 | -48 |
| Program Technician | - | -3.0 | -3.0 | 2,280-2,975 | -126 | -126 |
| Total Adjustments | - | -4.0 | -4.0 | \$- | -\$174 | -\$174 |
| TOTALS, SALARIES AND WAGES | 211.5 | 242.8 | 242.8 | \$13,422 | \$15,754 | \$16,325 |

0954 Scholarshare Investment Board

The ScholarShare Investment Board administers the Golden State ScholarShare College Savings Trust Program (ScholarShare), the Governor's Scholarship Programs (GSP) and the California Memorial Scholarship Program (CMS). These programs encourage Californians to save for college and pursue higher education goals. ScholarShare is a way for families to save for higher education costs utilizing a tax-advantaged 529 college savings plan. GSP granted academic achievement-based scholarships to students for use at eligible higher education institutions. CMS provided scholarships to surviving dependents of California residents killed in the terrorist attacks of September 11, 2001.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|-------------|-------------|-----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 Golden State Scholarshare Trust Program | 7.8 | 9.0 | 9.0 | \$2,128 | \$2,444 | \$2,432 |
| 20 Governor's Scholarship Program | 1.0 | 1.0 | 1.0 | 479 | 427 | 391 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 8.8 | 10.0 | 10.0 | \$2,607 | \$2,871 | \$2,823 |
| FUNDING | | | | 2010-11* | 2011-12* | 2012-13* |
| 0001 General Fund | | | | \$479 | \$427 | \$391 |
| 0564 Scholarshare Administrative Fund | | | | 2,128 | 2,444 | 2,432 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$2,607 | \$2,871 | \$2,823 |

LEGAL CITATIONS AND AUTHORITY**PROGRAM AUTHORITY**

10-Golden State ScholarShare College Savings Trust Program:

Education Code Sections 69980 to 69994.

* Dollars in thousands, except in Salary Range.

0954 Scholarshare Investment Board - Continued

20-Governor's Scholarship Programs:

Education Code Sections 69999.6 to 69999.8.

30-California Memorial Scholarship Programs:

Education Code Sections 70010 to 70011.9, and Vehicle Code Section 5066.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|--|--------------|--------------|-----------------|--------------|--------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation Adjustment | -\$1 | -\$10 | - | \$- | \$- | - |
| • Retirement Rate Adjustment | 1 | 8 | - | 1 | 8 | - |
| • Operational Efficiencies Adjustment | -13 | -14 | - | -13 | -14 | - |
| • Pro Rata Adjustment (Special Fund Cost Recovery) | - | - | - | - | -23 | - |
| • Health Care Adjustment | - | 2 | - | - | 3 | - |
| • Align Governor's Scholarship Program Appropriation with Expected Costs | - | - | - | -37 | - | - |
| Totals, Other Workload Budget Adjustments | -\$13 | -\$14 | - | -\$49 | -\$26 | - |
| Totals, Workload Budget Adjustments | -\$13 | -\$14 | - | -\$49 | -\$26 | - |
| Totals, Budget Adjustments | -\$13 | -\$14 | - | -\$49 | -\$26 | - |

PROGRAM DESCRIPTIONS**10 - GOLDEN STATE SCHOLARSHARE COLLEGE SAVINGS TRUST PROGRAM**

The Golden State ScholarShare College Savings Trust Program is California's state-sponsored 529 college savings plan established for the purpose of helping families save for higher education costs. Funds invested receive tax benefits when used for qualified higher education expenses (such as tuition, fees, supplies, books, and certain room and board expenses) at eligible educational institutions.

20 - GOVERNOR'S SCHOLARSHIP PROGRAMS

The Governor's Scholarship Programs (GSP) rewarded public school students who demonstrated high academic achievement with scholarships. Students may have earned multiple \$1,000 Governor's Scholars Awards and a one-time \$2,500 Governor's Distinguished Mathematics and Science Scholars Award for scores attained on specific exams taken in 2000, 2001, and 2002. GSP was made inoperative and repealed in fiscal year 2002-03; however, the ScholarShare Investment Board continues to administer scholarship accounts for awards earned in 2000, 2001, and 2002.

30 - CALIFORNIA MEMORIAL SCHOLARSHIP PROGRAM

The California Memorial Scholarship Program provided scholarships to surviving dependents of California residents killed as a result of the terrorist attacks of September 11, 2001. These scholarships are used to defray higher education costs and were funded through the sales of memorial license plates.

DETAILED EXPENDITURES BY PROGRAM

| | | 2010-11* | 2011-12* | 2012-13* |
|-----------------------------|--|----------------|----------------|----------------|
| PROGRAM REQUIREMENTS | | | | |
| 10 | GOLDEN STATE SCHOLARSHARE TRUST PROGRAM | | | |
| | State Operations: | | | |
| 0564 | Scholarshare Administrative Fund | \$2,128 | \$2,444 | \$2,432 |
| | Totals, State Operations | \$2,128 | \$2,444 | \$2,432 |
| PROGRAM REQUIREMENTS | | | | |
| 20 | GOVERNOR'S SCHOLARSHIP PROGRAM | | | |

* Dollars in thousands, except in Salary Range.

0954 Scholarshare Investment Board - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|---------------------------------|----------------|----------------|----------------|
| State Operations: | | | |
| 0001 General Fund | \$479 | \$427 | \$391 |
| Totals, State Operations | \$479 | \$427 | \$391 |
| TOTALS, EXPENDITURES | | | |
| State Operations | 2,607 | 2,871 | 2,823 |
| Totals, Expenditures | \$2,607 | \$2,871 | \$2,823 |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|--|---------------------------|-------------|-------------|----------------|----------------|----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 8.8 | 10.0 | 10.0 | \$546 | \$562 | \$585 |
| Net Totals, Salaries and Wages | 8.8 | 10.0 | 10.0 | \$546 | \$562 | \$585 |
| Staff Benefits | - | - | - | 206 | 212 | 220 |
| Totals, Personal Services | 8.8 | 10.0 | 10.0 | \$752 | \$774 | \$805 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$1,855 | \$2,097 | \$2,018 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS | | | | \$2,607 | \$2,871 | \$2,823 |
| (State Operations) | | | | | | |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|----------------|----------------|----------------|
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$497 | \$440 | \$391 |
| Adjustment per Section 3.60 | 2 | 1 | - |
| Adjustment per Section 3.90 | -4 | -1 | - |
| Adjustment per Section 3.90(b) | -1 | - | - |
| Adjustment per Section 3.91 | -8 | - | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -13 | - |
| Totals Available | \$486 | \$427 | \$391 |
| Unexpended balance, estimated savings | -7 | - | - |
| TOTALS, EXPENDITURES | \$479 | \$427 | \$391 |
| 0564 Scholarshare Administrative Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$2,496 | \$2,458 | \$2,432 |
| Allocation for employee compensation | 3 | 2 | - |
| Adjustment per Section 3.60 | 15 | 8 | - |
| Adjustment per Section 3.90 | -32 | -10 | - |
| Adjustment per Section 3.91 | -35 | - | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -14 | - |
| Totals Available | \$2,447 | \$2,444 | \$2,432 |
| Unexpended balance, estimated savings | -319 | - | - |
| TOTALS, EXPENDITURES | \$2,128 | \$2,444 | \$2,432 |
| 3033 California Memorial Scholarship Fund | | | |
| APPROPRIATIONS | | | |
| Prior year balances available: | | | |
| Chapter 677, Statutes of 2005 | \$30 | \$30 | \$30 |

* Dollars in thousands, except in Salary Range.

0954 Scholarshare Investment Board - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| Totals Available | \$30 | \$30 | \$30 |
| Balance available in subsequent years | -30 | -30 | -30 |
| TOTALS, EXPENDITURES | \$- | \$- | \$- |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$2,607 | \$2,871 | \$2,823 |

FUND CONDITION STATEMENTS

| | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| 3033 California Memorial Scholarship Fund ^s | | | |
| BEGINNING BALANCE | \$39 | \$39 | \$39 |
| FUND BALANCE | \$39 | \$39 | \$39 |
| Reserve for economic uncertainties | 39 | 39 | 39 |

0956 California Debt and Investment Advisory Commission

The mission of the California Debt and Investment Advisory Commission (CDIAC) is to support and improve the practice of public finance in California by providing responsive and reliable information, analysis, and training. CDIAC assists California state and local governments to monitor, issue, and manage public debt and to safely and prudently invest public funds.

The Commission consists of nine members including the State Treasurer, who serves as chairperson; the Governor or, upon his designation, the Director of Finance; the State Controller; two local government finance officers appointed by the State Treasurer; two members of the Assembly appointed by the Speaker of the Assembly; and two members of the Senate appointed by the Senate Committee on Rules.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|------------------------|----------------|----------------|---------------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 California Debt and Investment Advisory Commission | 17.1 | 20.0 | 20.0 | \$2,175 | \$2,913 | \$2,997 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 17.1 | 20.0 | 20.0 | \$2,175 | \$2,913 | \$2,997 |
| FUNDING | | | | 2010-11* | 2011-12* | 2012-13* |
| 0171 California Debt and Investment Advisory Commission Fund | | | | \$2,139 | \$2,733 | \$2,817 |
| 0995 Reimbursements | | | | 36 | 180 | 180 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$2,175 | \$2,913 | \$2,997 |

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

Government Code Sections 8855-8859.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|--|---------------------|--------------------|------------------------|---------------------|--------------------|------------------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • ProRata Adjustments | \$- | \$- | - | \$- | \$59 | - |
| • Retirement Rate Adjustments | - | 15 | - | - | 15 | - |
| • Employee Compensation Adjustments | - | -20 | - | - | 5 | - |
| • Operational Efficiency | - | -16 | - | - | -16 | - |
| Totals, Other Workload Budget Adjustments | \$- | -\$21 | - | \$- | \$63 | - |
| Totals, Workload Budget Adjustments | \$- | -\$21 | - | \$- | \$63 | - |
| Totals, Budget Adjustments | \$- | -\$21 | - | \$- | \$63 | - |

* Dollars in thousands, except in Salary Range.

0956 California Debt and Investment Advisory Commission - Continued

PROGRAM DESCRIPTIONS

10 - CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION

CDIAC's primary objective is to enhance the knowledge of public officials with respect to the administration and issuance of public debt and the investment of public funds. CDIAC achieves this objective by performing functions in three principal areas, including:

- Data Collection and Analysis - CDIAC serves as a clearinghouse of debt issued by California public entities and nonprofit student loan corporations.
- Continuing Education - CDIAC provides educational seminars, workshops, and conferences to public officials on municipal debt and public fund investment topics. In addition, CDIAC provides technical assistance to public officials and taxpayers through direct interaction and public forums to disseminate relevant information.
- Policy Research - CDIAC undertakes original research on the issuance and administration of public debt and on the investment of public funds. Research results are published in the form of reports, issue briefs, or articles and are disseminated in printed and electronic forms.

DETAILED EXPENDITURES BY PROGRAM

| | | 2010-11* | 2011-12* | 2012-13* |
|-----------|---|----------------|----------------|----------------|
| | PROGRAM REQUIREMENTS | | | |
| 10 | CALIFORNIA DEBT AND INVESTMENT ADVISORY COMMISSION | | | |
| | State Operations: | | | |
| 0171 | California Debt and Investment Advisory Commission Fund | \$2,139 | \$2,733 | \$2,817 |
| 0995 | Reimbursements | 36 | 180 | 180 |
| | Totals, State Operations | \$2,175 | \$2,913 | \$2,997 |
| | TOTALS, EXPENDITURES | | | |
| | State Operations | 2,175 | 2,913 | 2,997 |
| | Totals, Expenditures | \$2,175 | \$2,913 | \$2,997 |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|--|---------------------------|---------|---------|--------------|----------|----------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 17.1 | 20.0 | 20.0 | \$1,017 | \$1,206 | \$1,263 |
| Net Totals, Salaries and Wages | 17.1 | 20.0 | 20.0 | \$1,017 | \$1,206 | \$1,263 |
| Staff Benefits | - | - | - | 391 | 392 | 331 |
| Totals, Personal Services | 17.1 | 20.0 | 20.0 | \$1,408 | \$1,598 | \$1,594 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$767 | \$1,315 | \$1,403 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$2,175 | \$2,913 | \$2,997 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|----------|----------|----------|
| 0171 California Debt and Investment Advisory Commission Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$2,843 | \$2,754 | \$2,817 |
| Allocation for employee compensation | 6 | 3 | - |
| Adjustment per Section 3.60 | 34 | 15 | - |
| Adjustment per Section 3.90 | -70 | -23 | - |
| Adjustment per Section 3.91 | -81 | - | - |

* Dollars in thousands, except in Salary Range.

0956 California Debt and Investment Advisory Commission - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -16 | - |
| Totals Available | \$2,732 | \$2,733 | \$2,817 |
| Unexpended balance, estimated savings | -593 | - | - |
| TOTALS, EXPENDITURES | \$2,139 | \$2,733 | \$2,817 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$36 | \$180 | \$180 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$2,175 | \$2,913 | \$2,997 |

FUND CONDITION STATEMENTS

| | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| 0171 California Debt and Investment Advisory Commission Fund^s | | | |
| BEGINNING BALANCE | \$5,716 | \$5,377 | \$3,728 |
| Prior year adjustments | 5 | - | - |
| Adjusted Beginning Balance | \$5,721 | \$5,377 | \$3,728 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 125600 Other Regulatory Fees | 1,772 | 1,075 | 2,160 |
| 150300 Income From Surplus Money Investments | 26 | 22 | 20 |
| Total Revenues, Transfers, and Other Adjustments | \$1,798 | \$1,097 | \$2,180 |
| Total Resources | \$7,519 | \$6,474 | \$5,908 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 2 | 4 | 3 |
| 0956 California Debt and Investment Advisory Commission (State Operations) | 2,139 | 2,733 | 2,817 |
| 8880 Financial Information System for California (State Operations) | 1 | 9 | 2 |
| Total Expenditures and Expenditure Adjustments | \$2,142 | \$2,746 | \$2,822 |
| FUND BALANCE | \$5,377 | \$3,728 | \$3,086 |
| Reserve for economic uncertainties | 5,377 | 3,728 | 3,086 |

0959 California Debt Limit Allocation Committee

The California Debt Limit Allocation Committee's mission is to allocate tax-exempt private activity bond authority for the State of California. Private activity bonds may only be used by the private sector for projects and programs that provide a public benefit. The major public benefit in California is the creation of affordable housing.

The federal government limits the amount of tax-exempt private activity bond authority that can be issued in a state on an annual basis. The limit of bond authority in 2011 is calculated by multiplying the state population by \$95. California has the largest population, and thus has the largest debt (or tax-exempt bond) limit, which totaled over \$3.5 billion in 2011.

The Committee's allocation of tax-exempt bond authority results in the issuance of bonds by cities, counties, joint powers authorities, and state agencies. The bonds are purchased and used by the private sector and are not an obligation of the state or of the federal government.

The Committee administers six programs that are funded through the allocation and issuance of tax-exempt private activity bonds. Those programs are: (1) the Qualified Residential Rental Project Program, (2) the Single-Family Housing Program, (3) the Extra Credit Home Purchase Program, (4) the Industrial Development Bond Project Program, (5) the Exempt Facility Program, and (6) the Student Loan Program.

The Committee is also responsible for the reallocation of Qualified Energy Conservation Bond (QECB) authority originally provided to qualified localities, but later waived back to the State. This bond program, made available through the American Recovery and Reinvestment Act of 2009, provides tax incentives and lower borrowing costs for local governments and private entities to promote job creation and economic recovery in areas particularly affected by employment decline and to facilitate renewable energy conservation programs and projects throughout the State.

The Committee is comprised of the State Treasurer as Chairperson, the Governor, or upon his designation, the Director of Finance, and the State Controller. The Committee is funded on a fee-supported basis.

* Dollars in thousands, except in Salary Range.

0959 California Debt Limit Allocation Committee - Continued

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|------------|------------|-----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 California Debt Limit Allocation Committee | 8.3 | 9.0 | 9.0 | \$1,069 | \$1,266 | \$1,402 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 8.3 | 9.0 | 9.0 | \$1,069 | \$1,266 | \$1,402 |
| FUNDING | | | | 2010-11* | 2011-12* | 2012-13* |
| 0169 California Debt Limit Allocation Committee Fund | | | | \$1,069 | \$1,266 | \$1,402 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$1,069 | \$1,266 | \$1,402 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Section 8869.80 et seq.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|--|--------------|--------------|-----------------|--------------|--------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation Adjustments | \$- | -\$9 | - | \$- | \$3 | - |
| • Retirement Rate Adjustment | - | 6 | - | - | 6 | - |
| • Pro Rata Baseline Adjustments | - | - | - | - | 124 | - |
| • Operational Efficiency Plan | - | -7 | - | - | -7 | - |
| Totals, Other Workload Budget Adjustments | \$- | -\$10 | - | \$- | \$126 | - |
| Totals, Workload Budget Adjustments | \$- | -\$10 | - | \$- | \$126 | - |
| Totals, Budget Adjustments | \$- | -\$10 | - | \$- | \$126 | - |

PROGRAM DESCRIPTIONS

10 - CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE

Qualified Residential Rental Project Program:

State and local governmental agencies and joint powers authorities can issue tax-exempt housing revenue bonds. These bonds assist developers of multifamily rental housing units to acquire land and construct new units or purchase and rehabilitate existing units. The tax-exempt bonds lower the interest rate on a mortgage to be paid by the developers. The developers in turn produce affordable and market rate rental housing for low and very low-income households by reducing rental rates to these individuals and families. Projects that receive an award of bond authority have the right to apply for non-competitive four-percent tax credits.

Single-Family Housing Program:

State and local governmental agencies and joint powers authorities can issue tax-exempt mortgage revenue bonds (MRBs) or mortgage credit certificates (MCCs) to assist first-time homebuyers with purchasing homes. These agencies and authorities may issue MRBs, the proceeds of which back below-market interest rate mortgages. As an alternative to issuing MRBs, state and local governmental agencies and joint powers authorities may issue MCCs. Homebuyers use the MCCs to reduce their federal tax liability by applying the credit to their net tax due. Homebuyers may purchase single-family homes, either freestanding detached, condominiums or townhouses. Program participants must meet program income limits and must purchase a home that falls within the program's purchase price limitations.

Extra Credit Home Purchase Program:

State and local governmental agencies and joint powers authorities can issue MRBs or MCCs to assist teachers, principals and other eligible school staff with purchasing homes. These agencies and authorities may issue MRBs, the proceeds of which back below-market interest rate mortgages. As an alternative to issuing MRBs, state and local governmental agencies and joint powers authorities may issue MCCs. Homebuyers use the MCC to reduce their federal tax liability by applying the credit to their net tax due.

Industrial Development Bond Project Program:

* Dollars in thousands, except in Salary Range.

0959 California Debt Limit Allocation Committee - Continued

Small-Issue Industrial Development Bonds (IDBs) are tax-exempt private activity bonds that are issued through state and local governmental agencies to assist manufacturing facilities finance capital expenditures. IDBs offer interest rate savings to small and midsize manufacturers in contrast to conventional loans. When used by manufacturers, IDBs serve to retain and create new jobs within their communities.

Exempt Facility Program:

Exempt Facility Bonds are tax-exempt private activity bonds that are issued by state and local governmental agencies to finance solid waste disposal and waste recycling facilities. The tax-exempt bonds provide facility owners with low-cost financing in the form of below-market interest rate loans. The interest rate savings enable the project owners to maintain lower customer rates or minimize customer rate increases, while at the same time assisting the communities they serve meet their mandated requirements to protect and enhance the environment.

Student Loan Program:

Student Loan Bonds are tax-exempt private activity bonds issued by authorized agencies for the purpose of either financing direct loans to college students and their parents or purchasing bundles of already-originated loans on the secondary market. When used for direct lending programs, tax-exempt bond allocation allows lenders to pass on interest rate savings to financially needy students via below-market interest rate loans. Financially needy students are borrowers for whom the cost to attend college exceeds their ability to pay, as determined by their school's financial aid office.

Qualified Energy Conservation Bond Program:

Qualified Energy Conservation Bonds (QECBs) may be issued as either tax-exempt private activity bonds or governmental purpose taxable bonds. When issued as a governmental purpose taxable bond, QECBs provide the issuer with either a direct or tax credit interest subsidy. QECBs may be issued to finance a broad array of qualified conservation purposes such as capital expenditures, research facilities and research grant programs, mass commuting facilities, public education campaigns, and demonstration projects. There is no sunset date for this program.

DETAILED EXPENDITURES BY PROGRAM

| | 2010-11* | 2011-12* | 2012-13* |
|--|----------------|----------------|----------------|
| PROGRAM REQUIREMENTS | | | |
| 10 CALIFORNIA DEBT LIMIT ALLOCATION COMMITTEE | | | |
| State Operations: | | | |
| 0169 California Debt Limit Allocation Committee Fund | \$1,069 | \$1,266 | \$1,402 |
| Totals, State Operations | \$1,069 | \$1,266 | \$1,276 |
| TOTALS, EXPENDITURES | | | |
| State Operations | 1,069 | 1,266 | 1,402 |
| Totals, Expenditures | \$1,069 | \$1,266 | \$1,402 |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|------------|------------|----------------|----------------|----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 8.3 | 9.0 | 9.0 | \$509 | \$557 | \$577 |
| Net Totals, Salaries and Wages | 8.3 | 9.0 | 9.0 | \$509 | \$557 | \$577 |
| Staff Benefits | - | - | - | 210 | 215 | 220 |
| Totals, Personal Services | 8.3 | 9.0 | 9.0 | \$719 | \$772 | \$797 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$350 | \$494 | \$605 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$1,069 | \$1,266 | \$1,402 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|----------|----------|----------|
| 0169 California Debt Limit Allocation Committee Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$1,285 | \$1,276 | \$1,402 |
| Allocation for employee compensation | 5 | 2 | - |
| Adjustment per Section 3.60 | 17 | 6 | - |

* Dollars in thousands, except in Salary Range.

0959 California Debt Limit Allocation Committee - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|----------------|----------------|----------------|
| Adjustment per Section 3.90 | -32 | -11 | - |
| Adjustment per Section 3.91 | -52 | - | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -7 | - |
| Totals Available | \$1,223 | \$1,266 | \$1,402 |
| Unexpended balance, estimated savings | -154 | - | - |
| TOTALS, EXPENDITURES | \$1,069 | \$1,266 | \$1,402 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$1,069 | \$1,266 | \$1,402 |

FUND CONDITION STATEMENTS

| | 2010-11* | 2011-12* | 2012-13* |
|--|----------|----------|----------|
| 0169 California Debt Limit Allocation Committee Fund ^s | | | |
| BEGINNING BALANCE | \$3,356 | \$3,511 | \$3,481 |
| Prior year adjustments | -5 | - | - |
| Adjusted Beginning Balance | \$3,351 | \$3,511 | \$3,481 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 125600 Other Regulatory Fees | 1,215 | 1,225 | 1,225 |
| 150300 Income From Surplus Money Investments | 17 | 15 | 15 |
| Total Revenues, Transfers, and Other Adjustments | \$1,232 | \$1,240 | \$1,240 |
| Total Resources | \$4,583 | \$4,751 | \$4,721 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 2 | - | 1 |
| 0959 California Debt Limit Allocation Committee (State Operations) | 1,069 | 1,266 | 1,402 |
| 8880 Financial Information System for California (State Operations) | 1 | 4 | 1 |
| Total Expenditures and Expenditure Adjustments | \$1,072 | \$1,270 | \$1,404 |
| FUND BALANCE | \$3,511 | \$3,481 | \$3,317 |
| Reserve for economic uncertainties | 3,511 | 3,481 | 3,317 |

0964 California Transportation Financing Authority

The California Transportation Financing Authority (CTFA) was established by Chapter 474, Statutes of 2009 (AB 798) to increase the construction of new capacity or improvements for the state transportation system in a manner that will help meet the state's greenhouse gas reduction goals, air quality improvement goals, and natural resource conservation goals. The CTFA consists of seven members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, the Director of Transportation, the Executive Director of the California Transportation Commission, a local agency representative appointed by the Senate Committee on Rules, and a local agency representative appointed by the Speaker of the Assembly.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code, Division 3 (commencing with Section 64100) of Title 6.7.

PROGRAM DESCRIPTIONS

10 - The California Transportation Financing Authority (CTFA) was established to assist transportation agencies in obtaining financing to develop transportation projects. To meet these objectives, statutes allow CTFA to issue or approve specified project sponsors to issue, revenue bonds to fund approved transportation projects, to be repaid in whole or in part, by various revenue streams of transportation funds, or toll revenues under certain conditions. Statutes provide CTFA with the authority to approve transportation financing plans, but not with the authority to plan or approve transportation projects. Approval of transportation projects is performed by the California Transportation Commission and the California Department of Transportation, as appropriate.

* Dollars in thousands, except in Salary Range.

0964 California Transportation Financing Authority - Continued

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|------------|------------|------------|
| 6802 California Transportation Financing Authority Fund | | | |
| APPROPRIATIONS | | | |
| Chapter 474, Statutes of 2009 | 0 | 0 | 0 |
| TOTALS, EXPENDITURES | \$- | \$- | \$- |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$- | \$- | \$- |

0965 California Industrial Development Financing Advisory Commission

The California Industrial Development Financing Advisory Commission (CIDFAC) creates employment opportunities and supports local economic development. CIDFAC meets this goal by approving local entities' issuance of Industrial Development Bonds (IDBs). The IDBs provide manufacturers with a low-cost financing option to build, expand, or equip their facilities in California. CIDFAC independently reviews IDB applications to ensure compliance with federal tax law and state statutes and regulations and approves the sale of IDBs by local authorities. Additionally, CIDFAC provides technical assistance to local issuers of IDBs, including cities, counties, industrial development authorities, redevelopment agencies, and joint powers authorities.

The State Treasurer serves as chairperson of the CIDFAC. The other members are the Director of the Department of Finance, the State Controller, the Secretary of the Business, Transportation and Housing Agency, and the Commissioner of the Department of Corporations.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|------------|------------|-----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 California Industrial Development Financing Advisory Commission | 0.9 | 1.0 | 1.0 | \$165 | \$330 | \$339 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 0.9 | 1.0 | 1.0 | \$165 | \$330 | \$339 |
| FUNDING | | | | 2010-11* | 2011-12* | 2012-13* |
| 0215 Industrial Development Fund | | | | \$165 | \$255 | \$264 |
| 0995 Reimbursements | | | | - | 75 | 75 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$165 | \$330 | \$339 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 91500 to 91574, Insurance Code Section 1192.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|--|--------------|-------------|-----------------|--------------|-------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation Adjustments | \$- | -\$2 | - | \$- | \$- | - |
| • Retirement Rate Adjustment | - | 1 | - | - | 1 | - |
| • Pro Rata Adjustment | - | - | - | - | 7 | - |
| • Operational Efficiency | - | -2 | - | - | -2 | - |
| Totals, Other Workload Budget Adjustments | \$- | -\$3 | - | \$- | \$6 | - |
| Totals, Workload Budget Adjustments | \$- | -\$3 | - | \$- | \$6 | - |
| Totals, Budget Adjustments | \$- | -\$3 | - | \$- | \$6 | - |

* Dollars in thousands, except in Salary Range.

0965 California Industrial Development Financing Advisory Commission - Continued**PROGRAM DESCRIPTIONS****10 - CALIFORNIA INDUSTRIAL DEVELOPMENT FINANCING ADVISORY COMMISSION**

The core program area is the IDB Program, under which CIDFAC, as required by state law, serves as the mandatory approval agency for local IDB issuers. Once potential borrowers (manufacturers, processing companies and knowledge-based businesses) apply through their local government agency for low cost tax-exempt financing, CIDFAC carefully reviews the public benefits generated by the proposed project, particularly those benefits associated with job creation, and determines whether these benefits will significantly outweigh any detrimental public effects. Eligible capital expenditures include the acquisition of land, building construction, building renovation, and the purchase of machinery and equipment. Bond proceeds also can be used to cover the cost of architects, engineers, attorneys, permits, and the cost of bond issuance. In addition, bond proceeds can also be used to produce intangible products such as patents, copyrights, formulas, processes, designs, trademarks, and know-how. The local issuer can be a city, county, economic development authority, redevelopment agency, or a joint power authority.

Federal law authorizes the issuance of IDBs in local Empowerment Zone Bonds (EZ Bonds). CIDFAC's EZ Bond Program augments the benefits of the IDB program to further support economic development in California's most distressed communities. The EZ Bond Program expands the eligibility of borrowers to manufacturers, retailers, and any service that operates in an Empowerment Zone. The EZ Bond program removes the maximum dollar restriction (applicable to IDBs) on the size of the issuance. There are five federally designated Empowerment Zones in California: Los Angeles, Santa Ana, San Diego, Fresno and an unincorporated section of Riverside County. These communities received this federal designation based on their high unemployment and high poverty rates.

DETAILED EXPENDITURES BY PROGRAM

| | | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
|-----------|--|-----------------|-----------------|-----------------|
| | PROGRAM REQUIREMENTS | | | |
| 10 | CALIFORNIA INDUSTRIAL DEVELOPMENT FINANCING ADVISORY COMMISSION | | | |
| | State Operations: | | | |
| 0215 | Industrial Development Fund | \$165 | \$255 | \$264 |
| 0995 | Reimbursements | - | 75 | 75 |
| | Totals, State Operations | \$165 | \$330 | \$339 |
| | TOTALS, EXPENDITURES | | | |
| | State Operations | 165 | 330 | 339 |
| | Totals, Expenditures | \$165 | \$330 | \$339 |

EXPENDITURES BY CATEGORY

| | 1 State Operations | <u>Positions/Personnel Years</u> | | | <u>Expenditures</u> | | |
|---|---------------------------------------|----------------------------------|----------------|----------------|---------------------|-----------------|-----------------|
| | | <u>2010-11</u> | <u>2011-12</u> | <u>2012-13</u> | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
| PERSONAL SERVICES | | | | | | | |
| | Authorized Positions (Equals Sch. 7A) | 0.9 | 1.0 | 1.0 | \$100 | \$109 | \$111 |
| | Net Totals, Salaries and Wages | 0.9 | 1.0 | 1.0 | \$100 | \$109 | \$111 |
| | Staff Benefits | - | - | - | 31 | 34 | 36 |
| | Totals, Personal Services | 0.9 | 1.0 | 1.0 | \$131 | \$143 | \$147 |
| OPERATING EXPENSES AND EQUIPMENT | | | | | | | |
| | | | | | \$34 | \$187 | \$192 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | | \$165 | \$330 | \$339 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| 0215 Industrial Development Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$292 | \$258 | \$264 |

* Dollars in thousands, except in Salary Range.

0965 California Industrial Development Financing Advisory Commission - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| Adjustment per Section 3.60 | 4 | 1 | - |
| Adjustment per Section 3.90 | -7 | -2 | - |
| Adjustment per Section 3.91 | -11 | - | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -2 | - |
| Totals Available | \$278 | \$255 | \$264 |
| Unexpended balance, estimated savings | -113 | - | - |
| TOTALS, EXPENDITURES | \$165 | \$255 | \$264 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | - | \$75 | \$75 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$165 | \$330 | \$339 |

FUND CONDITION STATEMENTS

| | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| 0215 Industrial Development Fund^s | | | |
| BEGINNING BALANCE | \$24 | \$25 | \$22 |
| Prior year adjustments | 59 | - | - |
| Adjusted Beginning Balance | \$83 | \$25 | \$22 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 125600 Other Regulatory Fees | 107 | 252 | 252 |
| Total Revenues, Transfers, and Other Adjustments | \$107 | \$252 | \$252 |
| Total Resources | \$190 | \$277 | \$274 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0965 California Industrial Development Financing Advisory Commission (State Operations) | 165 | 255 | 264 |
| Total Expenditures and Expenditure Adjustments | \$165 | \$255 | \$264 |
| FUND BALANCE | \$25 | \$22 | \$10 |
| Reserve for economic uncertainties | 25 | 22 | 10 |

0968 California Tax Credit Allocation Committee

The mission of the California Tax Credit Allocation Committee (CTCAC) is to fairly allocate federal and state tax credits to create and maintain safe quality affordable rental housing for low-income households in California by forming partnerships with developers, investors and public entities.

CTCAC works in public/private partnerships to assist with project development, while fulfilling its responsibilities as a credit agency through project compliance monitoring. CTCAC coordinates its functions with state and local housing fund providers and with private fund investors, when providing and maintaining quality, affordable housing.

The CTCAC consists of seven members, including the Treasurer who is designated as chairman, the Governor or Director of Finance, the State Controller, the Director of the Department of Housing and Community Development, the Executive Director of the California Housing Finance Agency, and two local government representatives.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|---|------------------------|----------------|----------------|---------------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 California Tax Credit Allocation Committee | 34.4 | 37.0 | 39.0 | \$5,415 | \$5,622 | \$6,012 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 34.4 | 37.0 | 39.0 | \$5,415 | \$5,622 | \$6,012 |
| FUNDING | | | | 2010-11* | 2011-12* | 2012-13* |
| 0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account | | | | \$2,526 | \$3,274 | \$3,668 |

* Dollars in thousands, except in Salary Range.

0968 California Tax Credit Allocation Committee - Continued

| FUNDING | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| 0457 Tax Credit Allocation Fee Account | 2,821 | 2,288 | 2,284 |
| 0995 Reimbursements | 68 | 60 | 60 |
| TOTALS, EXPENDITURES, ALL FUNDS | \$5,415 | \$5,622 | \$6,012 |

LEGAL CITATIONS AND AUTHORITY**DEPARTMENT AUTHORITY**

Internal Revenue Code Section 42; California Health and Safety Code Sections 50199.4 through 50199.22, Section 50199.51, and Section 50199.70; and California Revenue and Taxation Code Sections 12205.5, 12206, 17053.14, 17057.5, 17058, 23608.2, 23608.3, 23610.4, and 23610.5; Federal Tax Reform Act of 1986, Section 252 of Public Law No. 99-514 (October 22, 1986); Chapter 658, California Statutes of 1987, as amended; Chapter 1138, California Statutes of 1987, as amended; Internal Revenue Code, Title 26, Subtitle A, Chapter 1, Subchapter X, Section 1400E et seq., as amended.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|---|---------------------|--------------------|------------------------|---------------------|--------------------|------------------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Workload Budget Change Proposals | | | | | | |
| • New External Consulting Funding for Compliance Monitoring Asset Management Contract for ARRA Projects | \$- | \$473 | - | \$- | \$473 | - |
| • New Permanent Positions for Compliance Monitoring for Low Income Housing Tax Credit Program | - | - | - | - | 247 | 2.0 |
| Totals, Workload Budget Change Proposals | \$- | \$473 | - | \$- | \$720 | 2.0 |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation Adjustments | \$- | -\$36 | - | \$- | \$11 | - |
| • Retirement Rate Adjustment | - | 20 | - | - | 20 | - |
| • Pro Rata Adjustments | - | - | - | - | 95 | - |
| • Operational Efficiency | - | -29 | - | - | -28 | - |
| Totals, Other Workload Budget Adjustments | \$- | -\$45 | - | \$- | \$98 | - |
| Totals, Workload Budget Adjustments | \$- | \$428 | - | \$- | \$818 | 2.0 |
| Totals, Budget Adjustments | \$- | \$428 | - | \$- | \$818 | 2.0 |

PROGRAM DESCRIPTIONS**10 - CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE**

The Federal Low Income Housing Tax Credit Program:

Congress authorized the federal program in 1986. It replaced traditional housing tax incentives, such as accelerated depreciation, with a tax credit that enables developers of affordable rental housing to raise project equity through the "sale" of tax benefits to investors.

As of 2011, each state has an annual housing credit ceiling of approximately \$2.15 per state resident, and may qualify for a share of credits available annually in a national pool comprised of states' unused credits. The annual housing credit ceiling is indexed for inflation. Investors can take the annual credit each year for a ten-year period.

The State Low Income Housing Tax Credit Program:

Recognizing the high cost of developing housing in California, the California Legislature authorized the State Low Income Housing Tax Credit Program to augment the federal tax credit program. Specifically, projects that are not receiving an increase in the federal credit basis may apply for and receive state credits. Of the state credit authority amount, \$500,000 annually is available as credit for farmworker housing projects.

The annual state credit ceiling is currently \$87.6 million, indexed for inflation (in addition to any unused or returned credits from previous years). Investors take the state credit over a four-year period in contrast to the ten-year federal allocation period. The full four-year state credit allocated to a project is deducted from the annual state credit ceiling.

* Dollars in thousands, except in Salary Range.

0968 California Tax Credit Allocation Committee - Continued

Tax-Exempt Bond Financed Program:

Developments financed with tax-exempt bond proceeds may also receive federal tax credit. The sponsors of such projects must apply to the Committee and must conform to applicable federal and state statutory and regulatory requirements, but there is no annual "cap" on the amount of credit that may be awarded by the state to such developments. The annual credit available is based on approximately four percent (instead of the nine percent for projects that are not financed by a federal subsidy) of the "qualified basis" of the development, that is, the costs attributable to the units that will be income and rent restricted for a minimum of 30 years.

Under federal law, credit projects must remain affordable for at least 15 years; however, California's program generally requires maintaining affordability for 55 years. Land use agreements are recorded against each credit project to ensure compliance.

Farmworker Housing Tax Credit Program:

Chapter 521, Statutes of 2008 (SB 1267) folded the previous Farmworker Housing Assistance tax credits into the general State low-income housing tax credit program. While \$500,000 annually continues to be authorized for State tax credits in support of farmworker housing, the program now operates under the rules of the general State low-income housing tax credit program.

AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009

In 2009-10, CTCAC received approximately \$325 million in Tax Credit Assistance Program funds and approximately \$478 million in Section 1602 Grants in Lieu of Tax Credit funds as a result of the passage of the American Recovery and Reinvestment Act of 2009 (ARRA). These federal stimulus funds were awarded to 138 low income multi-family housing projects. Many projects receiving ARRA funds were unable to attract any investor capital for the Low-Income Housing Tax Credits and therefore needed the cash in lieu of the tax credits altogether. Other projects were able to attract investor capital for the tax credits, but at a lower than expected pay-in, leaving the project infeasible and therefore needing ARRA funds to fill the funding gap.

Virtually all of the projects have either been completed or are approaching completion in 2011. Under federal rules, all federal funds must be drawn down by either December 31, 2011, or February 16, 2012, depending on the federal funding source.

DETAILED EXPENDITURES BY PROGRAM

| | | 2010-11* | 2011-12* | 2012-13* |
|--|--|----------------|----------------|----------------|
| PROGRAM REQUIREMENTS | | | | |
| 10 CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE | | | | |
| State Operations: | | | | |
| 0448 | Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account | \$2,526 | \$3,274 | \$3,668 |
| 0457 | Tax Credit Allocation Fee Account | 2,609 | 2,152 | 2,148 |
| 0995 | Reimbursements | 68 | 60 | 60 |
| | Totals, State Operations | \$5,203 | \$5,486 | \$5,876 |
| Local Assistance: | | | | |
| 0457 | Tax Credit Allocation Fee Account | \$212 | \$136 | \$136 |
| | Totals, Local Assistance | \$212 | \$136 | \$136 |
| TOTALS, EXPENDITURES | | | | |
| | State Operations | 5,203 | 5,486 | 5,876 |
| | Local Assistance | 212 | 136 | 136 |
| | Totals, Expenditures | \$5,415 | \$5,622 | \$6,012 |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---------------------------------------|---------------------------|---------|---------|--------------|----------|----------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 34.4 | 37.0 | 37.0 | \$1,960 | \$2,172 | \$2,279 |
| Total Adjustments | - | - | 2.0 | - | - | 126 |
| Net Totals, Salaries and Wages | 34.4 | 37.0 | 39.0 | \$1,960 | \$2,172 | \$2,405 |

* Dollars in thousands, except in Salary Range.

0968 California Tax Credit Allocation Committee - Continued

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|-------------|-------------|----------------|----------------|----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Staff Benefits | - | - | - | 793 | 847 | 909 |
| Totals, Personal Services | 34.4 | 37.0 | 39.0 | \$2,753 | \$3,019 | \$3,314 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$2,450 | \$2,461 | \$2,556 |
| SPECIAL ITEMS OF EXPENSE | | | | \$- | \$6 | \$6 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$5,203 | \$5,486 | \$5,876 |

| 2 Local Assistance | Expenditures | | |
|---|--------------|--------------|--------------|
| | 2010-11* | 2011-12* | 2012-13* |
| Grants and Subventions | \$212 | \$136 | \$136 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$212 | \$136 | \$136 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|----------------|----------------|----------------|
| 0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$2,690 | \$2,829 | \$3,668 |
| Allocation for employee compensation | 9 | 4 | - |
| Adjustment per Section 3.60 | 40 | 13 | - |
| Adjustment per Section 3.90 | -71 | -27 | - |
| Adjustment per Section 3.91 | -105 | - | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -18 | - |
| Revised expenditure authority per Provision 1 | - | 473 | - |
| 011 Budget Act appropriation (Loan to the General Fund) | (25,000) | (22,000) | - |
| Totals Available | \$2,563 | \$3,274 | \$3,668 |
| Unexpended balance, estimated savings | -37 | - | - |
| TOTALS, EXPENDITURES | \$2,526 | \$3,274 | \$3,668 |
| 0457 Tax Credit Allocation Fee Account | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$2,111 | \$2,163 | \$2,142 |
| Allocation for employee compensation | 6 | 2 | - |
| Adjustment per Section 3.60 | 24 | 7 | - |
| Adjustment per Section 3.90 | -48 | -16 | - |
| Adjustment per Section 3.91 | -70 | - | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -10 | - |
| Revised expenditure authority per Provision 1 | 1,036 | - | - |
| 011 Budget Act appropriation (Loan to the General Fund) | (25,000) | (13,000) | - |
| Health and Safety Code Section 50199.9(b) | - | 6 | 6 |
| Totals Available | \$3,059 | \$2,152 | \$2,148 |
| Unexpended balance, estimated savings | -450 | - | - |
| TOTALS, EXPENDITURES | \$2,609 | \$2,152 | \$2,148 |
| 0995 Reimbursements | | | |
| APPROPRIATIONS | | | |
| Reimbursements | \$68 | \$60 | \$60 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$5,203 | \$5,486 | \$5,876 |

* Dollars in thousands, except in Salary Range.

0968 California Tax Credit Allocation Committee - Continued

| 2 LOCAL ASSISTANCE | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| 0457 Tax Credit Allocation Fee Account | | | |
| APPROPRIATIONS | | | |
| Health and Safety Code Section 50199.9(b) | \$212 | \$136 | \$136 |
| TOTALS, EXPENDITURES | \$212 | \$136 | \$136 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$212 | \$136 | \$136 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | \$5,415 | \$5,622 | \$6,012 |

| FUND CONDITION STATEMENTS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| 0448 Occupancy Compliance Monitoring Account, Tax Credit Allocation Fee Account ^s | | | |
| BEGINNING BALANCE | \$58,264 | \$37,299 | \$19,892 |
| Prior year adjustments | -44 | - | - |
| Adjusted Beginning Balance | \$58,220 | \$37,299 | \$19,892 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 125600 Other Regulatory Fees | 6,560 | 7,787 | 3,993 |
| 150300 Income From Surplus Money Investments | 48 | 90 | 90 |
| Transfers and Other Adjustments: | | | |
| TO0001 To General Fund loan per Item 0968-011-0448, Budget Act of 2010 and 2011 | -25,000 | -22,000 | - |
| Total Revenues, Transfers, and Other Adjustments | -\$18,392 | -\$14,123 | \$4,083 |
| Total Resources | \$39,828 | \$23,176 | \$23,975 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 2 | 2 | 3 |
| 0968 California Tax Credit Allocation Committee (State Operations) | 2,526 | 3,274 | 3,668 |
| 8880 Financial Information System for California (State Operations) | 1 | 8 | 2 |
| Total Expenditures and Expenditure Adjustments | \$2,529 | \$3,284 | \$3,673 |
| FUND BALANCE | \$37,299 | \$19,892 | \$20,302 |
| Reserve for economic uncertainties | 37,299 | 19,892 | 20,302 |
| 0457 Tax Credit Allocation Fee Account ^s | | | |
| BEGINNING BALANCE | \$39,700 | \$16,291 | \$5,575 |
| Prior year adjustments | -16 | - | - |
| Adjusted Beginning Balance | \$39,684 | \$16,291 | \$5,575 |
| REVENUES, TRANSFERS, AND OTHER ADJUSTMENTS | | | |
| Revenues: | | | |
| 125600 Other Regulatory Fees | 4,370 | 4,500 | 4,500 |
| 150300 Income From Surplus Money Investments | 43 | 81 | 91 |
| 161000 Escheat of Unclaimed Checks & Warrants | 18 | - | - |
| Transfers and Other Adjustments: | | | |
| TO0001 To General Fund loan per Item 0968-011-0457, Budget Act of 2010 and 2011 | -25,000 | -13,000 | - |
| Total Revenues, Transfers, and Other Adjustments | -\$20,569 | -\$8,419 | \$4,591 |
| Total Resources | \$19,115 | \$7,872 | \$10,166 |
| EXPENDITURES AND EXPENDITURE ADJUSTMENTS | | | |
| Expenditures: | | | |
| 0840 State Controller (State Operations) | 2 | 2 | 2 |
| 0968 California Tax Credit Allocation Committee | | | |
| State Operations | 2,609 | 2,152 | 2,148 |
| Local Assistance | 212 | 136 | 136 |

* Dollars in thousands, except in Salary Range.

0968 California Tax Credit Allocation Committee - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|---|----------------|----------------|----------------|
| 8880 Financial Information System for California (State Operations) | <u>1</u> | <u>7</u> | <u>2</u> |
| Total Expenditures and Expenditure Adjustments | <u>\$2,824</u> | <u>\$2,297</u> | <u>\$2,288</u> |
| FUND BALANCE | \$16,291 | \$5,575 | \$7,878 |
| Reserve for economic uncertainties | 16,291 | 5,575 | 7,878 |
| 3038 Community Revitalization Fee Fund ^s | | | |
| BEGINNING BALANCE | \$3 | \$1 | \$1 |
| Prior year adjustments | <u>-2</u> | <u>-</u> | <u>-</u> |
| Adjusted Beginning Balance | <u>\$1</u> | <u>\$1</u> | <u>\$1</u> |
| FUND BALANCE | \$1 | \$1 | \$1 |
| Reserve for economic uncertainties | 1 | 1 | 1 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|-------------|-------------|----------------|----------------|----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Totals, Authorized Positions | 34.4 | 37.0 | 37.0 | \$1,960 | \$2,172 | \$2,279 |
| Proposed New Positions: Compliance BCP | | | | | | |
| Staff Services Mgr I | - | - | 1.0 | 5,079-6,127 | - | 67 |
| Assoc Govtl Prog Analyst | - | - | 1.0 | 4,400-5,348 | - | 59 |
| Totals Proposed New Positions | <u>-</u> | <u>-</u> | <u>2.0</u> | <u>\$-</u> | <u>\$-</u> | <u>\$126</u> |
| TOTALS, SALARIES AND WAGES | 34.4 | 37.0 | 39.0 | \$1,960 | \$2,172 | \$2,405 |

0971 California Alternative Energy and Advanced Transportation Financing Authority

The California Alternative Energy and Advanced Transportation Financing Authority was established by Chapter 908, Statutes of 1980, as the California Alternative Energy Source Financing Authority, to "promote the prompt and efficient development of energy sources which are renewable or which more efficiently utilize and conserve scarce energy resources." Recognizing the importance of developing a secure energy future to protect the environment and ensure economic stability, the intent of the legislation was to promote energy sources designed to reduce the degradation of the environment. The Authority later became the California Alternative Energy and Advanced Transportation Financing Authority (CAEATFA) in 1994, when the statute was amended to include development and commercialization of advanced transportation technologies.

CAEATFA consists of five members: the State Treasurer, who serves as the chairperson of CAEATFA, the State Controller, the Director of Finance, the Chairperson of the State Energy Resources Conservation and Development Commission (the California Energy Commission), and the President of the Public Utilities Commission.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|------------|------------|-----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 California Alternative Energy and Advanced Transportation Financing Authority | 5.5 | 8.0 | 8.0 | \$7,030 | \$3,198 | \$25,541 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 5.5 | 8.0 | 8.0 | \$7,030 | \$3,198 | \$25,541 |
| FUNDING | | | | 2010-11* | 2011-12* | 2012-13* |
| 0382 Renewable Resource Trust Fund | | | | \$27 | \$1,350 | \$23,623 |
| 0528 California Alternative Energy Authority Fund | | | | 1,003 | 1,595 | 1,665 |
| 0995 Reimbursements | | | | <u>6,000</u> | <u>253</u> | <u>253</u> |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$7,030 | \$3,198 | \$25,541 |

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

* Dollars in thousands, except in Salary Range.

0971 California Alternative Energy and Advanced Transportation Financing Authority

- Continued

Public Resources Code Sections 26000-26017; 26020-26042.4; 26100-26106; 26120-26124; 26140-26141.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|---|--------------|------------------|-----------------|--------------|----------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation Adjustments | \$- | -\$8 | - | \$- | \$3 | - |
| • Retirement Rate Adjustment | - | 3 | - | - | 3 | - |
| • Clean Energy Upgrade Operation | - | -23,450 | - | - | 23,450 | - |
| • Pro Rata Adjustment | - | - | - | - | 59 | - |
| • Clean Energy Upgrade Legislation | - | -25,000 | - | - | - | - |
| • Operational Efficiency | - | -12 | - | - | -12 | - |
| • Technical Adjustments | - | -120 | - | - | -47 | - |
| • Adjustment for stoppage of PACE Program by FHFA | - | 34,700 | - | - | -15,000 | - |
| Totals, Other Workload Budget Adjustments | \$- | -\$13,887 | - | \$- | \$8,456 | - |
| Totals, Workload Budget Adjustments | \$- | -\$13,887 | - | \$- | \$8,456 | - |
| Totals, Budget Adjustments | \$- | -\$13,887 | - | \$- | \$8,456 | - |

PROGRAM DESCRIPTIONS

10 - ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY

The Authority is authorized to issue up to \$1 billion in total outstanding bond debt to finance alternative energy and advanced transportation projects pursuant to Public Resources Code Section 26020. As of June 30, 2011, CAEATFA has issued \$212,072,000 in bonds, and \$76.9 million is outstanding.

Financial assistance can take the form of loans, loan loss reserves, interest rate reductions, proceeds of bonds issued by the authority, insurance, guarantees or other credit enhancements or liquidity facilities, contributions of money, property, labor, or other items of value.

In 2010, CAEATFA launched a sales and use tax exclusion (STE) program for advanced transportation and alternative source manufacturing in California (SB 71, Chapter 10, Statutes of 2010). The objective of CAEATFA's sales and use tax exclusion programs is to promote the creation of California-based manufacturing and businesses, California-based jobs, the reduction of greenhouse gases, air and water pollution, and energy consumption.

In addition, new legislation in 2010 (SB 77, Pavley) and 2011 (AB14, Skinner) has provided CAEATFA with additional statutory authority to provide financial assistance to encourage energy efficiency efforts. CAEATFA is currently working on developing programs to carryout the legislative intent.

DETAILED EXPENDITURES BY PROGRAM

| | | 2010-11* | 2011-12* | 2012-13* |
|-----------------------------|--|----------------|----------------|-----------------|
| PROGRAM REQUIREMENTS | | | | |
| 10 | CALIFORNIA ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY | | | |
| | State Operations: | | | |
| 0382 | Renewable Resource Trust Fund | \$27 | \$350 | \$173 |
| 0528 | California Alternative Energy Authority Fund | 1,003 | 1,595 | 1,665 |
| 0995 | Reimbursements | 6,000 | 253 | 253 |
| | Totals, State Operations | \$7,030 | \$2,198 | \$2,091 |
| | Local Assistance: | | | |
| 0382 | Renewable Resource Trust Fund | - | 1,000 | 23,450 |
| | Totals, Local Assistance | \$- | \$1,000 | \$23,450 |

* Dollars in thousands, except in Salary Range.

0971 California Alternative Energy and Advanced Transportation Financing Authority - Continued

| | 2010-11* | 2011-12* | 2012-13* |
|-----------------------------|----------------|----------------|-----------------|
| TOTALS, EXPENDITURES | | | |
| State Operations | 7,030 | 2,198 | 2,091 |
| Local Assistance | - | 1,000 | 23,450 |
| Totals, Expenditures | \$7,030 | \$3,198 | \$25,541 |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|------------|------------|----------------|----------------|----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 5.5 | 8.0 | 8.0 | \$327 | \$466 | \$491 |
| Net Totals, Salaries and Wages | 5.5 | 8.0 | 8.0 | \$327 | \$466 | \$491 |
| Staff Benefits | - | - | - | 112 | 163 | 174 |
| Totals, Personal Services | 5.5 | 8.0 | 8.0 | \$439 | \$629 | \$665 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$591 | \$1,316 | \$1,173 |
| SPECIAL ITEMS OF EXPENSE | | | | \$6,000 | \$253 | \$253 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$7,030 | \$2,198 | \$2,091 |

| 2 Local Assistance | Expenditures | | |
|---|--------------|----------------|-----------------|
| | 2010-11* | 2011-12* | 2012-13* |
| Grants and Subventions | \$- | \$1,000 | \$23,450 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$- | \$1,000 | \$23,450 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|----------------|----------------|----------------|
| 0382 Renewable Resource Trust Fund | | | |
| APPROPRIATIONS | | | |
| 011 Budget Act appropriation (transfer to the California Alternative Energy Authority Fund) | (\$2,409) | - | - |
| Revised expenditure authority per Provision 1 (Carryover) | (-1,011) | (\$-) | - |
| Prior year balances available: | | | |
| For transfer to the California Alternative Energy Authority Fund | - | (1,011) | - |
| Public Resources Code Section 26140 | 300 | 273 | \$173 |
| Adjustment per Chapter 597, Statutes of 2011 | - | 250 | - |
| Totals Available | \$300 | \$523 | \$173 |
| Balance available in subsequent years | -273 | -173 | - |
| TOTALS, EXPENDITURES | \$27 | \$350 | \$173 |
| 0528 California Alternative Energy Authority Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$1,651 | \$1,612 | \$1,665 |
| Allocation for employee compensation | 3 | 1 | - |
| Adjustment per Section 3.60 | 9 | 3 | - |
| Adjustment per Section 3.90 | -27 | -9 | - |
| Adjustment per Section 3.91 | -10 | - | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -12 | - |
| Totals Available | \$1,626 | \$1,595 | \$1,665 |

* Dollars in thousands, except in Salary Range.

0971 California Alternative Energy and Advanced Transportation Financing Authority

- Continued

| 1 STATE OPERATIONS | | 2010-11* | 2011-12* | 2012-13* |
|--|--|-----------------|-----------------|-----------------|
| Unexpended balance, estimated savings | | -623 | - | - |
| TOTALS, EXPENDITURES | | \$1,003 | \$1,595 | \$1,665 |
| 0995 Reimbursements | | | | |
| APPROPRIATIONS | | | | |
| Reimbursements | | \$6,000 | \$253 | \$253 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | | \$7,030 | \$2,198 | \$2,091 |
| 2 LOCAL ASSISTANCE | | 2010-11* | 2011-12* | 2012-13* |
| 0382 Renewable Resource Trust Fund | | | | |
| APPROPRIATIONS | | | | |
| Public Resources Code Section 26140 | | \$49,700 | - | - |
| Prior year balances available: | | | | |
| Public Resources Code Section 26140 | | - | \$49,700 | \$23,450 |
| Adjustment per Chapter 597, Statutes of 2011 | | - | -25,250 | - |
| Totals Available | | \$49,700 | \$24,450 | \$23,450 |
| Balance available in subsequent years | | -49,700 | -23,450 | - |
| TOTALS, EXPENDITURES | | \$- | \$1,000 | \$23,450 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | | \$- | \$1,000 | \$23,450 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | | \$7,030 | \$3,198 | \$25,541 |

0974 California Pollution Control Financing Authority

The California Pollution Control Financing Authority (CPCFA) provides California businesses with a reasonable method of financing pollution control and other facilities, and fosters compliance with government imposed environmental standards and requirements and assists small businesses with access to capital. Over the last thirty years, CPCFA has evolved to meet California's needs as follows:

- In the solid waste, recycling, water, and wastewater industries through its Pollution Control Tax-Exempt Bond Program.
- For small businesses through the California Capital Access Program (CalCAP).
- With the reuse and redevelopment of brownfields through the California Recycle Underutilized Sites Program (CALReUSE).
- With financial assistance to cities and counties in their community planning and development efforts through the Sustainable Communities Grant and Loan Program (SCGL).

The CPCFA consists of the State Treasurer (Chairperson), the State Controller, and the Director of the Department of Finance.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | | Personnel Years | | | Expenditures | | |
|--|--|-----------------|-------------|-------------|-----------------|-----------------|-----------------|
| | | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 | Pollution Control Tax-Exempt Bond Program | 15.8 | 10.0 | 9.0 | \$2,304 | \$3,640 | \$4,125 |
| 20 | California Capital Access Program for Small Businesses | 1.2 | 10.4 | 9.0 | 34,826 | 29,323 | 30,166 |
| 30 | California Recycle Underutilized Sites Program | 2.4 | 5.5 | 5.5 | 8,111 | 17,420 | 16,000 |
| 40 | Sustainable Communities Grant and Loan Program | 0.4 | 0.6 | - | 544 | 744 | - |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | | 19.8 | 26.5 | 23.5 | \$45,785 | \$51,127 | \$50,291 |
| FUNDING | | | | | 2010-11* | 2011-12* | 2012-13* |
| 0001 | General Fund | | | | \$6,000 | \$- | \$- |
| 0890 | Federal Trust Fund | | | | 27,823 | 27,823 | 28,666 |
| 0930 | Pollution Control Financing Authority Fund | | | | 11,962 | 23,304 | 21,625 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | | \$45,785 | \$51,127 | \$50,291 |

* Dollars in thousands, except in Salary Range.

0974 California Pollution Control Financing Authority - Continued

The California Pollution Control Financing Authority funding is not subject to Budget Act appropriation. This budget display is for informational purposes only. The informational budget presented here reflects the department's best estimate of the budgets for 2011-12 and 2012-13.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Health and Safety Code Sections 44500-44563.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|--|--------------|-----------------|-----------------|--------------|-----------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation Adjustments | \$- | -\$23 | - | \$- | \$7 | - |
| • Retirement Rate Adjustment | - | 13 | - | - | 13 | - |
| • State Small Business Credit Initiative-federal funds | - | 27,823 | - | - | 28,666 | - |
| • Miscellaneous Adjustments | - | 1,422 | 4.0 | - | -287 | 1.0 |
| Totals, Other Workload Budget Adjustments | \$- | \$29,235 | 4.0 | \$- | \$28,399 | 1.0 |
| Totals, Workload Budget Adjustments | \$- | \$29,235 | 4.0 | \$- | \$28,399 | 1.0 |
| Totals, Budget Adjustments | \$- | \$29,235 | 4.0 | \$- | \$28,399 | 1.0 |

PROGRAM DESCRIPTIONS

10 - POLLUTION CONTROL TAX-EXEMPT BOND PROGRAM

The objective of this program is to provide bond financing to California businesses, irrespective of company size, for the acquisition, construction, or installation of qualified pollution control, waste disposal, water furnishing, wastewater treatment, waste recovery facilities, and the acquisition and installation of new equipment. CPCFA financing assists municipalities in complying with waste diversion mandates of the California Integrated Waste Management Act of 1989. As of June 30, 2011, bonds totaling \$13.1 billion have been issued by the CPCFA.

20 - CALIFORNIA CAPITAL ACCESS PROGRAM FOR SMALL BUSINESSES

The objective of this program is to encourage banks and other financial institutions to make loans to small businesses that fall just outside of conventional underwriting standards. CalCAP for Small Businesses is a form of loan portfolio insurance that may provide up to 100% coverage on certain loan defaults. By participating in CalCAP, lenders have available to them a proven financing mechanism to meet the financing needs of California's small businesses. The strength of CalCAP rests in its simplicity and its leverage of state funds. The program works through the creation of a loan loss-reserve fund for each participating financial institution. The CPCFA funds this reserve together with the financial institution and the borrower. The reserve serves to reduce loan-loss risk, allowing banks to lend to targeted California small businesses. Chapter 731, Statutes of 2010, appropriated \$6 million General Fund to CalCAP to increase lending efforts, especially in high unemployment areas. In addition, CalCAP has been awarded a share of the federal State Small Business Credit Initiative funds allocated by U.S. Treasury to California. It is expected that these new funds will lead to a rapid and significant expansion of the CalCAP program. Additional incentives are provided to lend to businesses located in specified economically depressed areas including Enterprise Zones. CalCAP has partnered with the Air Resources Board to assist diesel truck and equipment owners in meeting new clean air requirements. CalCAP has partnered with the Department of Resources, Recycling and Recovery to assist recycling related businesses.

30 - CALIFORNIA RECYCLE UNDERUTILIZED SITES PROGRAM

This program assists with the reuse and redevelopment of underutilized properties with real or perceived contamination issues (brownfields). CALReUSE addresses a funding and information gap in the development of brownfields to help bring these properties into productive reuse. The CALReUSE Assessment Program provides forgivable loans of up to \$300,000 per brownfield site, or \$500,000 for sites that will ultimately result in housing. The funds assist with brownfield site assessment and characterization, technical assistance, remedial action plans and site access. Eligible projects include sites with potential beneficial reuse not currently redeveloped due to lack of information about real or perceived contamination, uncertainty about clean up costs, or concerns regarding time frames and the regulatory process. Priority is given to projects located in distressed neighborhoods with demonstrated community support. Additionally, Proposition 1C, the Housing and Emergency Shelter Trust Fund Act of 2006, included provisions to fund brownfield cleanup that resulted in the expansion of

* Dollars in thousands, except in Salary Range.

0974 California Pollution Control Financing Authority - Continued

CALReUSE. The CALReUSE Remediation Program received \$60 million in Proposition 1C funds and provides up to \$5 million per project in loans and grants for the purpose of brownfield cleanup that promotes infill residential and mixed-used development, consistent with regional and local land use plans.

40 - SUSTAINABLE COMMUNITIES GRANT AND LOAN PROGRAM

The objective of this program is to assist cities and counties to develop and implement sustainable development growth policies, programs, and projects. Sustainable Communities Grant and Loan Program has funded specific plans, portions of specific plans, alternative transportation studies, finance plans, redevelopment plans, engineering studies, public projects, and other projects that promote sustainable development principles. This program sunsets effective January 1, 2012.

DETAILED EXPENDITURES BY PROGRAM

| | | 2010-11* | 2011-12* | 2012-13* |
|-----------|---|-----------------|-----------------|-----------------|
| | PROGRAM REQUIREMENTS | | | |
| 10 | POLLUTION CONTROL TAX-EXEMPT BOND PROGRAM | | | |
| | State Operations: | | | |
| 0930 | Pollution Control Financing Authority Fund | \$2,304 | \$3,640 | \$4,125 |
| | Totals, State Operations | \$2,304 | \$3,640 | \$4,125 |
| | PROGRAM REQUIREMENTS | | | |
| 20 | CALIFORNIA CAPITAL ACCESS PROGRAM FOR SMALL BUSINESSES | | | |
| | State Operations: | | | |
| 0930 | Pollution Control Financing Authority Fund | \$1,003 | \$1,500 | \$1,500 |
| | Totals, State Operations | \$1,003 | \$1,500 | \$1,500 |
| | Local Assistance: | | | |
| 0001 | General Fund | \$6,000 | \$- | \$- |
| 0890 | Federal Trust Fund | \$27,823 | \$27,823 | \$28,666 |
| | Totals, Local Assistance | \$33,823 | \$27,823 | \$28,666 |
| | PROGRAM REQUIREMENTS | | | |
| 30 | CALIFORNIA RECYCLE UNDERUTILIZED SITES PROGRAM | | | |
| | State Operations: | | | |
| 0930 | Pollution Control Financing Authority Fund | \$8,111 | \$17,420 | \$16,000 |
| | Totals, State Operations | \$8,111 | \$17,420 | \$16,000 |
| | PROGRAM REQUIREMENTS | | | |
| 40 | SUSTAINABLE COMMUNITIES GRANT AND LOAN PROGRAM | | | |
| | State Operations: | | | |
| 0930 | Pollution Control Financing Authority Fund | \$544 | \$744 | \$- |
| | Totals, State Operations | \$544 | \$744 | \$- |
| | TOTALS, EXPENDITURES | | | |
| | State Operations | \$11,962 | \$23,304 | \$21,625 |
| | Local Assistance | 33,823 | 27,823 | 28,666 |
| | Totals, Expenditures | \$45,785 | \$51,127 | \$50,291 |

EXPENDITURES BY CATEGORY

| | 1 State Operations | | | Expenditures | | |
|---------------------------------------|--------------------|-------------|-------------|----------------|----------------|----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 19.8 | 26.5 | 23.5 | \$1,064 | \$1,314 | \$1,341 |
| Net Totals, Salaries and Wages | 19.8 | 26.5 | 23.5 | \$1,064 | \$1,314 | \$1,341 |
| Staff Benefits | - | - | - | 389 | 527 | 536 |

* Dollars in thousands, except in Salary Range.

0974 California Pollution Control Financing Authority - Continued

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|---------|---------|-----------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Totals, Personal Services | 19.8 | 26.5 | 23.5 | \$1,453 | \$1,841 | \$1,877 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$10,509 | \$21,463 | \$19,748 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$11,962 | \$23,304 | \$21,625 |
| 2 Local Assistance | | | | Expenditures | | |
| | | | | 2010-11* | 2011-12* | 2012-13* |
| Grants and Subventions | | | | \$33,823 | \$27,823 | \$28,666 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | | | | \$33,823 | \$27,823 | \$28,666 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|-----------------|-----------------|
| 0930 Pollution Control Financing Authority Fund | | | |
| APPROPRIATIONS | | | |
| Government Code Section 17201 | \$10,959 | \$21,804 | \$20,125 |
| Government Code Section 17201 (California Capital Access Program for Small Business) | 1,003 | 1,500 | 1,500 |
| TOTALS, EXPENDITURES | \$11,962 | \$23,304 | \$21,625 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$11,962 | \$23,304 | \$21,625 |
| 2 LOCAL ASSISTANCE | 2010-11* | 2011-12* | 2012-13* |
| 0001 General Fund | | | |
| APPROPRIATIONS | | | |
| Chapter 731, Statutes of 2010 | \$6,000 | - | - |
| TOTALS, EXPENDITURES | \$6,000 | \$- | \$- |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| Health and Safety Code section 44526 | \$27,823 | \$27,823 | \$28,666 |
| TOTALS, EXPENDITURES | \$27,823 | \$27,823 | \$28,666 |
| 8501 California Capital Access Fund | | | |
| APPROPRIATIONS | | | |
| Chapter 731, Statutes of 2010 (Transfer from the General Fund) | \$6,000 | - | - |
| TOTALS, EXPENDITURES | \$6,000 | \$- | \$- |
| Less funding provided by the the General Fund | -6,000 | - | - |
| NET TOTALS, EXPENDITURES | \$- | \$- | \$- |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$33,823 | \$27,823 | \$28,666 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | \$45,785 | \$51,127 | \$50,291 |

0977 California Health Facilities Financing Authority

The mission of the California Health Facilities Financing Authority (CHFFA) is to help eligible and creditworthy nonprofit and public health facilities reduce their cost of capital, and promote important California health access, healthcare improvement and cost containment objectives by providing cost-effective tax-exempt bond, low-cost loan, and direct grant programs.

CHFFA issues revenue bonds to assist qualified private nonprofit corporations or associations, counties, and hospital districts in financing or refinancing the construction or acquisition cost of health facilities or equipment. CHFFA also administers the Children's Hospital Program established by Proposition 61 of 2004 and Proposition 3 of 2008, the Cedillo-Alarcon Clinic Grant Program of 2000, the Anthem WellPoint Grant Program of 2004, and the Health Expansion Loan Program II.

CHFFA was established by Chapter 1033, Statutes of 1979, and consists of nine members: the State Treasurer, the Director of the Department of Finance, the State Controller, two public members appointed by the Senate, two public members

* Dollars in thousands, except in Salary Range.

0977 California Health Facilities Financing Authority - Continued

appointed by the Assembly, and two public members appointed by the Governor.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|-----------------|-------------|-------------|-----------------|------------------|------------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 30 Children's Hospital Program | 3.8 | 4.0 | 4.0 | \$88,760 | \$235,473 | \$115,479 |
| 40 Health Facilities Grants and Loans | 10.6 | 12.5 | 12.5 | 6,449 | 8,095 | 9,112 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 14.4 | 16.5 | 16.5 | \$95,209 | \$243,568 | \$124,591 |
| FUNDING | | | | 2010-11* | 2011-12* | 2012-13* |
| 0904 California Health Facilities Financing Authority Fund | | | | \$6,449 | \$8,095 | \$9,112 |
| 6046 Children's Hospital Fund | | | | 8,928 | 85,318 | 15,323 |
| 6079 Children's Hospital Bond Act Fund | | | | 79,832 | 150,155 | 100,156 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | \$95,209 | \$243,568 | \$124,591 |

The California Health Facilities Financing Authority Fund (0904) and Program 40-Health Facilities Grants and Loans are not subject to Budget Act appropriation. This budget display is for informational purposes only. The informational budget presented here reflects the department's best estimate of the budgets for 2011-12 and 2012-13.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Government Code Sections 15430-15463. Health and Safety Code Sections 1179.10-1179.43 and 1179.50-1179.72.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|--|--------------|-------------|-----------------|--------------|-------------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation Adjustments | \$- | -\$17 | - | \$- | \$5 | - |
| • Retirement Rate Adjustment | - | 12 | - | - | 12 | - |
| • Operational Efficiency Plan | - | -3 | - | - | -3 | - |
| • Adjustment to grants for Children's Hospitals | - | - | - | - | -120,000 | - |
| • Allocation of health facilities grants and loans | - | - | - | - | 1,000 | - |
| • Miscellaneous Adjustments | - | -1 | - | - | - | - |
| Totals, Other Workload Budget Adjustments | \$- | -\$9 | - | \$- | -\$118,986 | - |
| Totals, Workload Budget Adjustments | \$- | -\$9 | - | \$- | -\$118,986 | - |
| Totals, Budget Adjustments | \$- | -\$9 | - | \$- | -\$118,986 | - |

PROGRAM DESCRIPTIONS

30 - CHILDREN'S HOSPITAL PROGRAM

On November 2, 2004, the voters approved Proposition 61, which established the Children's Hospital Program to be administered by CHFFA. Four years later, on November 4, 2008, the voters approved a similar proposition (Proposition 3) establishing the second Children's Hospital Program to be administered by CHFFA. The purpose of both Children's Hospital Program is to improve the health and welfare of California's critically ill children by providing a stable and ready source of funds for capital improvement projects for children's hospitals.

CHFFA is authorized to grant \$750 million per Proposition 61 and \$980 million per Proposition 3 to eligible children's hospitals. Funding for these programs is provided through the issuance of general obligation bonds. CHFFA has adopted regulations for each program addressing appropriate selection criteria and a process for awarding the grants.

* Dollars in thousands, except in Salary Range.

0977 California Health Facilities Financing Authority - Continued

Applications and funding will be available through 2014 for Proposition 61 and through 2018 for Proposition 3, or until program funding is exhausted. In the event funding is not exhausted by the deadlines for each proposition, CHFFA will amend the regulations to extend the respective programs. As of June 30, 2011, CHFFA has disbursed \$584.7 million in Proposition 61 funds to twelve hospitals. As of June 30, 2011, CHFFA has disbursed \$251.1 million in Proposition 3 funds to six hospitals.

40 - HEALTH FACILITIES GRANTS AND LOANS

CHFFA serves as a conduit provider of tax-exempt bonds and a direct provider of financial assistance to health institutions via a loan program referred to as HELP II and via two separate clinic grant programs referred to as Cedillo-Alarcon and Anthem WellPoint. To qualify for funding under any of these programs, the proposed project must be a health facility, operated by a private nonprofit corporation or association, city, city and county, county, or hospital district. Numerous statutes enacted between 1983 and 2002 have since broadened the types of health facilities that may receive financing from CHFFA.

Tax-Exempt Bond Program

CHFFA may authorize an unlimited amount of revenue bond financing. Prior to September 30, 1998, CHFFA was only authorized to have outstanding at any one point-in-time up to \$5.999 billion in tax-exempt revenue bonds. Chapter 1035, Statutes of 1998 eliminated this cap. As of June 30, 2011, bonds and notes in the amount of \$25.8 billion had been issued and \$10.2 billion was outstanding.

Bonds issued under this conduit program are not a debt or liability or a pledge of the full faith and credit of the taxing power of the state or any of its political subdivisions. The full faith and credit of the participating institutions are pledged for repayment of the bonds. The Federal Tax Reform Act of 1986 does not restrict conduit health facility bonds in terms of the state's "private activity" bond limit.

Clinic Grant Programs

Chapter 99, Statutes of 2000, established the Cedillo-Alarcon Community Clinic Investment Act of 2000 and allocated \$50 million dollars to CHFFA for the purpose of awarding grants to eligible primary care clinics for capital outlay projects. Funds of approximately \$50 million were disbursed. Residual funds remained and so the Legislature amended the Act in 2005 to authorize CHFFA to disburse the remaining funds to eligible clinics. Though all funds have since been awarded, funds in the amount of \$68,918 remain to be disbursed and are contingent upon the clinics providing relevant documentation evidencing completion of their projects.

In 2004, as part of the Anthem-Well Point merger, \$35 million dollars was allocated to CHFFA for the purpose of awarding grants to eligible healthcare facilities providing service to underserved communities throughout California. Distribution of these funds was to be accomplished through the same process established for the above referenced Cedillo-Alarcon Community Clinic Investment Act of 2000. In 2005, the Insurance Commissioner entered into an memorandum of understanding with CHFFA authorizing CHFFA to disburse the funds to clinics. Though all funds have been awarded, funds in the amount of \$274,976 remain to be disbursed and are contingent upon the clinics providing relevant documentation evidencing completion of their projects.

Between these two clinic grant programs, as of June 30, 2011, CHFFA has disbursed the sum of \$92 million (including the accumulated interest of roughly \$7 million), to 370 clinics throughout the state.

HELP II Loan Program

CHFFA administers the Healthcare Expansion Loan Program II (HELP II) to assist small and rural health facilities in obtaining otherwise difficult and costly to obtain financing for their capital needs. The HELP II Financing Program provides three percent (3%), fixed interest loans of up to \$750,000 to California's nonprofit small and rural health facilities in an efficient, timely, and cost effective manner. HELP II loans may be used to purchase or construct new facilities, remodel or renovate existing facilities, and purchase equipment or furnishings. Since the program's inception in 1988 and as of June 30, 2011, CHFFA has loaned \$79 million to small and rural health facilities. In January 2007, the CHFFA board raised the maximum loan amount facilities can receive under HELP II from \$500,000 to \$750,000. Under a second change, small facilities with gross annual revenue of up to \$30 million can obtain loans. To further expand access to financing for rural facilities, the CHFFA board voted to exempt such facilities from the gross revenue limit. Applications are accepted on a monthly basis.

DETAILED EXPENDITURES BY PROGRAM

| | 2010-11* | 2011-12* | 2012-13* |
|--|--------------|--------------|--------------|
| PROGRAM REQUIREMENTS | | | |
| 30 CHILDREN'S HOSPITAL PROGRAM | | | |
| State Operations: | | | |
| 6046 Children's Hospital Fund | \$265 | \$318 | \$323 |
| 6079 Children's Hospital Bond Act Fund | 120 | 155 | 156 |
| Totals, State Operations | \$385 | \$473 | \$479 |
| Local Assistance: | | | |
| 6046 Children's Hospital Fund | \$8,663 | \$85,000 | \$15,000 |

* Dollars in thousands, except in Salary Range.

0977 California Health Facilities Financing Authority - Continued

| | | 2010-11* | 2011-12* | 2012-13* |
|-----------|---|-----------------|------------------|------------------|
| 6079 | Children's Hospital Bond Act Fund | 79,712 | 150,000 | 100,000 |
| | Totals, Local Assistance | \$88,375 | \$235,000 | \$115,000 |
| | PROGRAM REQUIREMENTS | | | |
| 40 | HEALTH FACILITIES GRANTS AND LOANS | | | |
| | State Operations: | | | |
| 0904 | California Health Facilities Financing Authority Fund | \$1,785 | \$2,595 | \$2,612 |
| | Totals, State Operations | \$1,785 | \$2,595 | \$2,612 |
| | Local Assistance: | | | |
| 0904 | California Health Facilities Financing Authority Fund | \$4,664 | \$5,500 | \$6,500 |
| | Totals, Local Assistance | \$4,664 | \$5,500 | \$6,500 |
| | TOTALS, EXPENDITURES | | | |
| | State Operations | 2,170 | 3,068 | 3,091 |
| | Local Assistance | 93,039 | 240,500 | 121,500 |
| | Totals, Expenditures | \$95,209 | \$243,568 | \$124,591 |

EXPENDITURES BY CATEGORY

| | 1 State Operations | | | Expenditures | | |
|---|--------------------|-------------|-------------|----------------|----------------|----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 14.4 | 16.5 | 16.5 | \$893 | \$1,019 | \$1,060 |
| Net Totals, Salaries and Wages | 14.4 | 16.5 | 16.5 | \$893 | \$1,019 | \$1,060 |
| Staff Benefits | - | - | - | 349 | 388 | 371 |
| Totals, Personal Services | 14.4 | 16.5 | 16.5 | \$1,242 | \$1,407 | \$1,431 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$928 | \$1,661 | \$1,660 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$2,170 | \$3,068 | \$3,091 |

| | 2 Local Assistance | | | Expenditures | | |
|---|--------------------|------------------|------------------|--------------|----------|----------|
| | 2010-11* | 2011-12* | 2012-13* | 2010-11* | 2011-12* | 2012-13* |
| Grants and Subventions | \$93,039 | \$240,500 | \$121,500 | | | |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$93,039 | \$240,500 | \$121,500 | | | |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|----------------|----------------|----------------|
| 0904 California Health Facilities Financing Authority Fund | | | |
| APPROPRIATIONS | | | |
| Government Code Section 15439 | \$1,785 | \$2,595 | \$2,612 |
| TOTALS, EXPENDITURES | \$1,785 | \$2,595 | \$2,612 |
| 6046 Children's Hospital Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$324 | \$321 | \$323 |
| Allocation for employee compensation | 1 | 1 | - |
| Adjustment per Section 3.60 | 6 | 2 | - |
| Adjustment per Section 3.90 | -9 | -4 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -2 | - |
| Totals Available | \$322 | \$318 | \$323 |

* Dollars in thousands, except in Salary Range.

0977 California Health Facilities Financing Authority - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|--|-----------------|------------------|------------------|
| Unexpended balance, estimated savings | <u>-57</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$265 | \$318 | \$323 |
| 6079 Children's Hospital Bond Act Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$157 | \$156 | \$156 |
| Allocation for employee compensation | 1 | - | - |
| Adjustment per Section 3.60 | 4 | 1 | - |
| Adjustment per Section 3.90 | -6 | -1 | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | <u>-</u> | <u>-1</u> | <u>-</u> |
| Totals Available | \$156 | \$155 | \$156 |
| Unexpended balance, estimated savings | <u>-36</u> | <u>-</u> | <u>-</u> |
| TOTALS, EXPENDITURES | \$120 | \$155 | \$156 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$2,170 | \$3,068 | \$3,091 |
| 2 LOCAL ASSISTANCE | 2010-11* | 2011-12* | 2012-13* |
| 0904 California Health Facilities Financing Authority Fund | | | |
| APPROPRIATIONS | | | |
| Government Code Section 15439 (Health Facilities Grants and Loans) | <u>\$4,664</u> | <u>\$5,500</u> | <u>\$6,500</u> |
| TOTALS, EXPENDITURES | \$4,664 | \$5,500 | \$6,500 |
| 6046 Children's Hospital Fund | | | |
| APPROPRIATIONS | | | |
| Health and Safety Code Section 1179.10-1179.43 | <u>\$8,663</u> | <u>\$85,000</u> | <u>\$15,000</u> |
| TOTALS, EXPENDITURES | \$8,663 | \$85,000 | \$15,000 |
| 6079 Children's Hospital Bond Act Fund | | | |
| APPROPRIATIONS | | | |
| Health and Safety Code Section 1179.53 | <u>\$79,712</u> | <u>\$150,000</u> | <u>\$100,000</u> |
| TOTALS, EXPENDITURES | \$79,712 | \$150,000 | \$100,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$93,039 | \$240,500 | \$121,500 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | \$95,209 | \$243,568 | \$124,591 |

0983 California Urban Waterfront Area Restoration Financing Authority

Created in 1983, the California Urban Waterfront Area Restoration Financing Authority (CUWARFA) was established to restore, revitalize and develop in an environmentally and economically sound manner, the coastal and inland urban waterfront areas of the state, in cooperation with local governments. CUWARFA consists of five members: the State Treasurer (Chairperson), the Director of the Department of Finance, the State Controller, the Secretary of the Natural Resources Agency, and the Executive Director of the State Coastal Conservancy.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|------------------------|----------------|----------------|---------------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 California Urban Waterfront Restoration Financing Program | - | - | - | \$- | \$- | \$- |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | - | - | - | \$- | \$- | \$- |

The CUWARFA funding is not subject to Budget Act appropriation. This budget is for informational purposes only. The informational budget presented here reflects the department's best estimate of the budgets for 2011-12 and 2012-13.

* Dollars in thousands, except in Salary Range.

0983 California Urban Waterfront Area Restoration Financing Authority - Continued**LEGAL CITATIONS AND AUTHORITY**

DEPARTMENT AUTHORITY

Public Resources Code, Division 22, Sections 32000-32208.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|--|--------------|-------------|-----------------|--------------|-------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation Adjustments | \$- | -\$1 | - | \$- | \$- | - |
| • Retirement Rate Adjustments | - | 1 | - | - | 1 | - |
| • Miscellaneous Adjustments | - | - | - | - | -1 | - |
| Totals, Other Workload Budget Adjustments | \$- | \$- | - | \$- | \$- | - |
| Totals, Workload Budget Adjustments | \$- | \$- | - | \$- | \$- | - |
| Totals, Budget Adjustments | \$- | \$- | - | \$- | \$- | - |

PROGRAM DESCRIPTIONS

10 - The CUWARFA was established to issue up to \$650 million in conduit financing to make loans or acquire title to property and to underwrite or undertake directly a variety of urban waterfront development projects within the coastal zone, the Sacramento-Yolo Port District, the Stockton Port District, and those metropolitan statistical areas meeting specified conditions. The Federal Tax Reform Act of 1986 requires that bonds authorized by CUWARFA generally be within an allocation from the state's "private activity" bond limit in order for the bonds to be federally tax-exempt, unless the issuer qualifies as a private, nonprofit business.

Financing is available for both publicly and privately sponsored projects that provide visitor-serving facilities, waterfront-dependent industries, public recreation, and erosion control facilities. The State Coastal Conservancy must approve both the specific project and a master plan for urban waterfront restoration before any project can obtain CUWARFA approval for revenue bond financing. The Authority has sold \$3.33 million in revenue bonds to date.

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|--|---------------------------|----------|----------|--------------|------------|------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | - | 1.0 | 1.0 | \$- | \$73 | \$74 |
| Estimated Salary Savings | - | -1.0 | -1.0 | - | -73 | -74 |
| Net Totals, Salaries and Wages | - | - | - | \$- | \$- | \$- |
| Staff Benefits | - | - | - | - | - | - |
| Totals, Personal Services | - | - | - | \$- | \$- | \$- |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS | | | | \$- | \$- | \$- |
| (State Operations) | | | | | | |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|------------|------------|------------|
| 0982 California Urban Waterfront Area Restoration Fund | | | |
| APPROPRIATIONS | | | |
| Public Resources Code Section 32060 | 0 | 0 | 0 |
| TOTALS, EXPENDITURES | \$- | \$- | \$- |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$- | \$- | \$- |

* Dollars in thousands, except in Salary Range.

0985 California School Finance Authority

The California School Finance Authority (CSFA), created in 1985, provides facilities and working capital financing to school districts, community college districts, county offices of education and charter schools. CSFA consists of the following three members: the State Treasurer who serves as chair, the Superintendent of Public Instruction, and the Director of the Department of Finance. CSFA has offices in Los Angeles and Sacramento.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | | Personnel Years | | | Expenditures | | |
|--|---|-----------------|------------|------------|-----------------|-----------------|-----------------|
| | | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 20 | Charter School Facilities Program | 6.2 | 6.0 | 6.0 | \$680 | \$1,069 | \$1,126 |
| 30 | State Charter School Facilities Incentive Grants Program | - | - | - | 13,197 | 20,125 | 20,136 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | | 6.2 | 6.0 | 6.0 | \$13,877 | \$21,194 | \$21,262 |
| FUNDING | | | | | 2010-11* | 2011-12* | 2012-13* |
| 0526 | California School Finance Authority Fund | | | | \$92 | \$50 | \$100 |
| 0890 | Federal Trust Fund | | | | 13,197 | 20,125 | 20,136 |
| 9734 | 2004 Charter School Facilities Account, 2004 State School Facilities Fund | | | | 437 | 590 | 597 |
| 9735 | 2006 Charter School Facilities Account, 2006 State School Facilities Fund | | | | 151 | 429 | 429 |
| TOTALS, EXPENDITURES, ALL FUNDS | | | | | \$13,877 | \$21,194 | \$21,262 |

The California School Finance Authority Fund (0526) is not subject to Budget Act appropriation. This budget display is for informational purposes only. The informational budget presented here reflects the department's best estimate of the budgets for 2011-12 and 2012-13.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Education Code Sections 17170-17199.5 and 17078.52-17078.66.

DETAILED BUDGET ADJUSTMENTS

| | | 2011-12* | | | 2012-13* | | |
|--|--|--------------|-------------|-----------------|--------------|-------------|-----------------|
| | | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | | |
| Workload Budget Change Proposals | | | | | | | |
| • | Federal Charter School Facilities Incentive Grants Program | \$- | \$- | 1.0 | \$- | \$- | 1.0 |
| Totals, Workload Budget Change Proposals | | \$- | \$- | 1.0 | \$- | \$- | 1.0 |
| Other Workload Budget Adjustments | | | | | | | |
| • | Employee Compensation Adjustments | \$- | -\$5 | - | \$- | \$2 | - |
| • | Retirement Rate Adjustment | - | 4 | - | - | 4 | - |
| • | Allocation to Charter School Facilities Program | - | - | - | - | 50 | - |
| • | Operational Efficiency Plan | - | -7 | - | - | -7 | - |
| • | Miscellaneous Adjustment | - | - | - | - | 11 | - |
| Totals, Other Workload Budget Adjustments | | \$- | -\$8 | - | \$- | \$60 | - |
| Totals, Workload Budget Adjustments | | \$- | -\$8 | 1.0 | \$- | \$60 | 1.0 |
| Totals, Budget Adjustments | | \$- | -\$8 | 1.0 | \$- | \$60 | 1.0 |

PROGRAM DESCRIPTIONS

10 - SMART BONDS PROGRAM

Chapter 1438, Statutes of 1985, as amended by Chapter 598, Statutes of 1987, established CSFA and authorized the

* Dollars in thousands, except in Salary Range.

0985 California School Finance Authority - Continued

issuance of \$400 million in revenue bonds or other debt instruments. The proceeds from the sale of the bonds were available for loans to school and community college districts to assist with the acquisition of equipment and new school sites, construction of new facilities, reconstruction of existing facilities, capital improvements, and to provide working capital. Effective January 1, 1997, Chapter 1071, Statutes of 1996, authorized the issuance of an additional \$400 million in revenue bonds per fiscal year for school districts and county offices of education that agreed to guarantee payment of the bonds with Proposition 98 funds. The total outstanding amount may not exceed \$4 billion at any one time. Pursuant to Chapter 741, Statutes of 1998, effective January 1, 1999, the \$400 million annual issuance cap was eliminated. Chapter 325, Statutes of 2006 (AB 2717), allows CSFA to issue debt on behalf of California charter schools for capital improvements and working capital needs. To date, CSFA has issued three note transactions totaling \$9.85 million in borrowing authority for 26 charter schools. CSFA also has issued approximately \$40 million in Qualified School Construction Bonds (see below).

20 - CHARTER SCHOOL FACILITIES PROGRAM

Chapter 935, Statutes of 2002, as amended by Chapter 587, Statutes of 2003, created the Charter School Facilities Program (CSFP), which provides funding for the new construction or renovation of charter school facilities. CSFA and the Office of Public School Construction jointly administer the program. CSFP was funded through the Kindergarten-University Public Education Facilities Bond Acts of 2002, 2004, and 2006, for a total of \$900 million. To date, 65 schools have been awarded funding through the program's funding rounds.

The program provides a 50% state subsidy for the charter school facilities project costs, with the balance of the project costs being repaid (to the state) by the charter school in the form of a long-term lease. Recipient charter schools must provide site-based instruction, be deemed financially sound by CSFA, and meet other program eligibility requirements.

30 - STATE CHARTER SCHOOL FACILITIES INCENTIVE GRANTS PROGRAM

In 2004, CSFA was awarded a grant under the United States Department of Education's State Charter School Facilities Incentive Grants Program (CFDA 84.282D) to provide California charter schools with assistance for facilities costs. The \$50 million federal grant was allocated over a five-year period (through 2009) to eligible charter schools. Grant funds are used toward a charter school's cost of rent, lease, mortgage or debt service payments for existing or new facilities or toward the costs of acquiring land and constructing or renovating a facility. Grants are awarded to charter schools based on preference points allotted for the percentage of low-income students; percentage overcrowded; not-for-profit status; and demonstrated student performance. Charter schools must, at a minimum, be in good standing with their chartering authority and in compliance with the terms of their charter, provide site-based instruction, and have completed at least one school year of instructional operations to be considered eligible for funding. Additional requirements are listed in the program regulations.

In 2009, CSFA received an additional grant of \$48 million from the United States Department of Education's State Charter School Facilities Incentive Grants Program. The award will be allocated over a five-year period and the program eligibility and criteria are similar to those under the first award of \$50 million. Under this round, CSFA implemented a change in regulations to award charter schools preference points if the school is providing better educational opportunities than surrounding public schools. First time applicants are also given preference as compared to past recipients.

CREDIT ENHANCEMENT FOR CHARTER SCHOOL FACILITIES PROGRAM

In 2010, CSFA was awarded a grant of \$8.3 million by the Credit Enhancement for Charter School Facilities Program (CFDA 84.354A) authorized under Title V, Part B, Subpart 2 of the Elementary and Secondary Education Act, to enhance credit for charter schools and enable them to access non-federal funds that will address the costs of renovating, acquiring, and constructing school facilities. The grant funds will act as a reserve against any shortfalls in debt service on bonds that are issued by CSFA.

QUALIFIED SCHOOL CONSTRUCTION BONDS (QSCB)

The American Recovery and Reinvestment Act of 2009 (ARRA) provided funding for new construction or renovation of school facilities through the use of Qualified School Construction Bonds (QSCBs). Of California's \$1.42 billion in "volume cap" allocation for the issuance of QSCBs, approximately \$141 million was reserved for use by charter schools through the allocation and/or issuance of conduit revenue bonds by CSFA. To date, CSFA has allocated approximately \$124.7 million of the \$141 million. Of the total allocation to charter schools, CSFA has issued \$38.7 million.

DETAILED EXPENDITURES BY PROGRAM

| | | 2010-11* | 2011-12* | 2012-13* |
|-----------|---|--------------|----------------|----------------|
| | PROGRAM REQUIREMENTS | | | |
| 20 | CHARTER SCHOOL FACILITIES PROGRAM | | | |
| | State Operations: | | | |
| 0526 | California School Finance Authority Fund | \$92 | \$50 | \$100 |
| 9734 | 2004 Charter School Facilities Account, 2004 State School Facilities Fund | 437 | 590 | 597 |
| 9735 | 2006 Charter School Facilities Account, 2006 State School Facilities Fund | 151 | 429 | 429 |
| | Totals, State Operations | \$680 | \$1,069 | \$1,126 |
| | PROGRAM REQUIREMENTS | | | |

* Dollars in thousands, except in Salary Range.

0985 California School Finance Authority - Continued

| | | 2010-11* | 2011-12* | 2012-13* |
|--|--|-----------------|-----------------|-----------------|
| 30 STATE CHARTER SCHOOL FACILITIES INCENTIVE GRANTS PROGRAM | | | | |
| State Operations: | | | | |
| 0890 Federal Trust Fund | | \$109 | \$125 | \$136 |
| Totals, State Operations | | \$109 | \$125 | \$136 |
| Local Assistance: | | | | |
| 0890 Federal Trust Fund | | \$13,088 | \$20,000 | \$20,000 |
| Totals, Local Assistance | | \$13,088 | \$20,000 | \$20,000 |
| TOTALS, EXPENDITURES | | | | |
| State Operations | | 789 | 1,194 | 1,262 |
| Local Assistance | | 13,088 | 20,000 | 20,000 |
| Totals, Expenditures | | \$13,877 | \$21,194 | \$21,262 |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|---|---------------------------|------------|------------|--------------|----------------|----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 6.2 | 5.0 | 5.0 | \$386 | \$315 | \$327 |
| Total Adjustments | - | 1.0 | 1.0 | - | - | - |
| Net Totals, Salaries and Wages | 6.2 | 6.0 | 6.0 | \$386 | \$315 | \$327 |
| Staff Benefits | - | - | - | 116 | 154 | 149 |
| Totals, Personal Services | 6.2 | 6.0 | 6.0 | \$502 | \$469 | \$476 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$287 | \$725 | \$786 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$789 | \$1,194 | \$1,262 |

| 2 Local Assistance | Expenditures | | |
|---|-----------------|-----------------|-----------------|
| | 2010-11* | 2011-12* | 2012-13* |
| Federal Grant Program | \$13,088 | \$20,000 | \$20,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$13,088 | \$20,000 | \$20,000 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|--------------|--------------|--------------|
| 0526 California School Finance Authority Fund | | | |
| APPROPRIATIONS | | | |
| Education Code Section 17181 | \$92 | \$50 | \$100 |
| TOTALS, EXPENDITURES | \$92 | \$50 | \$100 |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$125 | \$125 | \$136 |
| Budget Adjustment | -16 | - | - |
| TOTALS, EXPENDITURES | \$109 | \$125 | \$136 |
| 9734 2004 Charter School Facilities Account, 2004 State School Facilities Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$615 | \$598 | \$597 |
| Allocation for employee compensation | 2 | 1 | - |

* Dollars in thousands, except in Salary Range.

0985 California School Finance Authority - Continued

| 1 STATE OPERATIONS | 2010-11* | 2011-12* | 2012-13* |
|---|-----------------|-----------------|-----------------|
| Adjustment per Section 3.60 | 11 | 4 | - |
| Adjustment per Section 3.90 | -19 | -6 | - |
| Adjustment per Section 3.91 | -33 | - | - |
| Adjustment per Section 3.91 (b) Operational Efficiency Plan | - | -7 | - |
| Totals Available | \$576 | \$590 | \$597 |
| Unexpended balance, estimated savings | -139 | - | - |
| TOTALS, EXPENDITURES | \$437 | \$590 | \$597 |
| 9735 2006 Charter School Facilities Account, 2006 State School Facilities Fund | | | |
| APPROPRIATIONS | | | |
| 001 Budget Act appropriation | \$429 | \$429 | \$429 |
| Totals Available | \$429 | \$429 | \$429 |
| Unexpended balance, estimated savings | -278 | - | - |
| TOTALS, EXPENDITURES | \$151 | \$429 | \$429 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$789 | \$1,194 | \$1,262 |
| 2 LOCAL ASSISTANCE | | | |
| 0890 Federal Trust Fund | | | |
| APPROPRIATIONS | | | |
| 101 Budget Act appropriation | \$20,000 | \$20,000 | \$20,000 |
| Budget Adjustment | -6,912 | - | - |
| TOTALS, EXPENDITURES | \$13,088 | \$20,000 | \$20,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (Local Assistance) | \$13,088 | \$20,000 | \$20,000 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations and Local Assistance) | \$13,877 | \$21,194 | \$21,262 |

CHANGES IN AUTHORIZED POSITIONS

| | Positions/Personnel Years | | | Expenditures | | |
|-----------------------------------|----------------------------------|----------------|----------------|---------------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| Totals, Authorized Positions | 6.2 | 5.0 | 5.0 | \$386 | \$315 | \$327 |
| Assoc Govtl Prog Analyst | - | 1.0 | 1.0 | - | - | - |
| Total Adjustments | - | 1.0 | 1.0 | \$- | \$- | \$- |
| TOTALS, SALARIES AND WAGES | 6.2 | 6.0 | 6.0 | \$386 | \$315 | \$327 |

0989 California Educational Facilities Authority

The mission of the California Educational Facilities Authority (CEFA) is to provide students with better access and broader opportunities in higher education by providing qualified non-profit higher education institutions with the assistance needed to reduce their capital costs of financing academic related facilities through a tax-exempt revenue bond program.

CEFA provides funding to assist private non-profit institutions of higher learning in the expansion and construction of educational facilities. CEFA is also authorized to issue bonds, notes, and other forms of indebtedness to finance the costs of attendance (student loans) at institutions of higher education.

CEFA is a public instrumentality of the state consisting of five members: the Director of the Department of Finance, the State Controller, the State Treasurer, and two public members appointed by the Governor, serving four-year terms or until reappointed or a successor has been appointed.

3-YR EXPENDITURES AND PERSONNEL YEARS

| | Personnel Years | | | Expenditures | | |
|--|------------------------|----------------|----------------|---------------------|-----------------|-----------------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| 10 Bond Financing | 4.9 | 5.0 | 5.0 | \$976 | \$946 | \$946 |
| 30 Student Loan Program | - | - | - | 49 | 50 | 60 |
| TOTALS, POSITIONS AND EXPENDITURES (All Programs) | 4.9 | 5.0 | 5.0 | \$1,025 | \$996 | \$1,006 |

* Dollars in thousands, except in Salary Range.

0989 California Educational Facilities Authority - Continued

| FUNDING | 2010-11* | 2011-12* | 2012-13* |
|--|----------------|--------------|----------------|
| 0911 Educational Facilities Authority Fund | \$1,025 | \$996 | \$1,006 |
| TOTALS, EXPENDITURES, ALL FUNDS | \$1,025 | \$996 | \$1,006 |

The California Educational Facilities Authority funding is not subject to Budget Act appropriation. This budget display is for informational purposes only. The informational budget presented here reflects the department's best estimate of the budgets for 2011-12 and 2012-13.

LEGAL CITATIONS AND AUTHORITY

DEPARTMENT AUTHORITY

Education Code Sections 94100-94213.

DETAILED BUDGET ADJUSTMENTS

| | 2011-12* | | | 2012-13* | | |
|---|--------------|--------------|-----------------|--------------|--------------|-----------------|
| | General Fund | Other Funds | Personnel Years | General Fund | Other Funds | Personnel Years |
| Workload Budget Adjustments | | | | | | |
| Other Workload Budget Adjustments | | | | | | |
| • Employee Compensation Adjustments | \$- | -\$5 | - | \$- | \$2 | - |
| • Retirement Rate Adjustment | - | 4 | - | - | 4 | - |
| • Workload adjustment due to increase in bond applications per Chapter 281/2011 (AB 1163) | - | 167 | - | - | 150 | - |
| • Miscellaneous Adjustments | - | 30 | - | - | 50 | - |
| Totals, Other Workload Budget Adjustments | \$- | \$196 | - | \$- | \$206 | - |
| Totals, Workload Budget Adjustments | \$- | \$196 | - | \$- | \$206 | - |
| Totals, Budget Adjustments | \$- | \$196 | - | \$- | \$206 | - |

PROGRAM DESCRIPTIONS

10 - BOND FINANCING

CEFA issues revenue bonds to assist private educational institutions of higher learning in the expansion and construction of educational facilities. Because it is authorized to issue tax-exempt bonds, the Authority may provide more favorable financing terms to such private institutions than might otherwise be obtainable. Pursuant to Chapter 569, Statutes of 2001, CEFA may include qualifying nonprofit entities as eligible program participants for the construction of student and faculty housing. Bonds issued by CEFA are not a debt, liability, or claim on the faith and credit or the taxing power of the State of California, or any of its political subdivisions. The full faith and credit of the participating institution is normally pledged to the payment of the bonds. Bonds issued for this purpose are not subject to the state's "private activity" bond ceiling as specified in the Federal Tax Reform Act of 1986.

Prior to January 1, 1999, CEFA was authorized to have outstanding at any one time \$2.6 billion in bonds for educational facilities. Pursuant to Chapter 741, Statutes of 1998, effective January 1, 1999, there is now no limitation on the amount of bonds outstanding at any one time. As of June 30, 2011, bonds and notes in the amount of \$10.1 billion have been issued and \$4.6 billion were outstanding.

30 - STUDENT LOAN PROGRAMS

Pursuant to Chapter 917, Statutes of 1995, effective January 1, 1996, the California Student Loan Authority (CSLA) was abolished, and the assets, obligations, and functions were transferred to CEFA. The purpose of the CSLA was to purchase federally reinsured educational loans from eligible lending institutions by issuing tax-exempt revenue bonds, thereby expanding student access to such low-cost federally reinsured loans. Pursuant to Chapter 917, the functions were expanded under CEFA to include direct student lending from proceeds of tax-exempt revenue bonds issued by CEFA. As of June 30, 2011, \$8.3 million in bonds were outstanding, from a total \$265.4 million issued. Bonds for this purpose are subject to the state's "private activity" bond ceiling, as specified in the Federal Tax Reform Act of 1986 and allocated by the California Debt Limit Allocation Committee (CDLAC).

Chapter 318, Statutes of 2005, added the requirement that not-for-profit corporations must seek approval from CEFA before applying for an allocation of a portion of the state's annual private activity volume cap from CDLAC for the purposes of issuing Qualified Scholarship Funding Bonds for Student Loan Programs. This requirement does not pertain to entities that

* Dollars in thousands, except in Salary Range.

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applied to CDLAC prior to January 1, 2006. Bonds issued for student loans are not a debt, liability, or a pledge of the full faith and credit of the taxing power of the state or any of its political subdivisions. This is a trust activity and all operating expenses must be paid from revenues and other moneys available to CEFA.

DETAILED EXPENDITURES BY PROGRAM

| | | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
|-----------|---------------------------------------|-----------------|-----------------|-----------------|
| | PROGRAM REQUIREMENTS | | | |
| 10 | BOND FINANCING | | | |
| | State Operations: | | | |
| 0911 | Educational Facilities Authority Fund | <u>\$976</u> | <u>\$946</u> | <u>\$946</u> |
| | Totals, State Operations | \$976 | \$946 | \$946 |
| | PROGRAM REQUIREMENTS | | | |
| 30 | STUDENT LOAN PROGRAMS | | | |
| | State Operations: | | | |
| 0911 | Educational Facilities Authority Fund | <u>\$49</u> | <u>\$50</u> | <u>\$60</u> |
| | Totals, State Operations | \$49 | \$50 | \$60 |
| | TOTALS, EXPENDITURES | | | |
| | State Operations | <u>1,025</u> | <u>996</u> | <u>1,006</u> |
| | Totals, Expenditures | \$1,025 | \$996 | \$1,006 |

EXPENDITURES BY CATEGORY

| 1 State Operations | Positions/Personnel Years | | | Expenditures | | |
|--|---------------------------|---------|---------|--------------|----------|----------|
| | 2010-11 | 2011-12 | 2012-13 | 2010-11* | 2011-12* | 2012-13* |
| PERSONAL SERVICES | | | | | | |
| Authorized Positions (Equals Sch. 7A) | 4.9 | 5.0 | 5.0 | \$308 | \$313 | \$328 |
| Net Totals, Salaries and Wages | 4.9 | 5.0 | 5.0 | \$308 | \$313 | \$328 |
| Staff Benefits | - | - | - | 134 | 124 | 111 |
| Totals, Personal Services | 4.9 | 5.0 | 5.0 | \$442 | \$437 | \$439 |
| OPERATING EXPENSES AND EQUIPMENT | | | | \$583 | \$559 | \$567 |
| TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations) | | | | \$1,025 | \$996 | \$1,006 |

DETAIL OF APPROPRIATIONS AND ADJUSTMENTS

| 1 STATE OPERATIONS | <u>2010-11*</u> | <u>2011-12*</u> | <u>2012-13*</u> |
|---|-----------------|-----------------|-----------------|
| 0911 Educational Facilities Authority Fund | | | |
| APPROPRIATIONS | | | |
| Government Code Section 17201 | <u>\$1,025</u> | <u>\$996</u> | <u>\$1,006</u> |
| TOTALS, EXPENDITURES | \$1,025 | \$996 | \$1,006 |
| TOTALS, EXPENDITURES, ALL FUNDS (State Operations) | \$1,025 | \$996 | \$1,006 |

* Dollars in thousands, except in Salary Range.